



Exploring and exploiting intrinsic synergies of multivariate systems

Fernando E. Rosas

Research Fellow Centre of Complexity Science Department of Mathematics Department of Electrical and Electronic Engineering Imperial College London

Acknowledges:

This work is the result of the fortunate collaboration with:



Pedro A.M. Mediano Department of Computing Imperial College London



Henrik J. Jensen Department of Mathematics Imperial College London



Michael Gastpar School of Computer and Communication Sciences

EPFL



Martin Ugarte Department of Computer Science

Université Libre Bruxelles

Borzoo Rassouli

School of Computer Science and Electronic Engineering

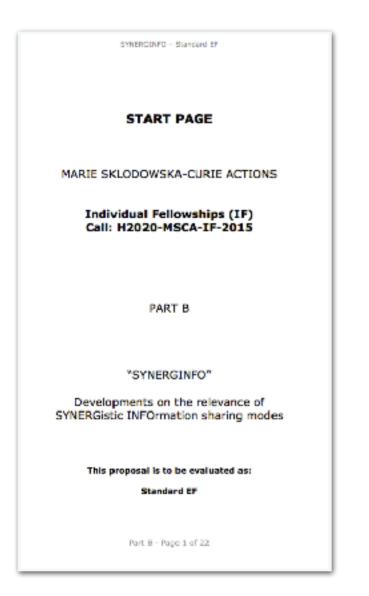
University of Essex



Deniz Gündüz

Department of E&E Engineering Imperial College London

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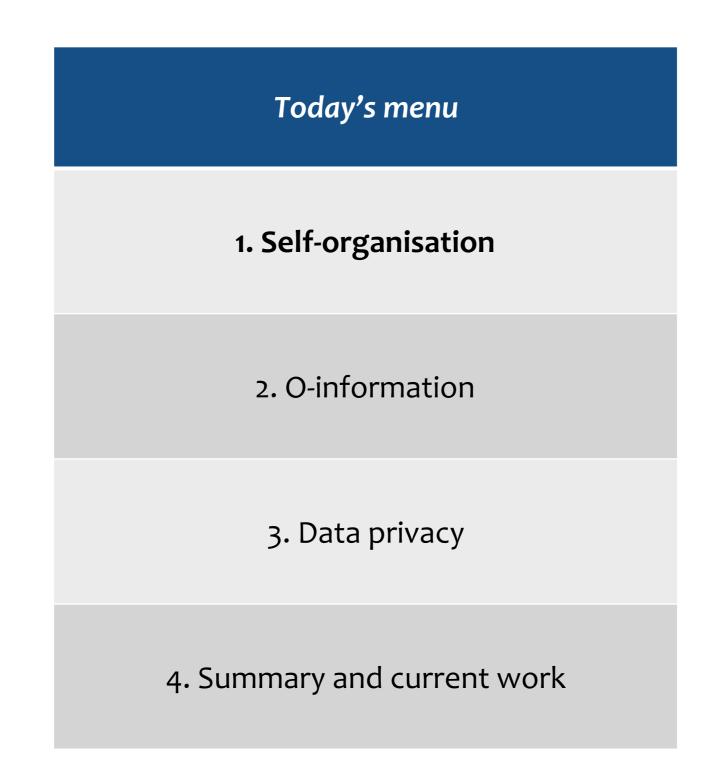






Objectives:

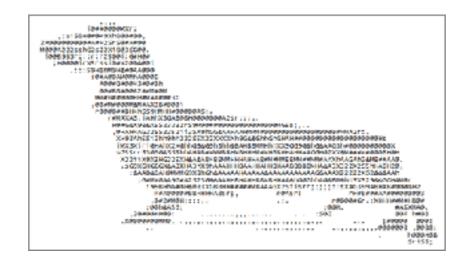
- (a) to understand and exploit **intrinsic statistical synergies** of multivariate systems
- (b) apply this to **data privacy** and **neural data analysis**



1. Self-organisation: what is a pattern

What is a pattern/structure?

Shannon —> compressibility of an statistical source Regularities / interdepedencies (i.e. deviations from statistical independence)

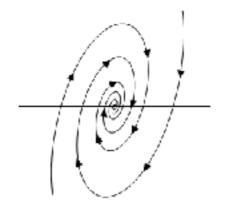


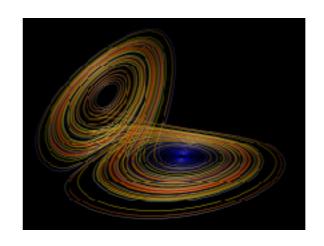
Organisation as statistical interdependency

1. What is self-organization?

Attractor: destination/result of the evolution

Linear recurrence / evolution is simple Non-linear evolution simple/uninteresting attractors
interesting/strange attractors





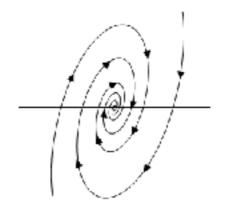


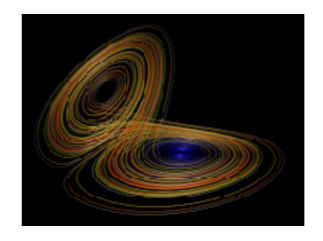
Challenge: Is it possible to relate the **attractors** properties to **organization** properties?

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Idea: high-order correlations allow to distinguish qualitatively different arrangements...

redundancy!





1. Self-organisation: our approach

Approach to study spontaneous creation of correlations:

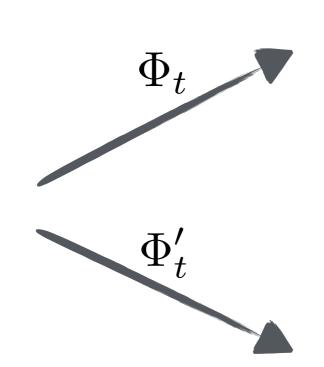
- Consider an flat initial distribution μ_0 (independence)
- Compute it's time evolution (μ_t) using the corresponding "master equation"
- Iterate for many steps, or until it reach a stationary state $\mu_{t_{st}+1} = \mu_{t_{st}}$.
- Analyse the "structure of the correlations" of $(X_{t_{st}}^1, \ldots, X_{t_{st}}^N)$.

Why start from an uniform distribution?

Because any correlation found is due to the evolution and not the initial condition.

1. Self-organisation: dynamics as sculpture...









"The Atlas" Michelangelo Circa 1530-1534

"The Awakening Slave" Michelangelo Circa 1520-1523

1. Self-organisation: entropy is not enough

Is easy to prove that, because of determinism, the joint Shannon entropy of the system is non-increasing.

$$H(X_{t+1}^1, \dots, X_{t+1}^N) \le H(X_t^1, \dots, X_t^N)$$

Information is being dissipated It takes more information to specify a random state than a point in an attractor

This not sufficient to guarantee self-organization!! (example: fix point attractors)

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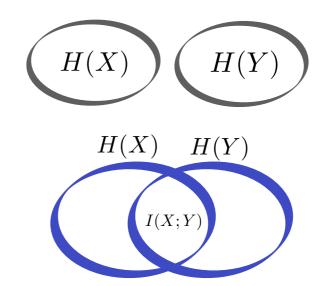
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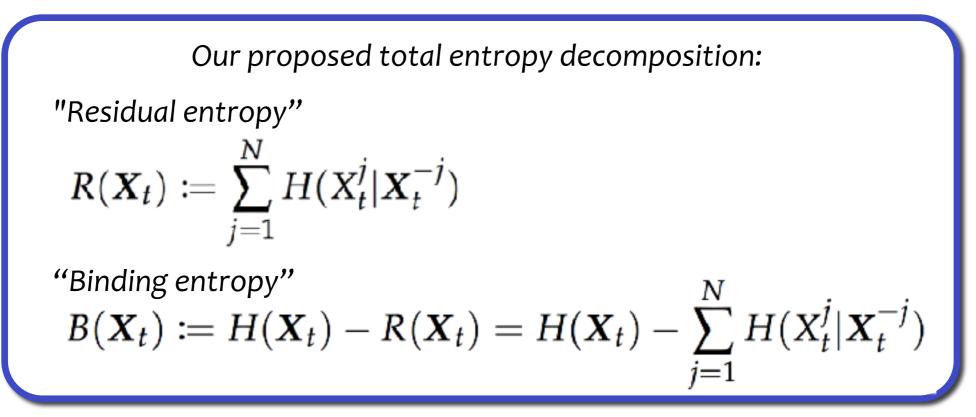
Information is being dissipated It takes more information to specify a random state than a point in an attractor

This not sufficient to guarantee self-organization!! (example: fix point attractors)

Key idea: The destruction of information can create correlations!!



1. Self-organisation: proposed framework



Definition 1. Let us consider a coupled dynamical system whose evolution is autonomous and whose matrix of interactions has a bound over the number of non-zero elements per row. Then, the system is self-organising if $B(X_t)$ is an increasing function of t. Moreover, the value of $B(X_t)$ is used as a metric of organisation strength.

F. Rosas, P.A. M. Mediano, M. Ugarte, H.J. Jensen, "An information-theoretic approach to self-organization: emergence of complex interdependencies in coupled dynamical systems", Entropy 20, no. 10 (2018): 793.

1. Self-organisation: proposed framework

Decomposition by **sharing modes**:

$$B(X_{t}) = \sum_{n=2}^{N} \sum_{i=1}^{n-1} I_{i}^{n}(X_{t}) = \sum_{i=1}^{N-1} m_{i}(X_{t})$$

by measuring *i* agents
can guess the state of *n*...

