

# An algebraic perspective on topological $\epsilon$ -machines

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# Motivation

- Algebraic decomposition of an  $\epsilon$ -machine
- Algebraic picture of possibility reduction
- Algebraic characterization of  $\epsilon$ -machine optimality

# Semigroups

## Definition

A semigroup is a pair  $(\mathbf{S}, *)$  where

- $\mathbf{S}$  is a set
- $* : \mathbf{S} \times \mathbf{S} \rightarrow \mathbf{S}$  is a binary operation
- $*$  is associative:  $a * (b * c) = (a * b) * c$

## Definition

An element  $e \in S$  is an identity element if  $e * s = s * e = s$  for all  $s \in S$ .

## Definition

A monoid is a semigroup with an identity element.

# Example 1: Free monoid

Alphabet:  $\mathcal{A}$

Set of words:  $\mathcal{A}^*$

Free monoid on  $\mathcal{A}$ :  $\mathbf{M} = (\mathcal{A}^*, *)$

- $*$  is word concatenation

$$x_0 \cdots x_m * y_0 \cdots y_n = x_0 \cdots x_m y_0 \cdots y_n$$

- empty word  $\lambda$  is the identity element

$$\lambda * w = w * \lambda = w$$

Example 2:  $\mathbf{PF}(X)$ 

Monoid of partial functions on  $X$ :  $(\mathbf{PF}(X), \circ)$

- $\circ$  is restricted function composition

$$(f \circ g)(x) = y \iff f(g(x)) = y$$

- Identity function  $1_X(x) = x$  is the identity element

$$1_X \circ f = f \circ 1_X = f$$

# Topological $\epsilon$ -machines

## Definition

A topological  $\epsilon$ -machine  $\mathcal{M}$  is a 3-tuple  $(\mathcal{S}, \mathcal{A}, T)$  where

- $\mathcal{S}$  is a set of states
- $\mathcal{A}$  is an output alphabet
- $T : \mathcal{S} \times \mathcal{A}^* \rightarrow \mathcal{S}$  is a transition (partial) function
- $\mathcal{M}$  is connected and non-halting.

Notation:  $T(\mathcal{S}, w) = \mathcal{S}T^w$

# Monoid of a machine (via word equivalence)

Start with the free monoid  $\mathcal{A}^*$ . Define an equivalence relation on words:

$$u \sim w \iff T^u = T^w$$

## Definition

The monoid  $\mathbf{M}(\mathcal{M})$  of a machine  $\mathcal{M}$  is  $(M, *)$  where

- $M = \mathcal{A}^*/\sim = \{[w] \mid w \in \mathcal{A}^*\}$
- $[u] * [w] = [uw]$
- $[\lambda] * [w] = [w] * [\lambda] = [w]$

# Monoid of a machine (via transformations)

## Definition

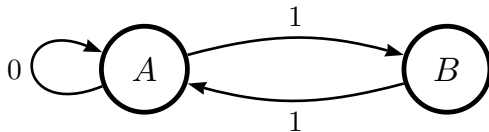
The monoid  $\mathbf{M}(\mathcal{M})$  of a machine  $\mathcal{M}$  is  $(M, *)$  where

- $M = \{T^w \mid w \in \mathcal{A}^*\}$
- $T^u * T^w = T^{uw} = T^u \circ T^w$
- $T^\lambda * T^w = T^w * T^\lambda = T^w$

The two monoids are isomorphic through  $[w] \mapsto T^w$



## Example



*	$\lambda$	1	0	01	10	010	101
$\lambda$	$\lambda$	1	0	01	10	010	101
1	1	$\lambda$	10	101	0	010	01
0	0	01	0	01	010	010	010
01	01	0	010	010	0	010	01
10	10	101	10	101	010	010	010
010	010	010	010	010	010	010	010
101	101	10	010	010	10	010	101

Table: Multiplication table of  $\mathbf{M}(\mathcal{M})$

# Forbidden words and zeros

## Definition

An element  $z$  in a semigroup  $\mathbf{S}$  is a zero if for all  $s \in \mathbf{S}$ ,  $z * s = s * z = z$ .

## Definition

A word  $w$  is a forbidden word if  $T^w = 0_{\mathbf{S}}$ .

## Proposition

<sup>1</sup>If the set of forbidden words is nonempty it is equal to the unique zero of  $\mathbf{M}(\mathcal{M})$ . If there are no forbidden words then  $\mathbf{M}(\mathcal{M})$  does not contain a zero.

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<sup>1</sup>True with the addition of one condition

# Idle words and identity elements

## Definition

A word  $w$  is an idle word if  $T^w = 1_{\mathcal{S}}$ .

