James P. Crutchfield

Curriculum Vitae

Date of Birth: 30 June 1955 Place of Birth: San Francisco, California Home Page: <u>www.santafe.edu/~chaos</u>

Education

Ph. D., Physics, University of California, Santa Cruz, 1983.B. A. Physics and B. A. Mathematics, University of California, Santa Cruz, 1979.

Employment

Research Professor, Santa Fe Institute, Santa Fe, New Mexico, since September 1997.

- Scientific Director and Vice President, Art and Science Laboratory, Santa Fe, New Mexico, since January 2000.
- Adjunct Associate Professor, Physics Department, University of New Mexico, Albuquerque, New Mexico, since February 1995.
- Research Physicist, Physics Department, University of California, Berkeley, October 1986-August 1997.
- External Associate Professor, Santa Fe Institute, Santa Fe, New Mexico, 1990-August 1997.
- Visiting Research Professor, Beckman Institute, University of Illinois, Champaign-Urbana, September-December 1991.
- *IBM Post-Doctoral Fellow*, Physics Department, University of California, Berkeley, October 1985-September 1986.
- *Miller Institute Post-Doctoral Fellow*, Physics Department, University of California, Berkeley, September 1983-September 1985.
- Visiting Staff Member, Center for Nonlinear Studies, Los Alamos National Laboratory, Los Alamos, New Mexico, since June 1982.

Honors, Awards, and Service

Director, Network Dynamics Program, Santa Fe Institute. Director, Computation, Dynamics, and Inference Program, Santa Fe Institute. Member, Committee on Information Technology and Creativity, Computer Science and Technology Board, National Research Council. Scientific Director, Vice President, and Cofounder, Art and Science Laboratory. Member, Advisory Board, Dactyl Foundation for the Arts and Humanities. Bernard Osher Foundation Fellow, San Francisco Exploratorium. Distinguished Visiting Research Professor, Beckman Institute, University of Illinois, Champaign-Urbana. Post-Doctoral Fellowships: IBM Thomas J. Watson and Miller Institute for Basic Research in Science. Ph.D. in Physics, summa cum laude. University of California Regents Graduate Fellowships. Baccalaureates: Highest Honors in Physics, Honors in Mathematics, and College Honors. Resident Scholar, Rockefeller Foundation Bellagio Conference Center, Bellagio, Italy. Referee for journals in physics, computer science, engineering, mathematical biology, nonlinear mathematics, and others.

Pending Requests

- Principal Investigator, Computation and Causal Synchrony in Spatially Distributed Brain Signals, NIH-NSF Joint Program in Computational Neuroscience (\$2M) 1 October 2003 - 31 September 2008. (J. P. Crutchfield, Leslie Kay, Julia Stephen).
- Principal Investigator, *Pattern Discovery in Sensory Immersive Environments*, CISE ITR (\$4M) 1 October 2003 - 31 September 2008. (E. Angel, J. P. Crutchfield, T. Caudell, D. Beining).
- Principal Investigator, *Collective Cognition: Dynamical Embodiments of Computation*, DARPA IPTO (\$2M) 1 October 2003 31 September 2006. (J. P. Crutchfield).
- Co-Principal Investigator, Theoretical Astrobiology: Physical and Informational Properties of Universal Biosystems, NASA Astrobiology Institute (\$5M) 1 September 2003 – 31 August 2008. (D. Krakauer, PI, J. P. Crutchfield, D. Erwin, W. Fontana, D. Schrag, G. West).

Current Funding

- Principal Investigator, *The Dynamics of Learning and the Emergence of Distributed Adaptation*, Defense Advanced Research Projects Agency (\$1.85M) 1 June 2000 31 December 2004 (J. P. Crutchfield).
- Principal Investigator, *Structure and Complexity in Complex Interactive Networks*, Intel Corporation (\$750K) November 1999 November 2003 (J. P. Crutchfield).
- Principal Investigator, Biologically Inspired Techniques for Self-Organization in Dynamic Networks, European Commission Framework Program 5 (\$5M) 1 January 2003 31 December 2008). (O. Bagaoglu, G. Canright, J. P. Crutchfield, A. Montresor).
- Co-Principal Investigator, *The Theater of Pattern Formation*, Ford Foundation (\$40K) 1 September 2002 31 August 2003 (J. P. Crutchfield, D. Dunn, D. Falliers).
- Co-Principal Investigator, *The Theater of Pattern Formation*, Rockefeller Foundation (\$40K) 1 January 2002 - 31 December 2002 (J. P. Crutchfield, D. Dunn, D. Falliers).
- Principal Scientist, *Support for SFI Core Research*, NSF (\$3M) 1 October 2002 31 September 2007 (T. Kepler, P.I, and other Principal Scientists, incl. J. P. Crutchfield).
- Principal Scientist, *A Founding Program in the Study of Robustness*, David and Lucille Packard Foundation (\$995K) 1 January 2001 - 31 December 2004 (J. P. Crutchfield, W. Fontana, S. Forrest, E. Jen, S. A. Levin).
- Co-Investigator, Evolutionary Dynamics, W. M. Keck Foundation (\$1.5M) 1 January 1999 31 December 2003 (E. Jen, J. P. Crutchfield, M. Feldman, W. Fontana, P. Schuster, and J. Padgett).

