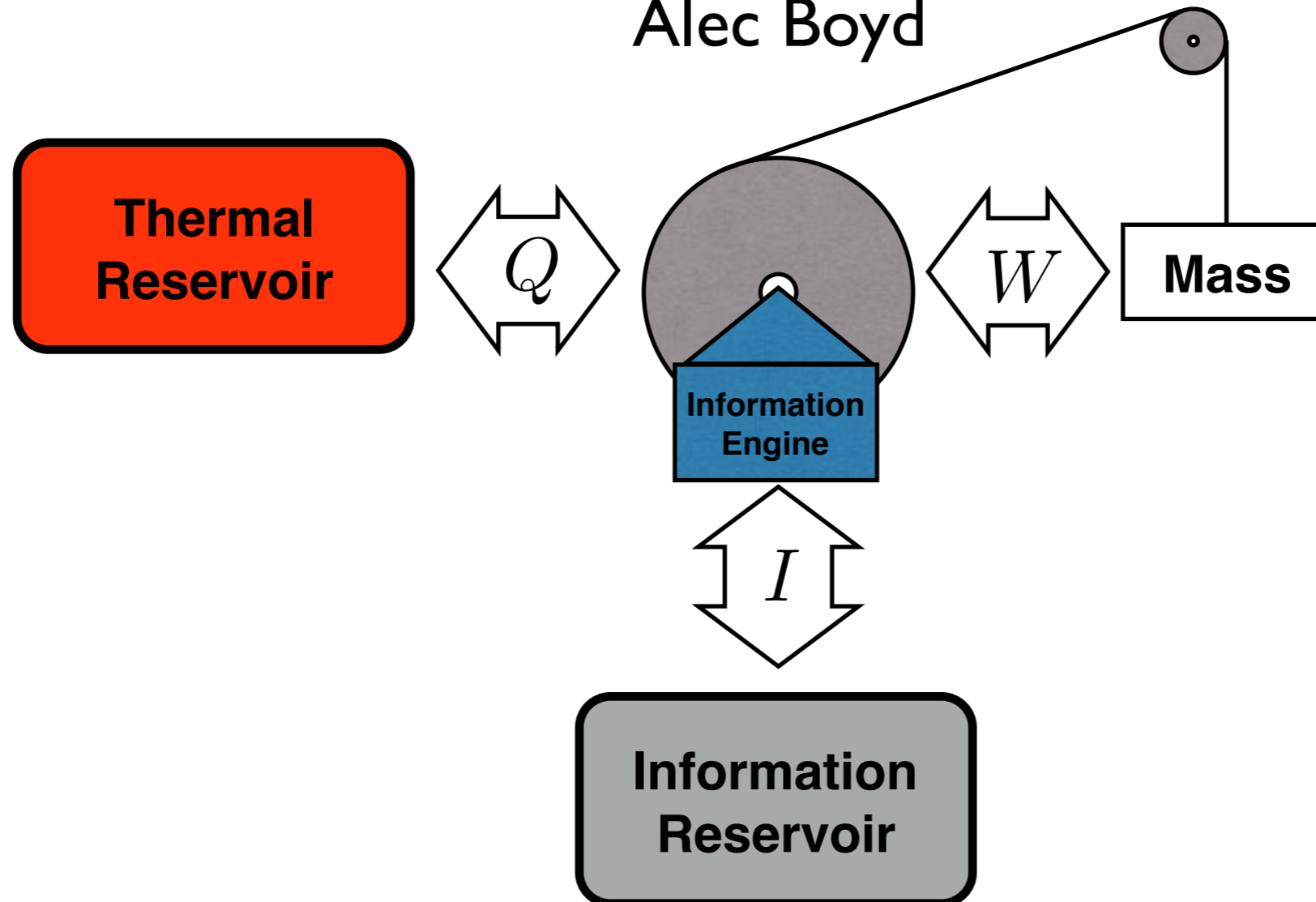


# Thermodynamics of Information

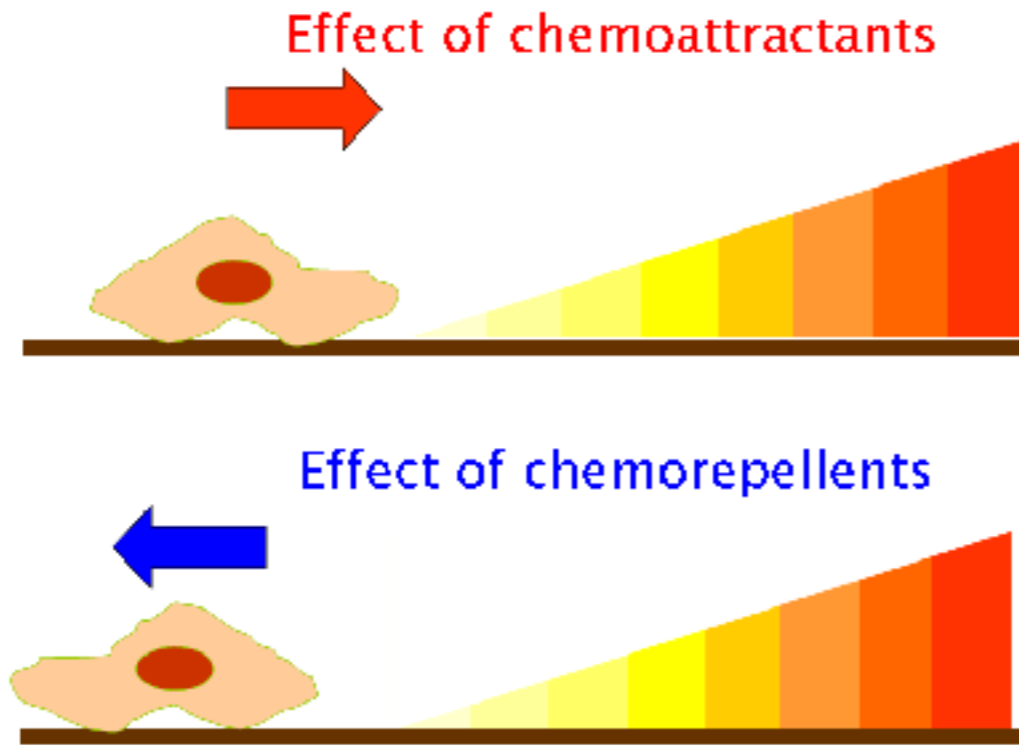
## Part II

Alec Boyd

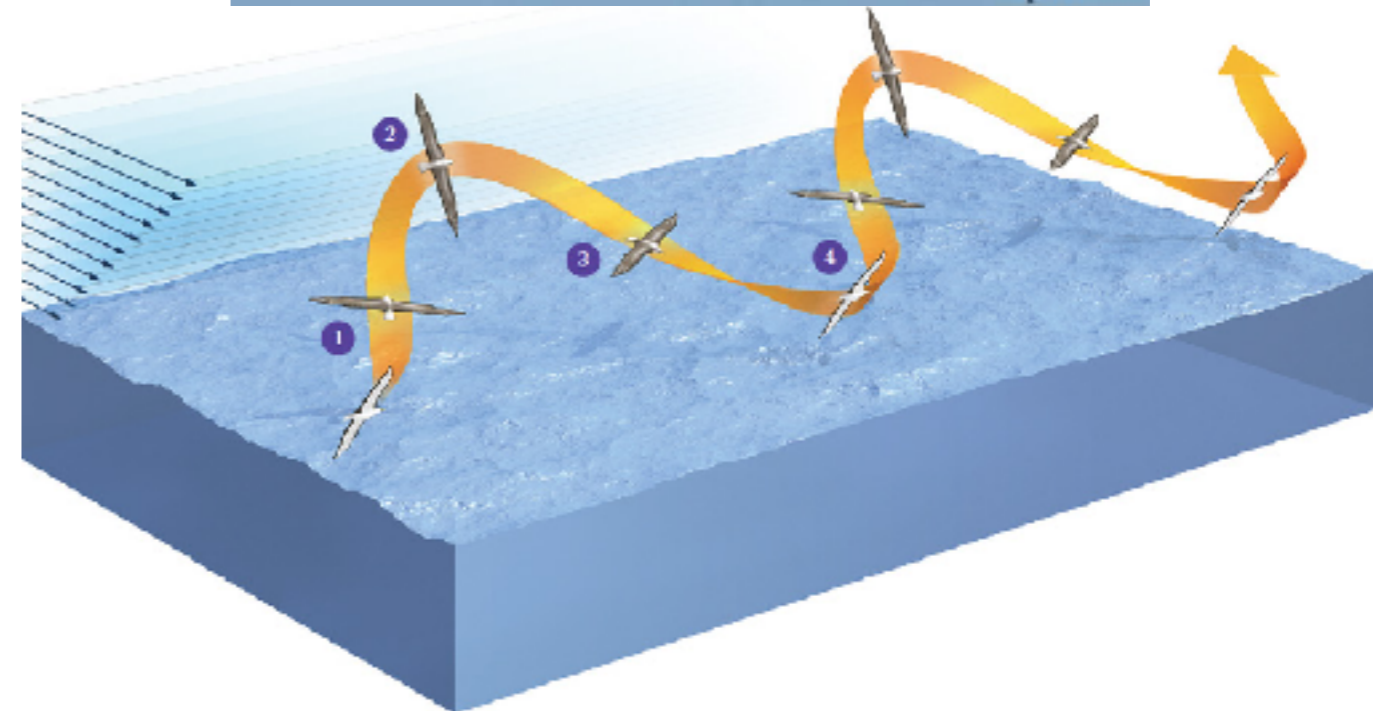


# Benefit of Information Processing

Information processing allows systems to leverage ordered environments



© Kohidal, L. 2008



<http://spectrum.ieee.org/aerospace/robotic-exploration/the-nearly-effortless-flight-of-the-albatross>

# Benefit of Information Processing

Information processing allows systems to leverage ordered environments

Complex

Simple

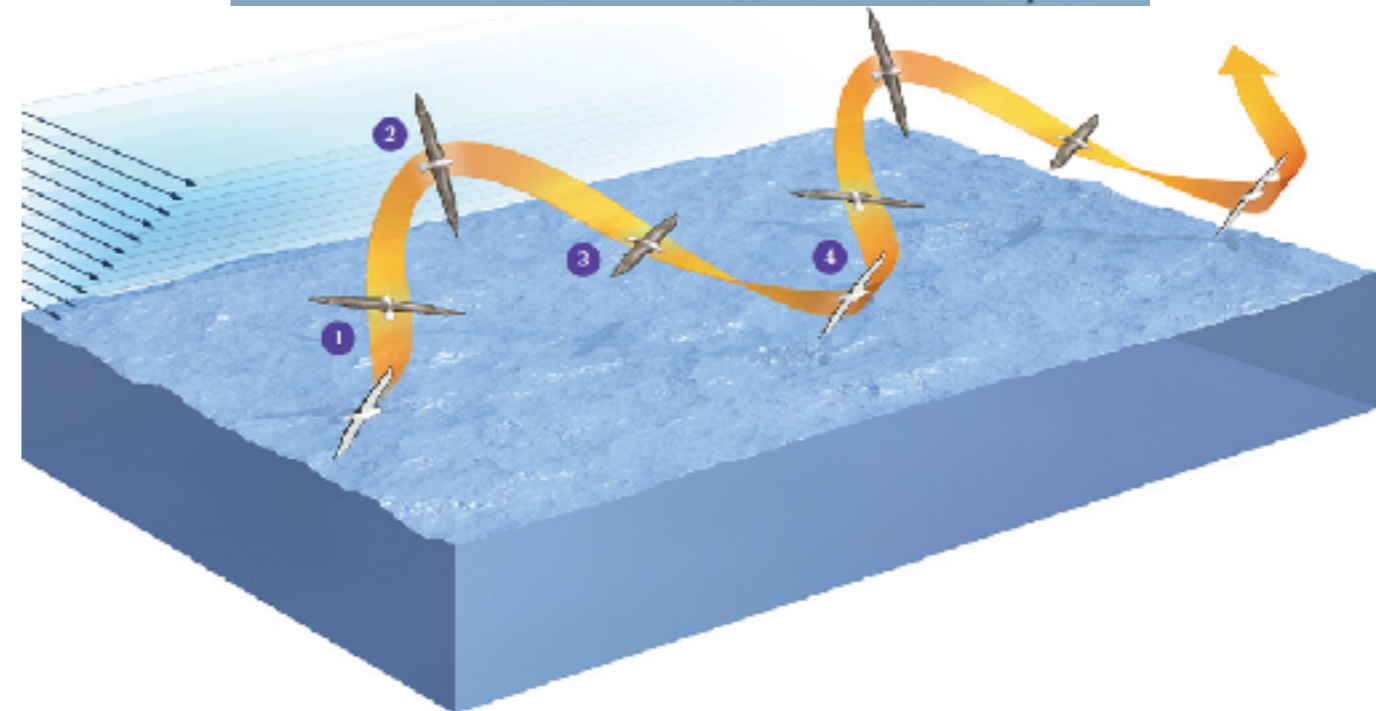
Effect of chemoattractants



Effect of chemorepellents



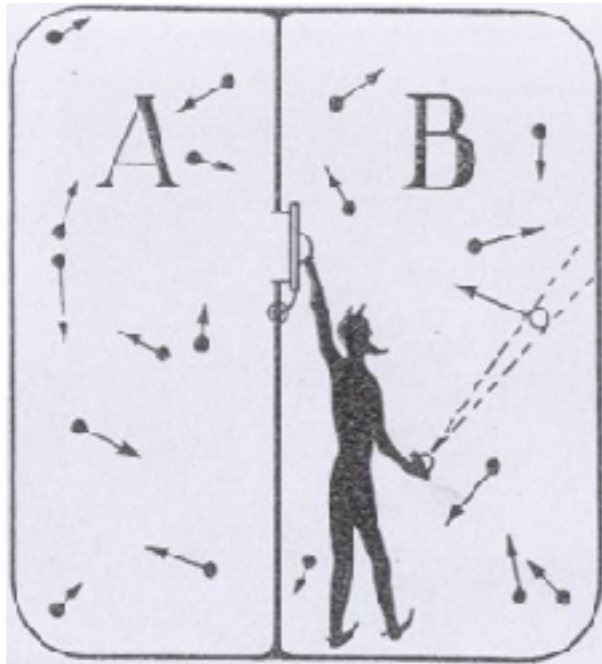
© Kohidal, L. 2008



<http://spectrum.ieee.org/aerospace/robotic-exploration/the-nearly-effortless-flight-of-the-albatross>

# Information Bearing Degrees of Freedom

## Maxwell's Demon

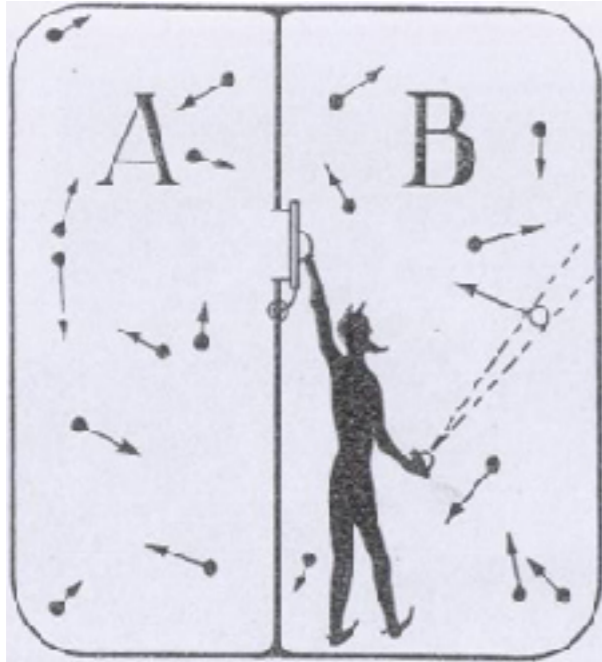


<http://www.eoht.info/page/Maxwell's+demon>



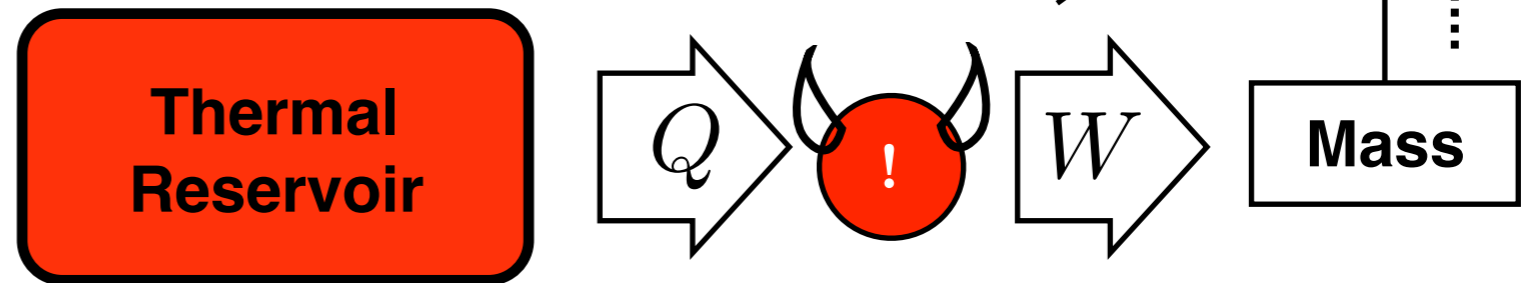
# Information Bearing Degrees of Freedom

## Maxwell's Demon



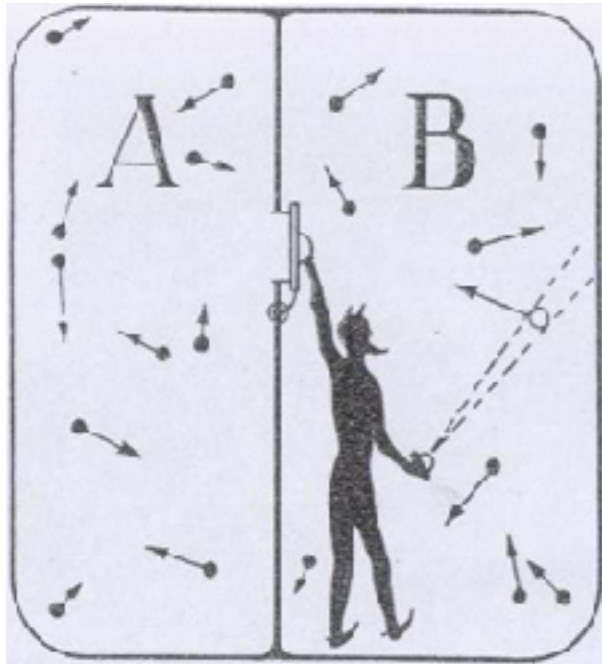
<http://www.eoht.info/page/Maxwell's+demon>

## Feedback/Control



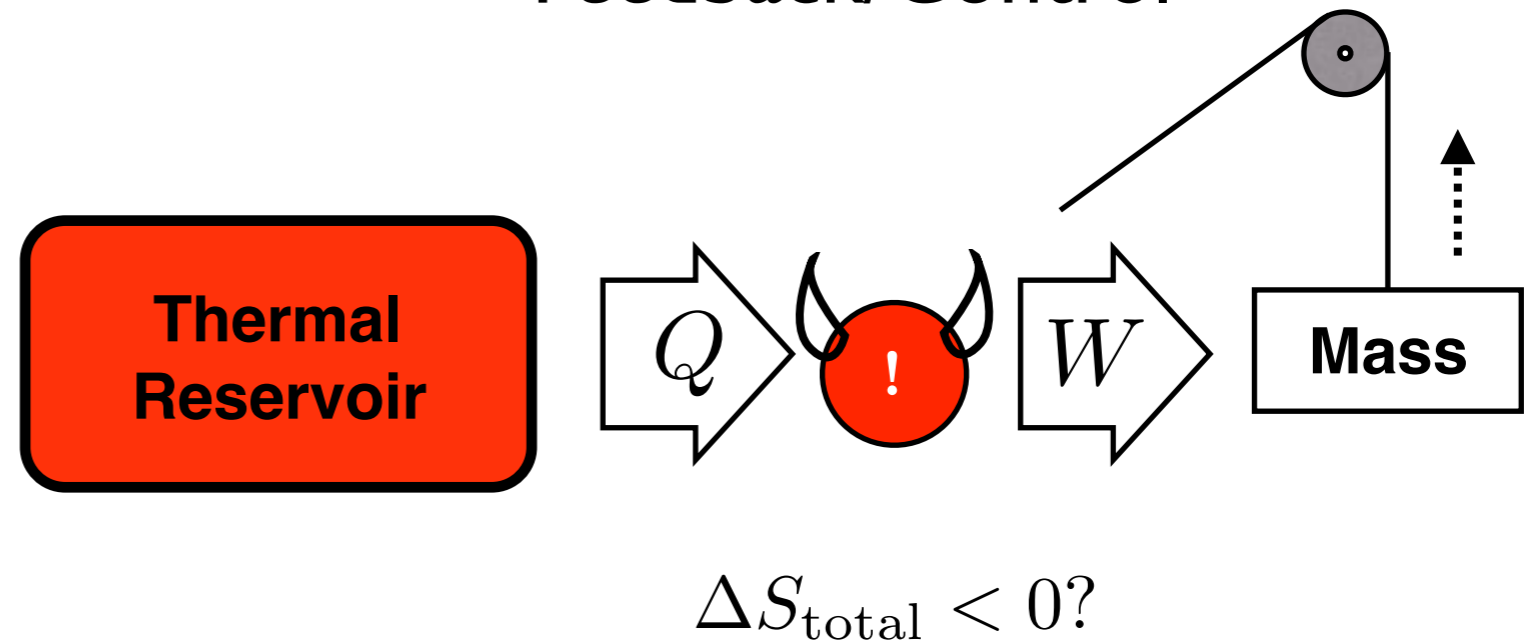
# Information Bearing Degrees of Freedom

## Maxwell's Demon



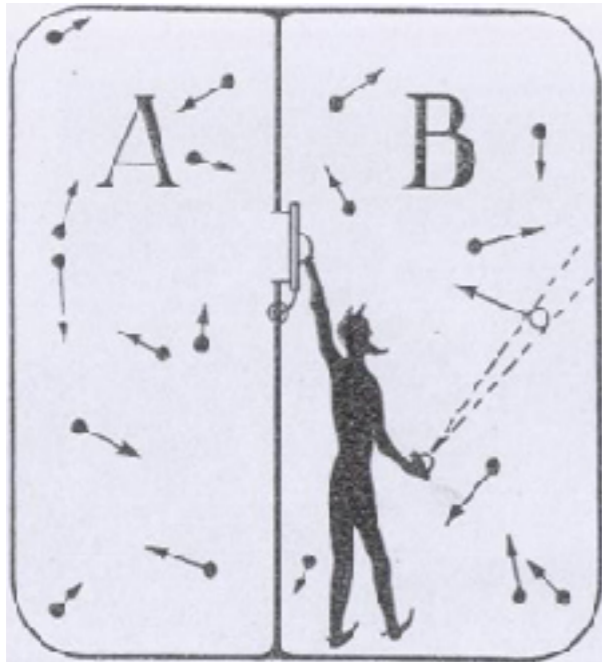
<http://www.eoht.info/page/Maxwell's+demon>

## Feedback/Control



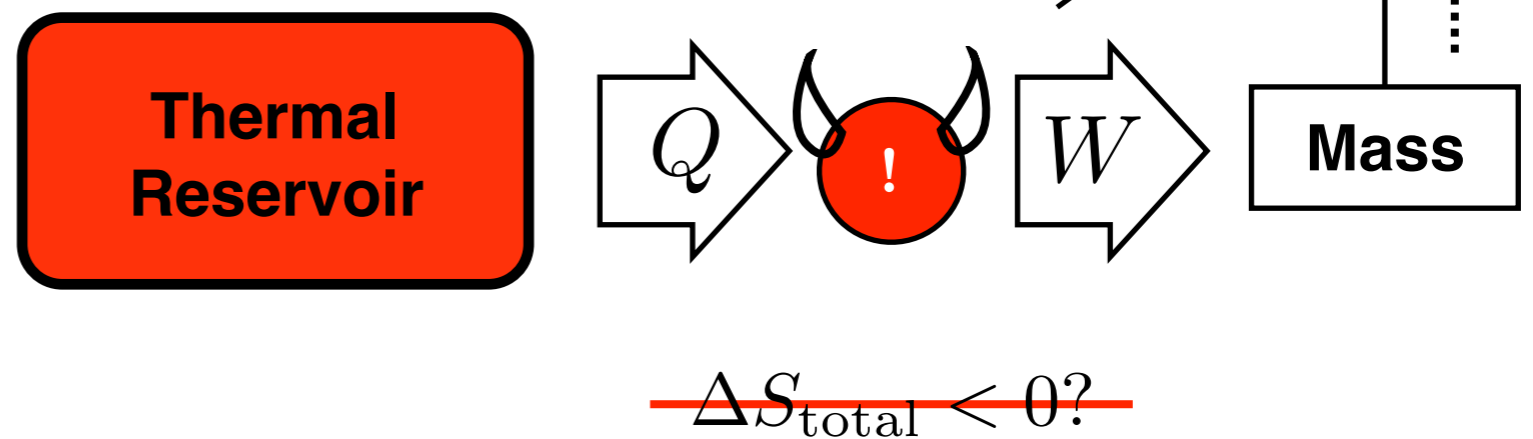
# Information Bearing Degrees of Freedom