## Proposition

The set of idle words is equal to the unique identity element of  $\mathbf{M}(\mathcal{M})$ .

## Synchronizing words and ideals

## Definition

A word  $w$  is a synchronizing word if  $|\mathbf{S}T^w| = 1$ .

## Definition

A set  $I$  of a semigroup  $\mathbf{S}$  is an ideal if for all  $s \in \mathbf{S}$ ,  $sI \subseteq I$  and  $Is \subseteq I$ .

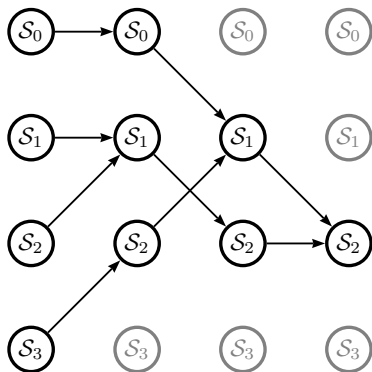
## Proposition

Define

$$I_{\mathcal{M}} \equiv \text{Sync}(\mathcal{M}) \cup \text{Forbid}(\mathcal{M}) \qquad I_{\mathbf{M}(\mathcal{M})} \equiv \pi(I_{\mathcal{M}})$$

where  $\pi$  is the projection map  $\pi(w) = [w]$ . Then  $I_{\mathcal{M}}$  is an ideal of  $\mathcal{A}^*$  and  $I_{\mathbf{M}(\mathcal{M})}$  is an ideal of  $\mathbf{M}(\mathcal{M})$ .

## Synchronization



# Inert words and filters

## Definition

Let  $|\mathcal{M}| = n$ . A word  $w$  is an inert word if  $|\mathcal{S}T^w| = n$ .

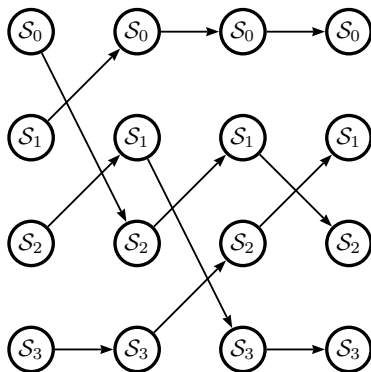
## Definition

A subset  $F$  of a language  $\mathcal{L}$  is called a filter if  $F$  is closed under taking subwords.

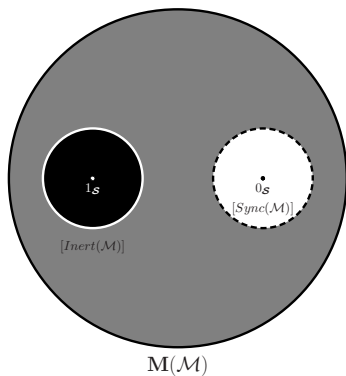
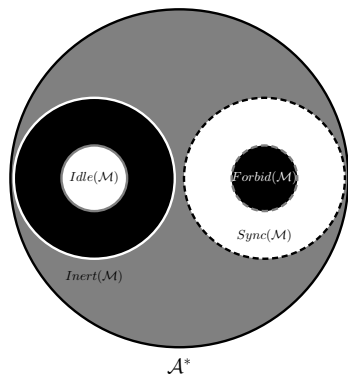
## Proposition

The set of inert words is a filter of  $\mathcal{A}^*$ .

## Inert transformation

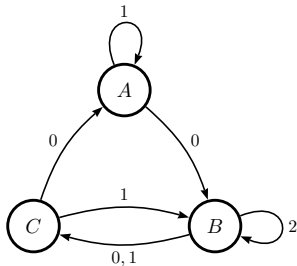


## An algebraic picture





## Example



word set	contains
$Forbid(\mathcal{M})$	$\{ *202* \}$
$Sync(\mathcal{M})$	$\{ *2* \}$
$Idle(\mathcal{M})$	$\{ (000)^n \}$
$Inert(\mathcal{M})$	$\{ 0, 1 \}^*$

# Monoid hierarchy

- Q: What about the gray monoid stuff?
- A: Can be filled in with a hierarchy of words.
  - Organized by the degree to which they reduce uncertainty.
- Result: A new picture of synchronization.
- Check out written report.

# Future directions

- 1 Consider the probabilistic monoid of the full  $\epsilon$ -machine.
- 2 Algebraically characterize the optimality of  $\epsilon$ -machines.
- 3 Approach to hierarchical  $\epsilon$ -machine reconstruction.