Past Support

- Co-Principal Investigator, *The Theater of Pattern Formation*, Rockefeller Foundation (\$40K) 1 January 2002 - 31 December 2002 (J. P. Crutchfield, D. Dunn, D. Falliers).
- Co-Principal Investigator, *The Art and Science Laboratory*, Rockefeller Foundation (\$100K) 1 July 2001 30 June 2002 (J. P. Crutchfield, D. Dunn, S. Vasulka, and W. Vasulka).
- Co-Principal Investigator, *The Art and Science Laboratory*, Daniel Langlois Foundation (\$33K) 1 July 2001 - 30 June 2002 (J. P. Crutchfield, D. Dunn, S. Vasulka, and W. Vasulka).
- Co-Principal Investigator, *The Art and Science Laboratory*, Rockefeller Foundation (\$100K) 1 July 2000 30 June 2001 (J. P. Crutchfield, D. Dunn, S. Vasulka, and W. Vasulka).
- Co-Principal Investigator, *The Art and Science Laboratory*, Daniel Langlois Foundation (\$30K) 1 July 2000 - 20 June 2001 (J. P. Crutchfield, D. Dunn, S. Vasulka, and W. Vasulka).
- Principal Investigator, Novel Computation-Beyond the Digital Hegemony, NSF/Air Force Office of Scientific Research (\$100K) April 1999 March 2001 (J. P. Crutchfield).
- Co-Principal Investigator, *Pilot Program for NSF Physics Graduate Students Fellowships at SFI*, National Science Foundation (\$322K) 1 September 1999 - 31 August 2001 (E. Jen, D. Campbell, J. P. Crutchfield, and S. Forrest).

- Principal Investigator, Collective Intelligence and Learning in Distributed Dynamical Systems, Sandia National Laboratory AU-4978 (\$100K) October 1997 - September 1998 (E. Jen and J. P. Crutchfield).
- Co-Principal Investigator, A New Computational Infrastructure at the Santa Fe Institute, National Science Foundation CISE-97-24666 (\$202K) October 1997 September 2000 (E. Jen, J. P. Crutchfield, S. Durlauf, C. Langton, and M. Mitchell).
- Principal Investigator, Evolving Cellular Automata to Perform Computations, National Science Foundation IRI-9705830 (\$300K) October 1997 - September 2000 (J. P. Crutchfield and M. Mitchell).
- Scientific Director (1997-98), Foundations of Complex Systems, Defense Advanced Research Projects Agency (\$4M) 1 June 1995 - 31 September 1999 (E. Goldberg and E. Jen, P.I.s; J. P. Crutchfield and R. Palmer, Scientific Directors).
- Principal Investigator, Intrinsic Computation in Neurodynamical Systems, Office of Naval Research N00014-95-1-0524 (\$146K) 1 April 1995 28 February 1997 (J. P. Crutchfield).
- Principal Investigator, *Evolving Cellular Automata to Perform Computations*, Department of Energy DE-FG03-94ER25231 (\$150K) October 1994 September 1996 (J. P. Crutchfield and M. Mitchell).
- Principal Investigator, *Evolving Cellular Automata to Perform Computations*, National Science Foundation IRI-9320200 (\$225K) October 1994 - September 1997 (J. P. Crutchfield and M. Mitchell).
- Principal Investigator, Computation Theoretic Analysis of Astrophysical Time Series, NASA (\$12K) 15 December 1993 15 December 1995 (J. P. Crutchfield).
- Co-Principal Investigator, *Algorithms to Analyze Random and Chaotic Time Series*, NASA (\$50K) 1 October 1993 30 September 1995 (J. P. Crutchfield, D. L. Donoho, and J. Scargle).
- Principal Investigator, *Discovering Coherent Structures in Nonlinear Spatial Systems*, Office of Naval Research N00014-92-J-4024 (\$90K) April 1992 June 1994. (J. P. Crutchfield).
- Project Scientist, *Hierarchical Learning of Complex Systems*, Air Force Office of Scientific Research Contract 91-0293 (\$640K) April 1991 August 1995 (J. P. Crutchfield and D. A. Glaser).
- Project Scientist, Algorithms to Analyze Random and Chaotic Time Series, NASA-AMES University Consortium Interchange (\$46K) 1 March 1990 30 September 1991 (J. P. Crutchfield, D. L. Donoho, and J. Scargle).
- Project Scientist, *Statistical Mechanics of Learning Complex Dynamics*, Office of Naval Research Contract N00014-90-J-1774 (\$25K) March 1990 - December 1990 (J.P. Crutchfield and C. D. Jeffries).
- Project Scientist, *Spatio-Temporal Complexity in Condensed Matter Systems*, Office of Naval Research Contract N00014-86-K-0154 (\$500K) October 1986 February 1990 (J. P. Crutchfield and C. D. Jeffries).
- Principal Investigator, Computational Complexity and Dynamical Systems, 1989 Summer Workshop at the Aspen Center for Physics, supported by the Office of Naval Research (\$7K) (J. P. Crutchfield, J. L. Tennyson, and R. Fishbane).
- Principal Investigator, *Modeling and Prediction of Complex Dynamical Systems*, 1988 Summer Workshop at the Aspen Center for Physics, supported by the Office of Naval Research (\$7K) (J. P. Crutchfield and L. M. Simmons).