## Maxwell's Demon

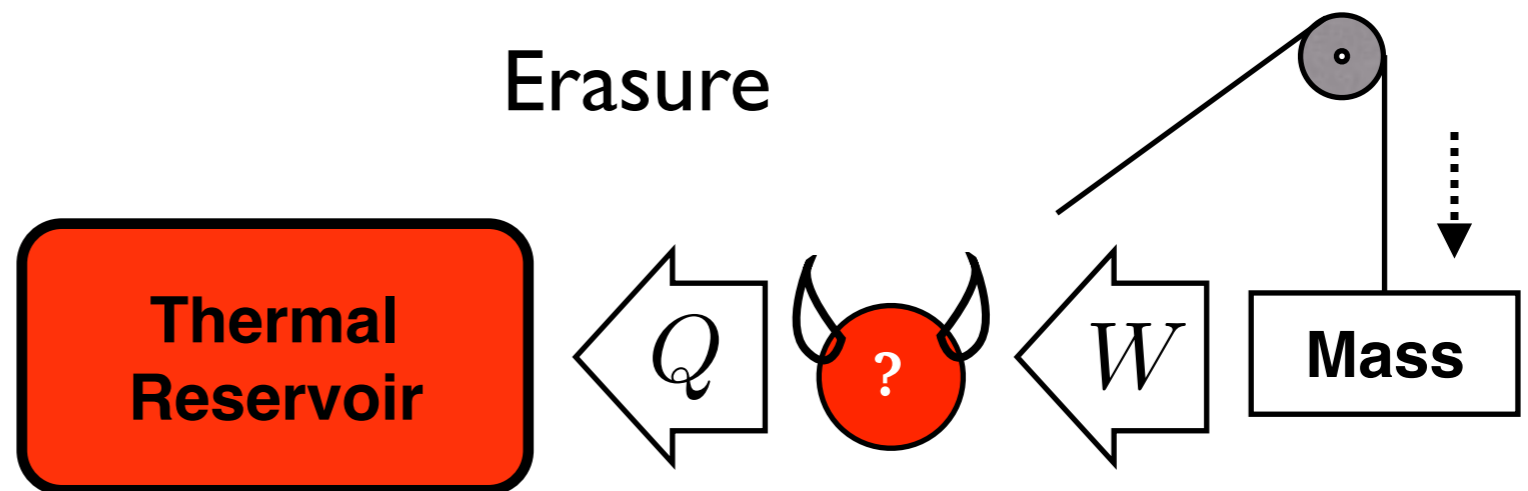


<http://www.eoht.info/page/Maxwell's+demon>

## Feedback/Control

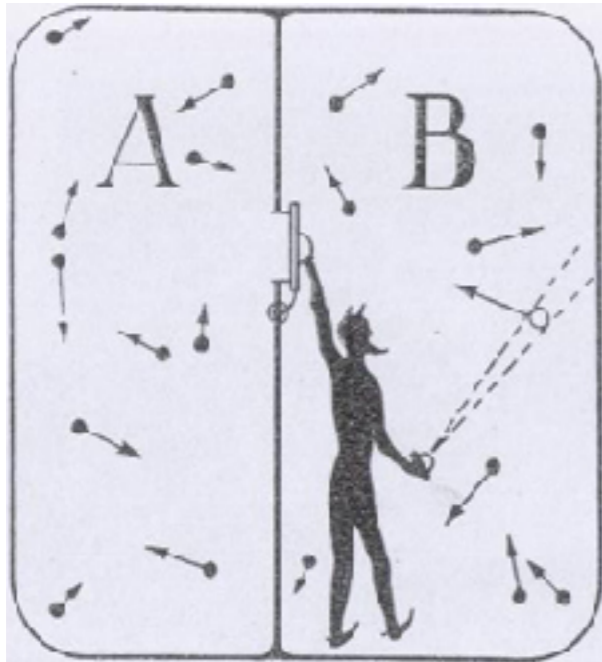


## Erasure



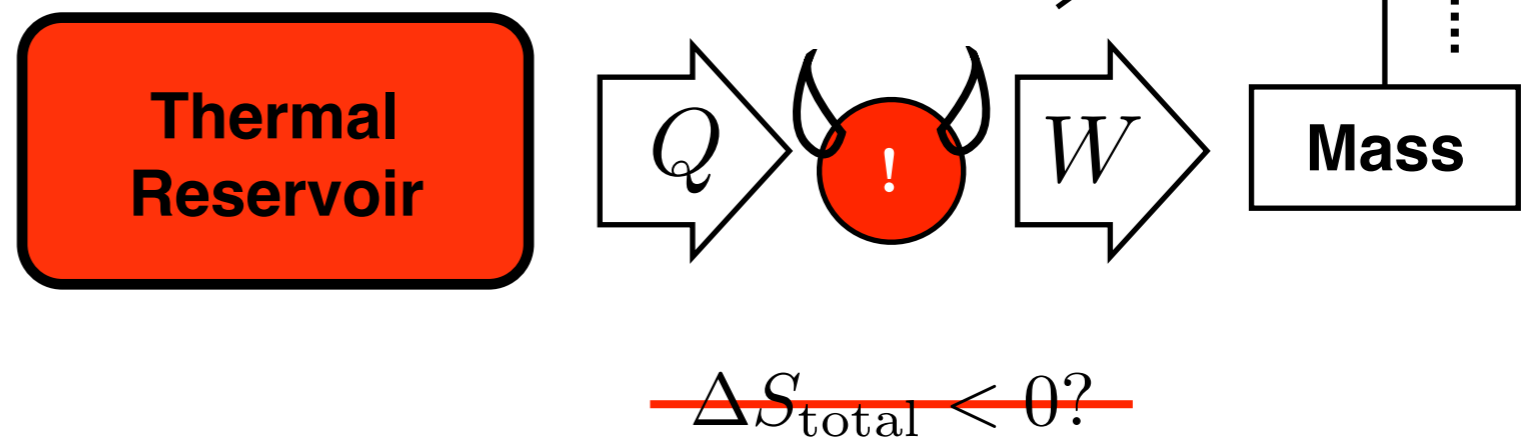
# Information Bearing Degrees of Freedom

## Maxwell's Demon

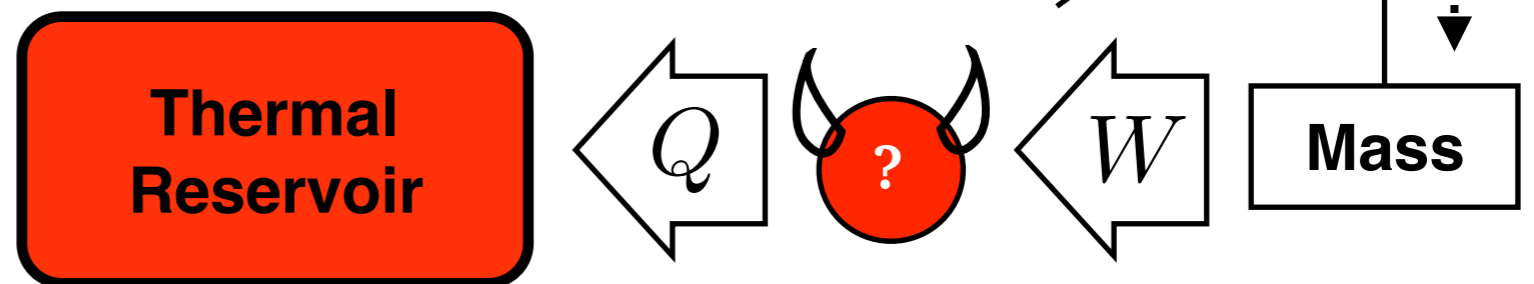


<http://www.eoht.info/page/Maxwell's+demon>

## Feedback/Control

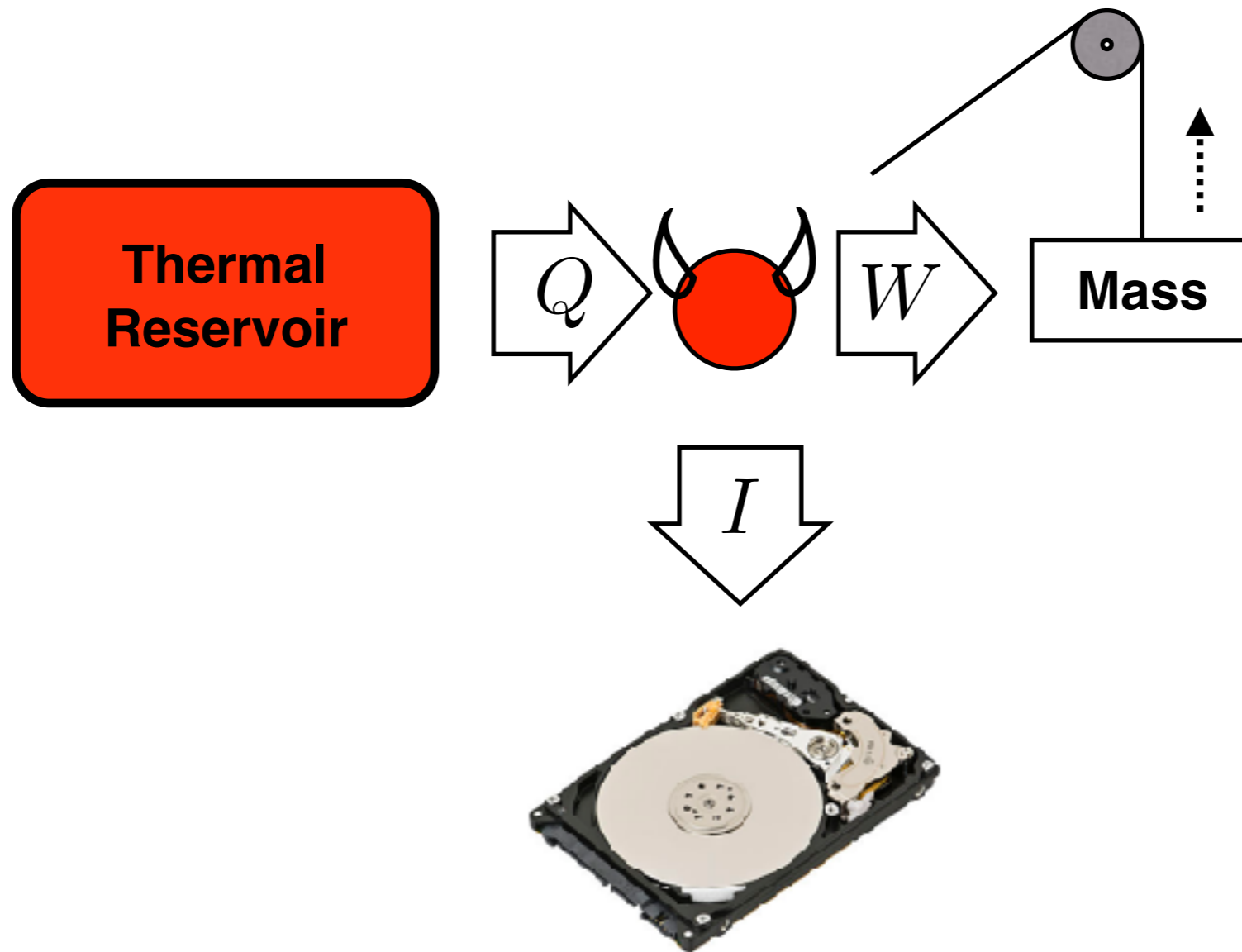


## Erasure



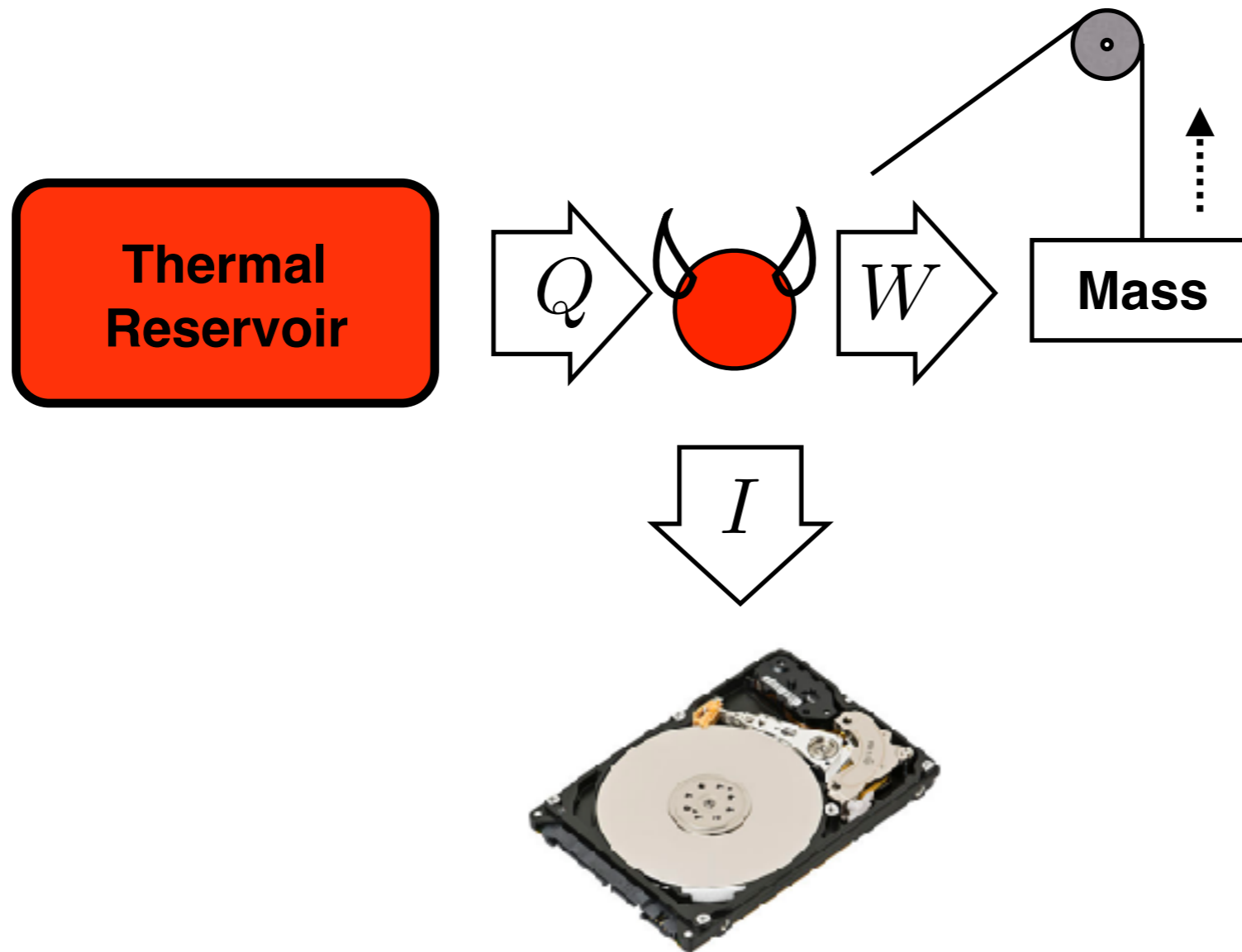
Landauer's Principle:  $W_{\text{erase}} \geq k_B T \ln 2 \rightarrow \Delta S_{\text{total}} \geq 0$

# Information is a Thermodynamic Fuel



Instead of erasing, write to a hard drive

# Information is a Thermodynamic Fuel

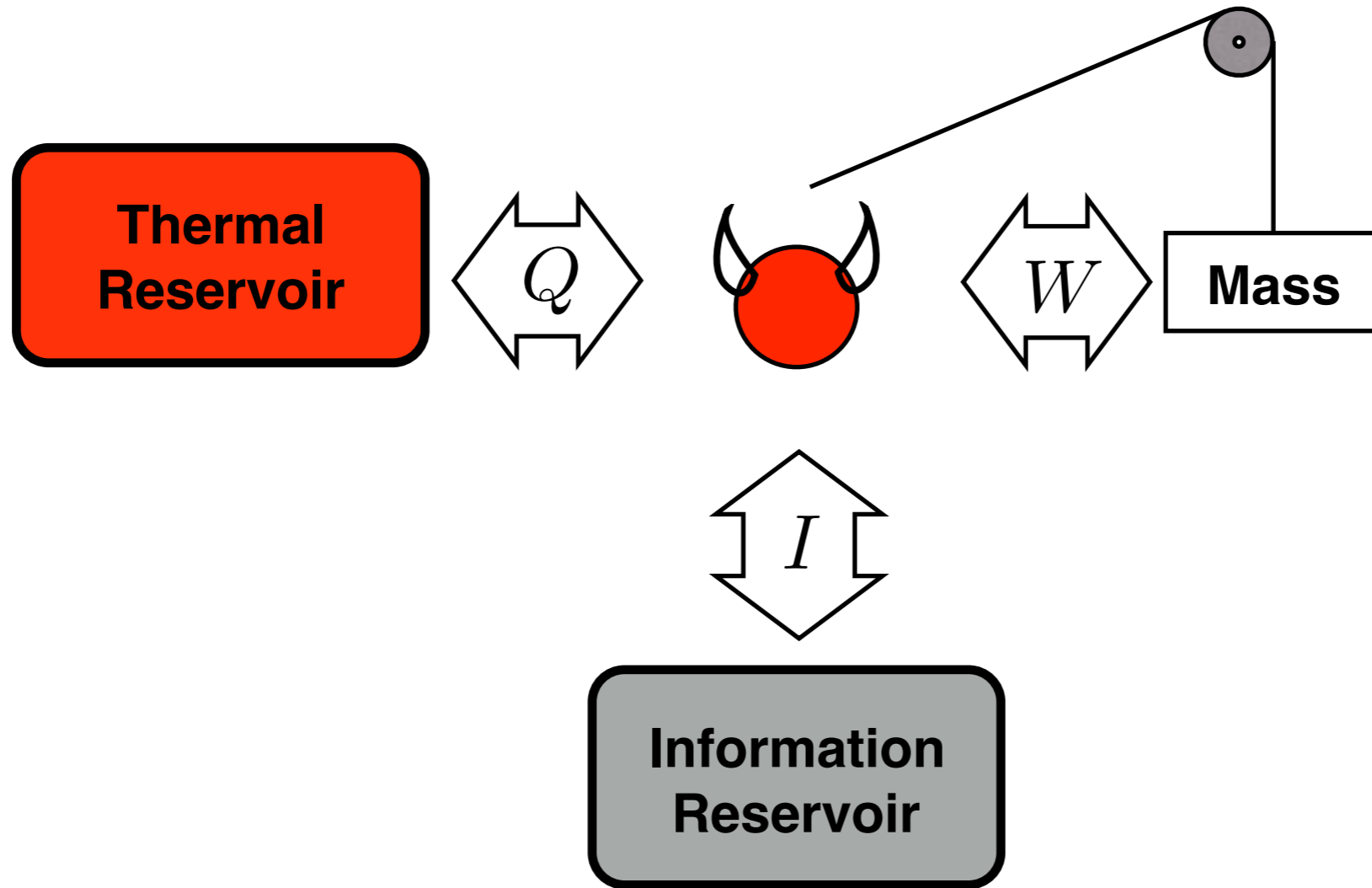


Instead of erasing, write to a hard drive

$$W > 0, Q < 0, \Delta S_{\text{hard drive}} > 0 \rightarrow \Delta S_{\text{total}} \geq 0$$



# Information Engines



Simultaneously processes information and manipulates energy

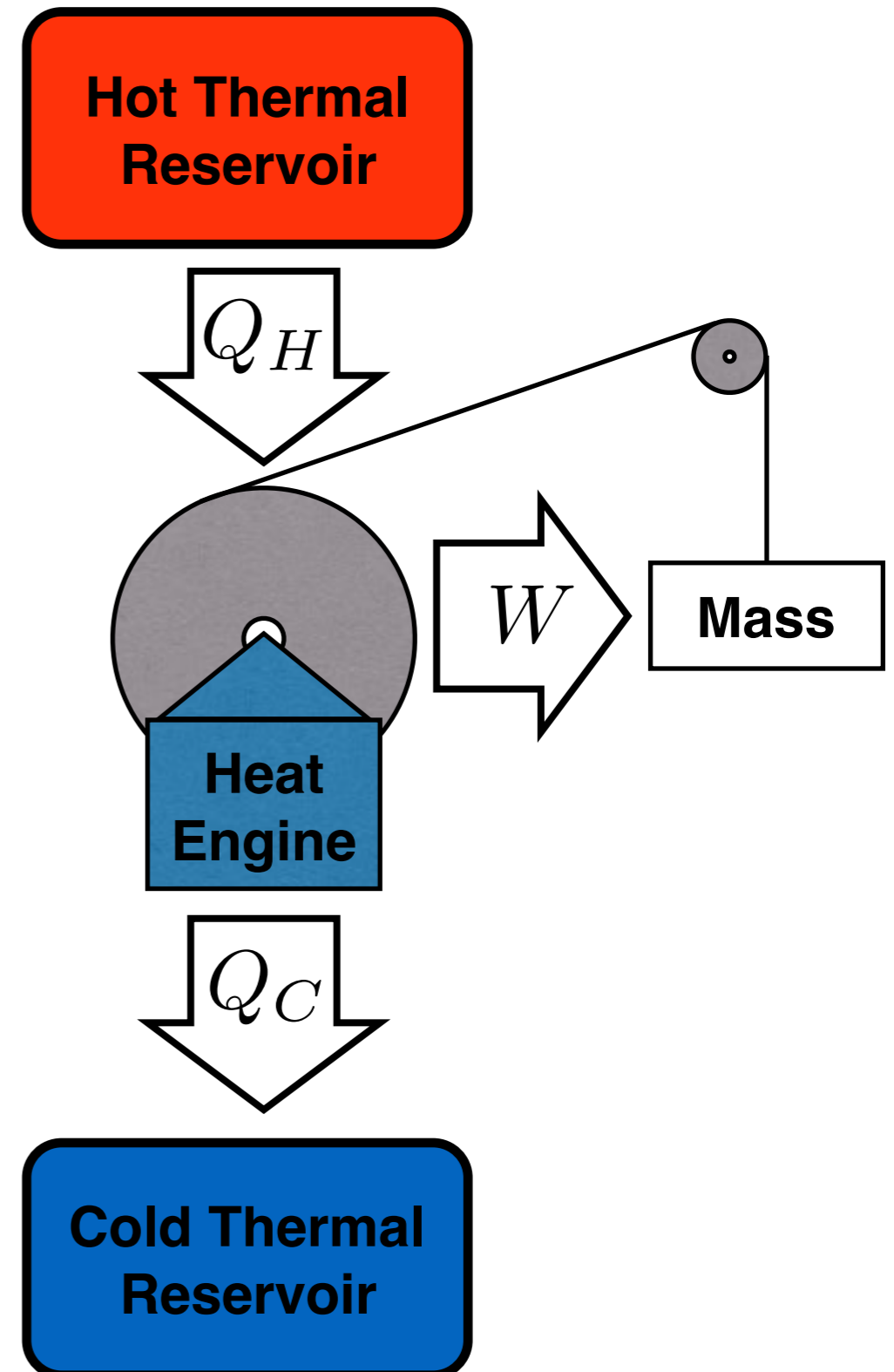
# Heat Engines

Energy flows between three reservoirs to produce work:

- 1) Hot Thermal
- 2) Cold Thermal
- 3) Work

Carnot efficiency bound:

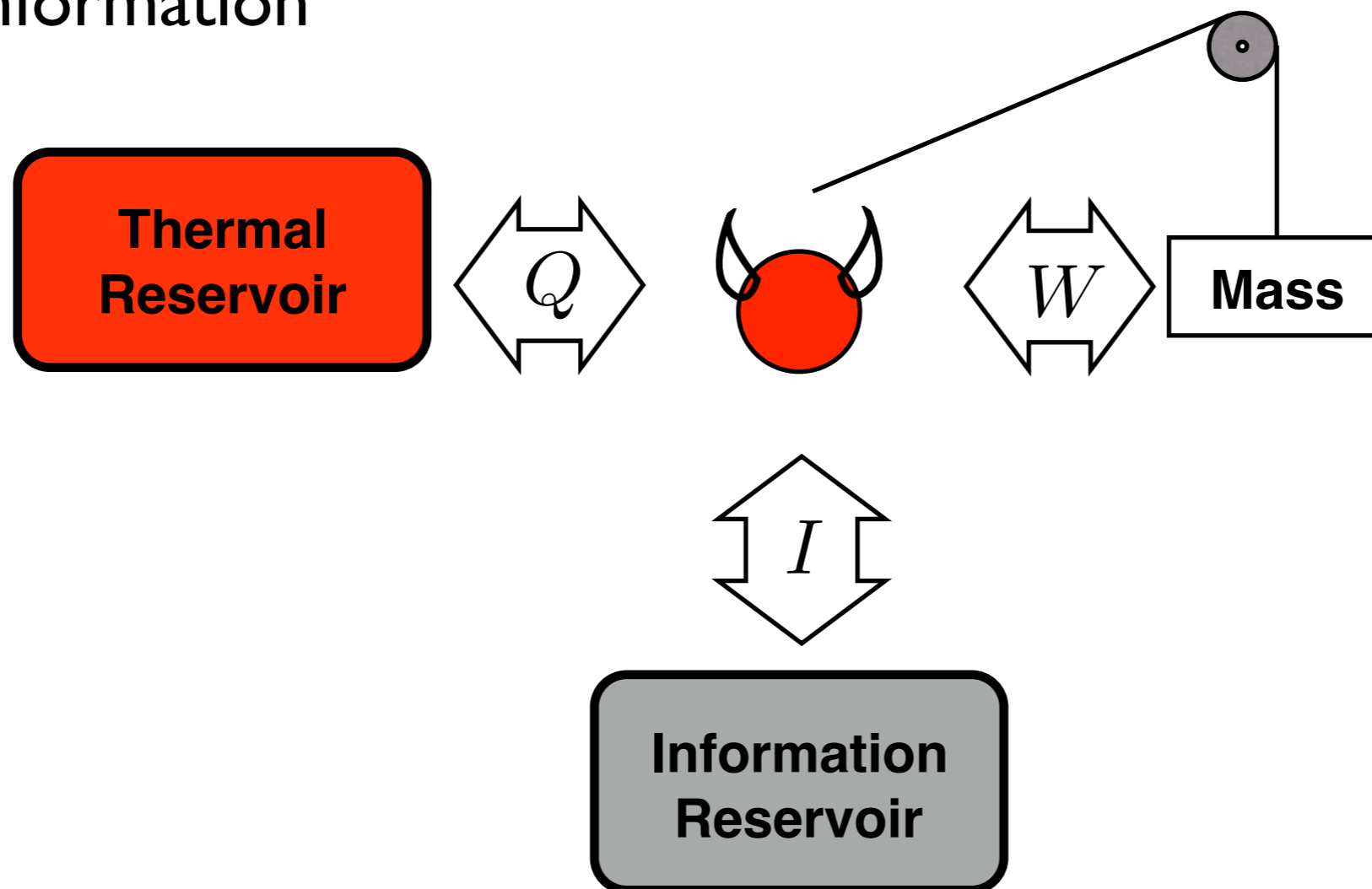
$$\Delta S \geq 0 \Rightarrow \eta = \frac{W}{Q_H} \leq 1 - \frac{T_C}{T_H}$$



