From: merhav@ee.technion.ac.il

Subject: Your recent arXiv post 1507.01537 : Identifying Functional Thermodynamics ...

Date: July 17, 2015 at 2:39 AM

To: dibyendu.mandal@berkeley.edu, abboyd@ucdavis.edu, chaos@ucdavis.edu

Dear professors Boyd, Mandal, and Crutchfield,

I am reading with interest your above paper, and I have a comment if I may: On page 2, left column, in the second to the last paragraph, you cite my recent paper [48], (which meanwhile has been published in JSTAT on June 30:

http://iopscience.iop.org/1742-5468/2015/6/P06037/article), among several other works that "neglect correlations in the informationbearing components ...". It is rather imprecise to include my paper among those with such a property. In that paper, I am extending the findings of the Mandal-Jarzynski model (reference [43] in your paper) in a variety of directions, one of which allows *arbitrary* correlations among the incoming bits. In fact, one of my results in [48] (see section 4 therein) is essentially the same as your equation (4), which you refer to as one of your main results. Moreover, I assume there nothing about the joint distribution of a string of \$\ell\$ bits, not even stationarity, as you do (since I am not taking the limit of \$\ell\$ to infinity). Also, in the last section of [48], I am even extending the results to arbitrary *deterministic* input sequences, where the input entropy is replaced by its Lempel–Ziv complexity (a term that comes universal data compression theory). Yet another direction of extension, in the same paper, goes beyond the binary alphabet (section 6 therein) and allows any finite alphabet. Finally, I am also using generalized notions of entropy to bound the extracted work (section 5).

I would appreciate it if you gentlemen read my paper slightly more carefully and make a more precise comparison with my work.

Very truly yours, Neri Merhav

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