Professional Affiliations

- American Physical Society, New York Academy of Sciences, American Association for the Advancement of Science.
- Editorial Boards: Chaos and Bifurcations; Chaos: An Interdisciplinary Journal of Nonlinear Science; Complexity; and others.

Meetings Organized

- DARPA TASK Principal Investigator Meeting, Santa Fe Institute, Santa Fe, New Mexico, 9 11 October 2002 (J. P. Crutchfield, T. Frazier).
- Collective Cognition-Mathematical Foundations of Distributed Intelligence, Santa Fe Institute, Santa Fe, New Mexico, 21-24 January 2002 (J. P. Crutchfield, C. Shalizi, K. Tumer, and D. Wolpert).
- DARPA TASK Principal Investigator Meeting, Santa Fe Institute, Santa Fe, New Mexico, 17-19 April 2001 (J. P. Crutchfield, S. Forrest).
- Business Network Meeting on Network Dynamics, Santa Fe Institute, Santa Fe, New Mexico, 22-23 March 2001 (J. P. Crutchfield and S. Dulle).
- Structure and Dynamics in Complex Interactive Networks, Santa Fe Institute, Santa Fe, New Mexico, 10-12 August 2000 (J. P. Crutchfield and D. Watts).
- Towards a Comprehensive Dynamics of Evolution: Exploring the Interplay of Selection, Neutrality, Accident, and Function, Santa Fe Institute, Santa Fe, New Mexico, 5-9 October 1998 (J. P. Crutchfield and P. K. Schuster).
- *Measures of Complexity* Session, International Conference on Complex Systems, Nashua, New Hampshire, 21-26 September 1997.
- Computational Issues in Learning Models of Dynamical Systems, AAAI Spring Symposium, Stanford University, Stanford, California, 25-27 March 1996.
- Computation in Dynamical Systems, Computation, Dynamics, and Inference Program Workshop, Santa Fe Institute, Santa Fe, New Mexico, 14-23 July 1996.
- Computational Complexity and Dynamical Systems, Aspen Center for Physics, Aspen, Colorado, June 1989.
- Prediction and Modeling of Complex Systems, Aspen Center for Physics, Aspen, Colorado, June 1988.

Selected Invited Talks

- Artificial Science and the Dynamics of Signals: Computers and Chaos: A Practical Symposium on the Applications of Chaos Theory to Computer Design, Clearpoint Research Foundation, Stowe, Vermont, 5-7 February 1989.
- Computation at the Onset of Chaos: Workshop on Entropy, Complexity, and Physics of Information, Santa Fe Institute, Santa Fe, New Mexico, 29 May-2 June 1989; NATO Advanced Study Institute on Information Dynamics, Kaufbeuren, Germany, 21 June 1990; NATO Advanced Study Institute on Chaos and Patterns, Lago Como, Italy, 5 July 1990; Workshop on Complexity and Evolution, Institute for Scientific Interchange, Torino, Italy, 11 July 1990; IEEE Japan First Annual Meeting on Applications of Nonlinear Dynamics in Electrical Circuits, Kube, Japan, 25 July 1990; Colloquium, Physics Department, University of Tokyo, Komaba, Japan, 27 July 1990; Colloquium, Physics Department, Waseda University, Tokyo, Japan, 30 July 1990.
- Inferring Statistical Complexity: International Workshop on Quantitative Measures of Dynamical Complexity in Nonlinear Systems, Bryn Mawr College, Bryn Mawr, Pennsylvania, 21-25 June 1989; International Computer Science Institute, Berkeley, California, 14 July 1989; IBM Thomas J. Watson Research Center, Yorktown Heights, New York, 20 July 1989; IV International Workshop on Nonlinear and Turbulent Processes in Physics, Kiev, USSR, 9-22 October 1989; Second Woodward Conference on Nonlinear Structures in Physical Systems, San Jose State University, California, 17 November 1989; Colloquium, Center for Nonlinear Studies, Los Alamos National Laboratory, Los Alamos, New Mexico, 13 February 1990; NATO Advanced Study Institute on Complexity and Evolution, Les Houches, France, 13 March 1990; Colloquium, Computer Science Department, Ohio State University, Columbus, Ohio, 12 April 1990; Condensed Matter Department, Bell Communications Research, Red Bank, New Jersey, 16 April 1990; Tuternational Conference on Fuzzy Logic and Neural Networks, Iizuka, Japan, 23 July 1990; Yukawa Institute for Fundamental

Physics, Kyoto, Japan, 31 July 1990; Second Soviet-American Conference on Chaos, August 1990.