# Information Engines

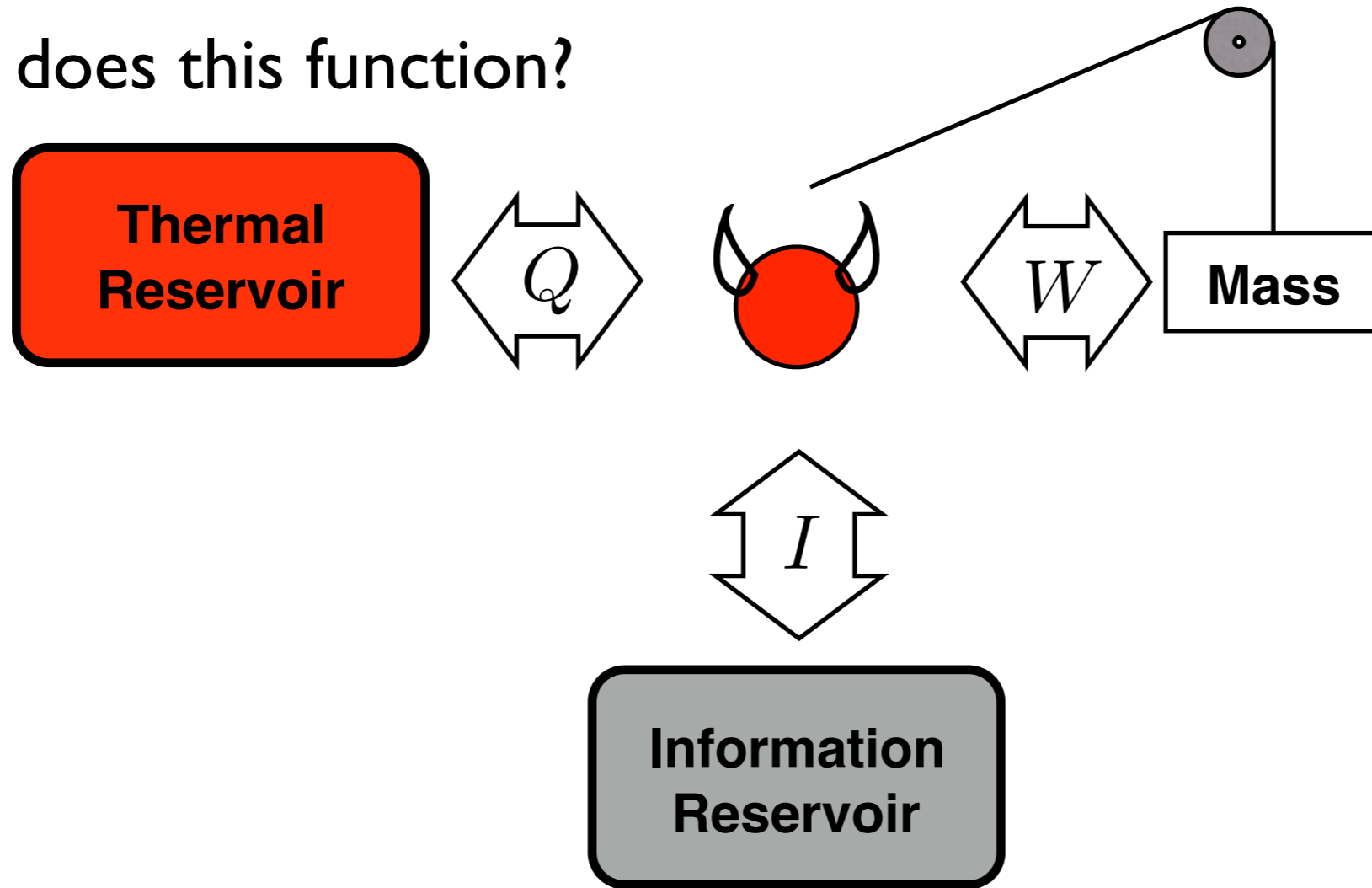
Energy and information flow between three reservoirs:

- 1) Thermal
- 2) Work
- 3) Information



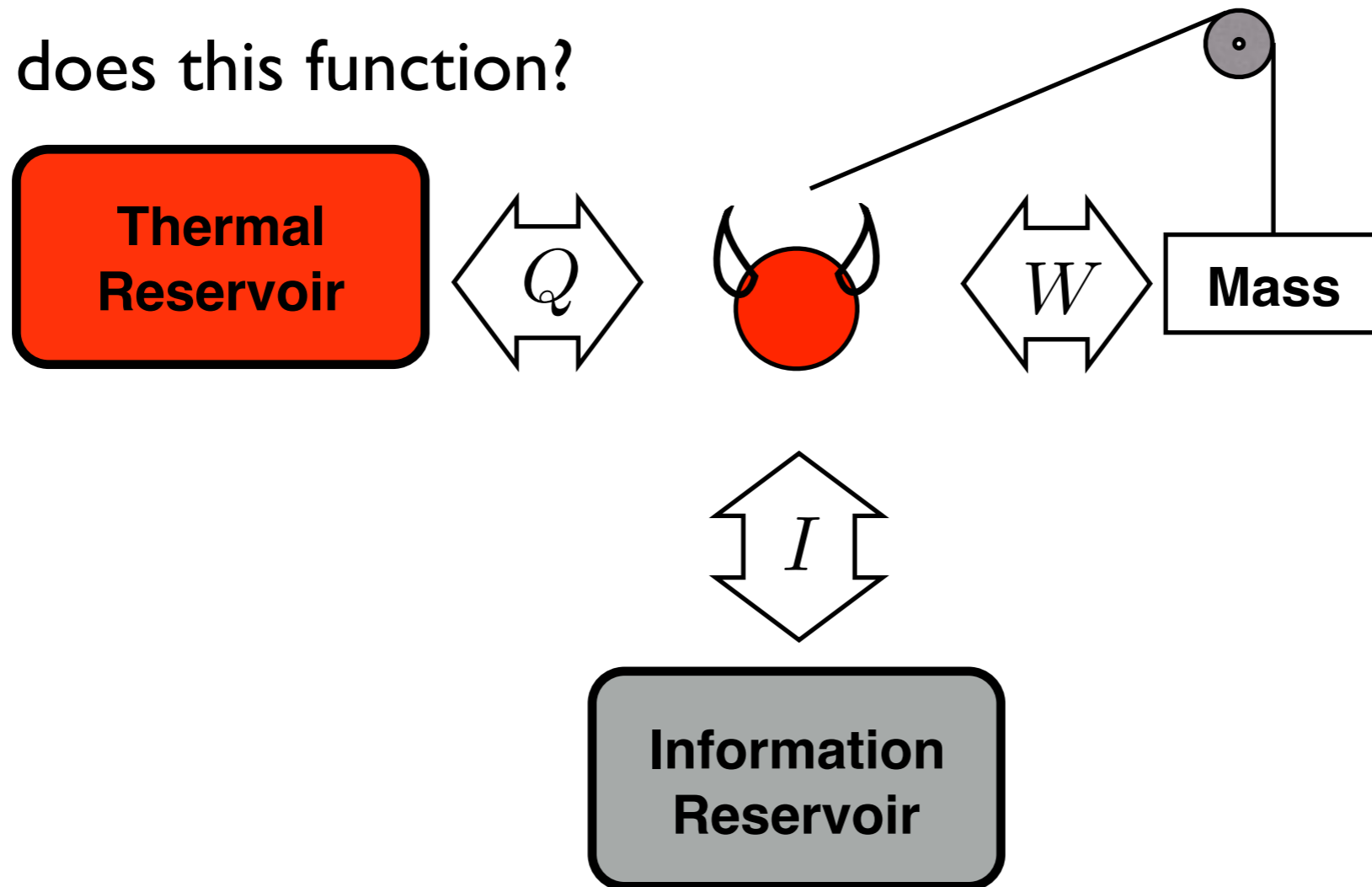
# Information Engines

How does this function?



# Information Engines

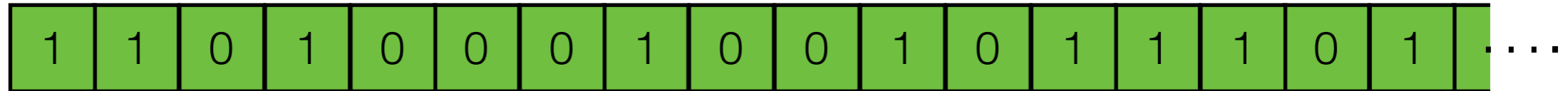
How does this function?



What is an information reservoir?

# Information Reservoir

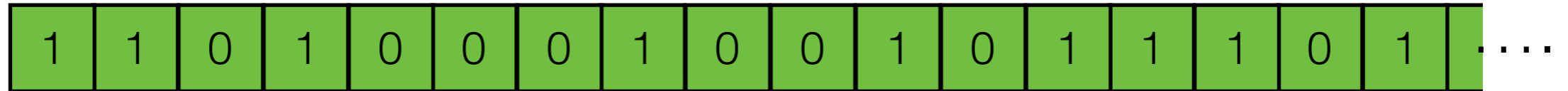
A bit string is an information reservoir





# Information Reservoir

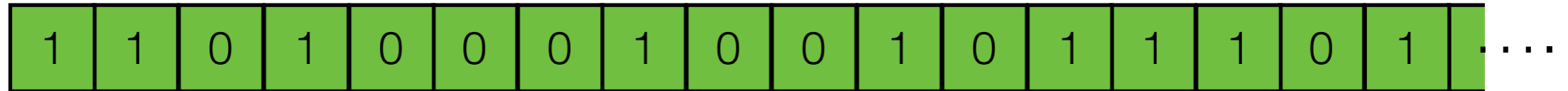
A bit string is an information reservoir



if every 0 and 1 have the same energy, and so every configuration of the system has equal energy.

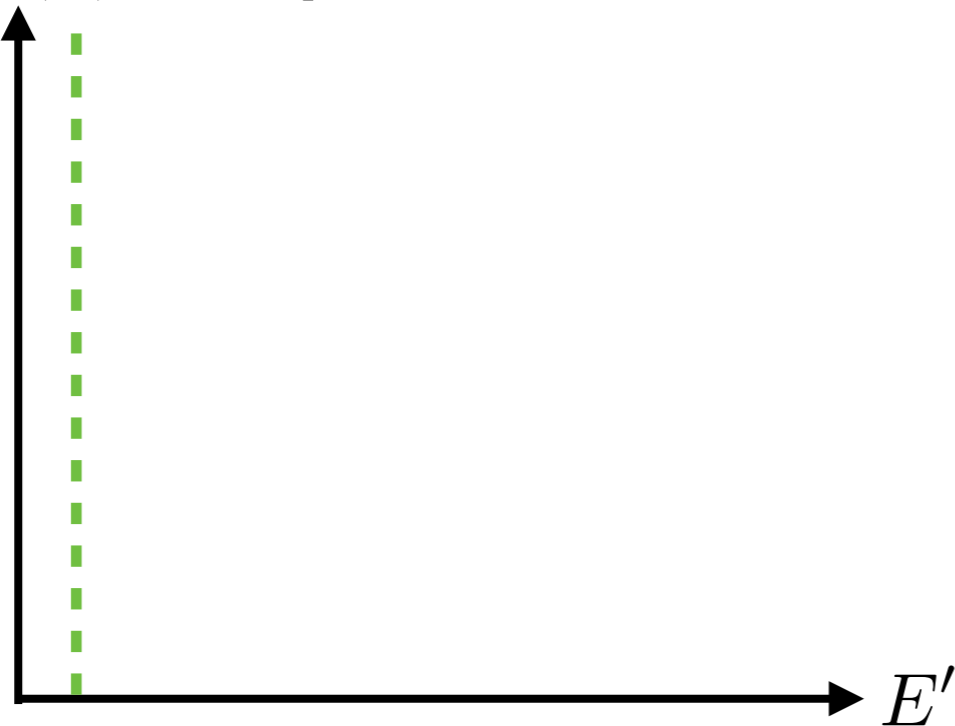
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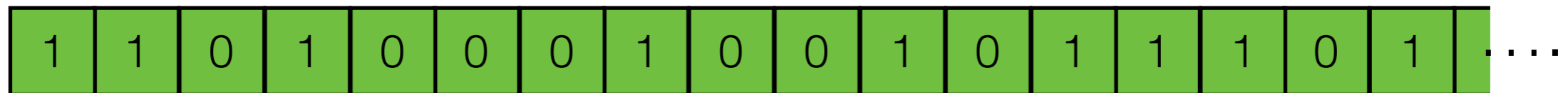
$$S[X^{\text{eq}} | E(x) = E']$$



Information Reservoir: - - -

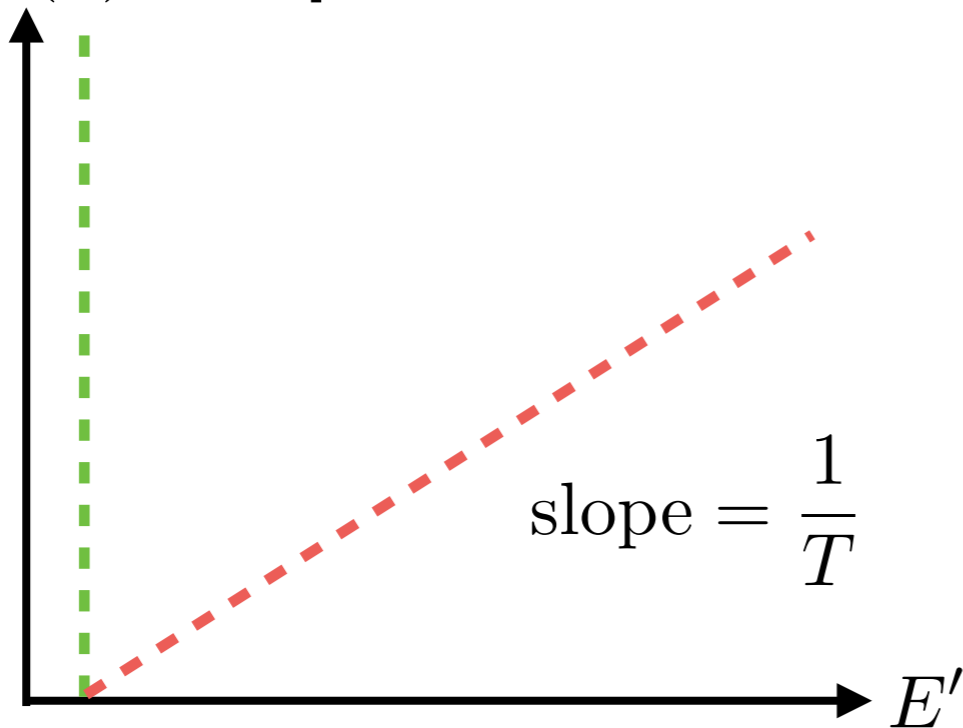
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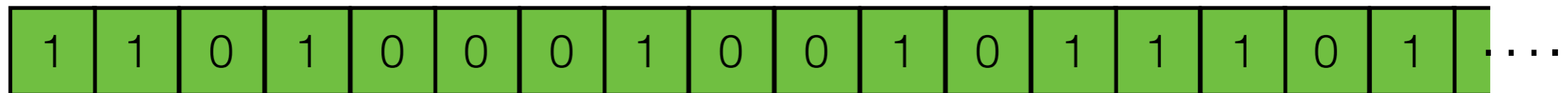


Information Reservoir: - - -

Heat Reservoir at  $T_H$  : - - -

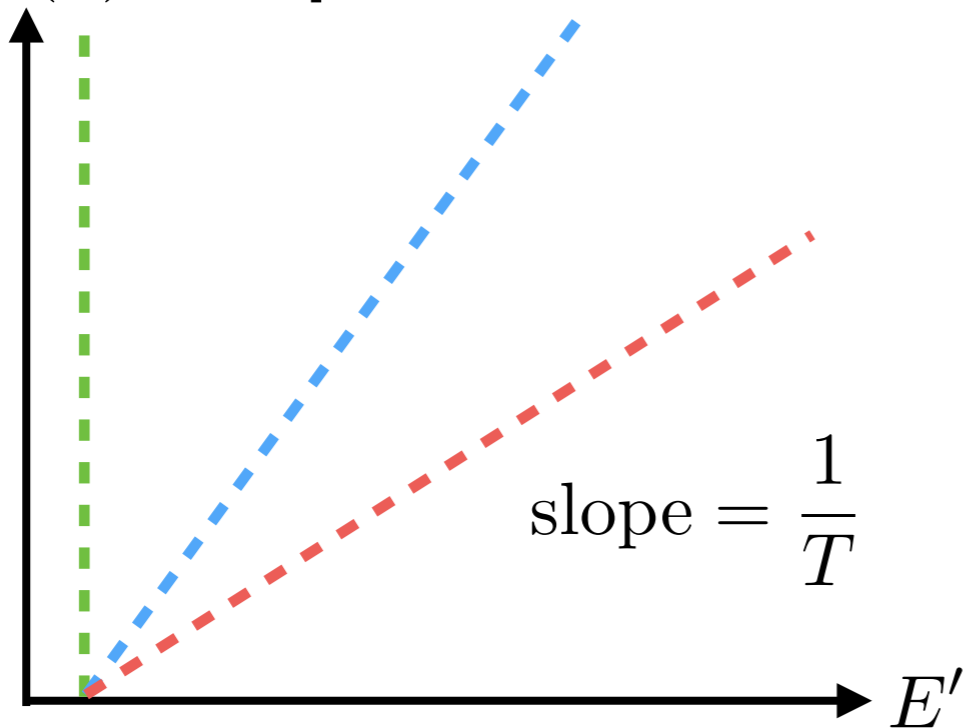
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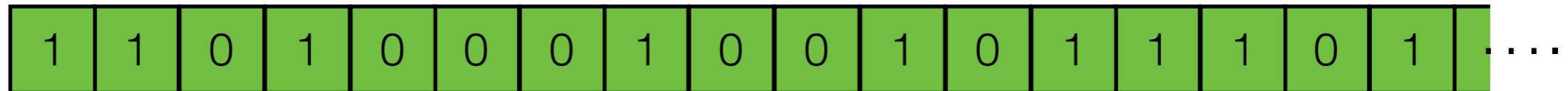
Information Reservoir: - - -

Heat Reservoir at  $T_H$  : - - -

Heat Reservoir at  $T_C$  : - - -

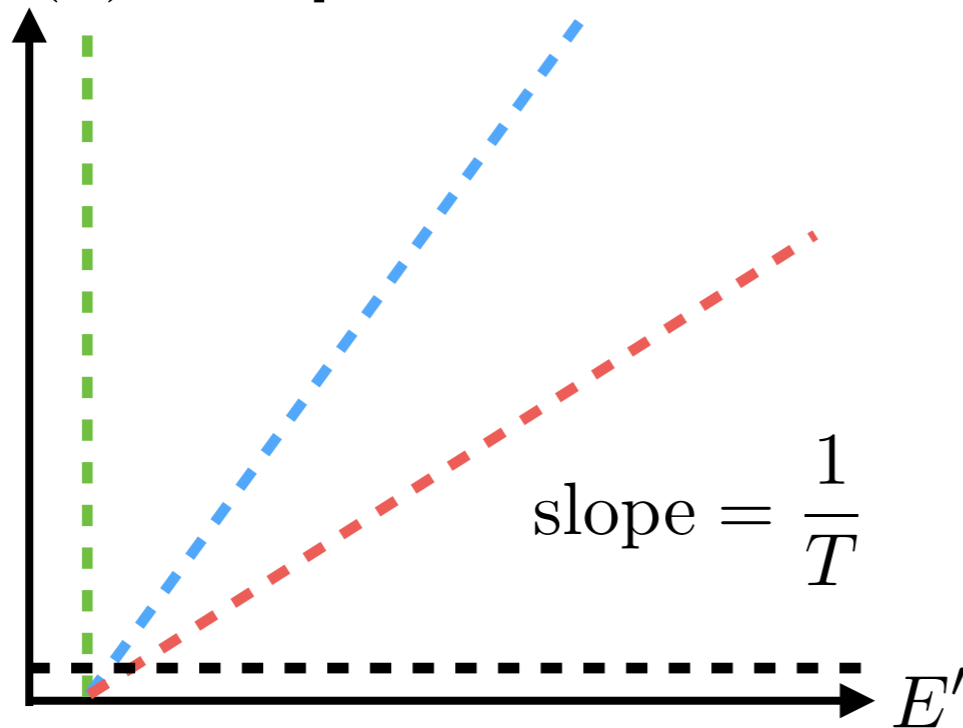
# Information Reservoir

A bit string is an information reservoir



if every 0 and 1 have the same energy, and so every configuration of the system has equal energy.

$$S[X^{\text{eq}} | E(x) = E']$$



Information Reservoir: - - -

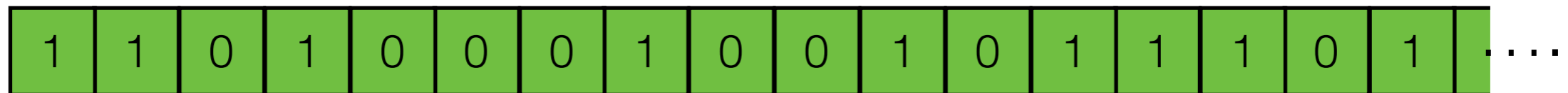
Heat Reservoir at  $T_H$  : - - -

Heat Reservoir at  $T_C$  : - - -

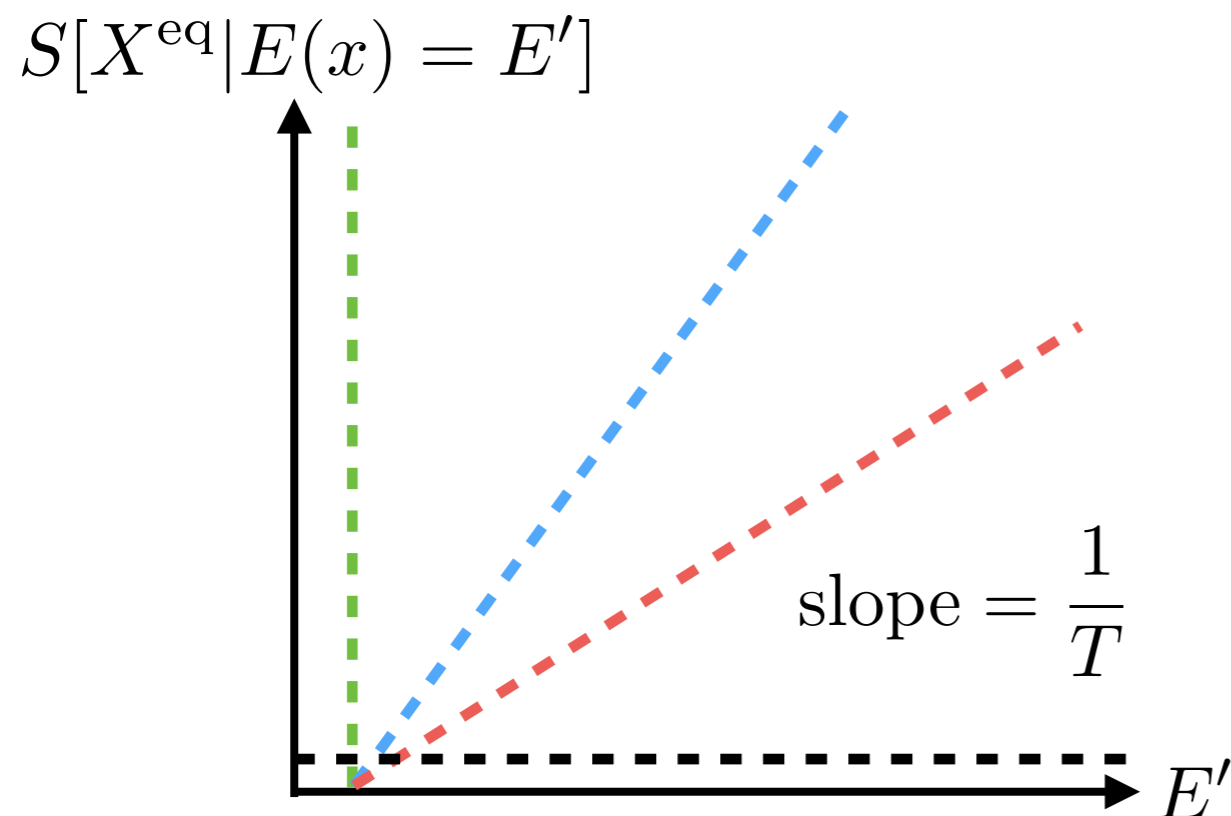
Work Reservoir: - - -

# Information Reservoir

A bit string is an information reservoir



if every 0 and 1 have the same energy, and so every configuration of the system has equal energy.