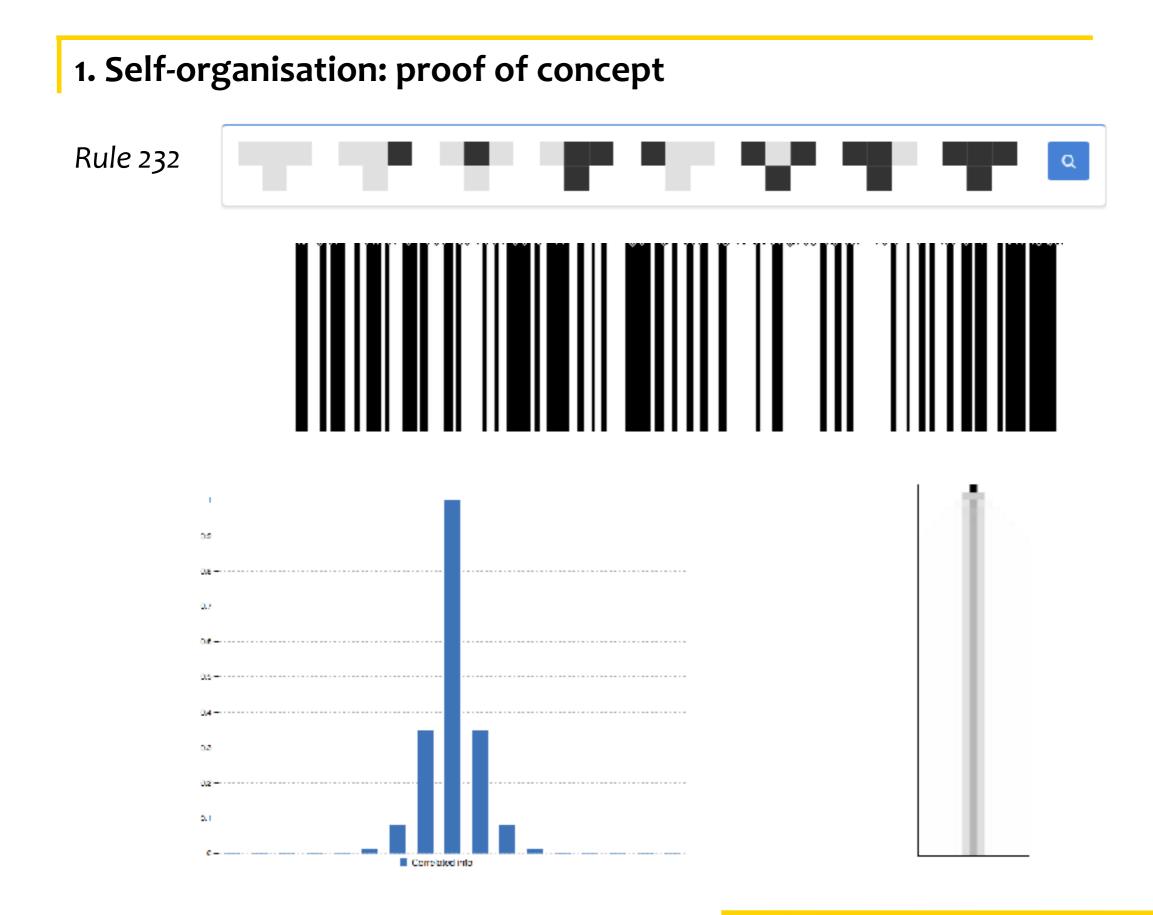
1. Self-organisation: proposed framework

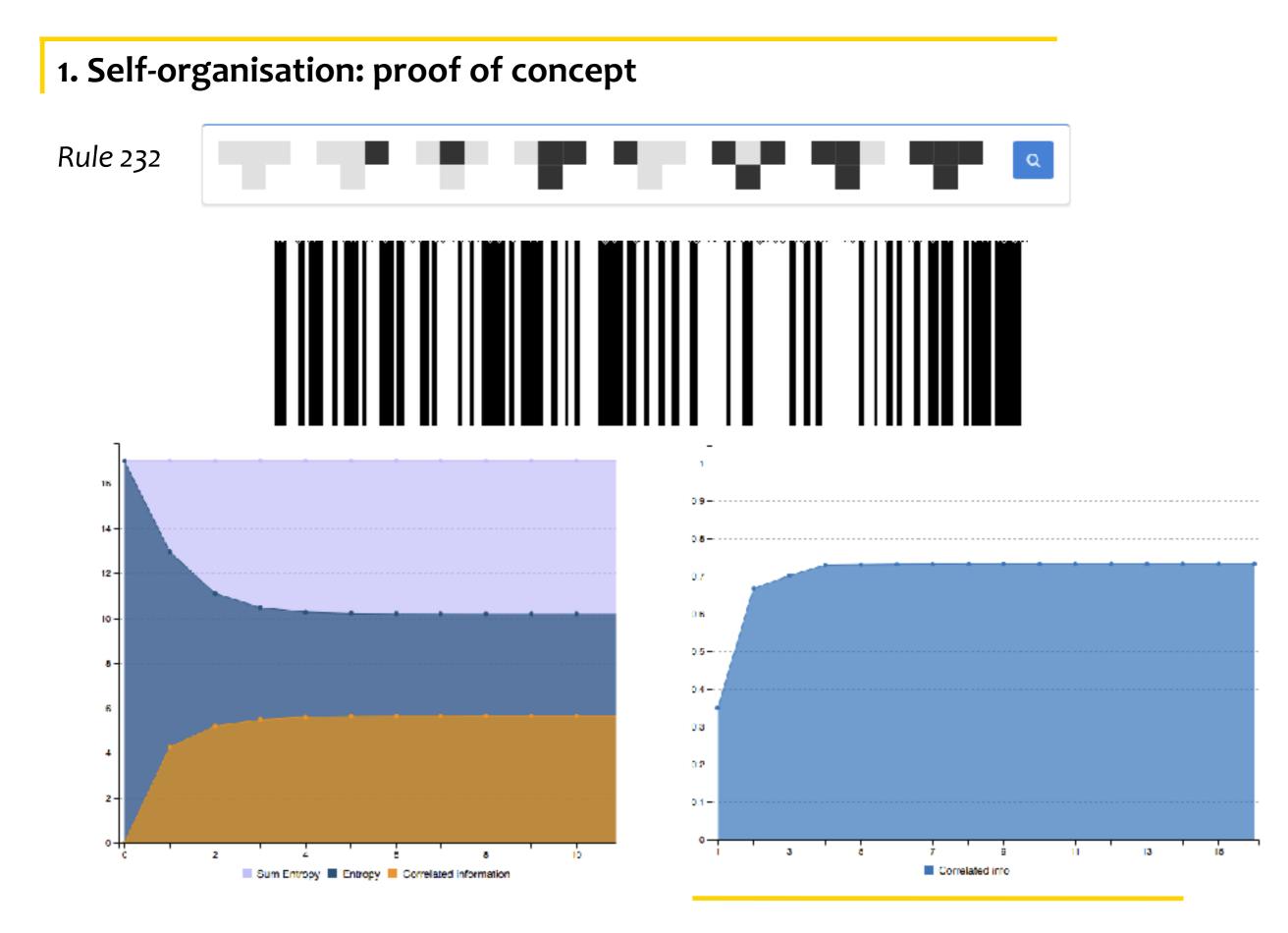
Decomposition by **sharing modes**:

$$B(\mathbf{X}_t) = \sum_{n=2}^{N} \sum_{i=1}^{n-1} I_i^n(\mathbf{X}_t) = \sum_{i=1}^{N-1} m_i(\mathbf{X}_t)$$
$$\psi_L(t) \le \sum_{i=1}^{L} m_i(\mathbf{X}_t) \le \sum_{\substack{j=1\\ \alpha_L \in \mathcal{I}_L \\ \alpha_i \neq j}}^{N} \sum_{\substack{I \in \mathcal{I}_L \\ \alpha_i \neq j}} I(X_{\alpha_L}(t); X_j(t)) \le N\binom{N-1}{L} \psi_L(t)$$

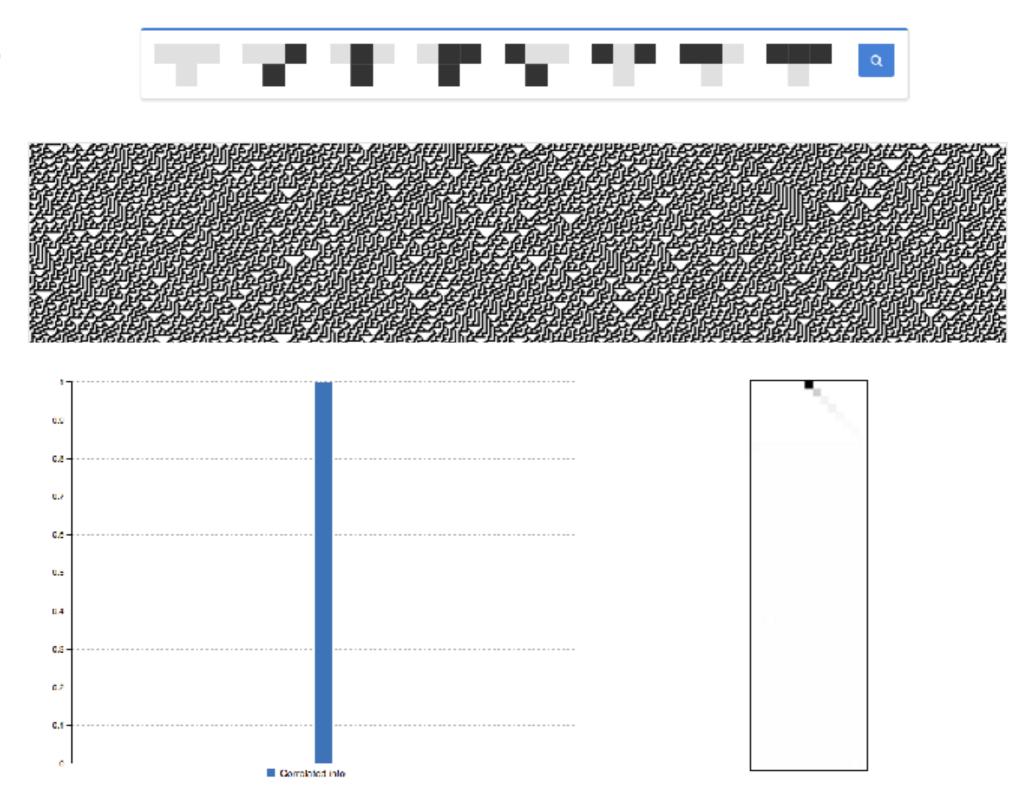
where

$$\psi_L(t) := \max_{j \in \{1,\dots,N\}} \max_{\substack{\alpha_L \in \mathcal{I}_L \\ \alpha_i \neq j}} I(X_{\alpha_L}(t); X_j(t))$$