- *Complexity: Order contra Chaos*: Symposium on **Order and Chaos**, Steirischer Herbst, Graz, Austria, 14-19 October 1989.
- *Inferring Forms of Randomness*: Lecture course, International Conference on **Fuzzy Logic and Neural Networks**, Kyushu Institute of Technology, Iizuka, Japan, 20 July 1990.
- Nonlinear Engineering: International Satellite Video Conference, Kyushu Institute of Technology (Iizuka, Japan), NASA Space Flight Center (Houston, Texas) 22 July 1990.
- *Hierarchical Modeling*: Santa Fe Institute Workshop on Nonlinear Modeling and Forecasting, Santa Fe, New Mexico, 20-24 September 1990.
- Computation in Chaos: Colloquium, Physics Department, San Jose State University, San Jose, California, 15 November 1990; Electronic Materials Group, XEROX Palo Alto Research Center, 7 December 1990; IBM Almaden Research Center, 11 December 1990; Lecture course, sponsored by the Fuzzy Logic Systems Institute, 20-21 September 1991, Fukuoka, Japan, and 24-25 September 1991, Tokyo, Japan; Colloquium, Center for Complex Systems Research, Beckman Institute, University of Illinois, Champaign-Urbana, 11 October 1991; Institute for Scientific Computing Research, Lawrence Livermore National Laboratory, Livermore, California, 2 July 1992; Colloquium, Interval Research, Inc., Palo Alto, California, 8 June 1993.
- The Attractor-Basin Portrait of a Cellular Automaton: Colloquium, Santa Fe Institute, Santa Fe, New Mexico, 1 March 1991.
- Discovering Coherent Structures in Nonlinear Spatial Systems: at the Applied Physics Laboratory Symposium on Nonlinear Dynamics of Ocean Waves, Johns Hopkins University, Maryland, 30-31 May 1991.
- Computational Mechanics: Toward a physics of complexity: lecture course, Beckman Institute, University of Illinois, Urbana-Champaign, November-December 1991.
- Dynamics and Model Inference and The Semantics of Mechanical Systems: Conference on **Dynamic Representations in Cognition**, Indiana University, Bloomington, Indiana, 15 and 16 November 1991.
- Computation in Chaos: toward a physics of complexity: Dynamics Days, Texas, Austin, Texas, 8-11 January 1992.
- *The Calculi of Emergence: Complexity as the Interplay of Order and Chaos*: Santa Fe Institute **Integrative Themes** Workshop, Santa Fe, New Mexico, 8-15 July 1992.
- *Thermodynamics of Inference*: NATO Advanced Studies Institute From Statistical Physics to Statistical Inference and Back, Cargese, France, 31 August 12 September 1992.
- *Innovation, Induction, and Complexity*: Santa Fe Institute Workshop on **Computation, dynamical** systems, and learning, Santa Fe, New Mexico, 16-20 November 1992.
- Critical Computation and Hierarchical Learning: Colloquium, Institute for Theoretical Physics and Synergetics, University of Stuttgart, Germany, 26 March 1993; Nonlinear Dynamics Seminar, Tokyo Institute of Technology, Tokyo, Japan, 12 April 1993.
- Observing Complexity and the Complexity of Observation: Max Planck Institute sponsored workshop on **Endo-Exo Problems in Physics**, Ringberg Castle, Bavaria, Germany, 29 March 2 April 1993.
- *The Calculi of Emergence: Complexity as the Induction of Order and Chaos*: 36th Oji International Seminar on **Complex Systems—from Complex Dynamical Systems to the Sciences of Artificial Reality**, Fujitsu Forum, Numazu City, Japan, 5-9 April 1993.
- Turbulent Pattern Bases for Spatial Systems: APS meeting Computational Physics 1993, Albuquerque, New Mexico, 3 June 1993.
- *Fluctuation Spectroscopy*: Workshop on **Fluctuations and Order: the new synthesis**, Los Alamos, New Mexico, 9 September 1993.
- Critical Computation, Phase Transitions, and Hierarchical Learning: The Seventh Toyota Conference Towards the Harnessing of Chaos, Mikkabi, Japan, 1 November 1993.
- *Towards a Statistical Dynamics of Genetic Algorithms*: Workshop on **Theoretical Foundations of Genetic Algorithms**, Santa Fe Institute, New Mexico, 11-13 January 1994.