Information Reservoir: - - -

Heat Reservoir at  $T_H$  : - - -

Heat Reservoir at  $T_C$  : - - -

Work Reservoir: - - -

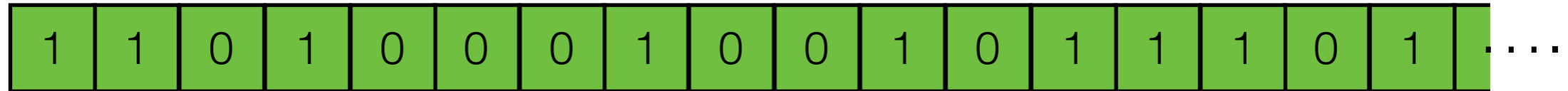
$$T_{\text{Information Reservoir}} = 0$$

$$T_{\text{Work Reservoir}} = \infty$$



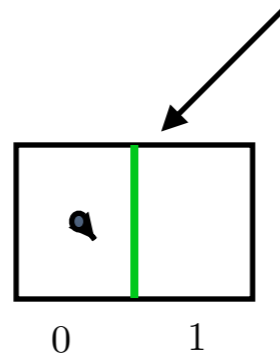
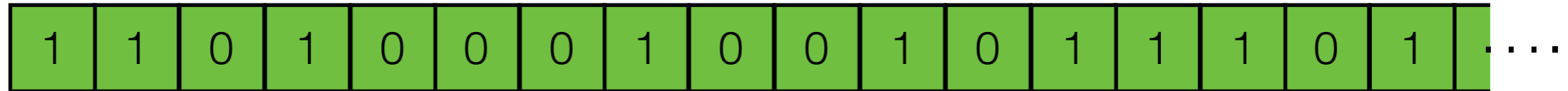
# Physical Information

What is the bit in an information string?



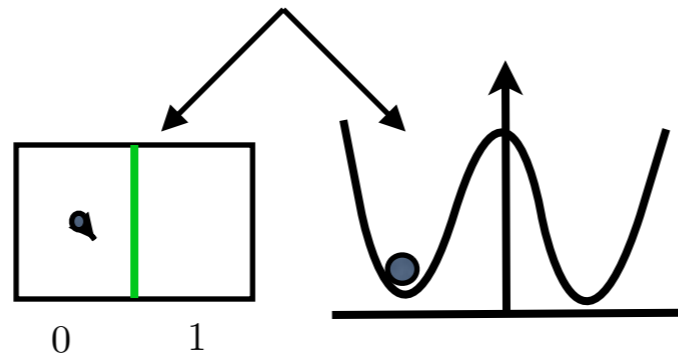
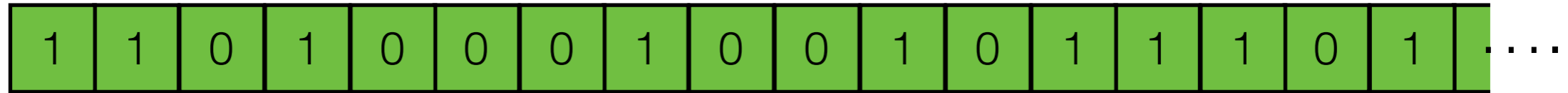
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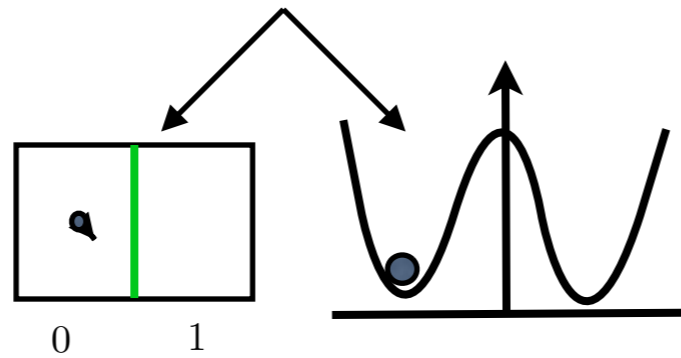
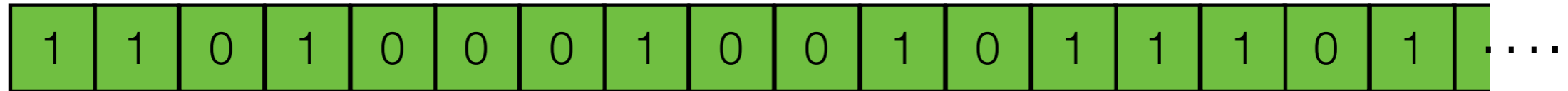
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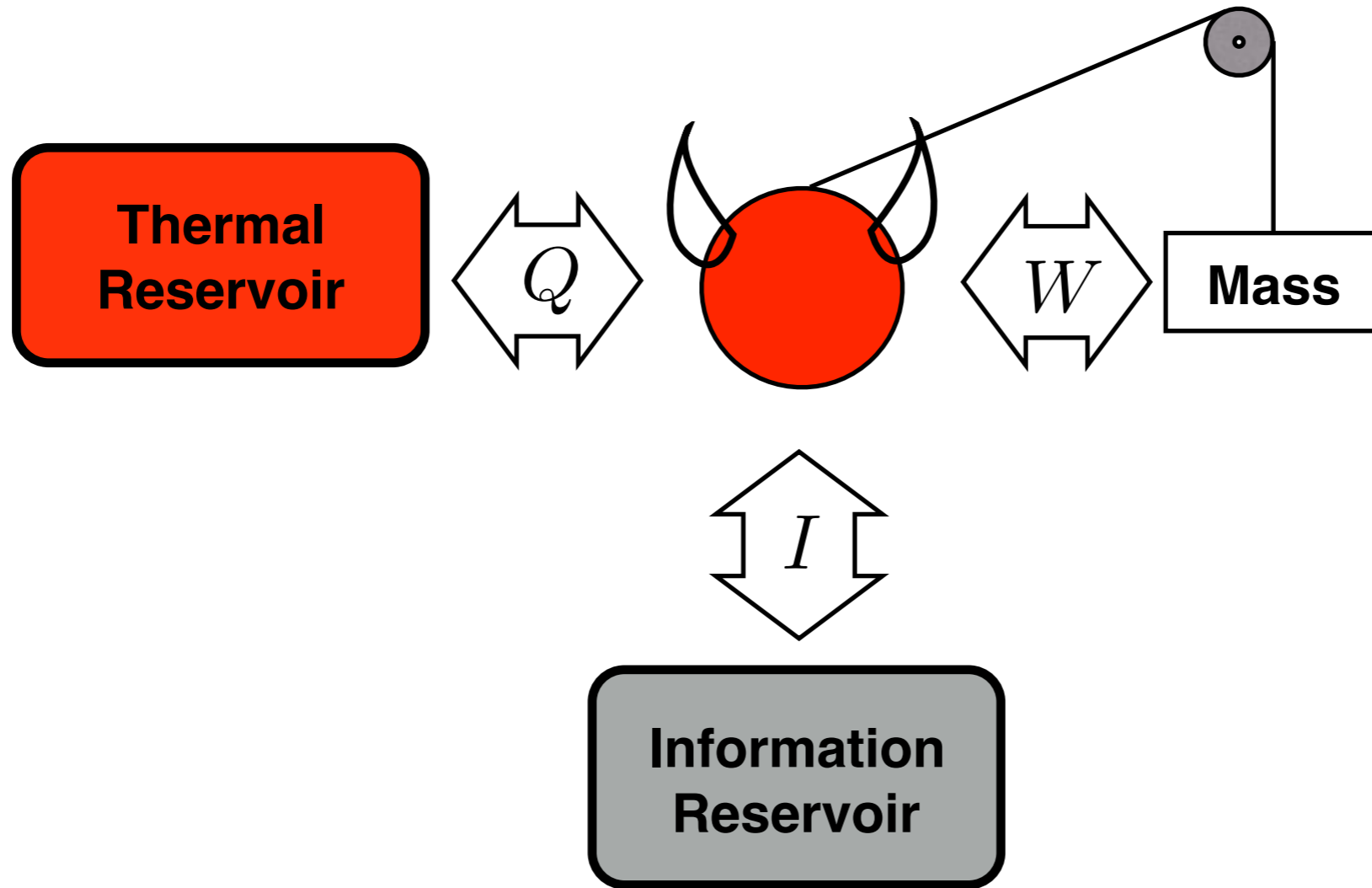
# Physical Information

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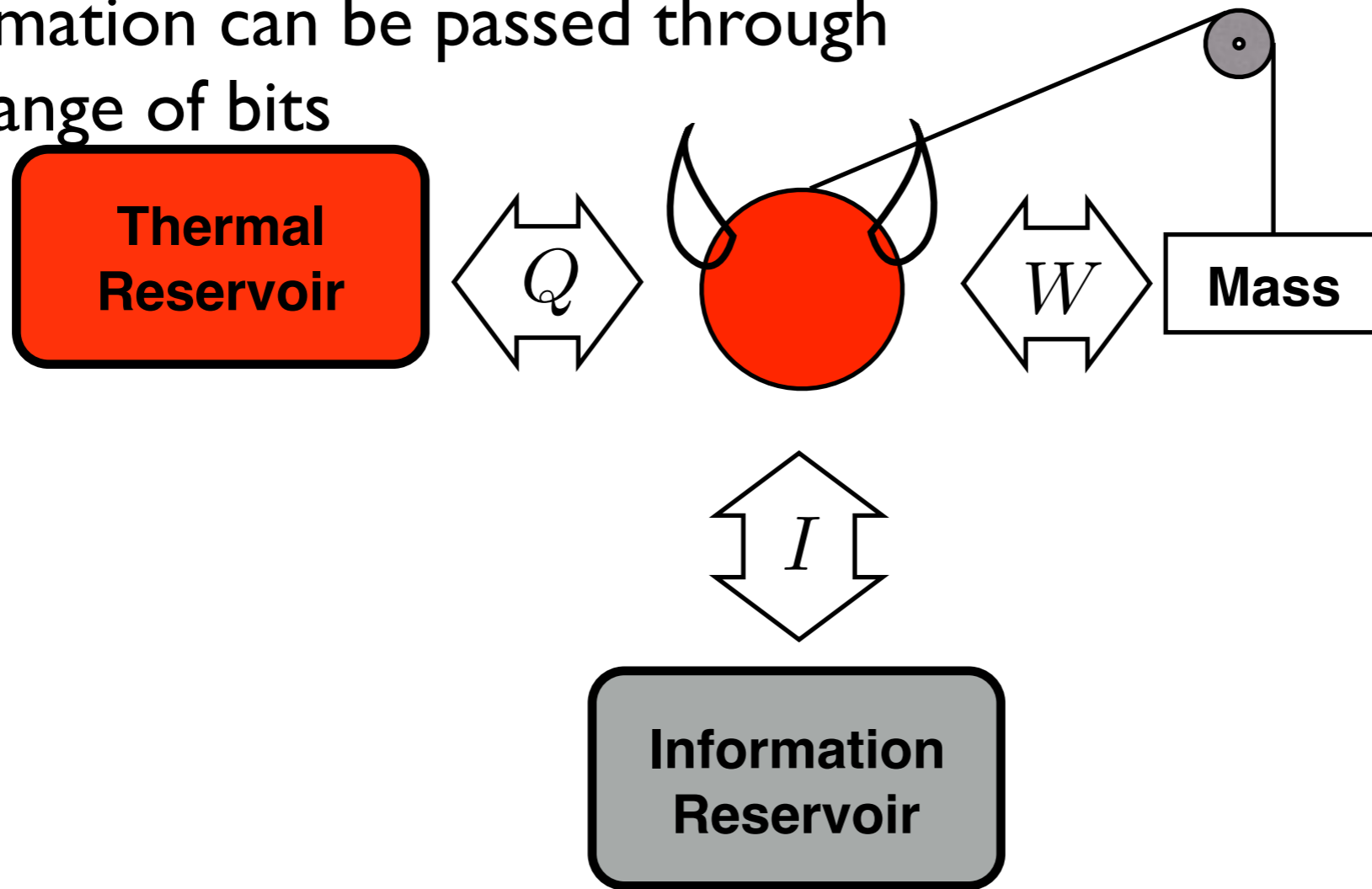
Metastable systems with equal energies.

# Information Engine Energy Production



# Information Engine Energy Production

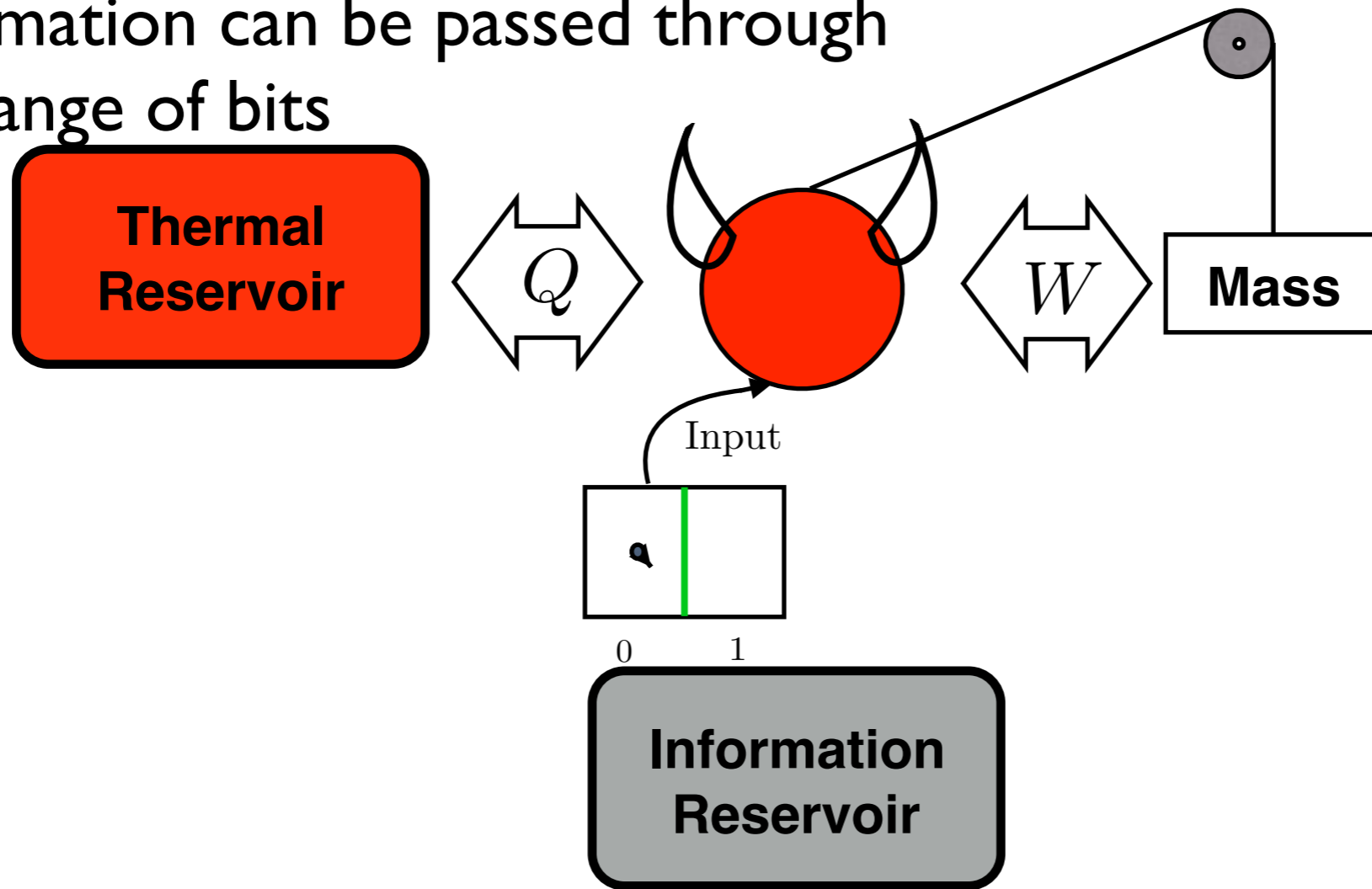
Information can be passed through exchange of bits





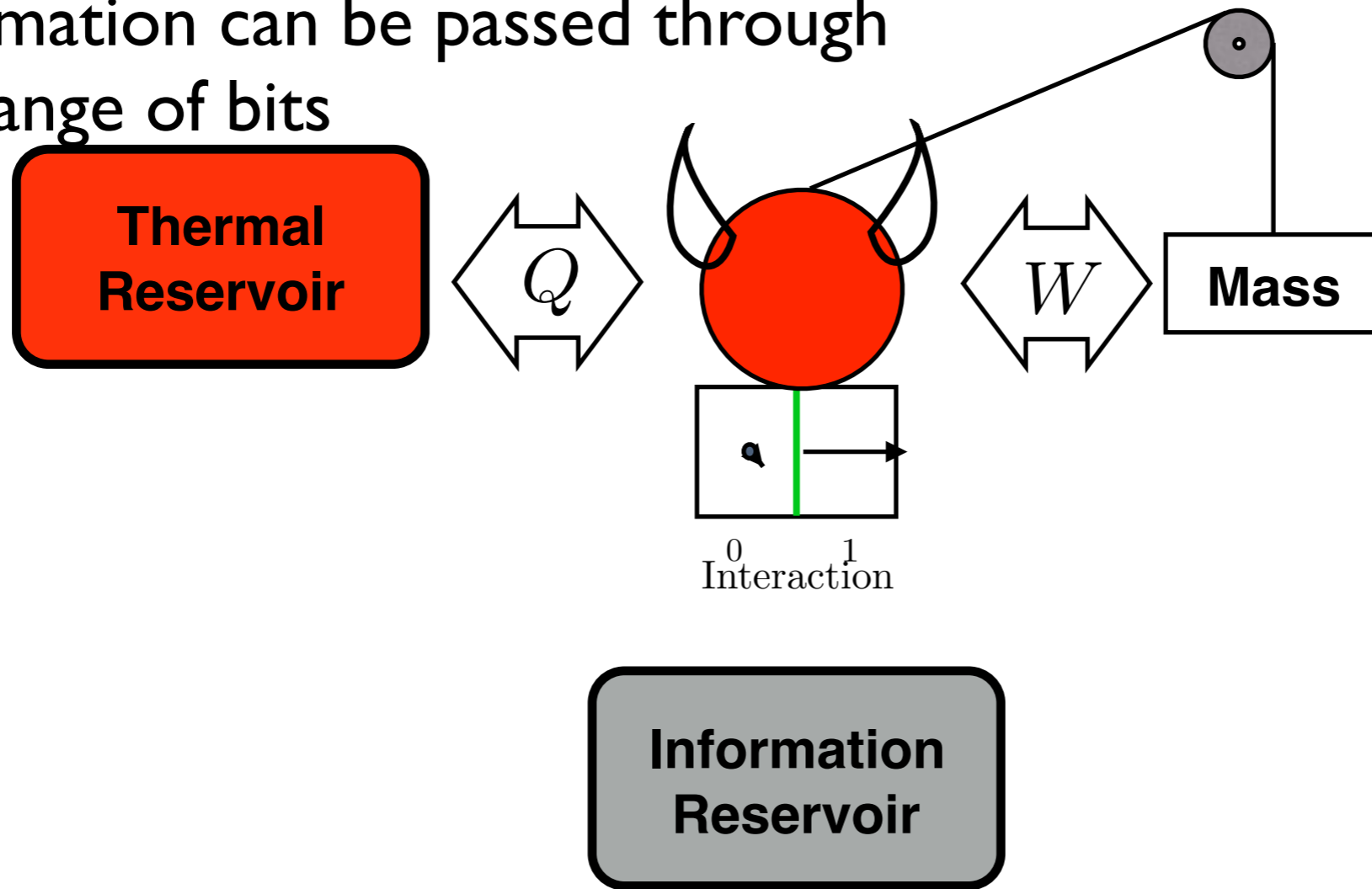
# Information Engine Energy Production

Information can be passed through exchange of bits



# Information Engine Energy Production

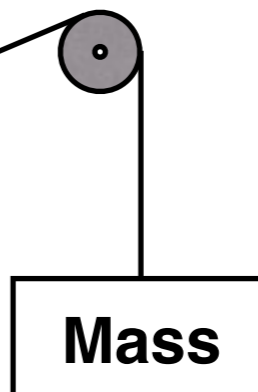
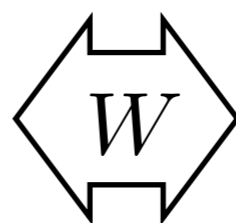
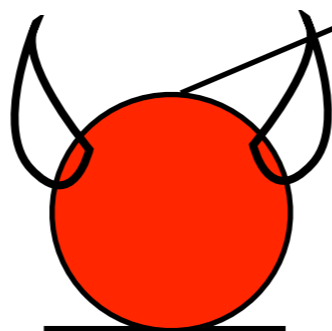
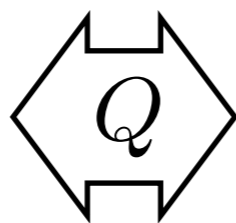
Information can be passed through exchange of bits



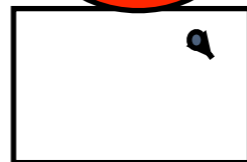
# Information Engine Energy Production

Information can be passed through exchange of bits

**Thermal Reservoir**



**Mass**



0 1  
Interaction

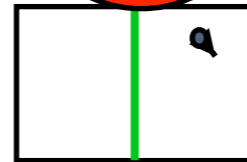
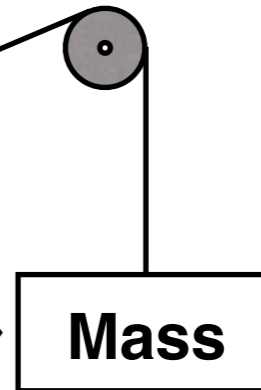
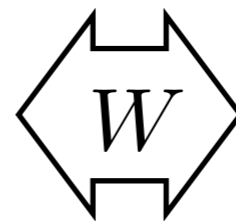
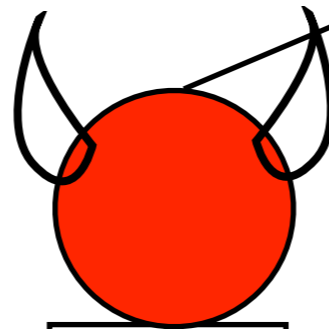
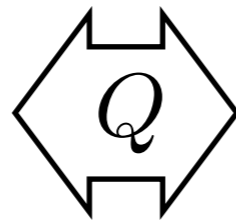
$$\langle W \rangle = \langle Q \rangle = -k_B T \ln 2$$