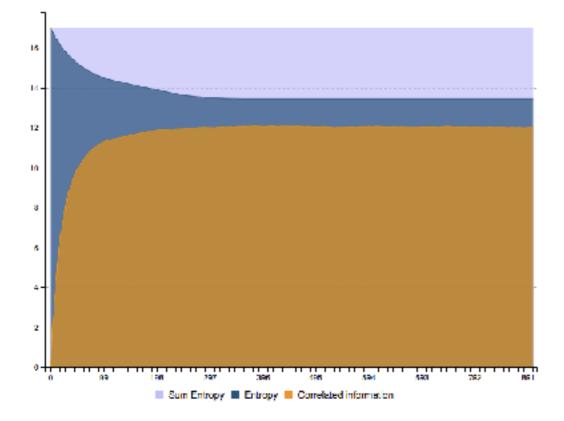


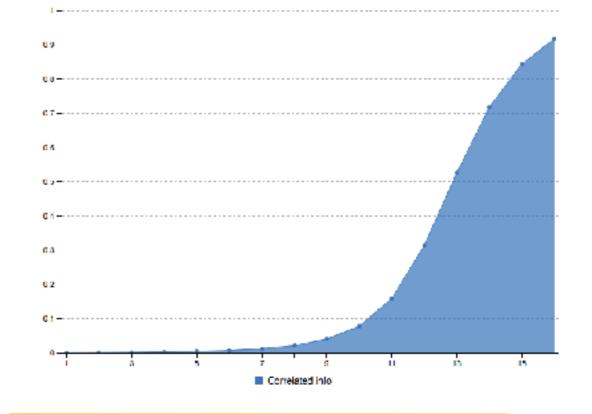
Rule 30



Rule 30

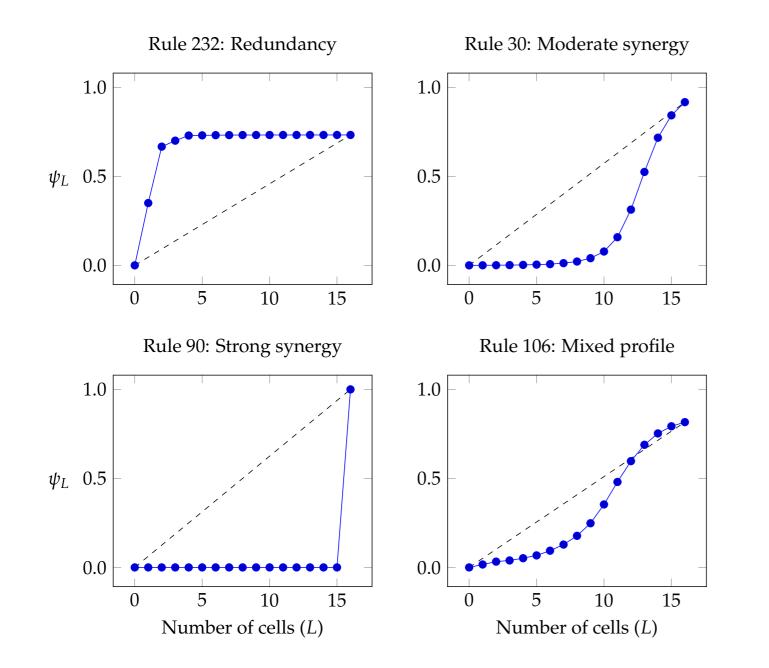


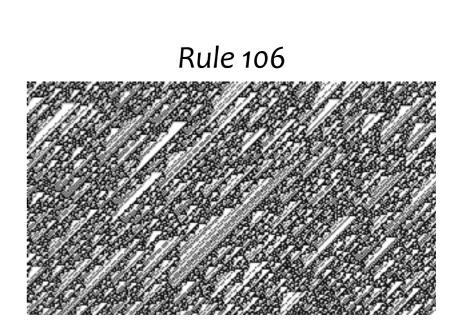


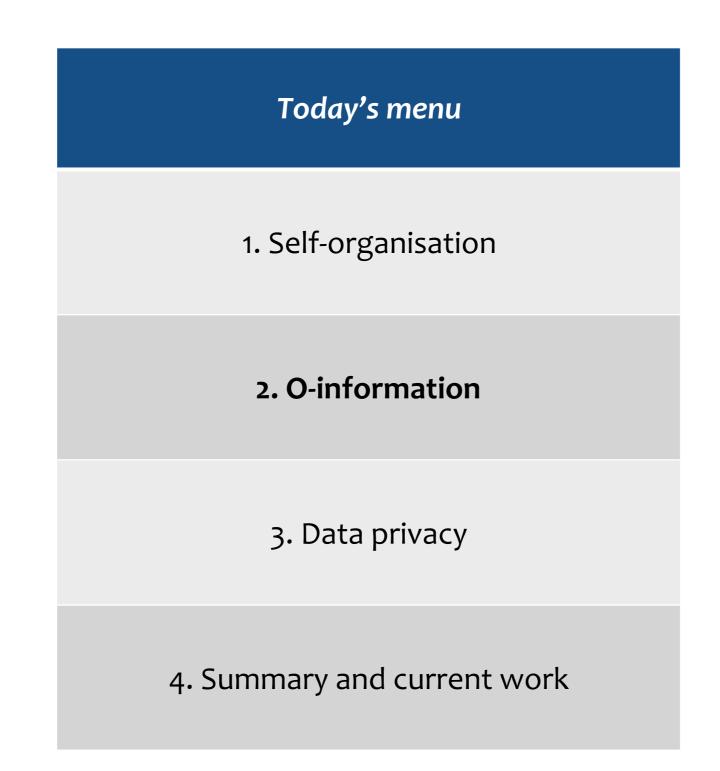


Definition 1. Let us consider a coupled dynamical system whose evolution is autonomous and whose matrix of interactions has a bound over the number of non-zero elements per row. Then, the system is self-organising if $B(X_t)$ is an increasing function of t. Moreover, the value of $B(X_t)$ is used as a metric of organisation strength.

Definition 2. A self-organisating process is said to be synergy-dominated if, for a large t, $\psi_L(t)$ is convex as function of L. If $\psi_L(t)$ is concave, then the process is said to be redundancy-dominated.







2. O-information: fundamentals

1. Interaction-information:
$$I(X_1; X_2; ...; X_n) = -\sum_{\boldsymbol{\gamma} \subseteq \{1,...,n\}} (-1)^{|\boldsymbol{\gamma}|} H(\boldsymbol{X}^{\boldsymbol{\gamma}})$$

2. Total correlation:
$$\operatorname{TC}(\boldsymbol{X}^n) = \sum_{j=1}^n H(X_j) - H(\boldsymbol{X}^n)$$

3. Dual total correlation: $DTC(\mathbf{X}^n) = H(\mathbf{X}^n) - \sum_{j=1}^n H(X_j | \mathbf{X}_{-j}^n)$

State of affairs:

(+) **TC** and **DTC** are metrics of global correlation strength.

(-) TC=0 if and only if DTC=0. Besides that, their relationship is unclear.

(+)
$$I(X_1; X_2; X_3) = I(X_1; X_3) + I(X_2; X_3) - I(X_1X_2; X_3)$$

is positive for redundant systems, and negative for synergistic ones.

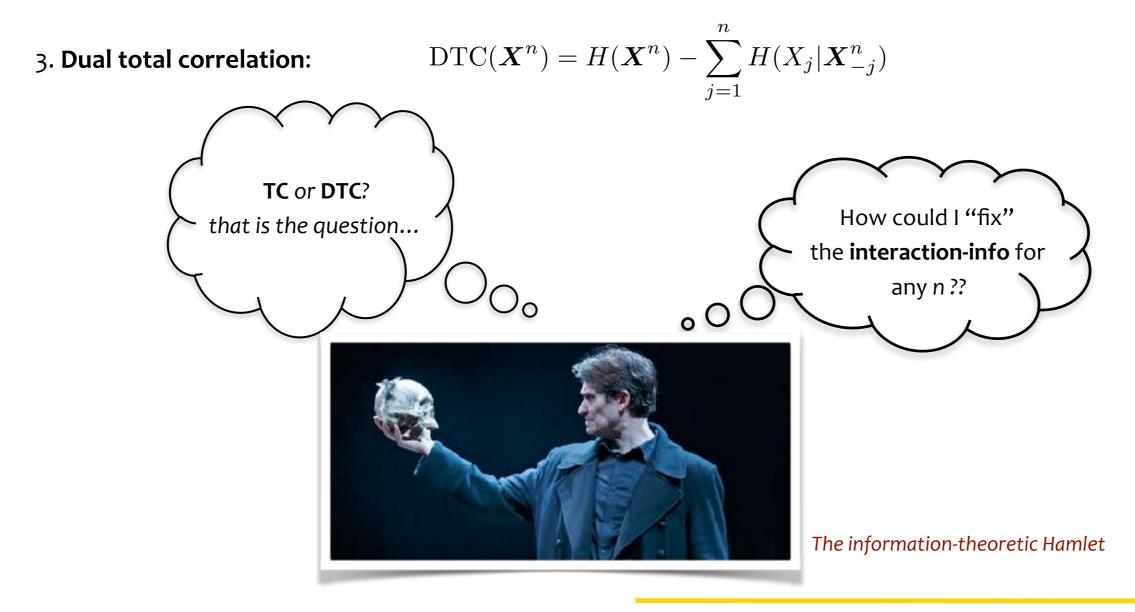
(+) $I(X_1; X_2; X_3) = \text{TC} - \text{DTC}$.

(-) The meaning of the interaction-information for *n* > 3 is unclear.