- *The Evolution of Emergent Computation:* International Conference on Dynamical Systems and Chaos, Tokyo Metropolitan University, Tokyo, Japan, 23-27 May 1994.
- Computational Mechanics: Towards a Physics of Complexity: Two lectures presented to the Extended Workshop on Dynamics and Complexity, Technical University, Lisbon, Portugal, 14 and 16 September 1994; invited review presented to the Workshop on Theory and Applications of Nonlinear Time Series Analysis, Potsdam, 20-30 September 1995; Symposium on Computational Issues in Learning Dynamical Systems, AAAI Spring Meeting, Stanford University, 26 March 1996; Santa Fe Institute Summer School on Complex Systems, 12 June 1996; Computational Neurobiology Laboratory, Colloquium, Salk Institute, La Jolla, California, 20 July 1996; University of Michigan-Santa Fe Institute Seminar, University of Michigan, 17 October 1996.
- How do Nonlinear, Time-Dependent Processes Compute? Neurosciences Institute 11th Summer Atelier on Theoretical Neurobiology, La Jolla, California, 23 September 1994.
- Observing Complexity and the Complexity of Observation: Joint Physics and Philosophy Colloquium, Reed College, Portland, Oregon, 9 November 1994.
- The Evolution of Emergent Computation: Seminar, Mathematics Department, Reed College, Portland, Oregon, 10 November 1994; CIRES Colloquium, University of Colorado, Boulder, Colorado, 13 April 1995; SIAM Conference on Nonlinear Dynamics, Snowbird, Utah, 12-14 May 1995; International Conference on Self-Organization of Complex Structures, Berlin, 24-28 September 1995.
- How Does Nature Compute? Joint Colloquium, Keck Center for Integrative Neurobiology and Sloan Center for Theoretical Neurobiology, University of California, San Francisco. 15 December 1995.
- *Forms of Randomness–Embodiments of Computation*: Workshop on **Dynamics, Computation, and Cognition**, Santa Fe Institute, Santa Fe, New Mexico, 12-14 May 1996.
- What is a Pattern? Discovering the Hidden Order in Chaos: Bernard Osher Fellowship public lecture, San Francisco Exploratorium, 3 July 1996.
- Pattern, Structure, and Function: What Do Computation Theory and Nonlinear Physics Have to Say? Altenberg Workshops in Theoretical Biology—The Emergence and Evolution of Organization, Konrad Lorenz Institute for Evolution and Cognition Research, Altenberg, Austria, 28 September 1996.
- Embodiments of Computation: Joint Santa Fe Institute/University of Michigan Meeting on Adaptive Agents, Ann Arbor, Michigan, 17 October 1996.
- Computational Mechanics-Steps to a Theory of Complex Systems: Spring Science Symposium, Santa Fe Institute, Santa Fe, New Mexico, 7 March 1997.
- Pattern, Meaning, and Emergence: Center for Social Sciences, Columbia University, New York, 2 April 1997.
- *Turbulent Landscapes*: International Workshop on **Art and Complexity**, Abisko, Sweden, 17-21 May 1998.
- The Evolutionary Unfolding of Complexity: Artificial Life VI, Workshop on The Right Stuff: Appropriate Mathematics for Evolutionary and Developmental Biology, University of California, Los Angeles, 26 June 1998; Astrobiology Colloquium, NASA-Ames Research Center, Moffet Field, California, 31 August 1998; DIMACS Workshop on Evolution as Computation, Princeton University, New Jersey. January 1999; Colloquium, Physics Department, University of California, Davis, 15 March 1999; Colloquium, Physics Department, University of Wisconsin, Madison, 16 April 1999; Colloquium, Intel Research Laboratories, Portland, Oregon, 21 May 1999; Complex Systems Summer School, Santa Fe Institute, 22 June 1999; Computational Economics Summer School, St. John's College, 24 June 1999; Biology Department, University of Utrecht, Utrecht, The Netherlands, 27 September 1999; Program in Theoretical Biology, Institute for Advanced Study, Princeton, New Jersey, 8 October 1999; Colloquium, Computer Science Department, University of Western Ontario, London, Ontario, Canada, 24 March 2000.
- Cellular Automata Pattern Dynamics: Constructive Cellular Automata, Santa Fe Institute, 14-17 November 1998.

- Complexity: Invited Talk, Workshop on Institutions, Complexity, and Difficulty, Santa Fe Institute,
 5 March 1999; Complex Systems Summer School, Santa Fe Institute, 8 June 1999;
 Computational Economics Summer School, Santa Fe Institute, 24 June 1999; Computational Economics Summer School, Santa Fe Institute, 22 June 2000.
- A Concise History of Chaos and Complexity: panelist for Chaos in Literature, History, and Science, Dactyl Foundation, New York, New York, 6 April 2000.
- *Intrinsic Computation*: AFOSR Contractors and Grantees Meeting, Stanford University, Stanford, California, 28 June 2000; Seminar, Sociology Department, Columbia University, New York, New York, 13 September 2000.
- *Objets d'Bits: Thinking about Emergent Structures in Cellular Automata*: Center for the Study of Complex Systems, University of Michigan, Ann Arbor, 27 October 2000; Center for Studies in Biology and Physics, Rockefeller University, New York, 7 November 2000; Mathematics Department, California State University, Northridge, Los Angeles, 20 November 2000.
- Dynamics of Learning and the Emergence of Distributed Adaptation: DARPA TASK Program Kickoff Meeting, Charleston, South Carolina, 3-5 October 2000.
- Pattern Discovery: Hewlett-Packard Research Laboratories, Palo Alto, California, 8 March 2001.
- *Network Dynamics*: SFI Business Network Meeting, Santa Fe, New Mexico, 22 March 2001; Workshop on **The Internet as a Complex Adaptive System**, Santa Fe Institute, Santa Fe, New Mexico, 29 March 2001.
- Synchronizing to the Environment: DARPA TASK PI Meeting, Santa Fe, New Mexico, 17-19 April 2001.
- New Algorithms for Adaptive Learning: DARPA TASK CAHDE REF Meeting, Media Lab, MIT, 27-28 August 2001.
- *Causality and Pattern Discovery*: Max Planck Institute workshop on **Determinism**, Ringberg Castle, Bavaria, Germany, 4-8 June 2001; **DisIntegrative Themes** meeting, Santa Fe Institute, 23-27 July 2001.
- Pattern Discovery in Art and Science: Art and Science Laboratory's Evenings with Techne and Eros 2001, Georgia O'Keeffe Museum, Santa Fe, New Mexico, 27 July 2001; Rockefeller Foundation Bellagio Conference Center, Bellagio, Italy, 26 October 2001.
- *Inferring Causal Architecture*, DARPA TASK Principal Investigator Meeting, Washington, D.C., 9-10 January 2002.
- *Collective Cognition*, Workshop on Collective Cognition: Mathematical Foundations of Distributed Intelligence, SFI, 21 January 2002.
- Intrinsic Computation, AAAS Meeting, Special Session on Novel Computation, Boston, 14 February 2002.
- *Intrinsic Computation*, Colloquium, Computer Science Department, Dartmouth College, Hanover, New Hampshire, 18 February 2002.
- *Causal Synchrony in Dynamic Networks*, SFI Workshop on Failure and Contagion, Columbia University, New York, 24 May 2002.
- *Causal Synchrony*, International Workshop on Evolutionary Innovation, Czech Academy of Sciences, Prague, 28 May 2002.
- Computational Mechanics, Lecture Course, SFI Complex Systems Summer School, St. John's College, 11-12 June 2002.
- Causal Synchrony in Multiagent Systems, DARPA TASK Principal Investigator Meeting, Chicago, 19-20 June 2002.
- Computational Mechanics, Lecture Course, International Complex Systems Summer School, Collegium Budapest, 16-19 July 2002.
- *Pattern from Process*, SITE SantaFe, Santa Fe, New Mexico, 24 August 2002. (J. P. Crutchfield and J. F. Simon).
- Information Theory and Computational Mechanics for Multiagent Systems, DARPA TASK Principal Investigator Meeting, SFI, Santa Fe, 9 October 2002. (J. P. Crutchfield and D. P. Feldman).
- *Game-Theoretic Dynamical Systems Models for Multiagent Systems*, DARPA TASK Principal Investigator Meeting, SFI, Santa Fe, 10 October 2002.