**Information Reservoir**

# Information Engine Energy Production

Information can be passed through exchange of bits

**Thermal Reservoir**



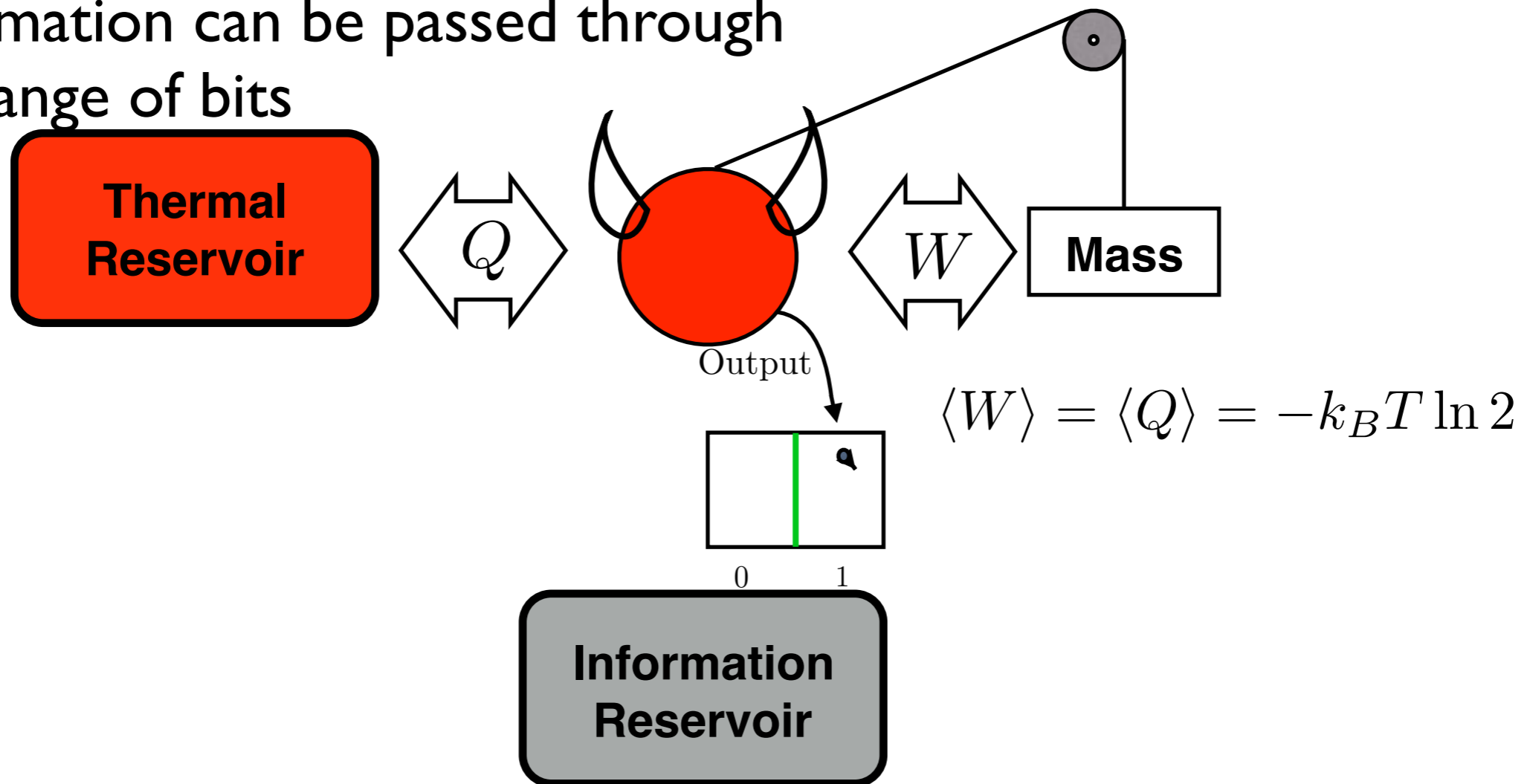
0 1  
Interaction

$$\langle W \rangle = \langle Q \rangle = -k_B T \ln 2$$

**Information Reservoir**

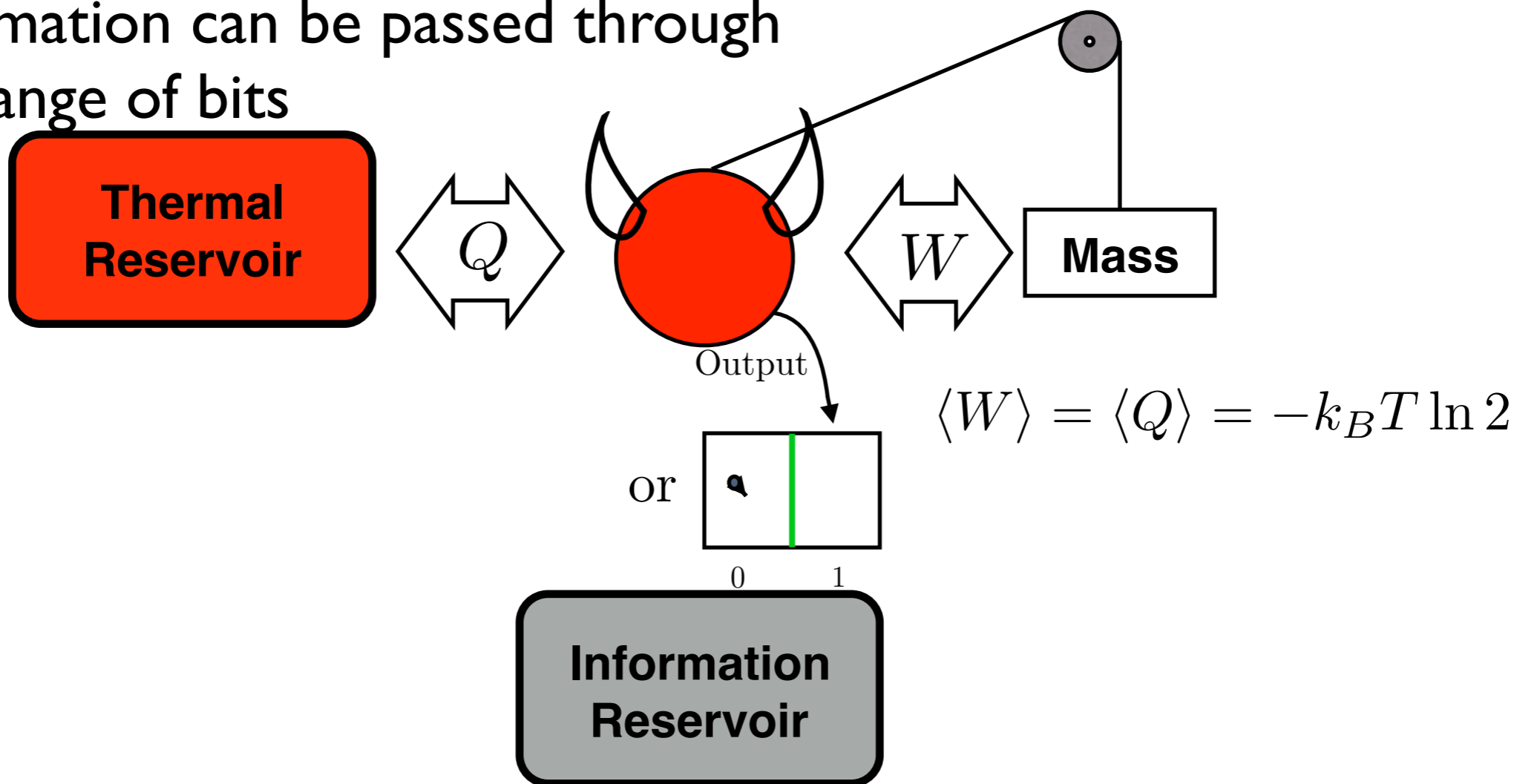
# Information Engine Energy Production

Information can be passed through exchange of bits



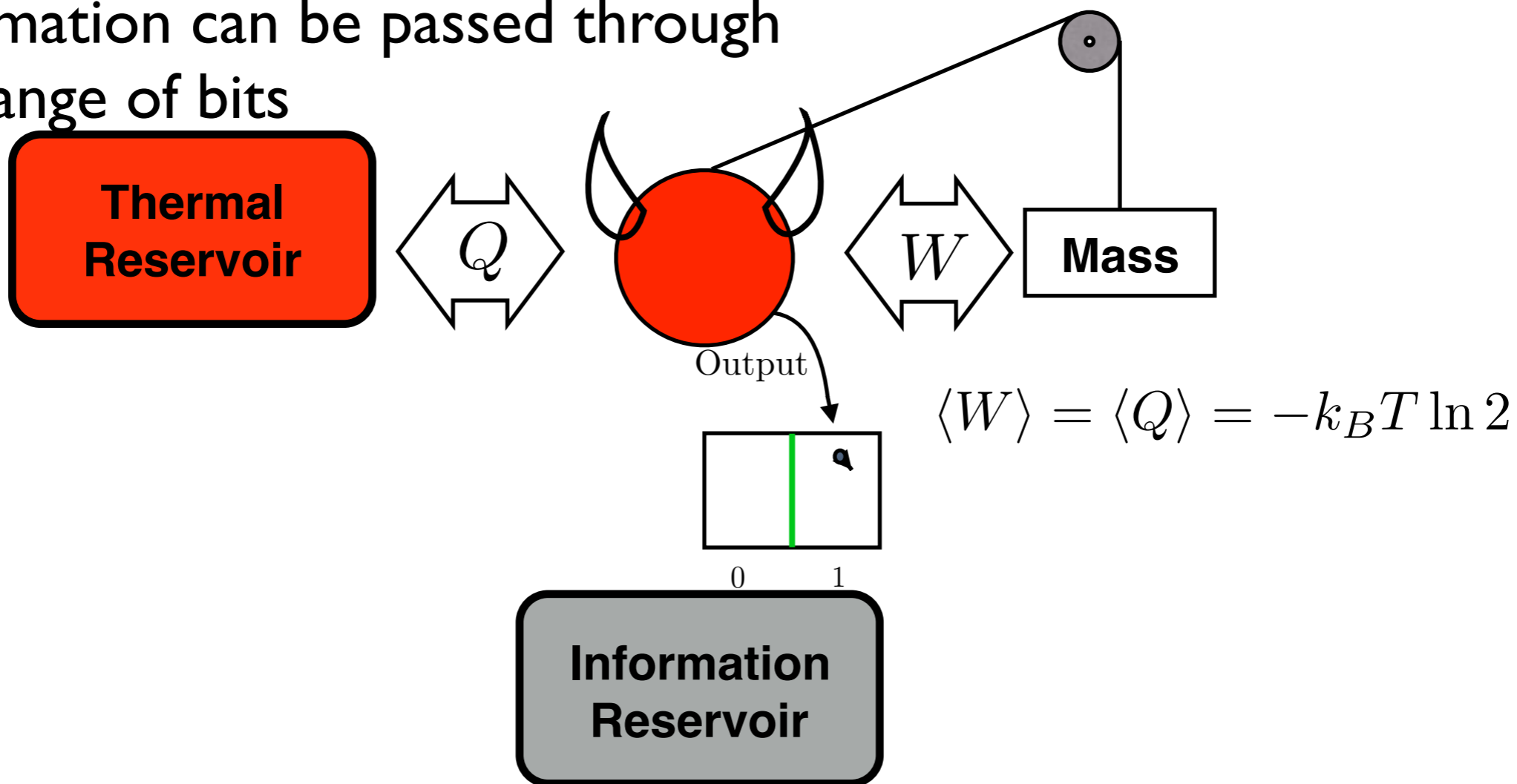
# Information Engine Energy Production

Information can be passed through exchange of bits



# Information Engine Energy Production

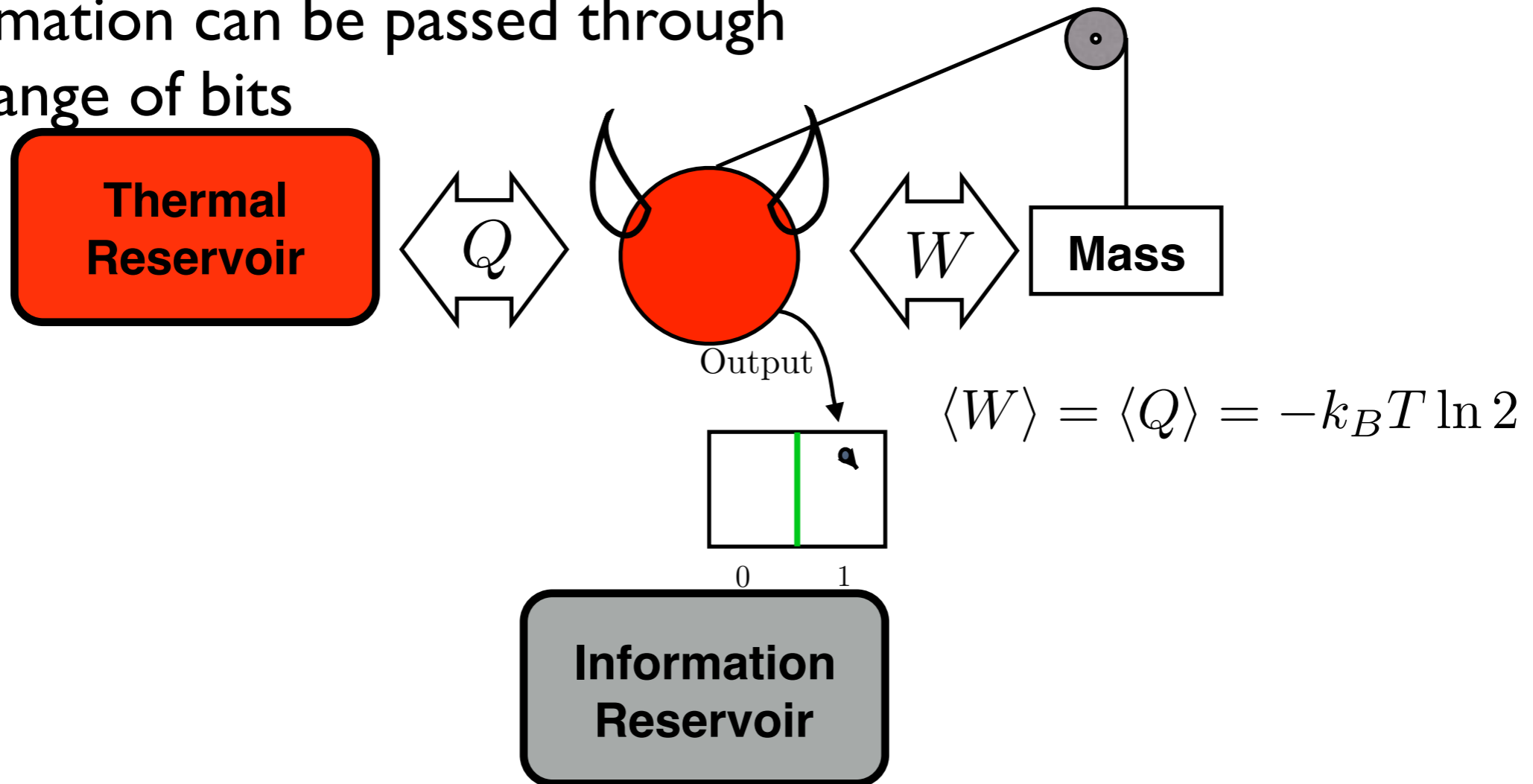
Information can be passed through exchange of bits



Randomize the inputs to perpetually produce work.

# Information Engine Energy Production

Information can be passed through exchange of bits

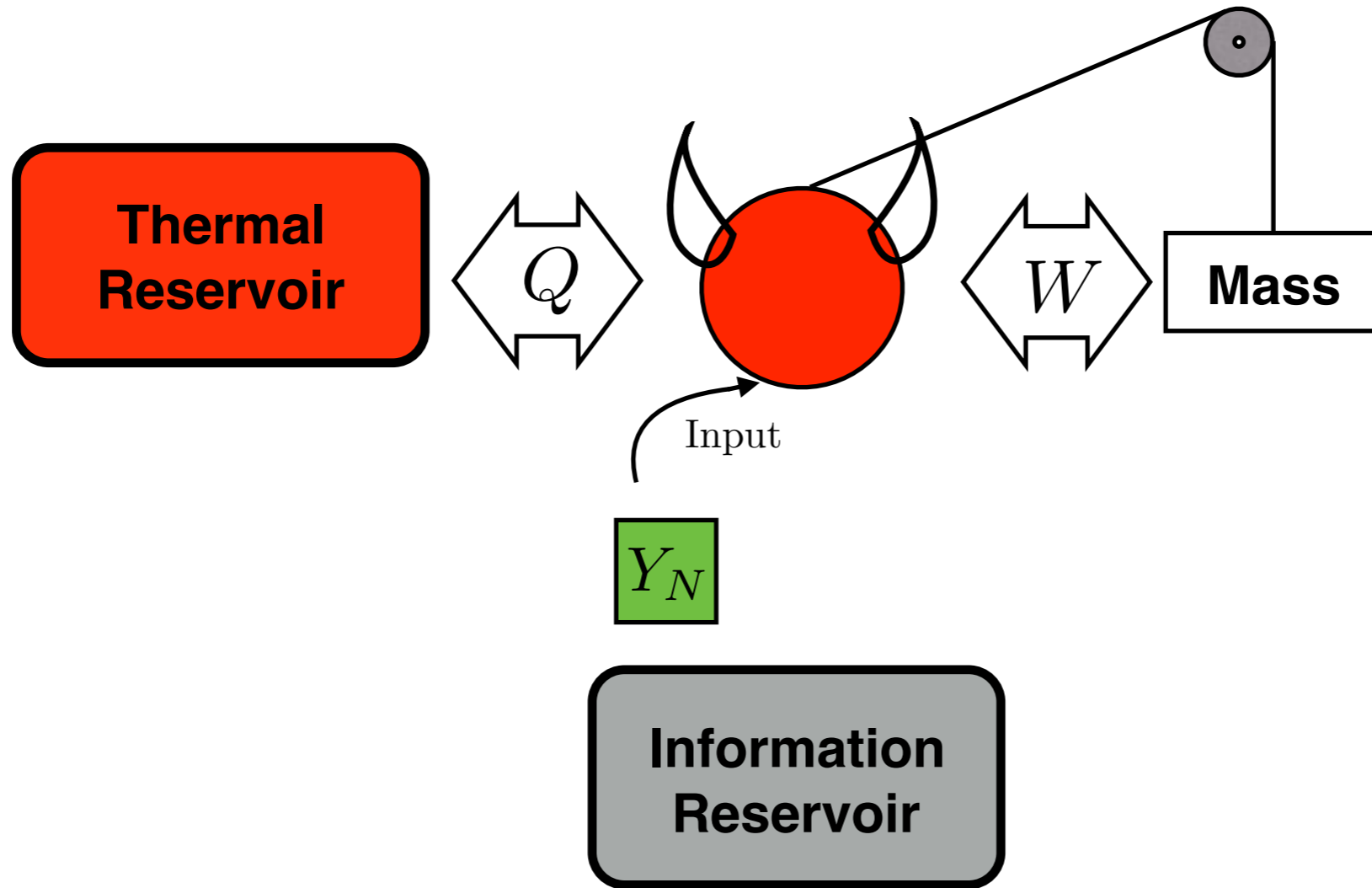


Randomize the inputs to perpetually produce work.

If the states have same energies, we need only describe the symbols.

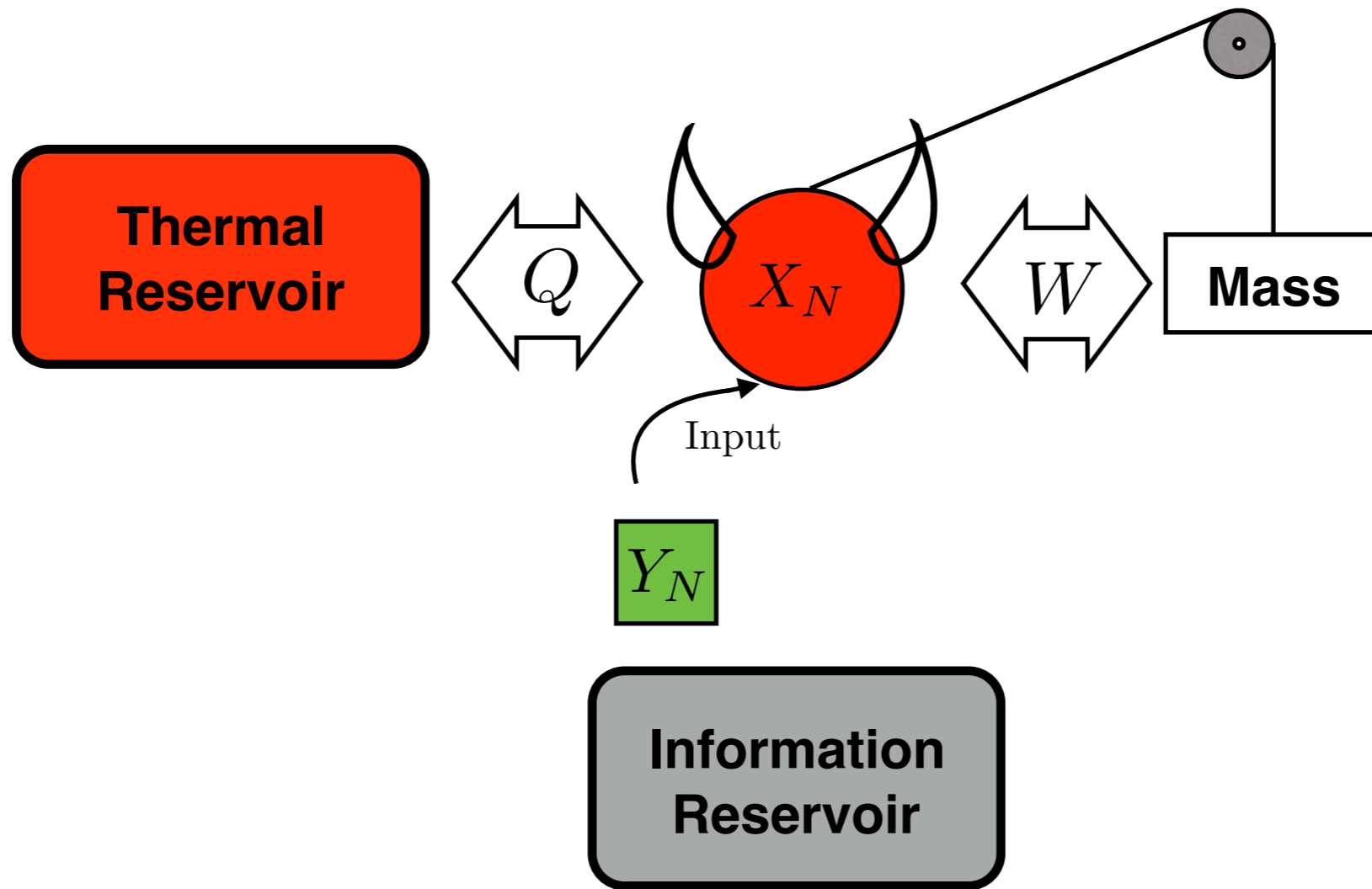


# Information Engine Energy Production



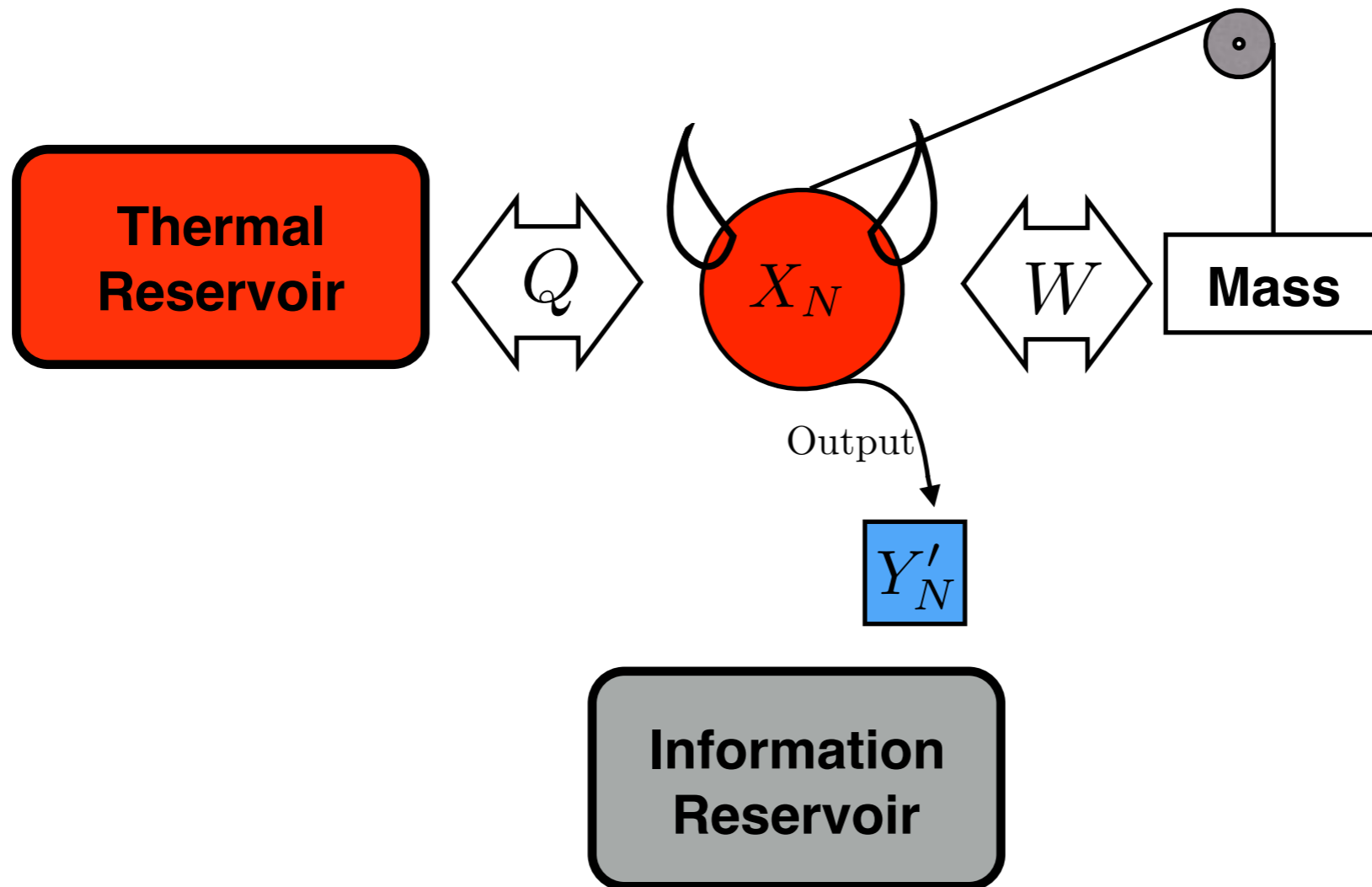
Take an input from the alphabet  $\mathcal{Y}$ ,