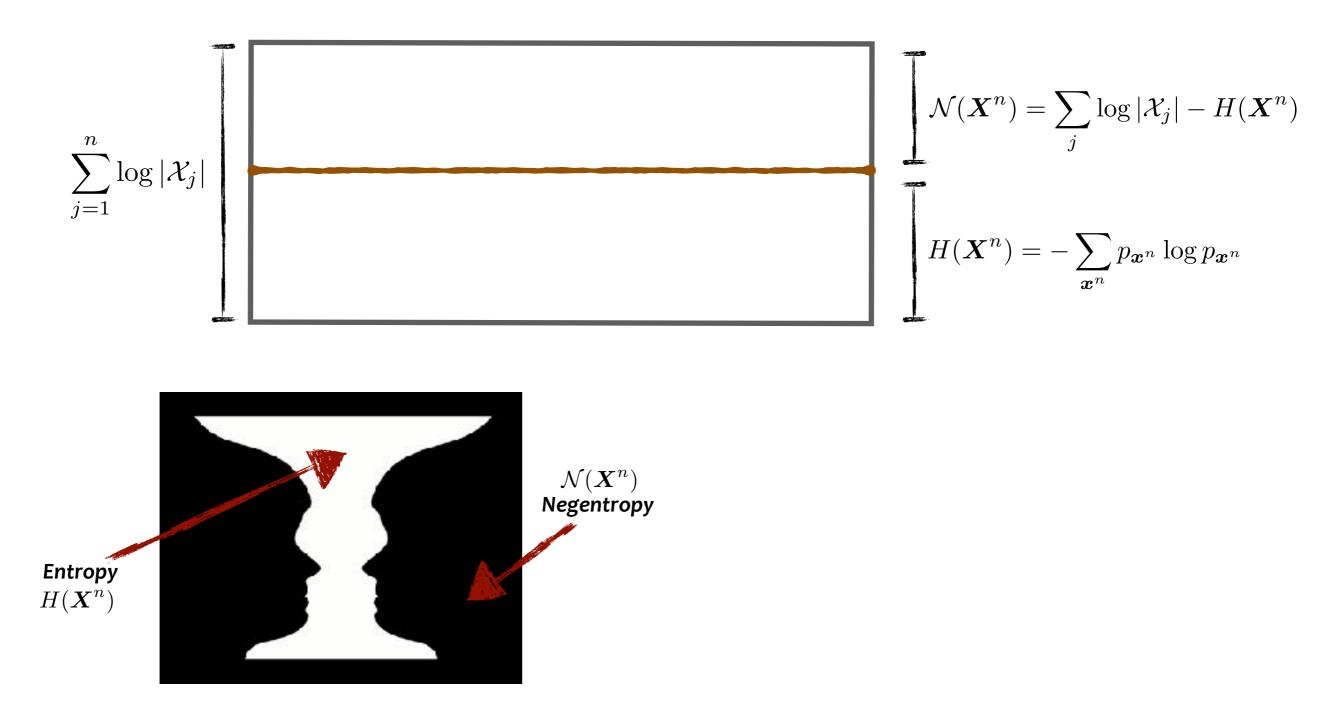
2. O-information: fundamentals

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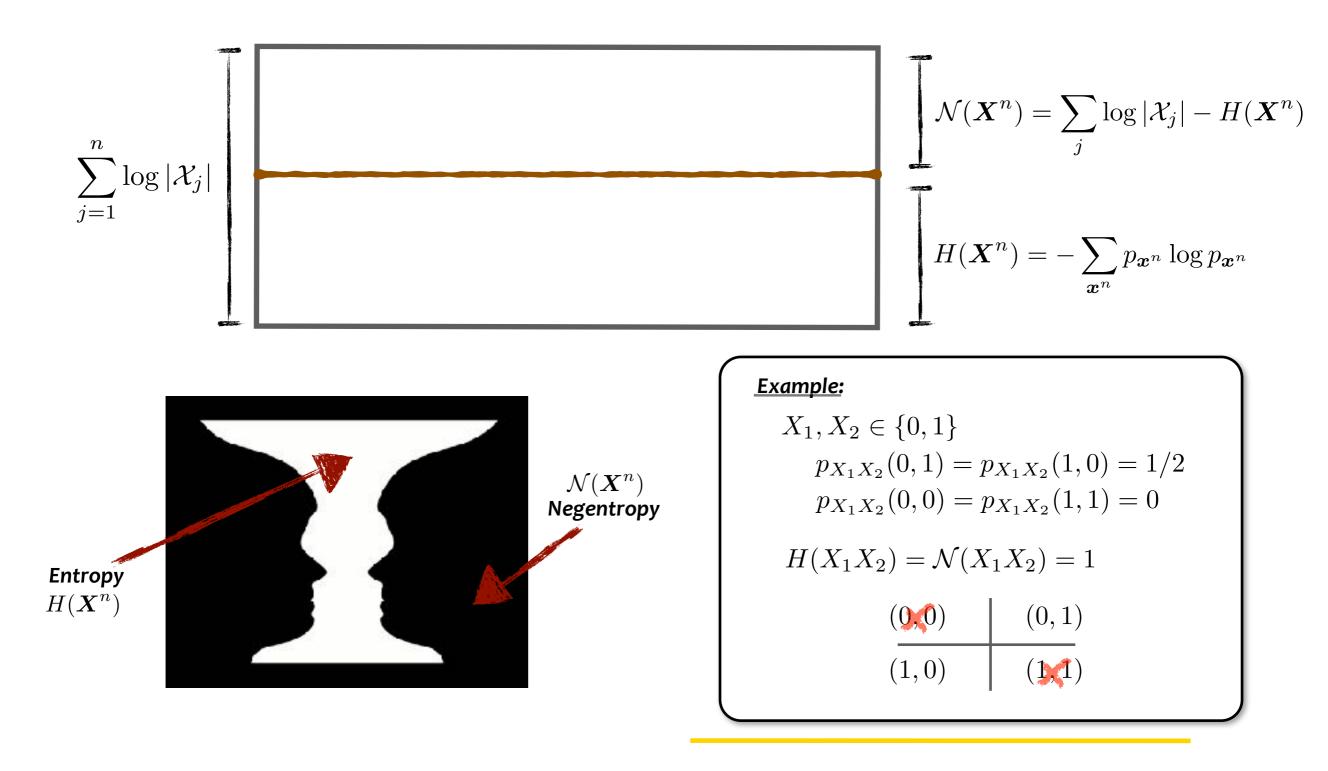
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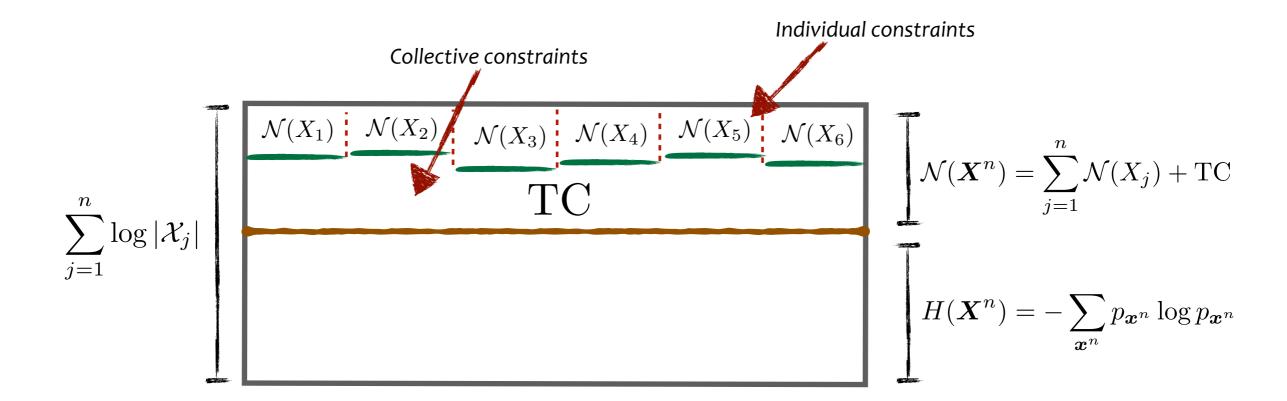


Consider a system $X^n = (X_1, \ldots, X_n)$ where $X_j \in \mathcal{X}_j$, described by a p.d.f. $p_{X^n}(x^n)$.



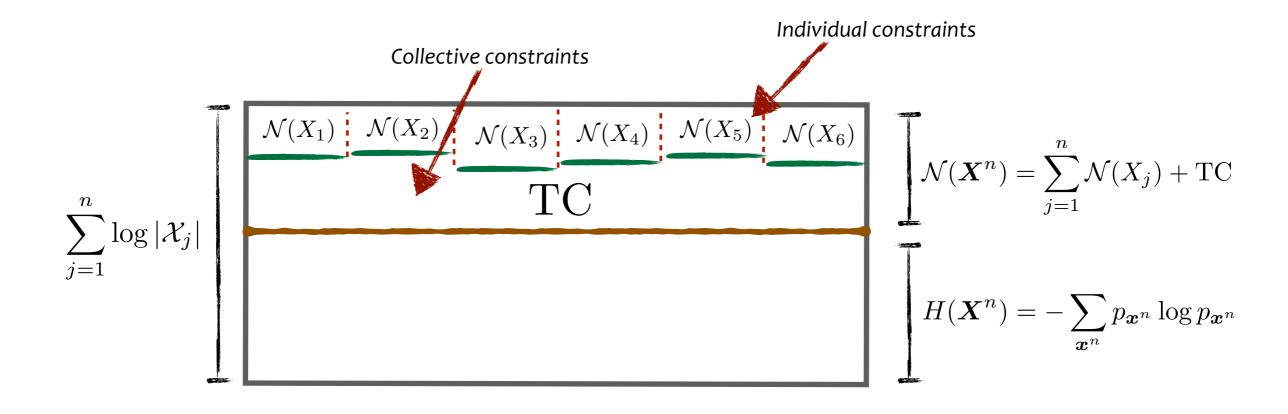
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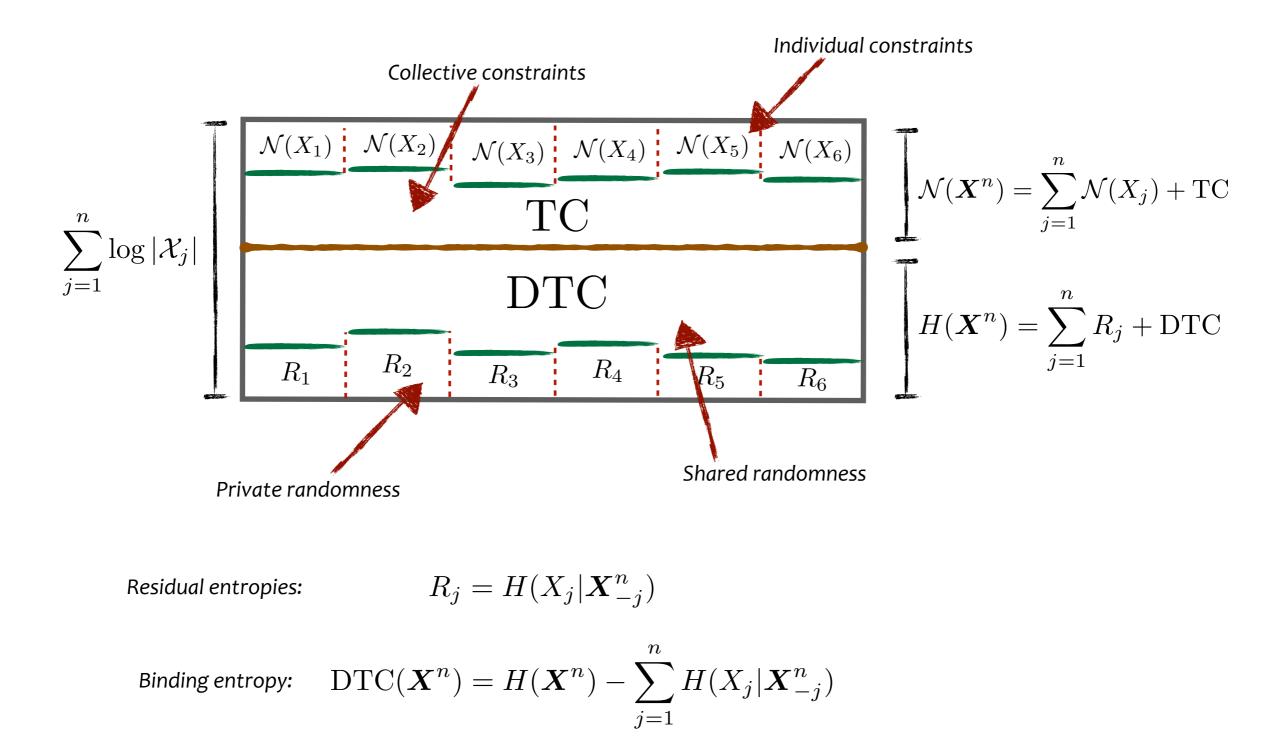
Marginal negentropy: $\mathcal{N}(X_j) = \log |\mathcal{X}_j| - H(X_j)$