- The Theater of Pattern Formation, Art Technology Center, University of New Mexico, Albuquerque, 1 November 2002. (J. P. Crutchfield and D. Dunn).
- Patterns and Pattern Discovery, The Philosophical Club, SFI, 8-9 November 2002.
- *The Theater of Pattern Formation*, Advanced High Performance Computing Center, University of New Mexico, Albuquerque, 13-14 January 2003. (J. P. Crutchfield and D. Dunn).
- Multiple Agents Servicing Multiple Tasks, DARPA TASK Principal Investigator Meeting, 19-20 February 2003, Miami.
- *Patterns and Pattern Discovery*, Lecture Course, Centro di Ricerca Matematica Ennio de Giorgi, Scuola Normale Superiore, University of Pisa, Pisa, Italy, 24-27 February 2003.
- Is Anything Ever New? University Lecture Series on Emergence, University of Michigan, Ann Arbor, 3 April 2003.
- *Terrorizing Complex Systems*, SFI Business Network Topical Meeting on Modeling Terrorism as a Complex Adaptive System, 10 April 2003.
- *The Theater of Pattern Formation*, Center for Contemporary Arts, Santa Fe, New Mexico, 15 April 2003. (J. P. Crutchfield and D. Dunn).

Papers

- 1. <u>Prediction and Stability in Classical Mechanics</u>, Senior Thesis in Physics and Mathematics, University of California, Santa Cruz, June 1979; submitted to APS First Annual Apker Undergraduate Thesis Competition.
- <u>Chaotic States of Anharmonic Systems in Periodic Fields</u>, Phys. Rev. Let. 43 (1979) 1743-1747. (B. A. Huberman and J. P. Crutchfield).
- <u>Power Spectral Analysis of a Dynamical System</u>, Phys. Lett. **76A** (1980) 1-4 (J.P. Crutchfield, J. D. Farmer, N. H. Packard, R. S. Shaw, G. Jones, R. Donnelly).
- 4. *Fluctuations and the Onset of Chaos*, Phys. Lett. **77A** (1980) 407-410 (J.P. Crutchfield and B. A. Huberman).
- 5. <u>Geometry from a Times Series</u>, Phys. Rev. Let. **45** (1980) 712-716 (N. H. Packard, J.P. Crutchfield, J. D. Farmer, and R. S. Shaw).
- 6. <u>Noise Phenomena in Josephson Junctions</u>, App. Phys. Let. **37(8)** (1980) 750-753 (J. P. Crutchfield, B. A. Huberman, and N. H. Packard).
- 7. <u>Power Spectra and Mixing Properties of Strange Attractors</u>, Annals of the New York Academy of Sciences **357** (1980) 453-472 (J. P. Crutchfield, J. D. Farmer, H. Froehling, N. H. Packard, and R. S. Shaw).
- On Determining the Dimension of Chaotic Flows, Physica 3D (1981) 605-617 (H. Froehling, J. P. Crutchfield, J. D. Farmer, N. H. Packard, and R.S. Shaw).
- 9. <u>Fluctuations and Simple Chaotic Dynamics</u>, Physics Reports **92** (1982) 45-82 (J. P. Crutchfield, J. D. Farmer and B. A. Huberman).
- 10. <u>Scaling for External Noise at the Onset of Chaos</u>, Phys. Rev. Lett. **46** (1981) 933-935 (J. P. Crutchfield, M. Nauenberg, and J. Rudnick).
- 11. <u>Unexplained Helium Film Effect with Dielectric Resonators</u>, Phys. Lett. **80A** (1980) 87-90 (R. Shaw, J. P. Crutchfield, F. Bridges, and B. Rosenblum).
- 12. <u>Symbolic Dynamics of One-Dimensional Maps: Entropies, Finite Precision, and Noise</u>, Intl. J. Theo. Phys. **21** (1982) 433-466 (J. P. Crutchfield and N. H. Packard).
- 13. <u>Computing the Topological Entropy of Maps</u>, Commun. Math. Phys. **88** (1983) 257-262 (P. Collet, J. P. Crutchfield, and J.-P. Eckmann).
- 14. <u>Noise Scaling of Symbolic Dynamics Entropies</u>, in **Evolution of Order and Chaos**, ed. H. Haken, Springer-Verlag, Berlin (1982) 215-227 (J. P. Crutchfield and N. H. Packard).
- 15. <u>Symbolic Dynamics of Noisy Chaos</u>, in Physica **9D** (1983) 201-223 (J. P. Crutchfield and N. H. Packard).
- <u>Noisy Chaos</u>, Ph.D. Dissertation, University of California, Santa Cruz, August 1983; University Microfilms International, 300 N. Zeeb Road, Ann Arbor, Michigan 48106 (1983).
- 17. *Low-Dimensional Chaos in a Hydrodynamic System*, Phys. Rev. Lett. **51** (1983) 1442-1446 (A. Brandstater, J. Swift, H. L. Swinney, A. Wolf, J. D. Farmer, E. Jen, and J. P. Crutchfield).
- 18. Space-Time Dynamics in Video Feedback, Physica 10D (1984) 229-245.

