# INFORMATION IN WRITTEN ENGLISH 

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# "It's not the most intellectual job in the world, but I do have to know the letters." <br> -Vanna White 

# Prediction and Entropy of Printed English By C. E. SHANNON <br> (Manuscript Received Sept. 15, 1950) 

A new method of estimating the entropy and redundancy of a language is described. This method exploits the knowledge of the language statistics possessed by those who speak the language, and depends on experimental results in prediction of the next letter when the preceding text is known. Results of experiments in prediction are given, and some properties of an ideal predictor are developed.

## 1. Introduction

IN A previous paper ${ }^{1}$ the entropy and redundancy of a language have been defined. The entropy is a statistical parameter which measures, in a certain sense, how much information is produced on the average for each letter of a text in the language. If the language is translated into binary digits ( 0 or 1 ) in the most efficient way, the entropy $H$ is the average number of binary digits required per letter of the original language. The redundancy, on the other hand, measures the amount of constraint imposed on a text in the language due to its statistical structure, e.g., in English the high frequency of the letter $E$, the strong tendency of $H$ to follow $T$ or of $U$ to follow $Q$. It was estimated that when statistical effects extending over not more than eight letters are considered the entropy is roughly 2.3 bits per letter, the redundancy about 50 per cent.


## Entropy of Printed English



Fig. 4-Upper and lower experimental bounds for the entropy of 27-letter English.

## One bit per letter?

Modern databases allow us to study written language with huge datasets.

Shannon only examined block entropies of letters. What about other levels of organization present in language?

## Database Methods

1. Count n-grams
2. Infer probabilities from frequencies
3. Block entropies: $H(L)=-\sum_{s^{L} \in A^{L}} \operatorname{Pr}\left(s^{L}\right) \log _{2} \operatorname{Pr}\left(s^{L}\right)$
4. Block entropy rates: $\Delta H(L)=H(L)-H(L-1)$

LET COUNT PERCENT bar graph



























|ud |vd |WD |xd |yd |zd $\mid \mathrm{ue}$ Ve |we |xe |ye |ze
 |UG |vg |Wg |xG |yg |zg
 |UI |VI |WI |xI |yI |zI |us |vo |ws |xu |ys |zJ |uk |vk |wk |xk |yk |zk |uL |VL |WL |xL $\mid$ yd $\mid z L$ |um |vm |wm |хм |ym |zм |un |ve |wn |xn |yn |zn |uo |vo |wo |xo |yo |zo |up |ve |We |xp |yp |ze

 |us |vs |ws |xs |ys |zs |ut |vt |wt |xt |yt |zt |ue |vu |wu |xu |yu |zu |uv |vv |wv |xv |yv |zv |uw |vw |ww |xw |yw |zw |ux |vx |wx |xx |yx |zx |uy |vy |wy |xy |yy |zy |uz |vz |Wz |xz |yz |zz

| 1 | 2grams | 3grams | 4-grams | 5-grams | 6-grams | 7-grams | 8-grams | 9-grams |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| e | th | the | tion | ation | ations | present | differen | different |
| t | he | and | atio | tions | ration | ational | national | governmen |
| a | in | ing | that | which | tional | through | consider | overnment |
| $\bigcirc$ | er | ion | ther | ction | nation | between | position | formation |
| i | an | tio | with | other | ection | ication | ifferent | character |
| n | re | ent | ment | their | cation | differe | governme | velopment |
| S | on | ati | ions | there | lation | ifferen | vernment | developme |
| r | at | for | this | ition | though | general | overnmen | evelopmen |
| h | en | her | here | ement | presen | because | interest | condition |
| 1 | nd | ter | from | inter | tation | develop | importan | important |
| d | ti | hat | ould | ional | should | america | ormation | articular |
| c | es | tha | ting | ratio | resent | however | formatio | particula |
| u | or | ere | hich | would | genera | eration | relation | represent |
| m | te | ate | whic | tiona | dition | nationa | question | individua |
| f | of | his | ctio | these | ationa | conside | american | ndividual |
| p | ed | con | ence | state | produc | onsider | characte | relations |
| g | is | res | have | natio | throug | ference | haracter | political |
| w | it | ver | othe | thing | hrough | positio | articula | informati |
| Y | al | all | ight | under | etween | osition | possible | nformatio |
| b | ar | ons | sion | ssion | betwee | ization | children | universit |
| v | st | nce | ever | ectio | differ | fferent | elopment | following |
| k | to | men | ical | catio | icatio | without | velopmen | experienc |
| x | nt | ith | they | latio | people | ernment | developm | stitution |
| j | ng | ted | inte | about | iffere | vernmen | evelopme | xperience |
| q | se | ers | ough | count | fferen | overnme | conditio | education |
| z | ha | pro | ance | ments | struct | governm | ondition | roduction |

Block Entropies


Block Entropy Rates


## Just how big of a problem is sampling error?

Norvig's database includes 3,563,505,777,820 letters.