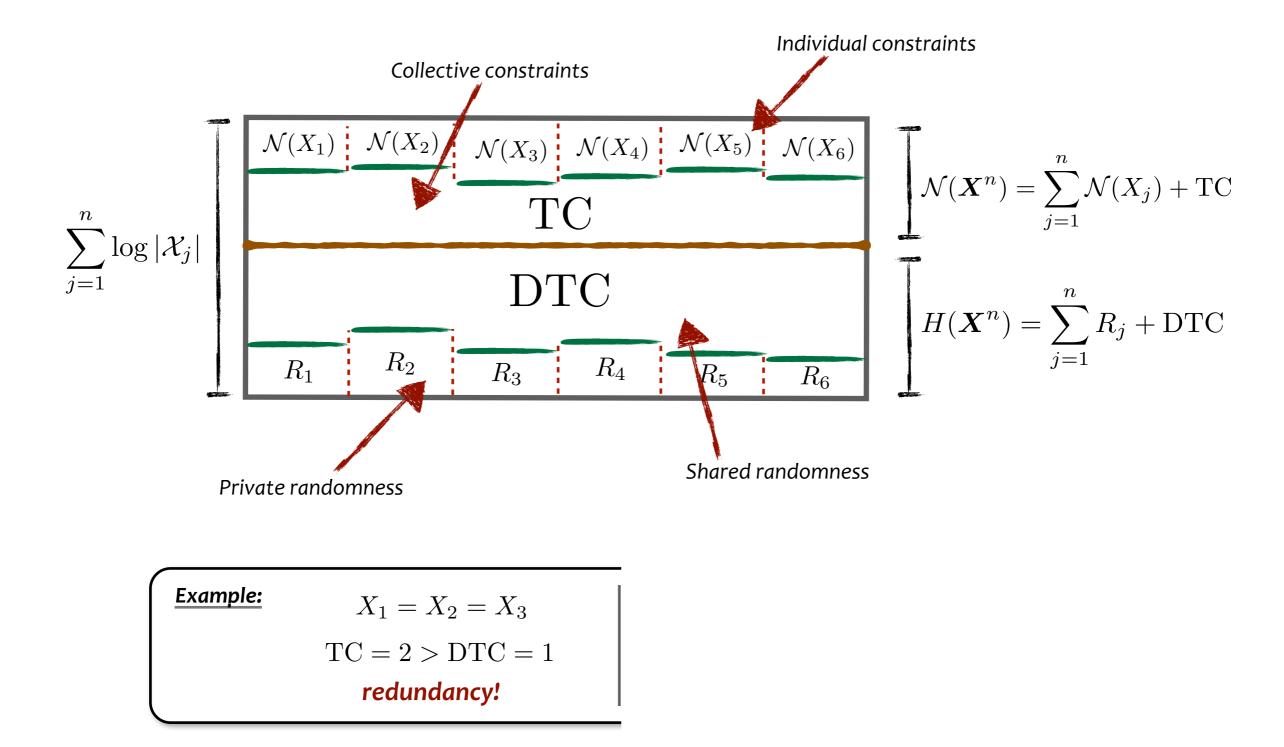
Collective constraints:
$$\operatorname{TC}(\boldsymbol{X}^n) = \mathcal{N}(\boldsymbol{X}^n) - \sum_{j=1}^n \mathcal{N}(X_j) = \sum_{j=1}^n H(X_j) - H(\boldsymbol{X}^n)$$

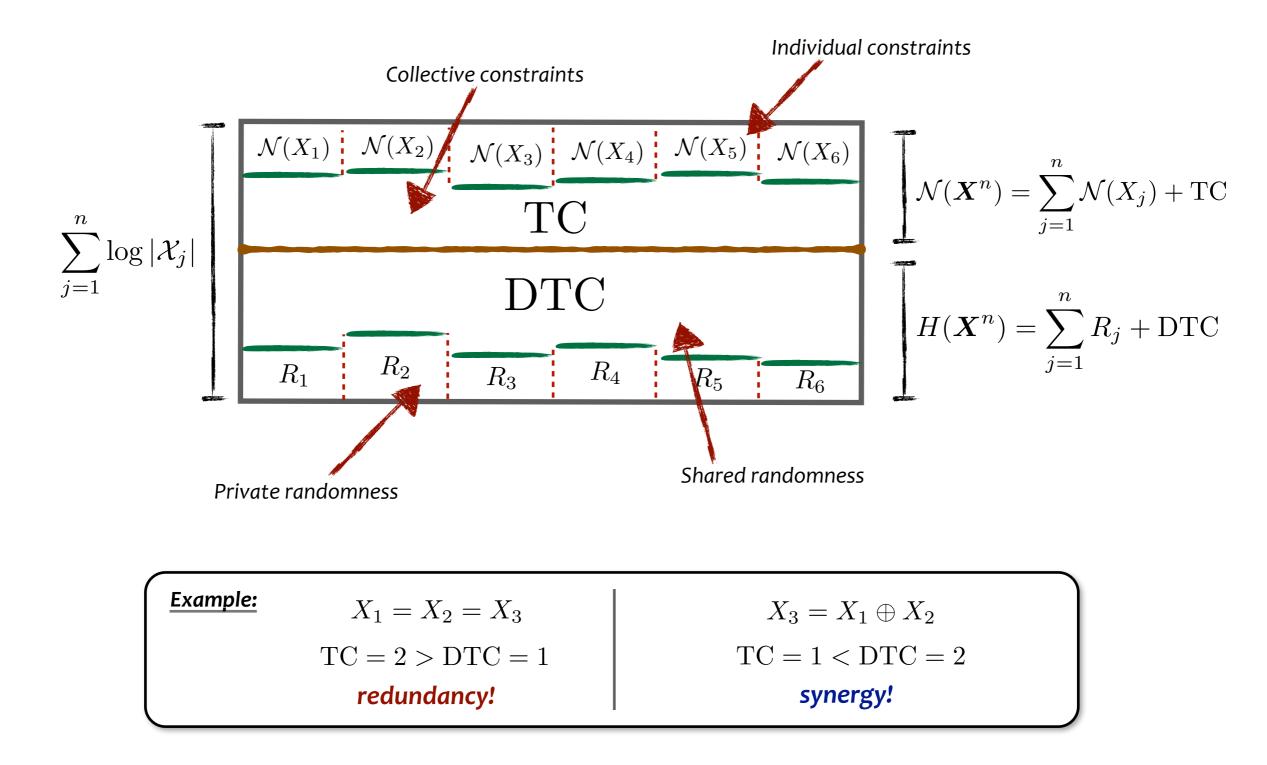


Example:

$$(0,0)$$
 $(0,1)$
 $(0,0)$
 $(0,1)$
 $(1,0)$
 $(1,1)$
 $(1,0)$
 $(1,1)$
 $\mathcal{N}(X_1X_2) = 1$
 $\mathcal{N}(X_1X_2) = 1$
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 $\mathrm{TC}(X_1X_2) = 1$
 $\mathrm{TC}(X_1X_2) = 0$
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Occam's raizor (lex parsimoniae): give preference to the simplest description

Definition

O-information: $\Omega(\mathbf{X}^n) = \mathrm{TC}(\mathbf{X}^n) - \mathrm{DTC}(\mathbf{X}^n)$

- If $\Omega(\mathbf{X}^n) > 0$ it is shorter to describe the allowed states.
- If $\Omega(\boldsymbol{X}^n) < 0$ it is shorter shorter to describe the constraints.

F. Rosas, P.A. M. Mediano, M. Gastpar, and H. J. Jensen. "Quantifying High-order Interdependencies via Multivariate Extensions of the Mutual Information," accepted, to be published in PRE, 2019

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Example:
$$X_1 = X_2 = \cdots = X_n$$
 $X_n = X_1 \oplus X_2 \oplus \cdots \oplus X_{n-1}$ $TC = n - 1 > DTC = 1$ $TC = 1 < DTC = n - 1$ redundancy! $synergy!$

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Definition

- 1. A system is redundancy-dominated if $\ \Omega({old X}^n)\geq 0$
- 2. A system is synergy-dominated if $\ \Omega({old X}^n) \leq 0$

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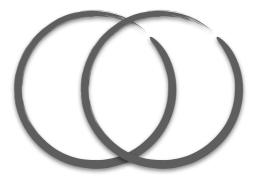
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Note:

1. **n=2:** $\Omega(X_1X_2) = 0$, because $TC(X_1X_2) = DTC(X_1X_2) = I(X_1;X_2)$ i.e. shared randomness is equal to predictability



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2. **n=3:** $\Omega(X_1X_2X_3) = I(X_1;X_2) - I(X_1;X_2|X_3) =: I(X_1;X_2;X_3) \longrightarrow$ interaction-information!

2. O-information: (1) it is sum of triple interaction-informations

• Decompositions for the TC, DTC and o-information:

$$C(\mathbf{X}^{n}) = \sum_{i=2}^{n} I(X_{i}; \mathbf{X}^{i-1}) ,$$

$$B(\mathbf{X}^{n}) = I(X_{n}; \mathbf{X}^{n-1}) + \sum_{j=2}^{n-1} I(X_{j}; \mathbf{X}^{j-1} | \mathbf{X}_{j+1}^{n}),$$

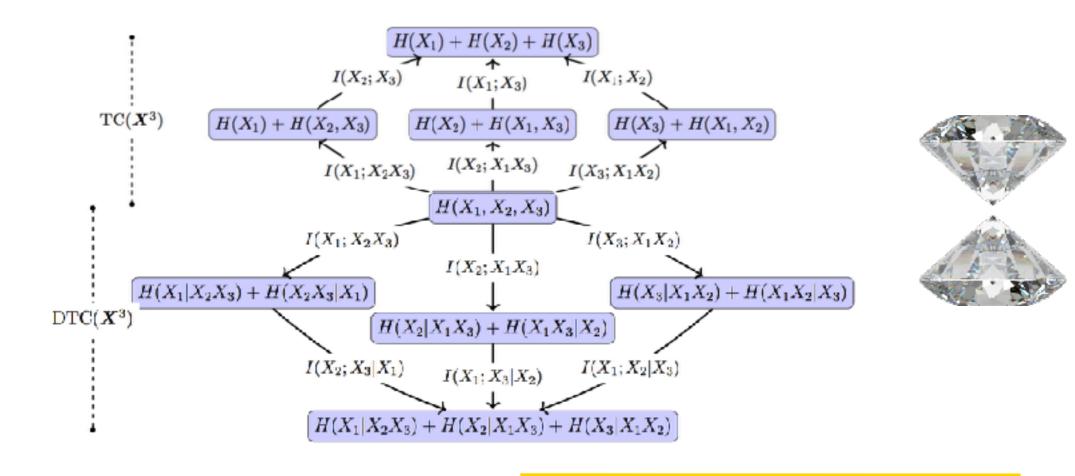
$$\Omega(\mathbf{X}^{n}) = \sum_{k=2}^{n-1} I(X_{k}; \mathbf{X}^{k-1}; \mathbf{X}_{k+1}^{n}) .$$

O-information is an aggregation of triple multi-informations!!