- Experimental Mathematics: The Role of Computation in Nonlinear Science, Comm. ACM 28 (1985) 374-384 (D. Campbell, J. P. Crutchfield, J. D. Farmer, and E. Jen).
- 20. *Chaos*, Scientific American **255** (December 1986) 46-57 (J. P. Crutchfield, J. D. Farmer, N. H. Packard, and R. S. Shaw).
- 21. <u>Chaos, Computer Graphics, and Natural Phenomena</u>, accepted for publication in **Transactions on Graphics**, December 1987.
- 22. *Equations of Motion from a Data Series*, Complex Systems **1** (1987) 417-452 (J. P. Crutchfield and B. McNamara).
- 23. <u>Phenomenology of Spatio-Temporal Chaos</u>, in **Directions in Chaos**, Hao Bailin, editor, World Scientific Publishers, Singapore (1987) 272-353 (J. P. Crutchfield and K. Kaneko).
- 24. <u>Are Attractors Relevant to Fluid Turbulence</u>? Phys. Rev. Let. **60** (1988) 2715-2718 (J. P. Crutchfield and K. Kaneko).
- 25. <u>Subbasins, Portals, and Mazes: Transients in High Dimensions</u>, J. Nucl. Phys. B **5A** (1988) 287-292.
- 26. <u>Spatio-Temporal Complexity in Nonlinear Image Processing</u>, IEEE Trans. on Circ. Sys. **35** (1988) 770-780.
- 27. Inferring Statistical Complexity, Phys. Rev. Lett. 63 (1989) 105-108 (J. P. Crutchfield and K. Young).
- 28. Quantifying Spatial Chaos, Research and Development (November 1989) 12-17.
- 29. <u>Computation at the Onset of Chaos</u>, in Entropy, Complexity, and Physics of Information, W. Zurek, ed., Addison-Wesley, Redwood City, California, (1989) 223-269.
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Books

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- Beyond Productivity: Information Technology, Innovation, and Creativity, A Report of the National Research Council Computer Science and Technology Board's *Committee on Information Technology and Creativity*, National Academies Press (2003). (W. Mitchell, A. Inouye, and M. Blumenthal, editors; J. P. Crutchfield, ITC Committee Member).

3. Pattern Discovery in Spacetime—Exploring the Interplay of Structure and Disorder in Cellular Automata, publisher under negotiation (2003) in preparation (J. P. Crutchfield).

Film and Video

- A Friendly Introduction to Strange Attractors, 16mm, black and white, 10 min. (1979) (J. P. Crutchfield, J. D. Farmer, H. Froehling, N. H. Packard, and R. S. Shaw). Premiered at the New York Academy of Sciences *Conference on Nonlinear Dynamics*, New York, (December 1979).
- 2. Mixing Properties of Strange Attractors, 16mm, black and white, 10 min. (1979) (J. P. Crutchfield, J. D. Farmer, N. H. Packard, and R. S. Shaw). Premiered at the New York Academy of Sciences *Conference on Nonlinear Dynamics*, New York, (December 1979).
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- 4. **Space-Time Dynamics in Video Feedback**, Video, 15 minutes (1984). Premiered *Dynamics Day La Jolla*, La Jolla, California, (January 1985). Distributed by Aerial Press, P.O. Box 1360, Santa Cruz, California 95060.
- 5. **Chaotica I**, Aerial Press, Santa Cruz (1987). Video, 30 minutes. Distributed by Aerial Press, P.O. Box 1360, Santa Cruz, California 95060.
- 6. Video feedback special effects for **Pulse**, Columbia Pictures, Hollywood, California (Spring 1987).
- 7. Computer graphics animation for **Chaos**, Danish Broadcasting Service, Copenhagen, Denmark (Spring 1988); **Chaos**, *InCA*, TV4, London, United Kingdom, (Fall 1988); and **Chaos**, *NOVA*, WGBH/PBS, Cambridge, Massachusetts (January 1989).