# Information Engine Energy Production



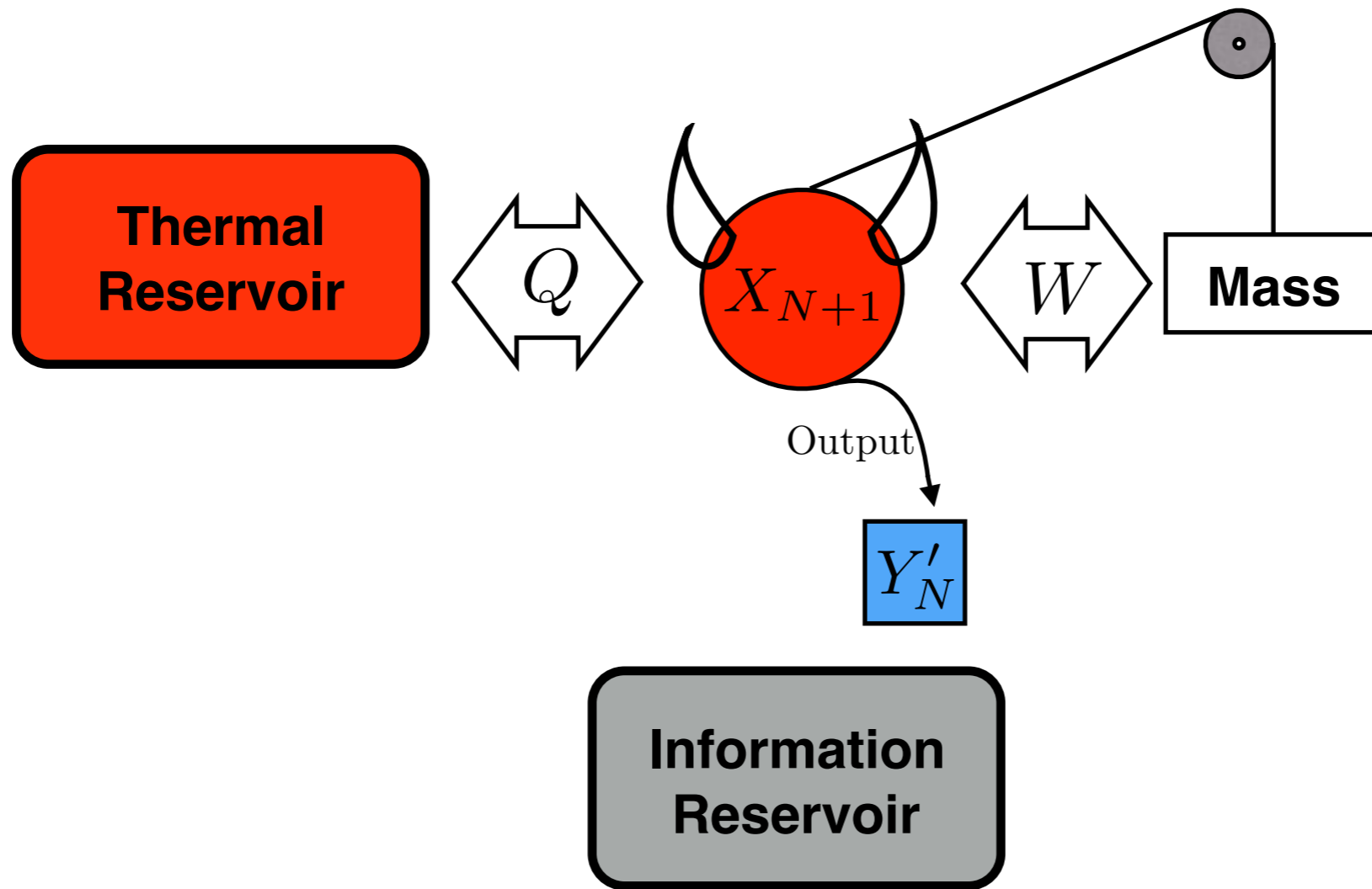
Take an input from the alphabet  $\mathcal{Y}$ , and, using the demon's internal state at  $X_N$  time  $t = N\tau$

# Information Engine Energy Production



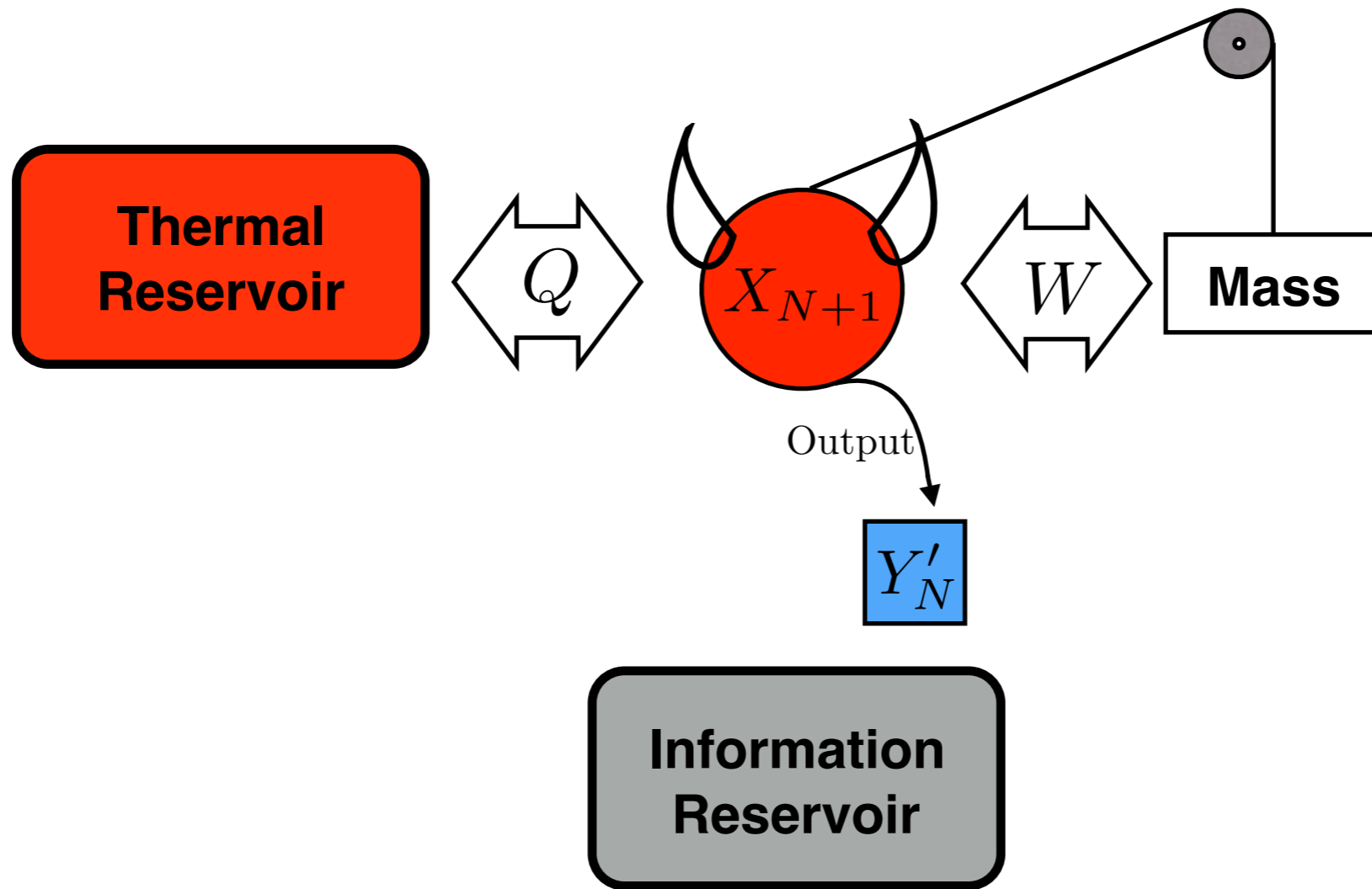
Take an input from the alphabet  $\mathcal{Y}$ , and, using the demon's internal state at  $X_N$  time  $t = N\tau$ , transform the input to an output in the same alphabet

# Information Engine Energy Production



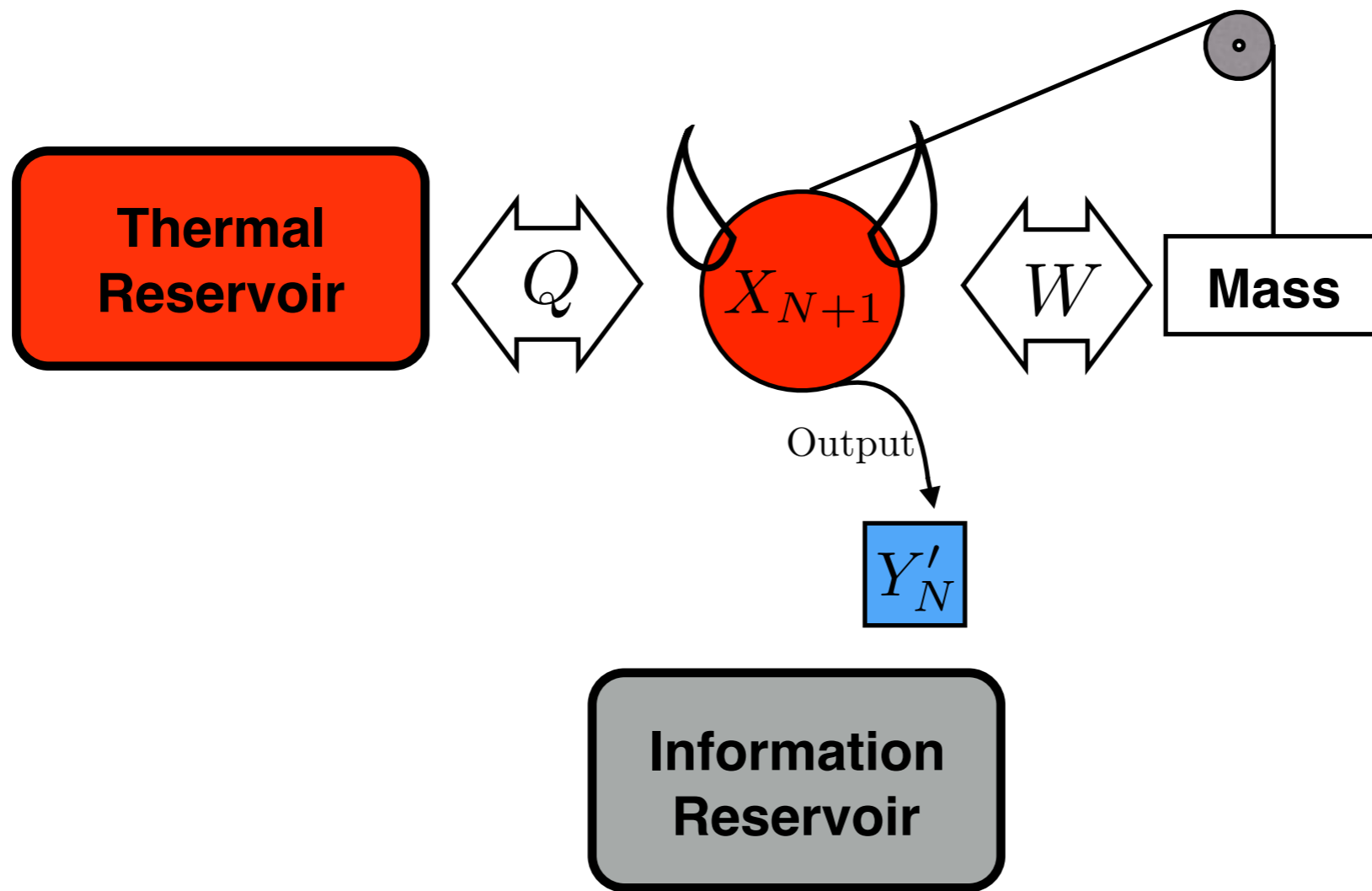
Take an input from the alphabet  $\mathcal{Y}$ , and, using the demon's internal state at  $X_N$  time  $t = N\tau$ , transform the input to an output in the same alphabet, while also updating your internal state to  $X_{N+1}$  at time  $t = (N + 1)\tau$

# Information Engine Energy Production



Take an input from the alphabet  $\mathcal{Y}$ , and, using the demon's internal state at  $X_N$  time  $t = N\tau$ , transform the input to an output in the same alphabet, while also updating your internal state to  $X_{N+1}$  at time  $t = (N + 1)\tau$ , according to the joint Markov transition  $M$ .

# Information Engine Energy Production

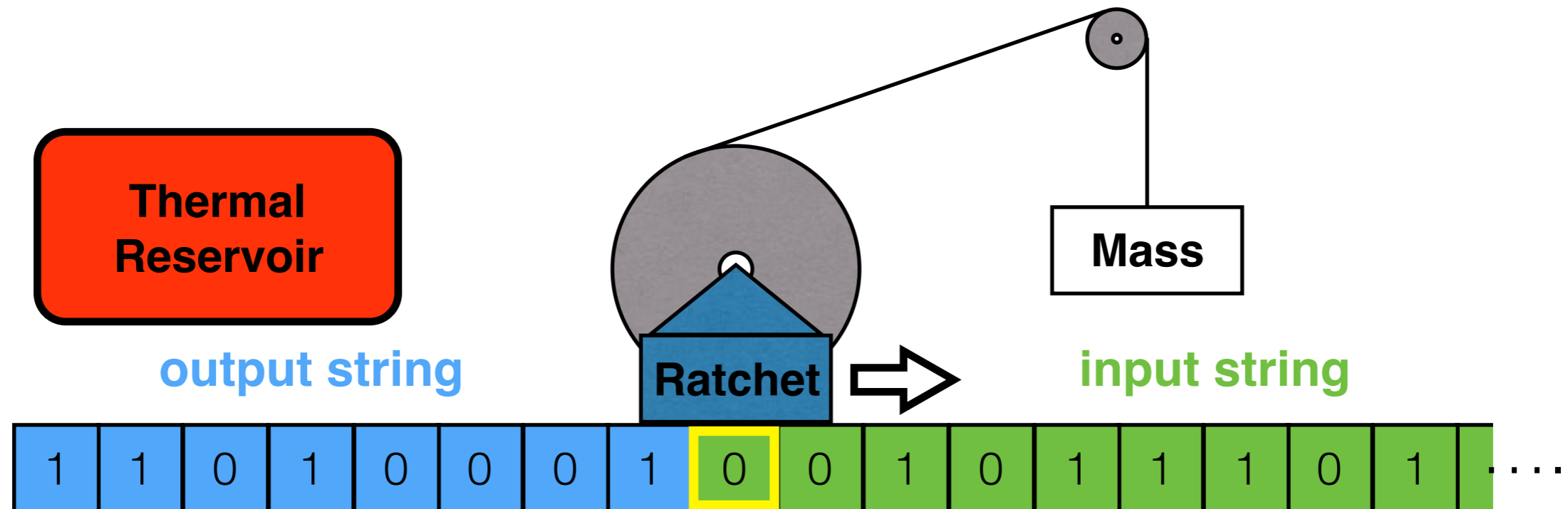


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$$M_{x_N, y_N \rightarrow x_{N+1}, y'_N} = \Pr(X_{N+1} = x_{N+1}, Y'_N = y'_N | X_N = x_N, Y_N = y_N)$$

# Information Ratchet

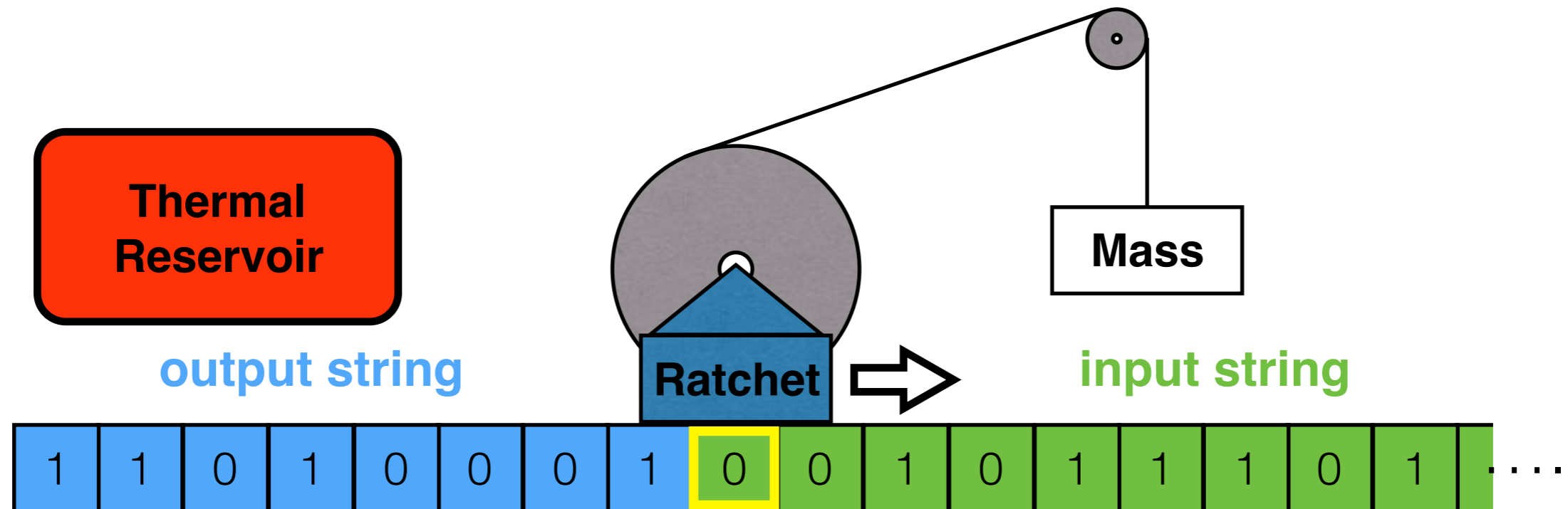
D. Mandal and C. Jarzynski. Work and information processing in a solvable model of Maxwell's demon. Proc. Natl. Acad. Sci. USA, 109(29):11641–11645, 2012.



# Information Ratchet

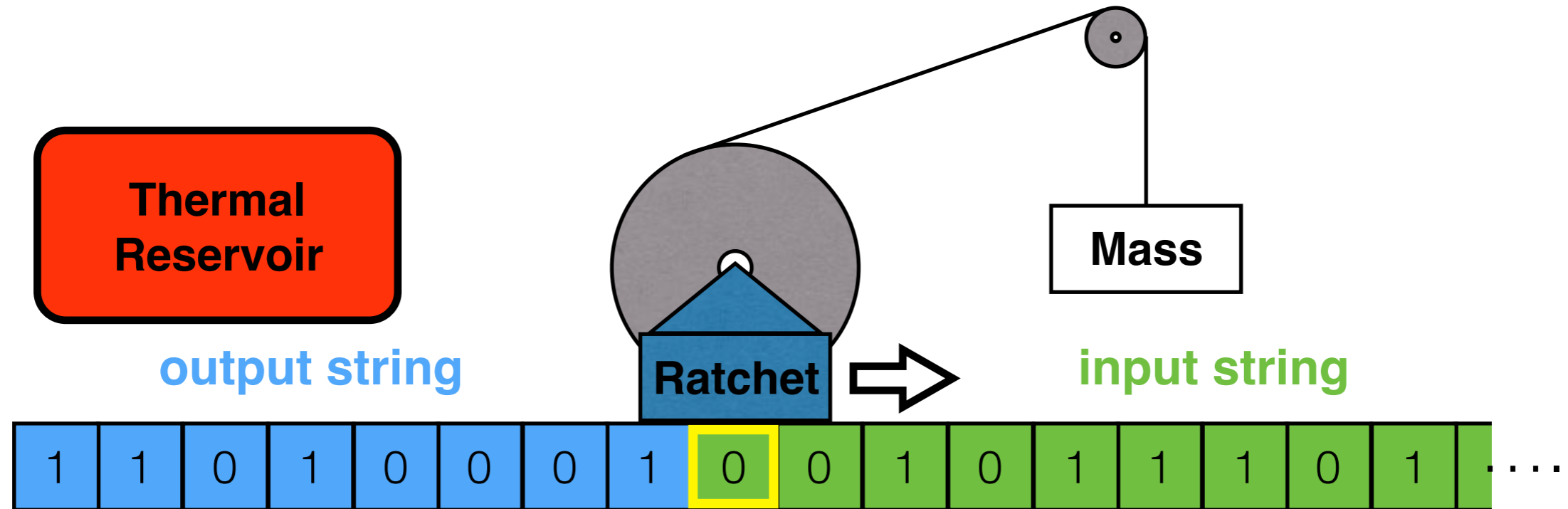
## Exactly solvable autonomous Maxwellian demon/information engine

D. Mandal and C. Jarzynski. Work and information processing in a solvable model of Maxwell's demon. Proc. Natl. Acad. Sci. USA, 109(29):11641–11645, 2012.



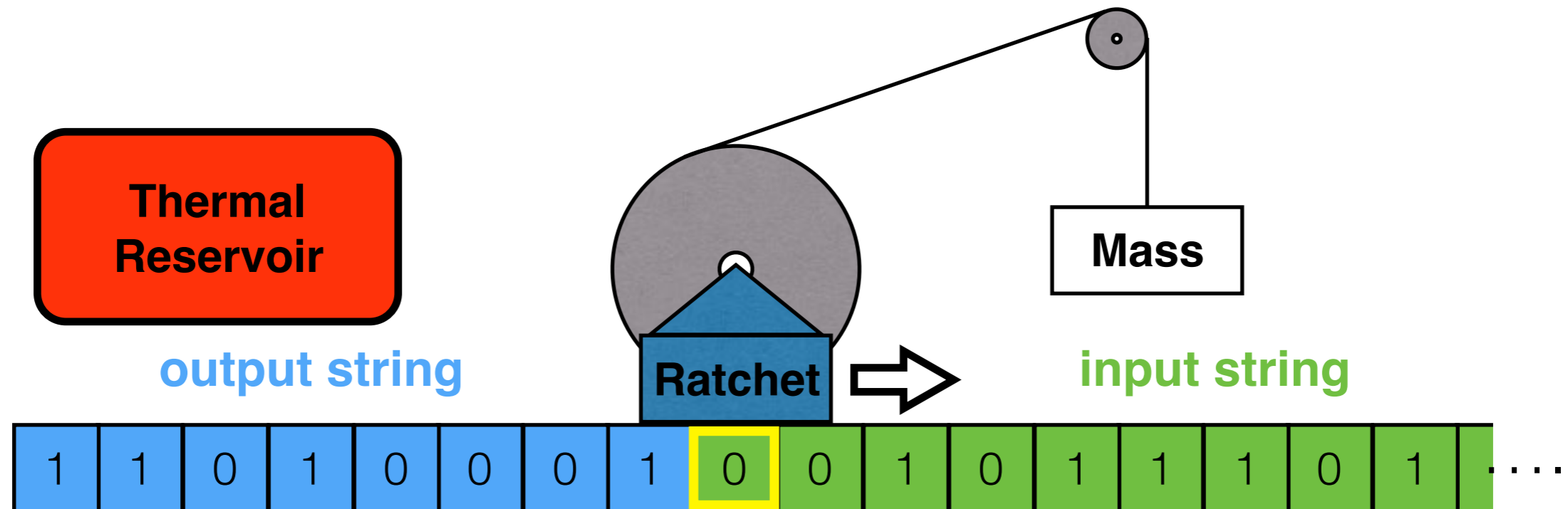


# Information Ratchet



HMM Input Generator:  $1:1-b \curvearrowright \textcircled{D} \curvearrowleft 0:b$

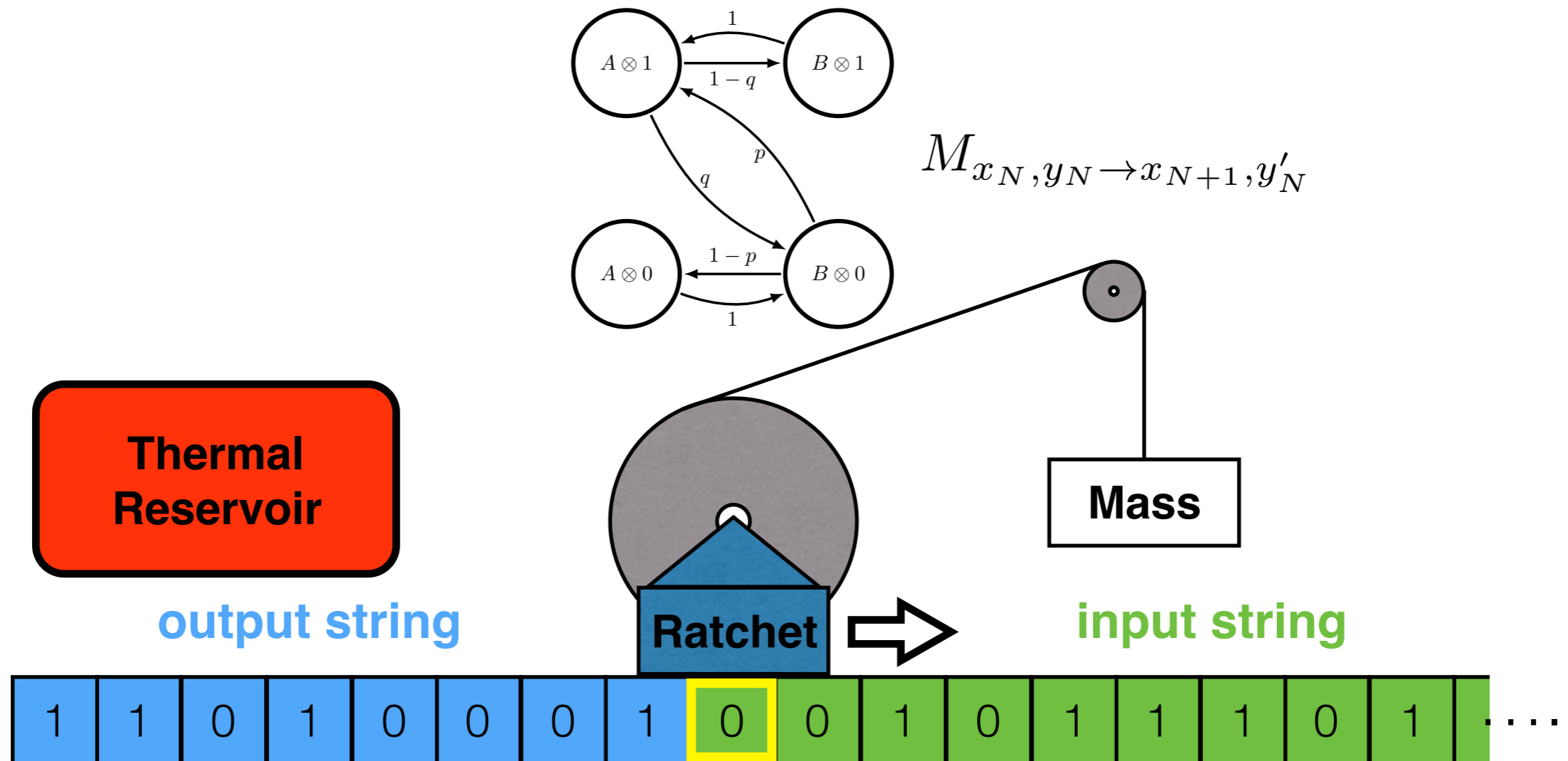
# Information Ratchet



HMM Input Generator:  $1:1-b \curvearrowright \textcircled{D} \curvearrowleft 0:b$

$$T_{s_N \rightarrow s_{N+1}}^{(y_N)} = \Pr(S_{N+1} = s_{N+1}, Y_N = y_N | S_N = s_N)$$