2. O-information: (1) it is sum of triple interaction-informations

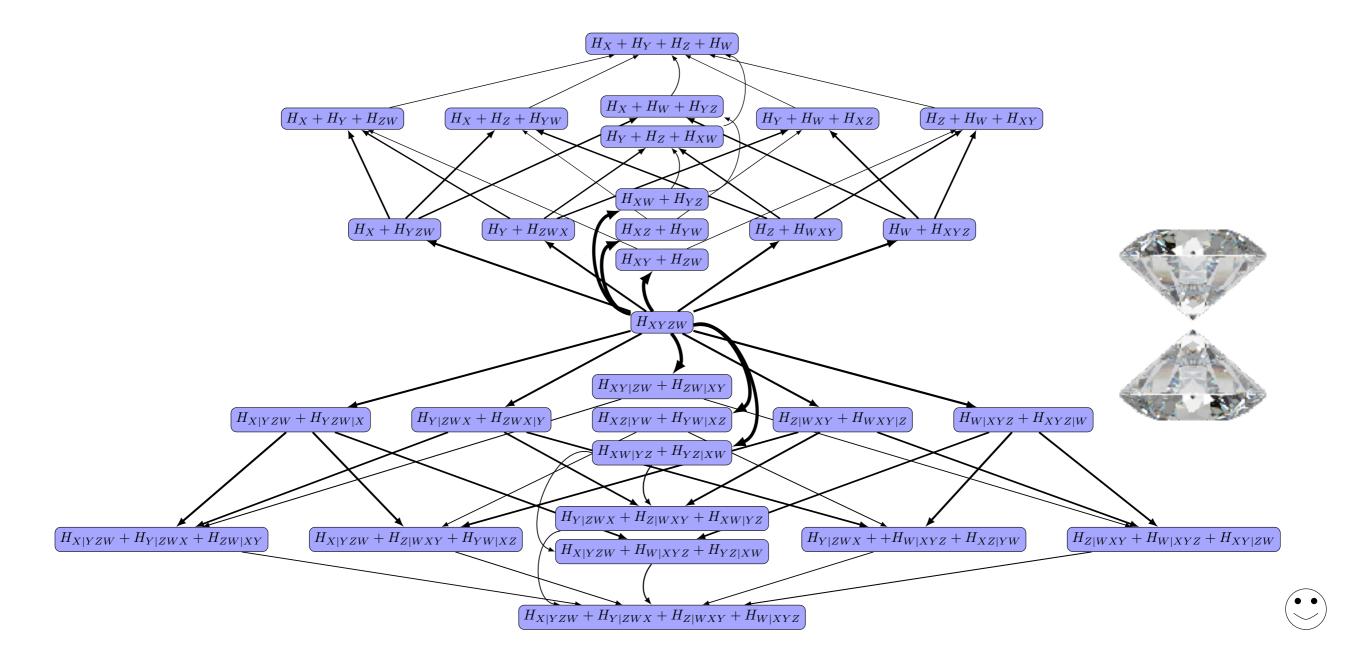
• Decompositions for the TC, DTC and o-information:

$$\begin{split} C(\boldsymbol{X}^{n}) &= \sum_{i=2}^{n} I(X_{i}; \boldsymbol{X}^{i-1}) \ ,\\ B(\boldsymbol{X}^{n}) &= I(X_{n}; \boldsymbol{X}^{n-1}) + \sum_{j=2}^{n-1} I(X_{j}; \boldsymbol{X}^{j-1} | \boldsymbol{X}_{j+1}^{n}),\\ \Omega(\boldsymbol{X}^{n}) &= \sum_{k=2}^{n-1} I(X_{k}; \boldsymbol{X}^{k-1}; \boldsymbol{X}_{k+1}^{n}) \ . \end{split}$$



2. O-information: (1) it is sum of triple interaction-informations

• Decompositions for the TC, DTC and o-information:



2. O-information: (2) characterization of extreme values

• Decompositions for the TC, DTC and o-information:

$$\begin{split} C(\boldsymbol{X}^{n}) &= \sum_{i=2}^{n} I(X_{i}; \boldsymbol{X}^{i-1}) ,\\ B(\boldsymbol{X}^{n}) &= I(X_{n}; \boldsymbol{X}^{n-1}) + \sum_{j=2}^{n-1} I(X_{j}; \boldsymbol{X}^{j-1} | \boldsymbol{X}_{j+1}^{n}),\\ \Omega(\boldsymbol{X}^{n}) &= \sum_{k=2}^{n-1} I(X_{k}; \boldsymbol{X}^{k-1}; \boldsymbol{X}_{k+1}^{n}) . \end{split}$$

• Upper and lower bounds:

$$(n-1)\log |\mathcal{X}| \ge C(\mathbf{X}^n) \ge 0,$$

$$(n-1)\log |\mathcal{X}| \ge B(\mathbf{X}^n) \ge 0,$$

$$(n-2)\log |\mathcal{X}| \ge \Omega(\mathbf{X}^n) \ge (2-n)\log |\mathcal{X}|.$$

• Characterization of unique extremes of the o-information:

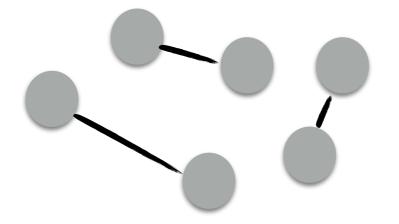
Maximum:
$$X_1 = X_2 = \dots = X_n$$
 Minimum: $X_n = \sum_{j=1}^{n-1} X_j \pmod{k}$

2. O-information: (3) null value of non-overlapping pairwise interactions

• O-information of independent subgroups is additive:

 $p_{\boldsymbol{X}^n,\boldsymbol{Y}^m}(\boldsymbol{x}^n,\boldsymbol{y}^m) = p_{\boldsymbol{X}^n}(\boldsymbol{x}^n)p_{\boldsymbol{Y}^m}(\boldsymbol{y}^m) \longrightarrow \Omega(\boldsymbol{X}^n,\boldsymbol{Y}^m) = \Omega(\boldsymbol{X}^n) + \Omega(\boldsymbol{Y}^m)$

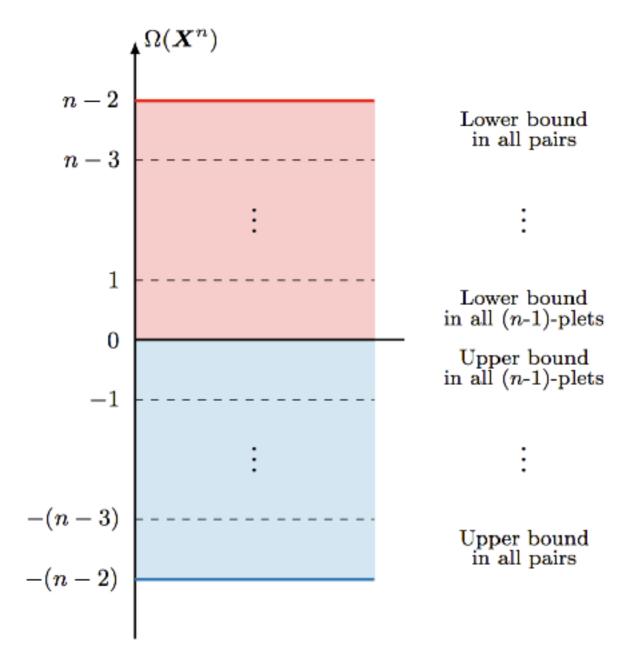
• If a system's is decomposable in disjoint pairwise interactions then $\ \Omega({m X}^n)=0$



- The converse is not true!! (synergies and redundancies cancel each other)
- Local o-information $\omega_{i,j} = I(X_i; X_j; X_{-i-j}^n)$ can give a fine-grained description of the system...

2. O-information: (4) value implies bounds over different scales

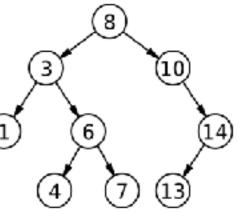
• The value of the O-information provide constraints over the interdependencies of subgroups!



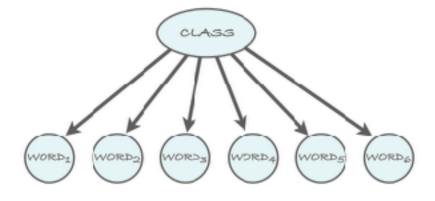
2. O-information: (5) redundancy of trees

• O-information is non-negative in graphical models with three structure!

$$p_{\mathbf{X}^n}(\mathbf{x}^n) = \prod_{i=1}^n p_{X_i | X_{\pi(i)}}(x_i | x_{\pi(i)}) \quad (1)$$



+ For Naive Bayes, the o-information is given by $\ \ \Omega({m X}^n) = C({m X}_2^n) \geq 0$

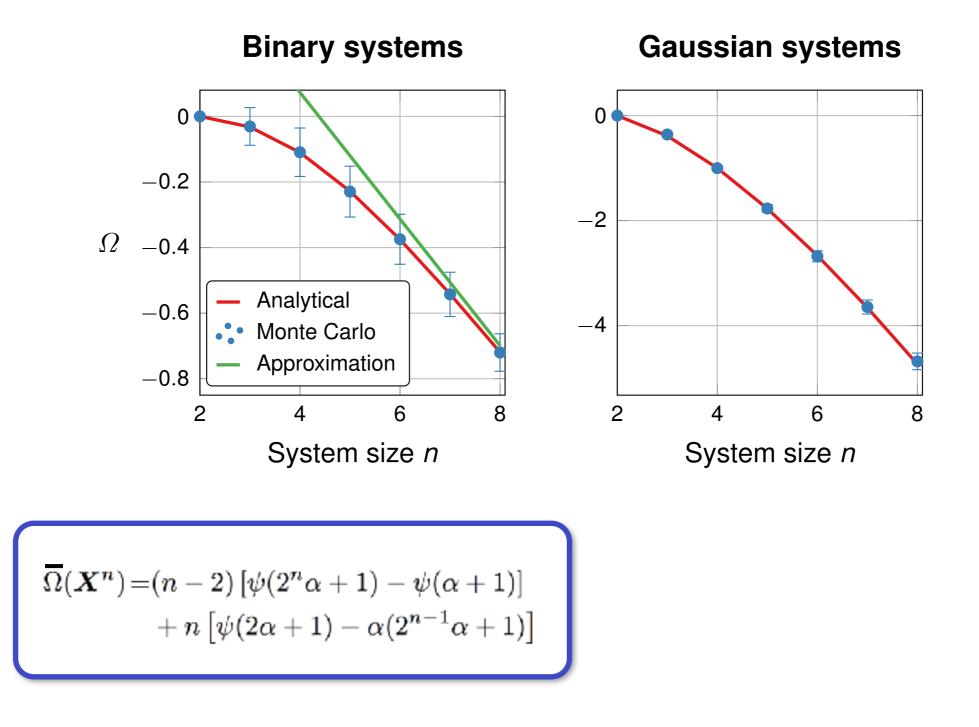


• For Markov chains, the o-information is $\Omega(X^n) = \sum_{j=2}^{n-1} I(X_{j-1}; X_{j+1})$

$$1 \leftrightarrow 2 \leftrightarrow 3 \leftrightarrow \dots \leftrightarrow n$$

2. O-information: (6) synergy is pervasive in large systems

• The mean value of the o-information over random distributions grows negative!



2. O-information: relationship with statistical mechanics

1. **Connected information** (Schneidman et al. 2003):

$$\operatorname{Con}(\mathbf{X}^{n}) = \frac{\sum_{k=1}^{n} H(X_{j}) - H(\mathbf{X}_{\text{maxent}}^{n})}{\operatorname{TC}(\mathbf{X}^{n})}$$

State of affairs:

(+) Interesting connection with statistical mechanics.

(+) Intuitive interpretation.

(-) Very hard to compute...