Possible N-grams exceed the size of the database at $\mathrm{N}=9$.
...Pretty big problem.

## "Words, words, words."

## -Hamlet, Act 2

Auto correlation functions examine long-range correlations in written text.

Construct a symmetric matrix M.

Rows and columns are indexed by words. The entry $M_{i j}$ counts how often word $w_{i}$ and $w_{j}$ co-occur within a distance d in a given text.

| $\mathrm{MD}(1)$ | $\mathrm{MD}(5)$ | $\mathrm{EI}(1)$ | $\mathrm{EI}(2)$ | $\mathrm{TS}(1)$ | $\mathrm{TS}(2)$ |
| :--- | :--- | :--- | :--- | :--- | :--- |
| whale | bed | surface | planet | spunk | ticket |
| ahab | room | Euclidean | sun | wart | bible |
| starbuck | queequeg | being | ellipse | huck | verse |
| sperm | dat | universe | mercury | n er | blue |
| cry | aye | rod | orbital | reckon | yellow |
| aye | moby | spherical | orbit | stump | pupil |
| sir | dick | plane | star | bet | ten |
| boat | landlord | geometry | arc | midnight | spunk |
| stubb | ahab | continuum | angle | johnny | red |
| leviathan | whale | sphere | second | em | thousand |

TABLE II: Examples of the highest singular components for three books. Given are component one and five of Moby Dick (MD), one and two of Einstein (EI) and of Tom Sawyer (TS). The coefficients of the words in the singular component may be positive or negative and their absolute values range from 0.13 to 0.3 .


FIG. 2: Autocorrelation functions and fits for seven of the books listed. The autocorrelation functions are truncated at the level where the noise sets in.

# "Syntax... has been restored to the highest place in the republic." 

-John Steinbeck

Eight parts of speech, with specific rules.

## Context Free $\varepsilon-M a c h i n e s ?$

Context free grammars are parse trees designed around rules, terminals and nonterminals.


They are used effectively in formal language to reject sentences built incorrectly.

## Difficulties in generation:

Clause structure gives subject (NP) + predicate (VP).

Diversity of noun phrases?

Determiners: the, some, my<br>Noun adjuncts: a college student Infinitive phrases: to sing well And more.

Still a significant alphabet reduction.

## Chatter bot?

Given a sample, the bot counts N-grams and builds conditional probability distributions.
For length N , the bot randomly samples the probability distribution of tokens at $\mathrm{N}+1$.

Match to sample improves as N increases.

Trade off: small sample size and large N simply reproduces segments of text, rearranged.

## H. P. Lovecraft

$N=2:$
ed ing em th had then yiners. an ithome se unt whosecto cur putch eprate of thiscie, hathe not rand but thic arrat wasen, th the stude hily tomed, arts ing asird las shose mr. he agodit.
$N=4:$
inted to themself would ancient complex, even tumuli, which were seldom was known shelves, true storillare punge precians about bring the new-four eyes about of his could his possibility
$N=6:$
the books the grey membrane rolls back on the most made, and here some charles's noticed that terrible by little had been my brain that the backs others lurking in the end to the alienists

## Jane Austen

$N=2:$
ho!--ither for he mords quaid le on elf man voling exprouloverescomence onny pure ince, the beinnot and not lifes of mot.empacte!!
$N=4:$
i assurance thoughts as you must like hide found liable. but ito impatiencies about their confide in these easy time been, or batest indeed, and elings her.
$N=7:$
prejudiced again; but so think it influence on the sound apeice to take him never was greatly, very bright at hartfield; acknowledge that spirit, every sentence

## Shakespeare

$N=4:$
thy sworn dare my bucking, as than speak our coast hath base you lord.- wherefore, undone. me? base you now- who ham. o my lord the gross. 'tis trumpet!
$N=7:$
suffolk's cloud, and cull'd the lord i have seen the king; hear them lie till $i$ rouse yesterday suspire, are thine until he be she? julia. what title to alter not at all?
$N=9:$
act v. scene i. petruchio. nay, he must not know why i should a villain. believe' said she's gone, thou art hermione.

## Work to do.

- Minimize sampling error to improve measurement of block entropies.
- Reproduce auto-correlation functions, compare power law fit with additional samples.
- Work on a syntax-based Markov machine.


## Citations

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