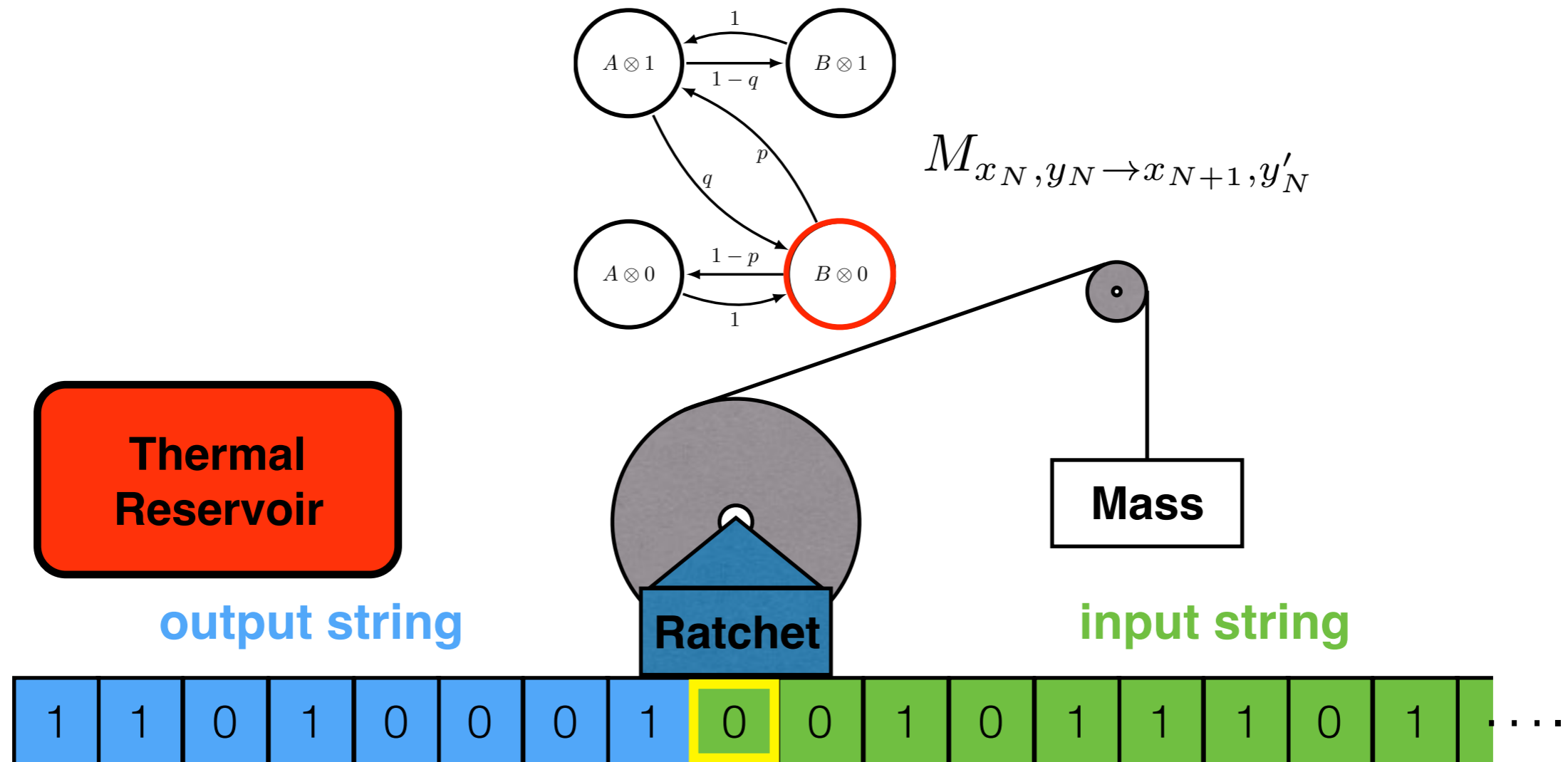
# Information Ratchet



HMM Input Generator:  $1:1-b \text{ } \textcirclearrowleft \text{ } (D) \text{ } \textcirclearrowright \text{ } 0:b$

$$T_{s_N \rightarrow s_{N+1}}^{(y_N)} = \Pr(S_{N+1} = s_{N+1}, Y_N = y_N | S_N = s_N)$$

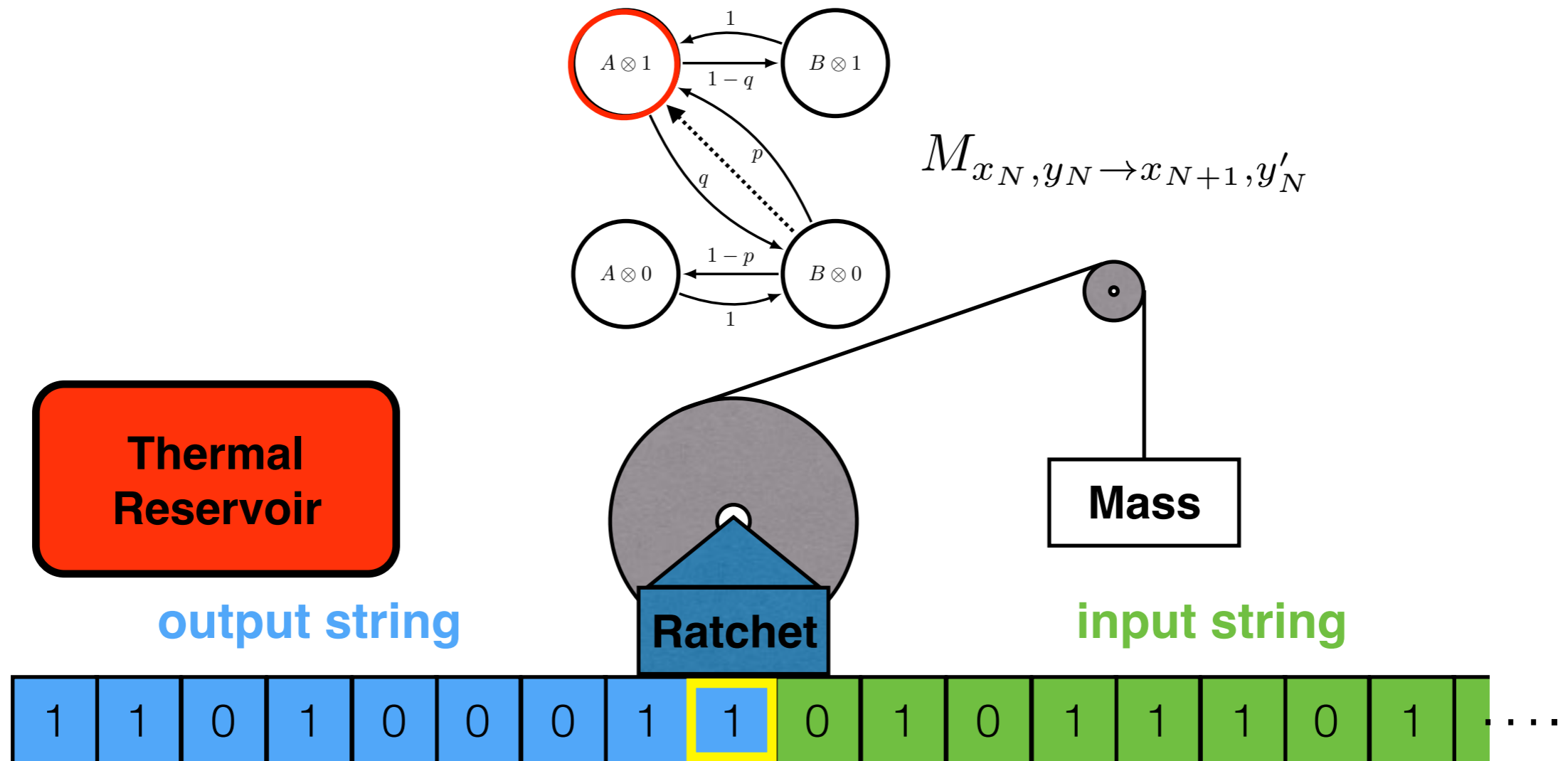
# Memoryful Ratchet Interaction



HMM Input Generator:  $1:1-b \curvearrowright \textcircled{D} \curvearrowleft 0:b$

$$T_{s_N \rightarrow s_{N+1}}^{(y_N)} = \Pr(S_{N+1} = s_{N+1}, Y_N = y_N | S_N = s_N)$$

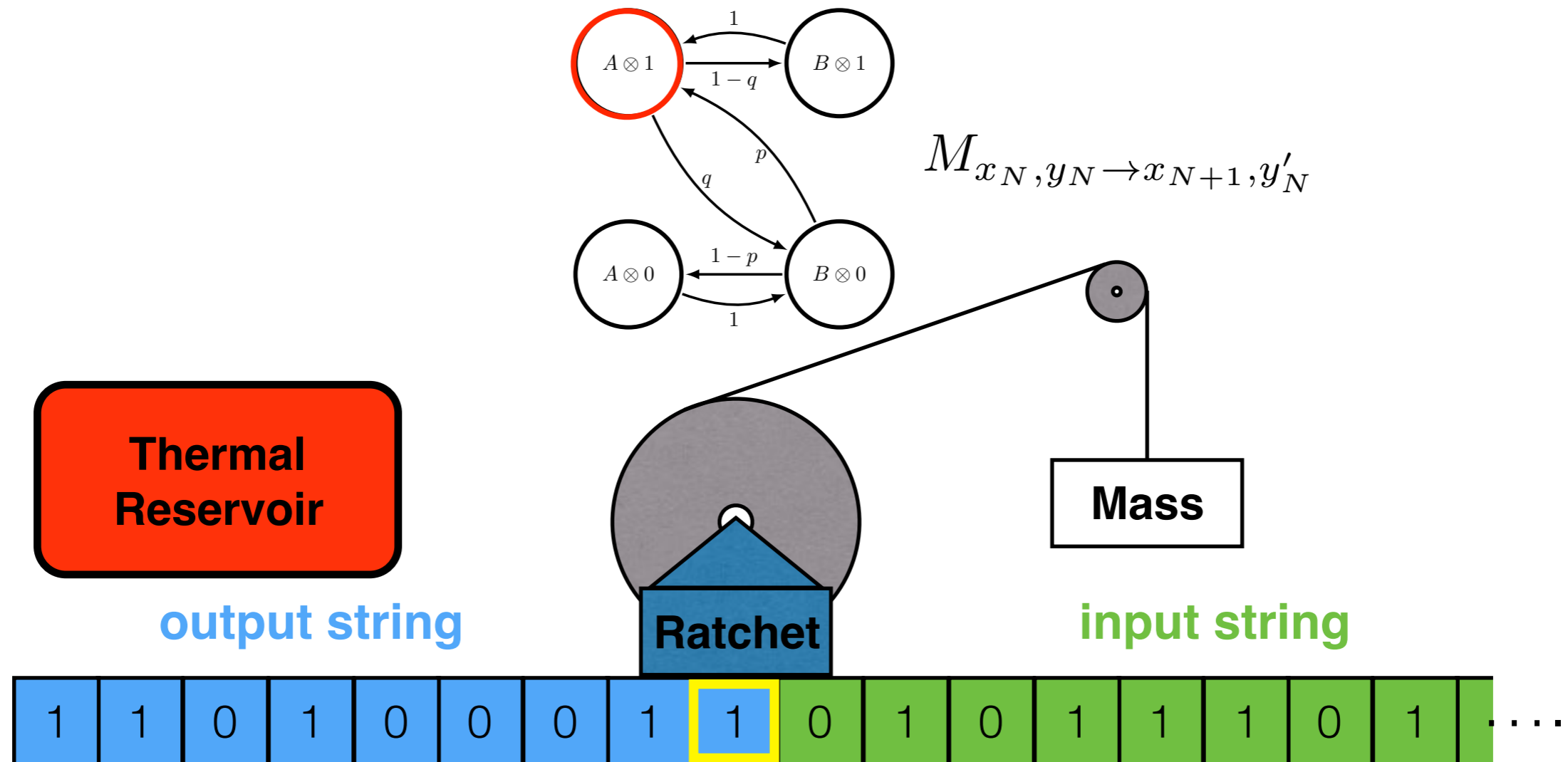
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HMM Input Generator:  $1:1-b \curvearrowright \textcircled{D} \curvearrowleft 0:b$

$$T_{s_N \rightarrow s_{N+1}}^{(y_N)} = \Pr(S_{N+1} = s_{N+1}, Y_N = y_N | S_N = s_N)$$

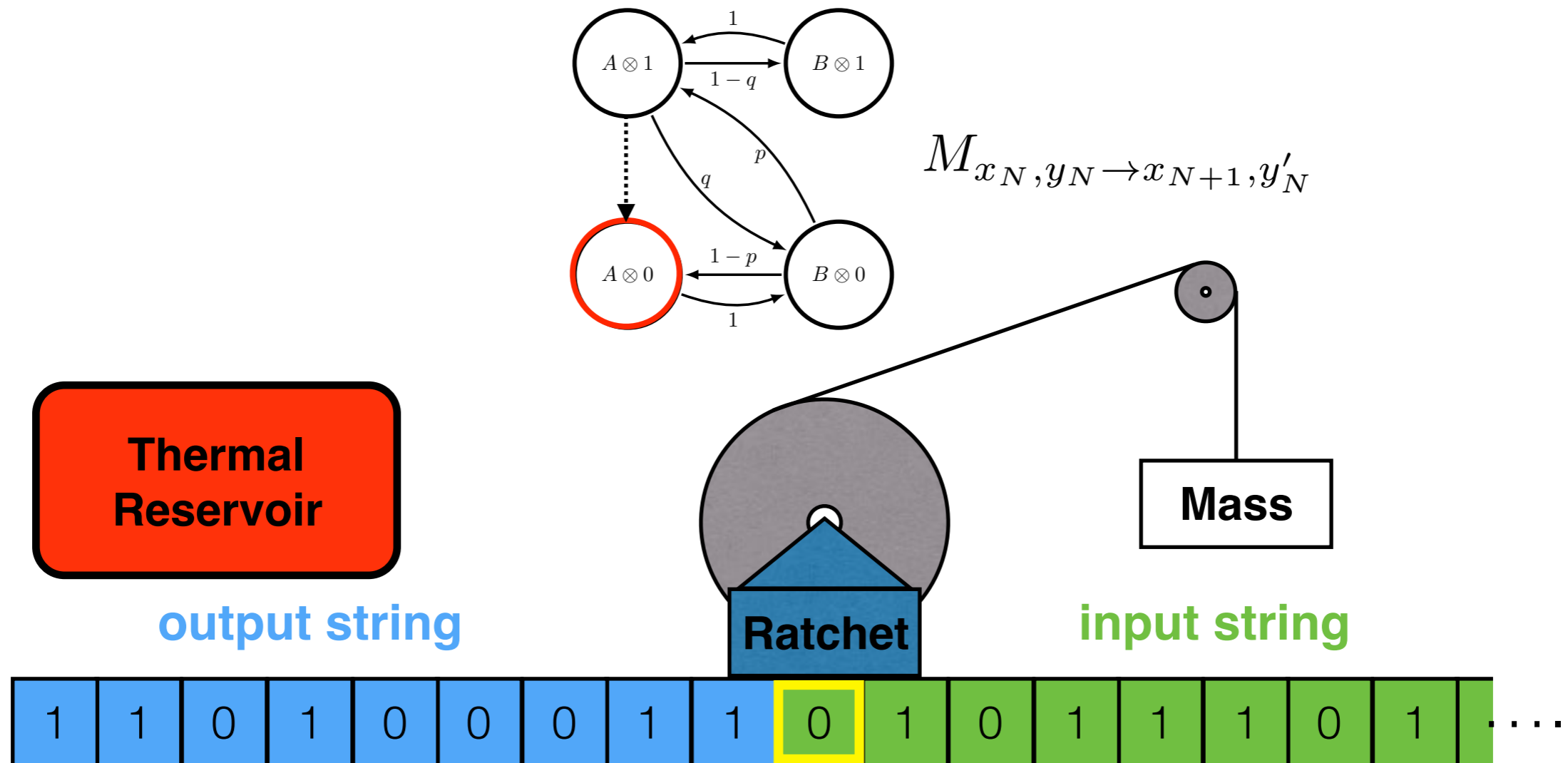
# Memoryful Ratchet Switching



HMM Input Generator:  $1:1-b \curvearrowright \textcircled{D} \curvearrowleft 0:b$

$$T_{s_N \rightarrow s_{N+1}}^{(y_N)} = \Pr(S_{N+1} = s_{N+1}, Y_N = y_N | S_N = s_N)$$

# Memoryful Ratchet Switching

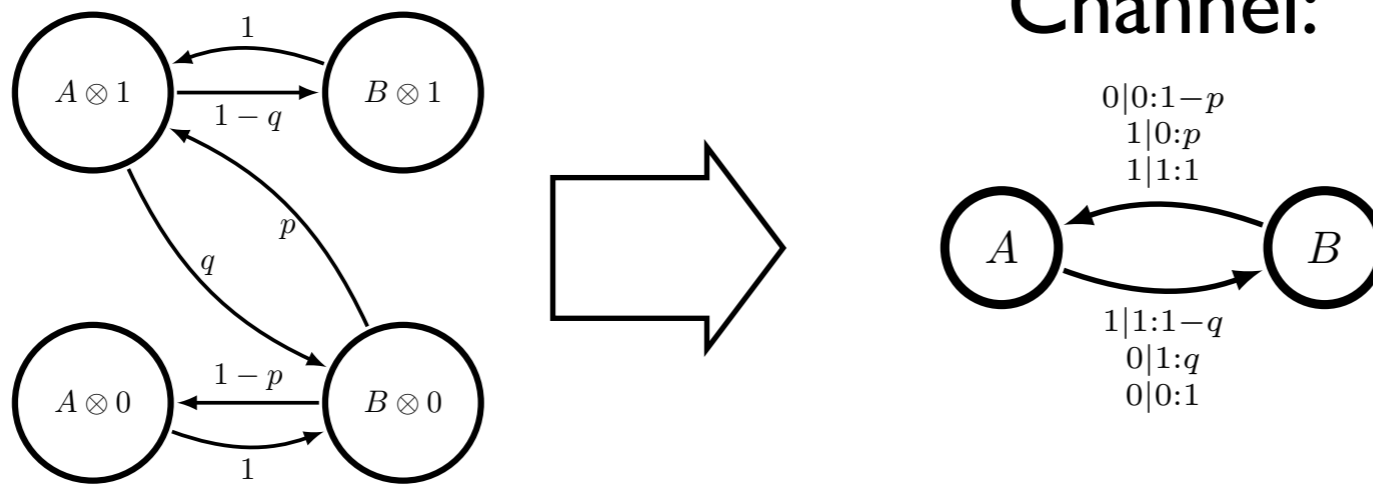


HMM Input Generator:  $1:1-b \curvearrowright \textcircled{D} \curvearrowleft 0:b$

$$T_{s_N \rightarrow s_{N+1}}^{(y_N)} = \Pr(S_{N+1} = s_{N+1}, Y_N = y_N | S_N = s_N)$$

# Physical Information Transduction

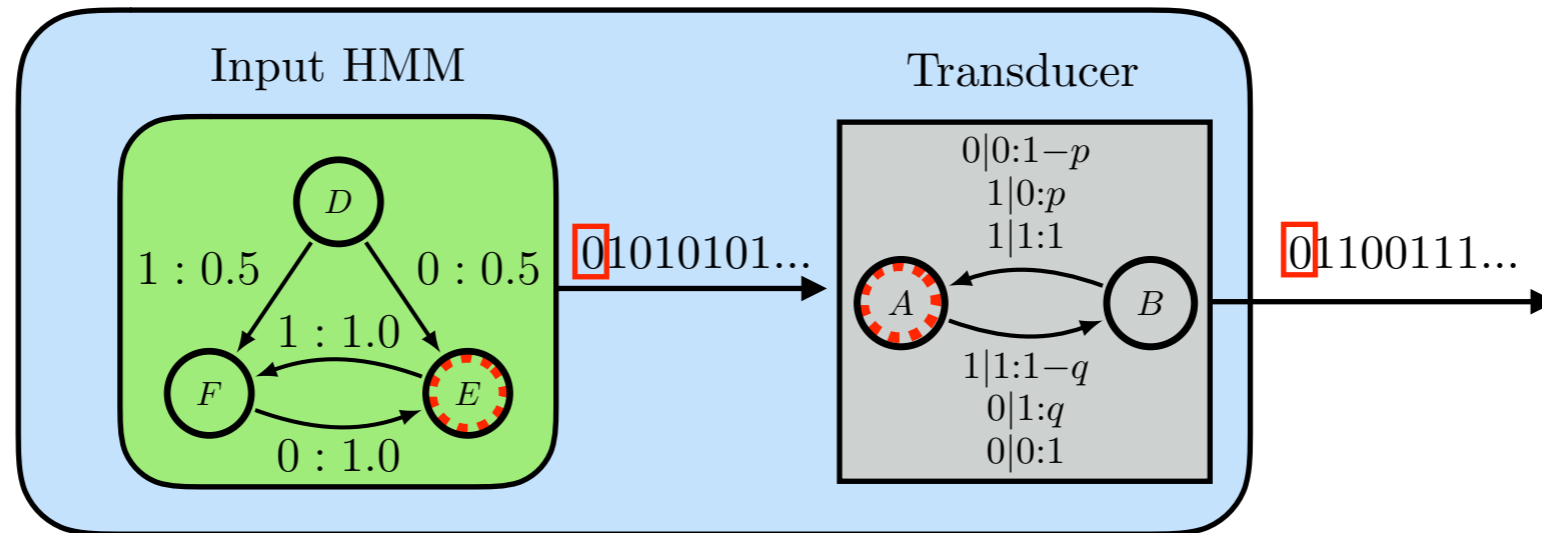
Channel representing ratchet is also memoryful (multiple hidden states):