Post-Doctoral Advisees

- 1. Rajarshi Das (Ph.D. in Computer Science, 1997, Colorado State University; NSF Post-Doctoral Fellow).
- 2. James E. Hanson (Ph.D. in Physics, University of California, Berkeley, 1994).
- 3. Cosma R. Shalizi (Ph.D. in Physics, University of Wisconsin, Madison, 2001).
- 4. Dowman P. Varn (Ph.D. in Physics, University of Tennessee, Knoxville, 2001).
- 5. Yuzuru Sato (Ph.D. in Physics, University of Tokyo, 2002).

Ph.D. Students

Current and former advisees.

- 1. Dave Albers (Ph.D. in Physics, University of Wisconsin, Madison, expected Spring 2004).
- 2. Victoria Alexander (Ph.D. in Literature, Graduate Center, City University of New York, 2002).
- 3. Rajarshi Das (Ph.D. in Computer Science, 1997, Colorado State University; NSF Post-Doctoral Fellow).
- 4. David Feldman (Ph.D. in Physics, University of California, Davis, 1998).
- 5. Olof Gornerup (MsC. in Computer Science, Chalmers Institute of Technology, Gothenberg, Sweden).
- 6. James E. Hanson (Ph.D. in Physics, University of California, Berkeley, 1994).
- 7. Wim Hordijk (Ph.D. in Computer Science, University of New Mexico, 1999).
- 8. Peter Hraber (Ph.D. in Biology, University of New Mexico, 1998).
- 9. Bruce McNamara (Ph.D. in Physics, University of California, Santa Cruz, 1993).
- 10. Erik van Nimwegen (Ph.D. in Physics cum laude, University of Utrecht, 1999).
- 11. Cosma R. Shalizi (Ph.D. in Physics, University of Wisconsin, Madison, 2001).

- 12. Daniel R. Upper (Ph.D. in Mathematics, University of California, Berkeley, 1996; NSF Graduate Fellow).
- 13. Dowman P. Varn (Ph.D. in Physics, University of Tennessee, Knoxville, 2001).
- 14. Karl Young (Ph.D. in Physics, University of California, Santa Cruz, 1995; NRC Post-Doctoral Fellow).

SFI-NSF Physics Graduate Program.

- 1. Robert Haslinger (Physics, University of Wisconsin, Madison).
- 2. Dowman P. Varn (Physics, University of Tennessee, Knoxville).
- 3. Eric Lee (Physics, Columbia).
- 4. Karoline Wiesner (SFI Steinmetz Fellow; Physics, University of Uppsala, Sweden).

Undergraduate Students

Students advised under NSF Research Experience For Undergraduates summer programs.

- 1. Matt Austern, SFI Summer 1995 (Physics, Princeton University).
- 2. Elizabeth Ayer, SFI Summer 1995 (Computer Science, Duke University).
- 3. Beverly Steinhoff, SFI Summer 1997 (Mathematics, Boston University).
- 4. Alex Wo, SFI Summer 1997 (Physics, Harvard University).
- 5. Justin Werfel, SFI Summer 1998 (Physics, Princeton University).
- 6. Chris Douglas, SFI Summer 1998 (Physics, MIT).
- 7. Jason Wyman, SFI Summer 1998 (Physics, Middlebury College).
- 8. Kristina Klinkner, SFI Summer 2000 (Computer Science, University of San Francisco).
- 9. Patrick Yannul, SFI Summer 2000 (Computer Science, Drexel University).
- 10. Carl McTague, SFI Summers 2001-2003 (Mathematics, Music, University of Cincinnati).
- 11. Alison Binkowski, SFI Summer 2001 (Computer Science, Wesleyan).
- 12. Jacob Usinowicz, SFI Summer 2002 (Physics, College of the Atlantic).
- 13. Sara Friedman, SFI Summer 2002 (Applied Mathematics, University of California, Berkeley).
- 14. John Albers, SFI Summer 2003 (Atmospheric Sciences, University of Wisconsin, Madison).
- 15. Selah Lynch, SFI Summer 2003 (Computer Science, LeHigh University).
- 16. Erik Talvitie, SFI Summer 2003 (Computer Science, Oberlin College).

Other interns.

- 1. David Solina, UC Berkeley 1991 (Physics, UC Berkeley).
- 2. Sue Whitfield, UC Berkeley 1993 (Mathematics, UC Berkeley).
- 3. Peter Hraber, SFI 1992-1993 (St. John's College).
- 4. Adam Messinger, SFI 1994 (Physics, Reed College).
- 5. Alex Lancaster, SFI 2000 (Physics, UC Berkeley).
- 6. Gina LaCerva, SFI 2002/2003 (Santa Fe Preparatory High School).
- 7. Veronica Hart. SFI 2003 (Santa Fe Preparatory High School).