2. O-information: relationship with statistical mechanics

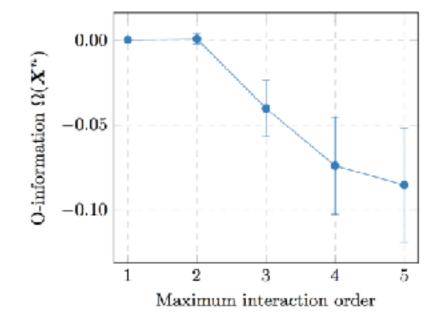
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• Hamiltonian with high-order terms tend to have negative O-information

$$\mathcal{H}_k(x^n) = -\sum_{i=1}^n J_i x_i - \sum_{i=1}^{n-1} \sum_{j=i+1}^n J_{i,j} x_i x_j$$

 $\cdots - \sum_{|\boldsymbol{\gamma}|=k} J_{\boldsymbol{\gamma}} \prod_{i \in \boldsymbol{\gamma}} x_i \;,$



2. O-information: analysis pipeline

Procedure

1. Compute $B(\boldsymbol{X}^n)$ and $C(\boldsymbol{X}^n)$ as metrics of global correlation strength.

2. Compute $\Omega(X^n)$ to find dominant global behaviour (redundancy or synergy).

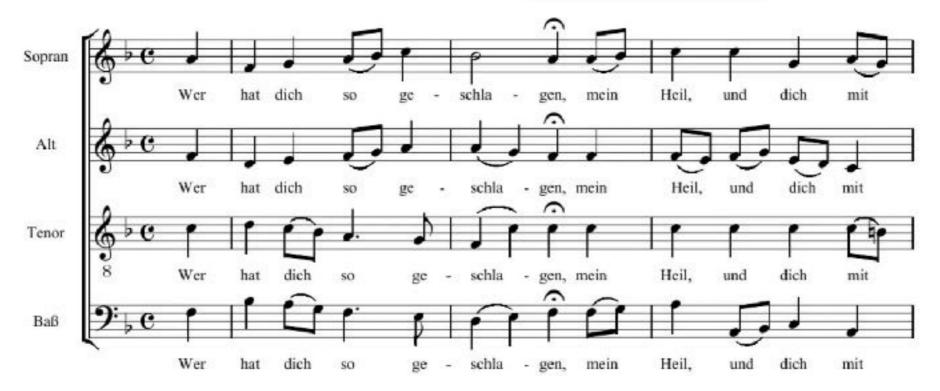
3. Study the local o-information terms, i.e. $I(X_i; X_j; \mathbf{X}_{-i,-j}^n)$ for all *i* and *j*, as a measure of localised behaviour.



Data analysis over music scores from the Baroque period (Python, Music21 package)

i) chorales for four voices by **J.S. Bach** (1685–1750)





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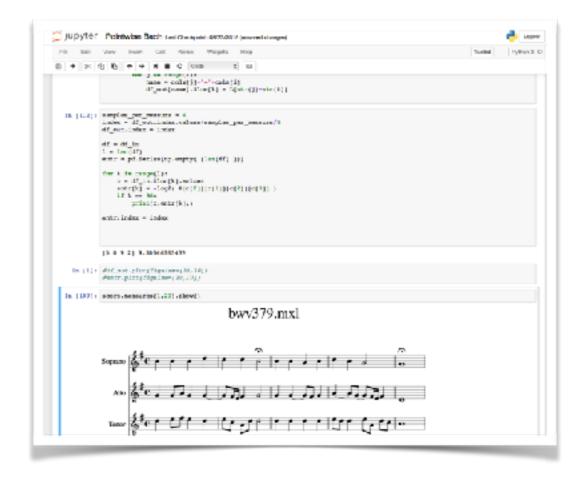
i) chorales for four voices by J.S. Bach (1685–1750)



Data format: four synchronous time series, alphabet of 13 values (12 tones+silence)

	Soprano	Alto	Tenor	Bass	
0	7	4	0	0	
1	7	4	0	0	
2	7	4	0	0	
3	7	4	0	0	

Database: 300~ chorales, 43k four-note chords



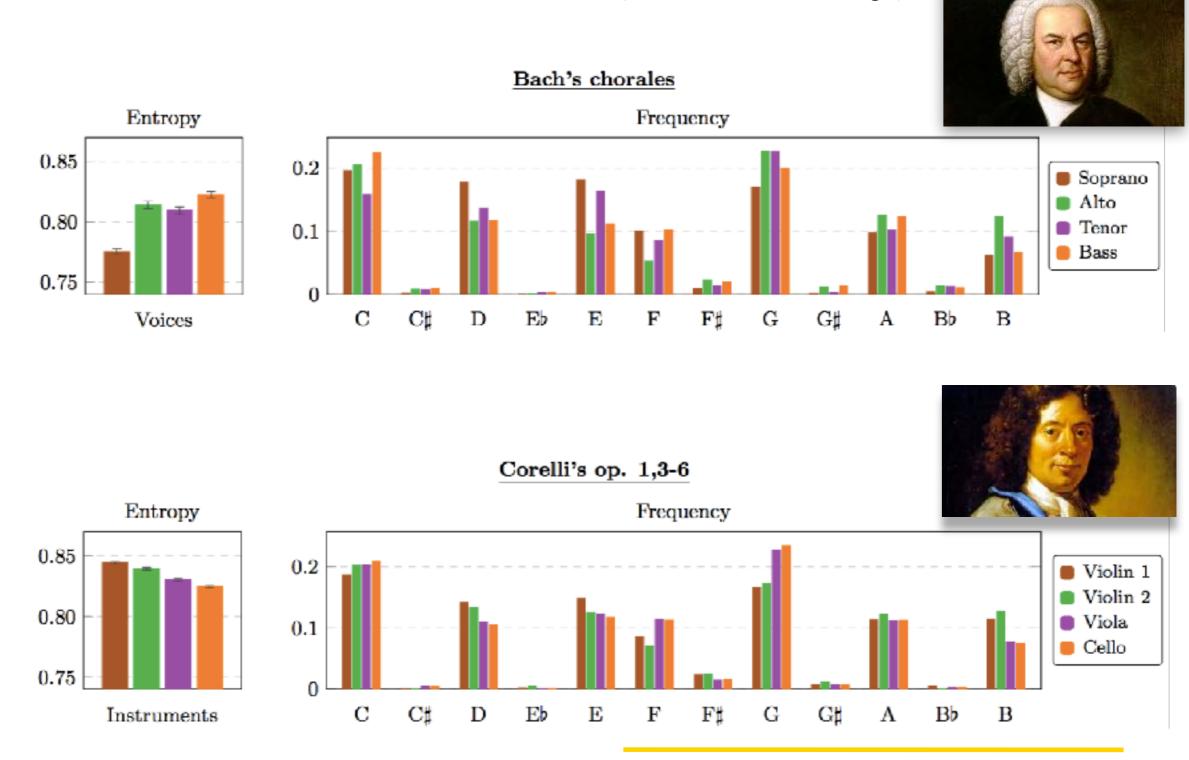
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i) chorales for four voices by **J.S. Bach** (1685–1750) (43k four-note chords)



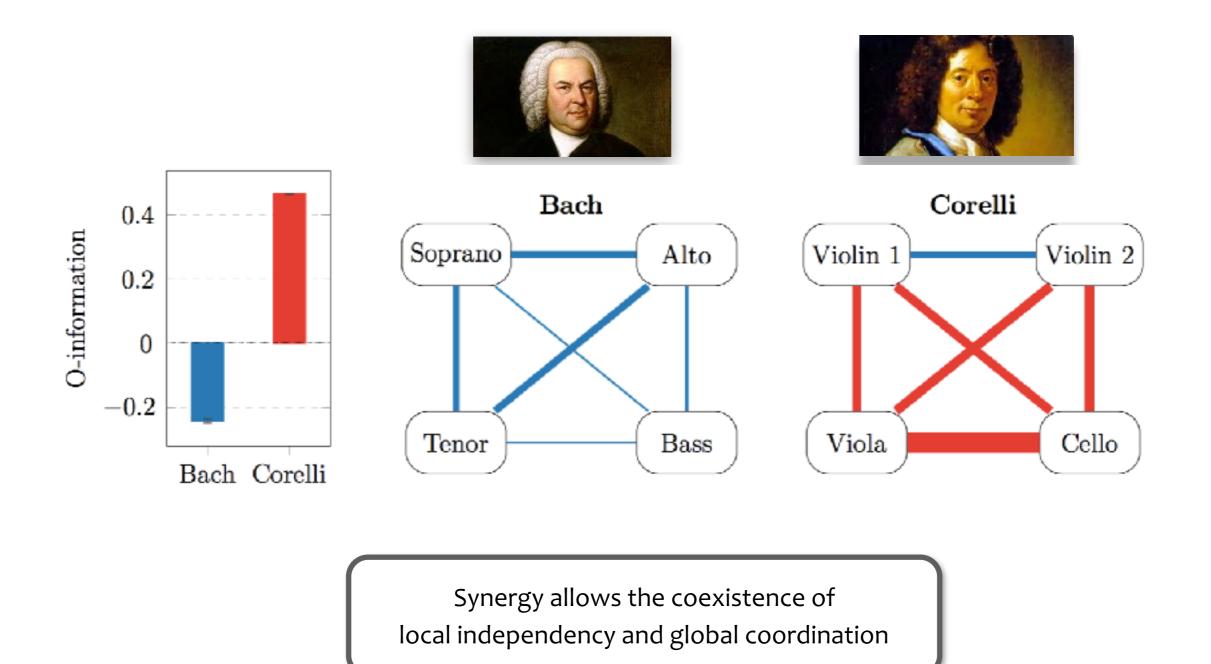
ii) Op. 1, 3, 4, 5 and 6 of **A. Corelli** (1653–1713) (80k four-note chords)

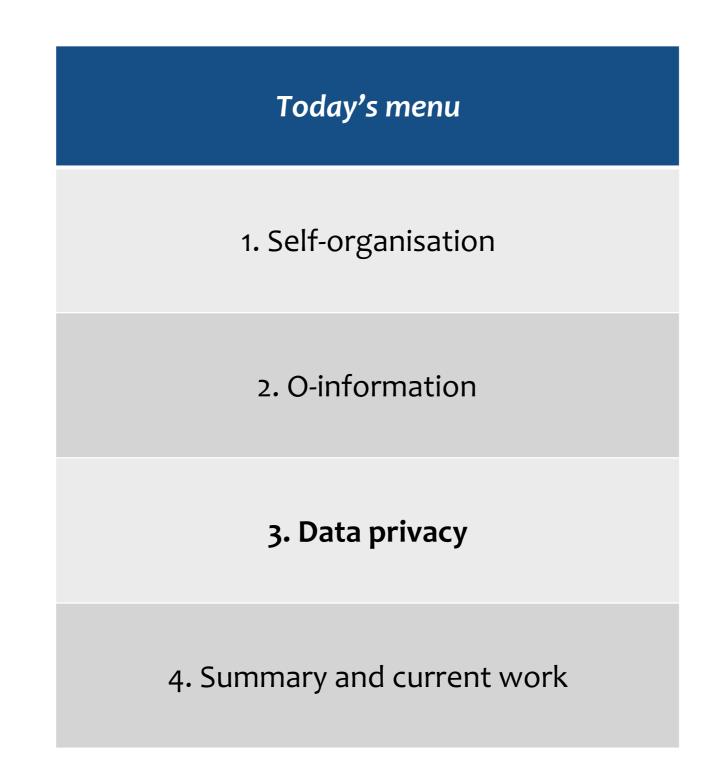




Data analysis over music scores from the Baroque period (Python, Music21 package)

Data analysis over music scores from the Baroque period (Python, Music21 package)



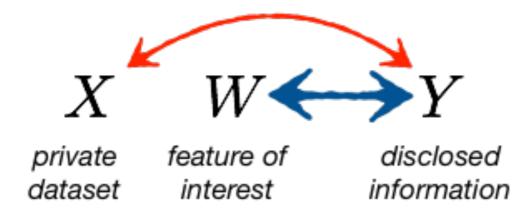


Privacy funnel: maximize correlation with feature of interest, while keeping private stuff secure



private feature of disclosed dataset interest information

Privacy funnel: maximize correlation with feature of interest, while keeping private stuff secure



However, it is often the case where the feature of interest is unknown



feature of private interest dataset

disclosed information

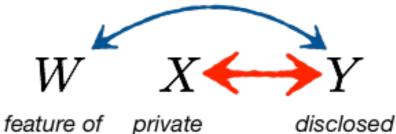
But the DP inequality make it seem unfeasible...



feature of private disclosed interest dataset information

Idea: make Y independent of each coordinate of X, but correlated with the whole!!

Definition *Y* generated from *X* satisfies perfect sample privacy if $p_{Y|X} \in \mathcal{A}_X$, where $\mathcal{A}_X = \left\{ p_{Y|X} \mid Y \perp X_i, \forall i \in [1:n] \right\}.$ (1)



interest dataset information

Idea: make Y independent of each coordinate of X, but correlated with the whole!!

Y generated from *X* satisfies perfect sample privacy if $p_{Y|X} \in A_X$, where

$$\mathcal{A}_{X} = \left\{ p_{Y|X} \mid Y \perp X_{i}, \forall i \in [1:n] \right\}.$$
(1)

Definition

Definition

The *private disclosure capacity* for a latent variable *W* under perfect sample privacy is then defined as

$$I_s \triangleq \max_{\substack{p_{Y|X} \in \mathcal{A}_X: \\ W-X-Y}} I(W;Y).$$
(2)

3. Synergy and data privacy: main results

Theorem

The optimal disclosure mapping $p_{Y|X}^*$, can be obtained as the solution to a standard LP.

Algorithm to build the optimal mapping $\mathbf{P}_{Y|X^n}$

1: function FINDOPTIMALMAPPING($\mathbf{P}, \mathbf{P}_{W|X^n}, \mathbf{p}_{X^n}$)

2:
$$\mathbf{p}_1, \ldots, \mathbf{p}_K = \text{FindExtremePoints}(\mathbf{P}, \mathbf{p}_{X^n})$$

3:
$$c_k = H(\mathbf{P}_{W|X^n}\mathbf{p}_k)$$
 for $k = 1, \ldots, K$

4: Find
$$\mathbf{u}^* = \operatorname{Argmin} \sum_{k=1}^{K} u_k c_k$$
 s.t. $[\mathbf{p}_1, \ldots, \mathbf{p}_K] \mathbf{u} = \mathbf{p}_{X^n}$ and $\mathbf{u} \ge 0$

5:
$$\mathbf{p}_Y = [p(Y=1), \dots, p(Y=K)] = [u_1, \dots, u_K]$$

6:
$$\mathbf{P}_{X^n|Y} = [\mathbf{p}_1, \ldots, \mathbf{p}_K]$$

7:
$$\mathbf{P}_{Y|X^n} = \operatorname{diag}(\mathbf{p}_Y) \cdot \mathbf{P}_{X^n|Y}^T \cdot \operatorname{diag}(\mathbf{p}_{X^n})^{-1}$$

- 8: return $\mathbf{P}_{Y|X^n}$
- 9: end function

3. Synergy and data privacy: main results

Theorem 4. Consider a stochastic process $\{X_i\}_{i\geq 1}$, with $|\mathcal{X}_i| \leq M < \infty$, $\forall i \geq 1$. If the entropy rate of this process exists, then

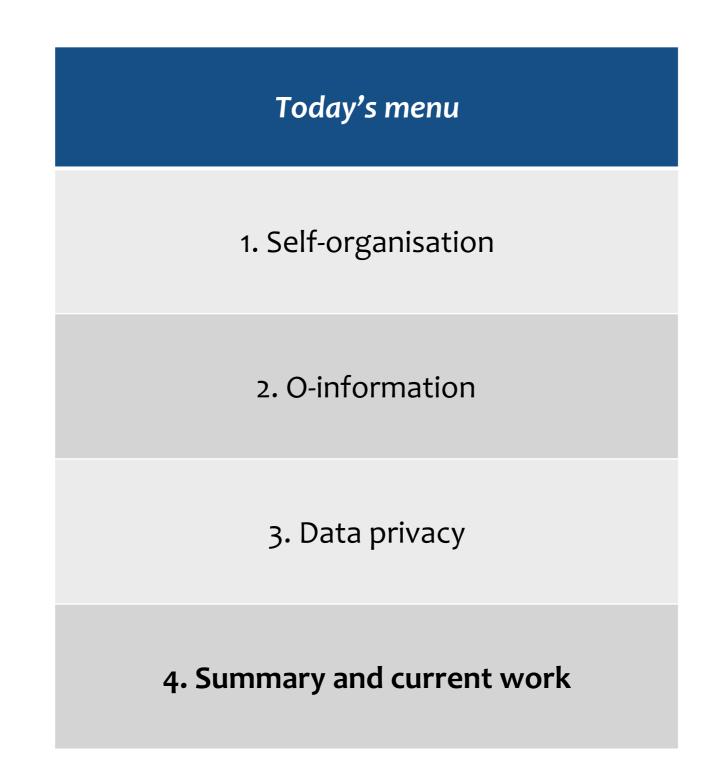
$$\lim_{n \to \infty} \frac{\hat{I}_s(X^n)}{n} = H(\mathcal{X}),\tag{52}$$

where $H(\mathcal{X})$ denotes the entropy rate of the stochastic process $\{X_i\}_{i\geq 1}$. Furthermore, if $H(\mathcal{X}) \neq 0$, we have

$$\lim_{n \to \infty} \hat{\eta}(X^n) = 1.$$
(53)

To learn more about this:

- B. Rassouli, F. E. Rosas, and D. Gunduz. "Data Disclosure under Perfect Sample Privacy." Submitted to IEEE Transactions in Information Forensics and Security (TIFS), under review. arXiv preprint arXiv:1904.01711 (2019).
- B. Rassouli*, F. E. Rosas*, and D. Gunduz,"Latent Feature Disclosure under Perfect Sample Privacy." In 2018 IEEE International Workshop on Information Forensics and Security (WIFS), pp. 1-7. IEEE, 2018.



Further reading:

- 1. B. Rassouli*, F. E. Rosas*, and D. Gunduz,"*Latent Feature Disclosure under Perfect Sample Privacy*." In 2018 IEEE International Workshop on Information Forensics and Security (WIFS), pp. 1-7. IEEE, 2018.
- 2. B. Rassouli, F. E. Rosas, and D. Gunduz. "*Data Disclosure under Perfect Sample Privacy*." Submitted to IEEE Transactions in Information Forensics and Security (TIFS), under review. arXiv preprint arXiv: 1904.01711 (2019).
- F. Rosas, P.A. M. Mediano, M. Ugarte, H.J. Jensen, "An information-theoretic approach to selforganization: emergence of complex interdependencies in coupled dynamical systems", Entropy 20, no. 10 (2018): 793.
- 4. F. Rosas, P.A. M. Mediano, M. Gastpar, and H. J. Jensen. "Quantifying High-order Interdependencies via Multivariate Extensions of the Mutual Information," accepted, to be published in PRE, 2019

PD: current work

Driving questions:

- 1. Is the brain a synergistic multi-agent system?
- 2 .Is consciousness related with high-order statistics?
- 3. Can PID principles help us to understand the effect of psychedelic drugs?

The entropic brain: a theory of conscious states informed by neuroimaging research with psychedelic drugs

🚮 Robin L. Carhart-Harris¹, 🔄 Robert Leech², 🛐 Peter J. Hellyer², 🎉 Murray Shanahan³, 🎆 Amanda Feilding¹, 🌉 Enzo Tagliazucchi³, 🏨 Dante R. Chialvo^a and 🐙 David Nutt¹

²Division of Brain Sciences, Department of Medicine, Centre for Neuropsychopharmacology, Imperial College London, UK
 ³C3NL, Division of Brain Sciences, Department of Medicine, Imperial College London, London, UK
 ³Department of Computing, Imperial College London, London, UK
 ⁴The Backley Foundation, Beckley Park, Oxford, UK
 ⁶Neurology Department and Brain Imaging Center, Goethe University, Frankfurt am Main, Germany
 ⁶Consejo Nacional, de Investigaciones Cientificas y Technológicas (CONICET), Buenos Aires, Argentina

Entropy is a dimensionless quantity that is used for measuring uncertainty about the state of a system but it can also imply physical qualities, where high entropy is synonymous with high disorder. Entropy is applied here in the context of states of consciousness and their associated neurodynamics, with a particular focus on the psychedelic state. The psychedelic state is considered an exemplar of a primitive or primary state of consciousness that preceded the development of modern, adult, human, normal waking consciousness. Based on neuroimaging data with psilocybin, a classic psychedelic drug, it is argued



THE CENTRE FOR PSYCHEDELIC RESEARCH







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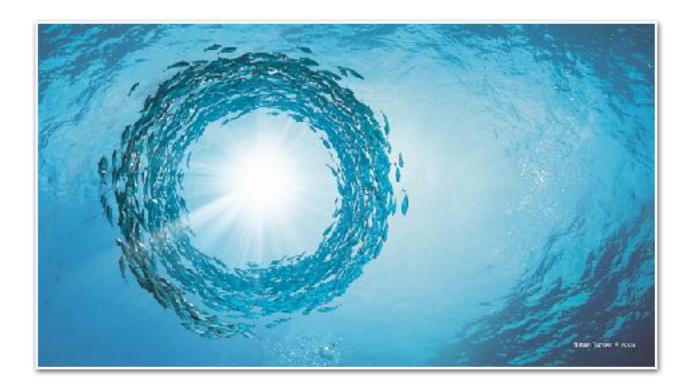
- Pedro Mediano (Imperial College London)
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- Martin Ugarte (Université libre de Bruxelles)
- Borzoo Rasouli (University of Essex)
- Deniz Gündüz (Imperial College London)



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Contact information: Fernando Rosas f.rosas@imperial.ac.uk