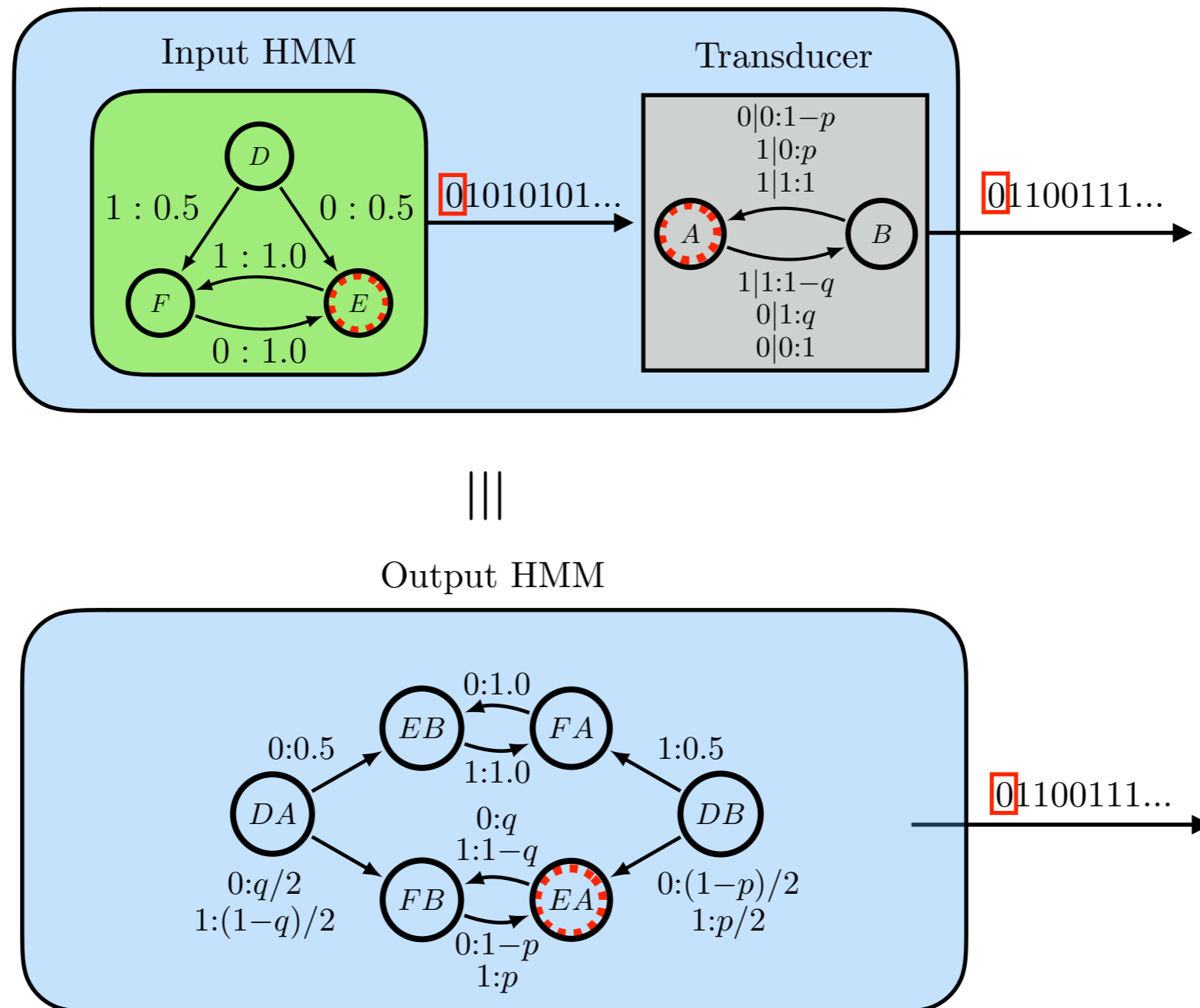
# Transducers

Memoryful channel determines output process:

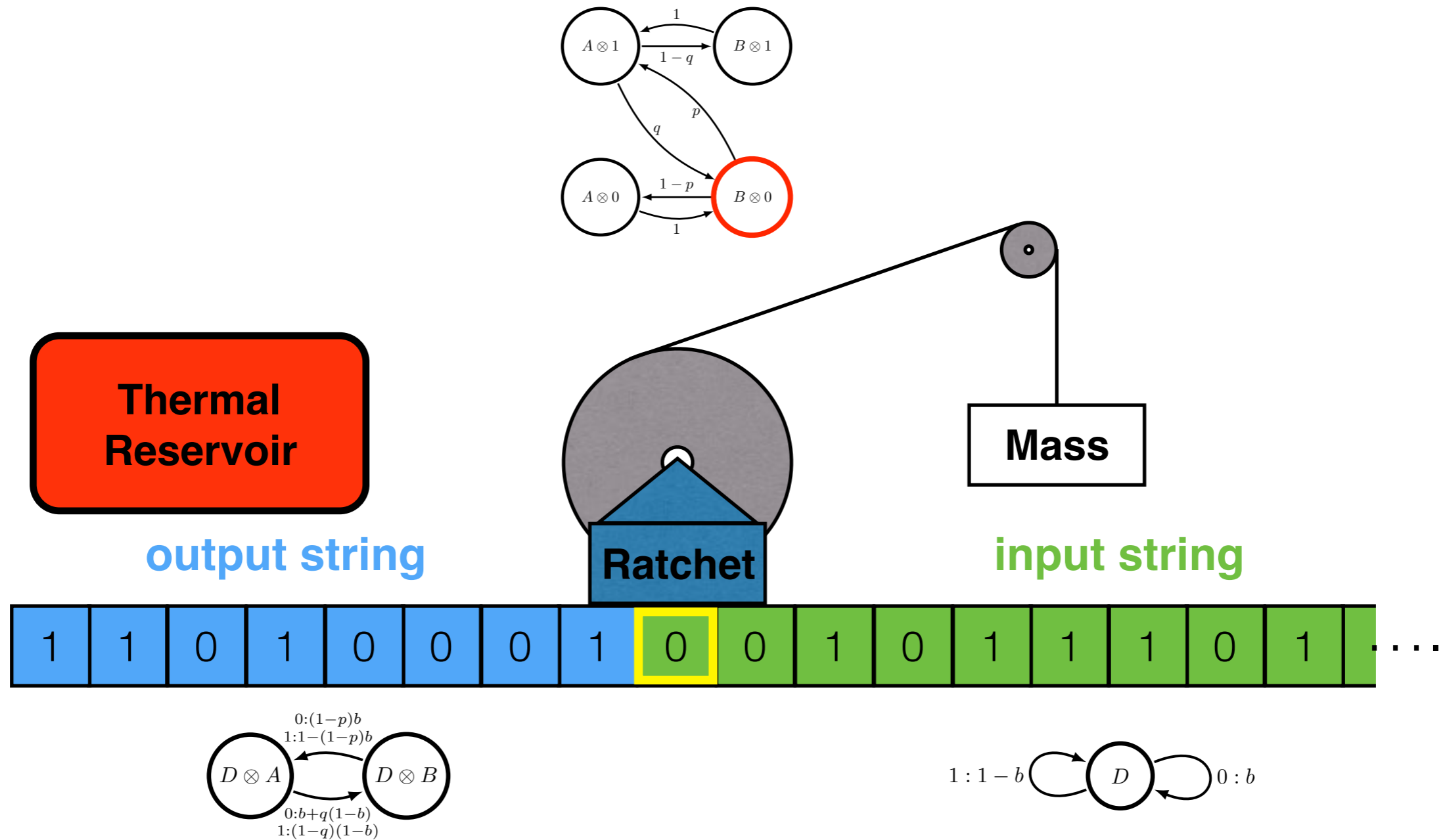


# Transducers

## Paradigm for information processing

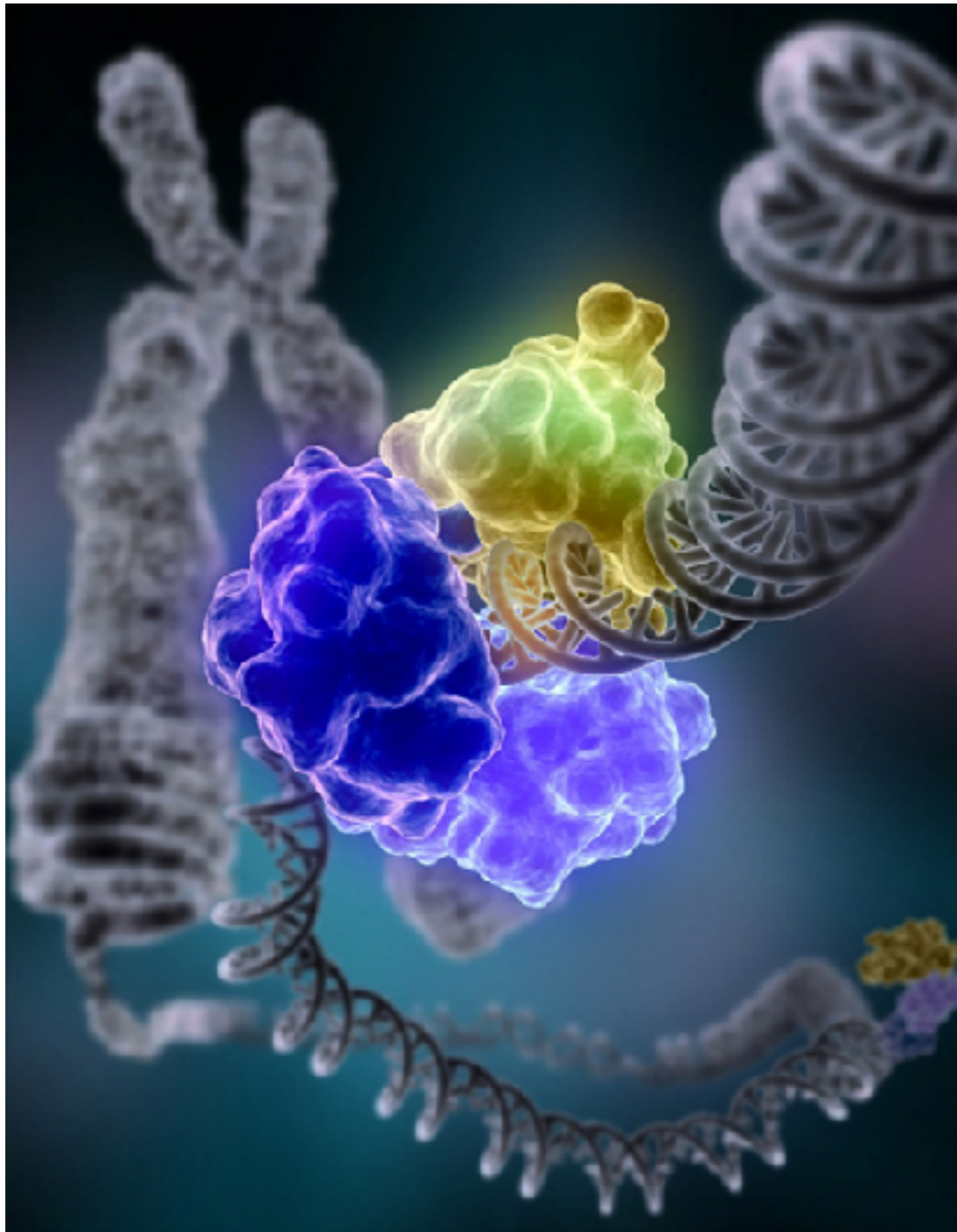


# Memoryful Ratchet Interaction



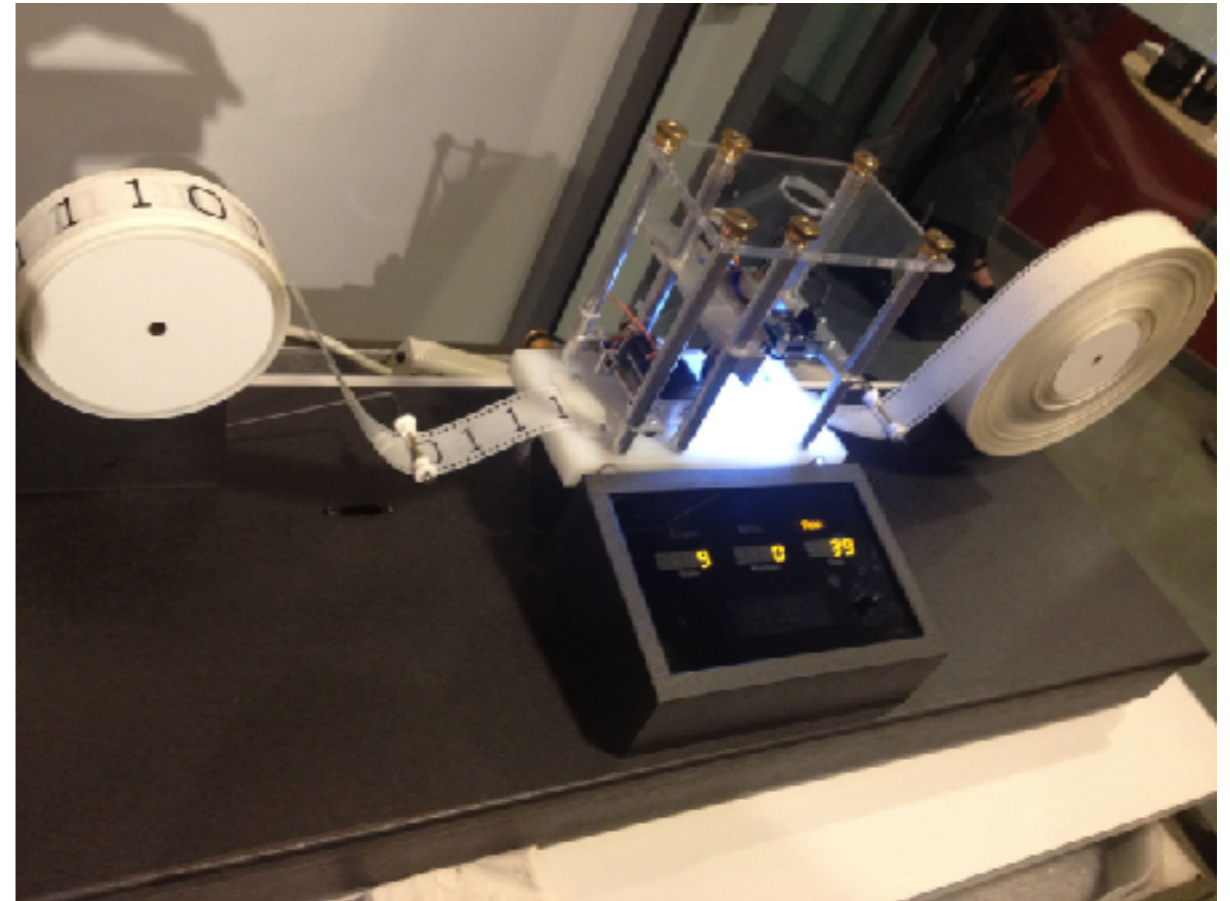
# Physical/Computational Comparisons

## DNA Ligase



[https://en.wikipedia.org/wiki/DNA\\_ligase](https://en.wikipedia.org/wiki/DNA_ligase)

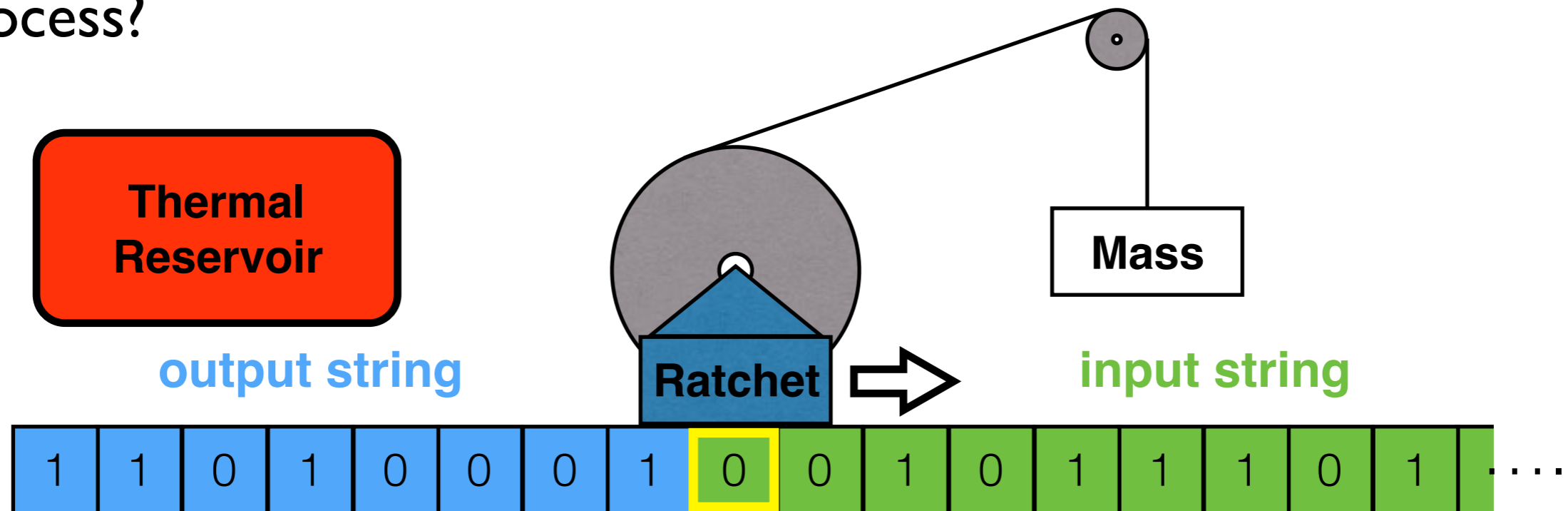
## Turing Machine



[https://en.wikipedia.org/wiki/Turing\\_machine](https://en.wikipedia.org/wiki/Turing_machine)

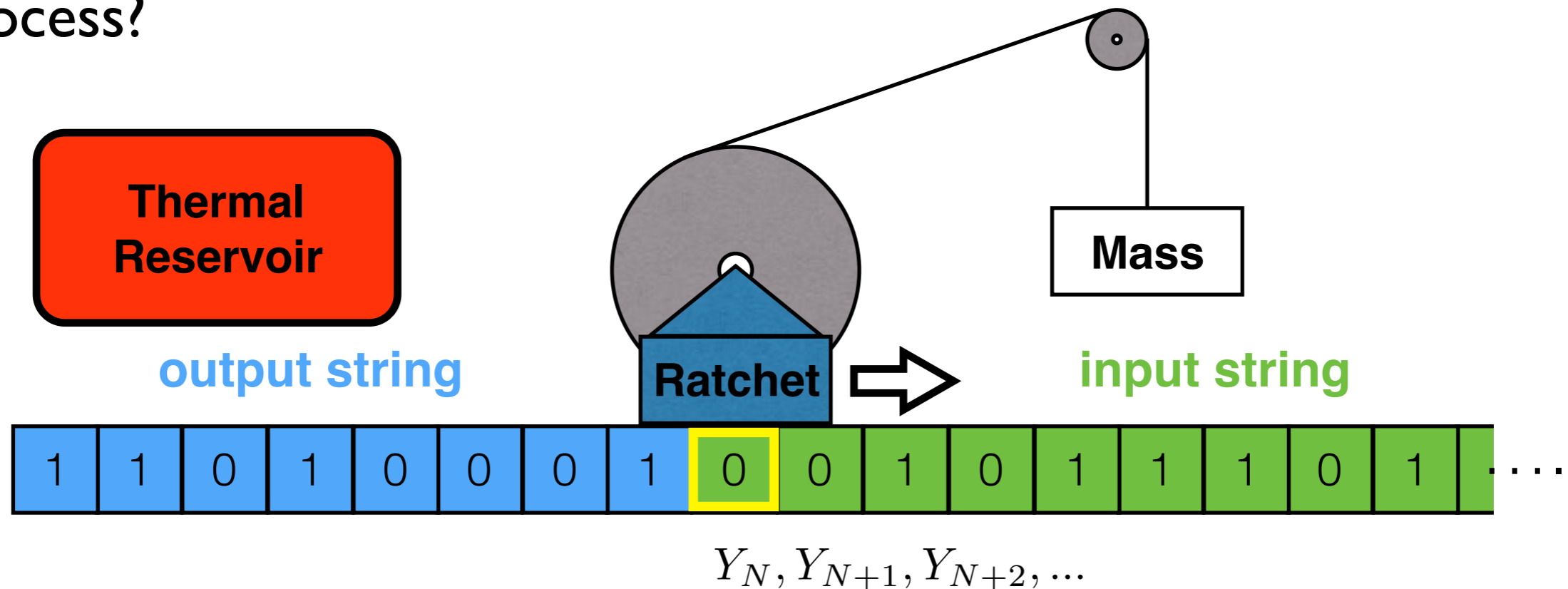
# Thermodynamics of Transducers

How much work must we invest to execute an input-output process?



# Thermodynamics of Transducers

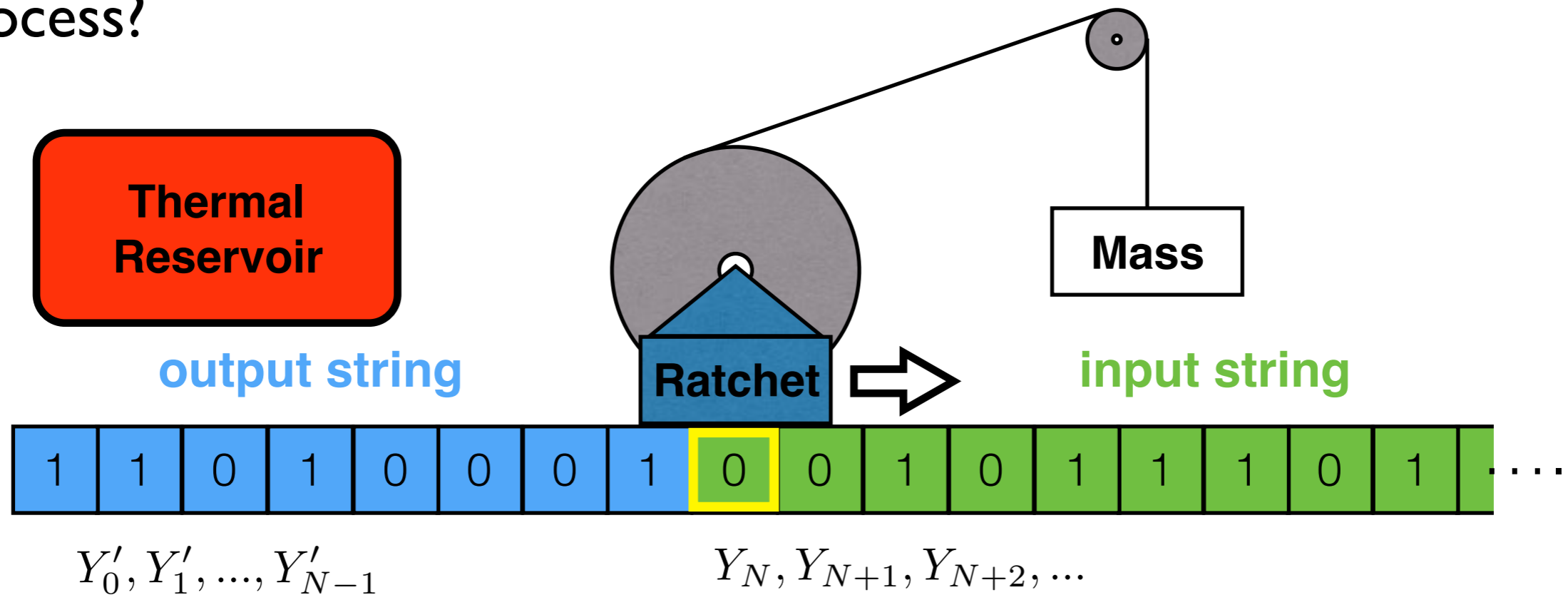
How much work must we invest to execute an input-output process?



Information reservoir includes inputs  $Y_N, Y_{N+1}, Y_{N+2}, \dots$

# Thermodynamics of Transducers

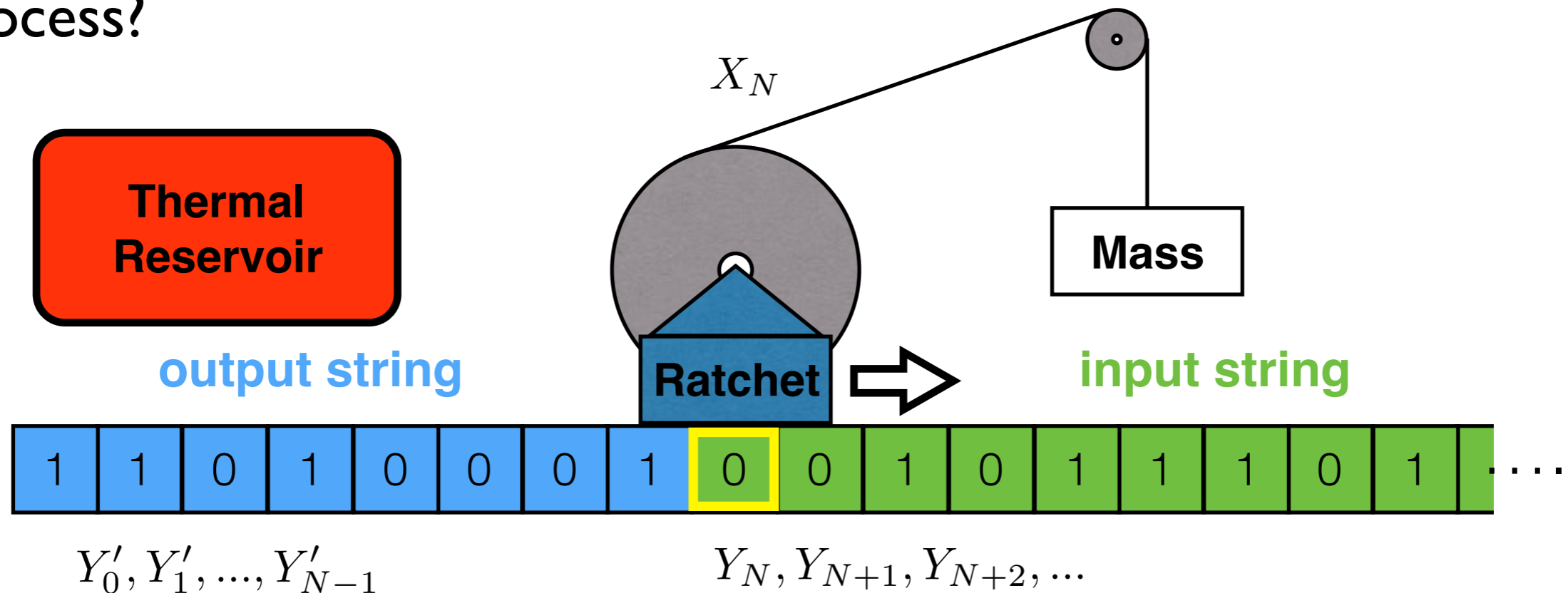
How much work must we invest to execute an input-output process?



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# Thermodynamics of Transducers

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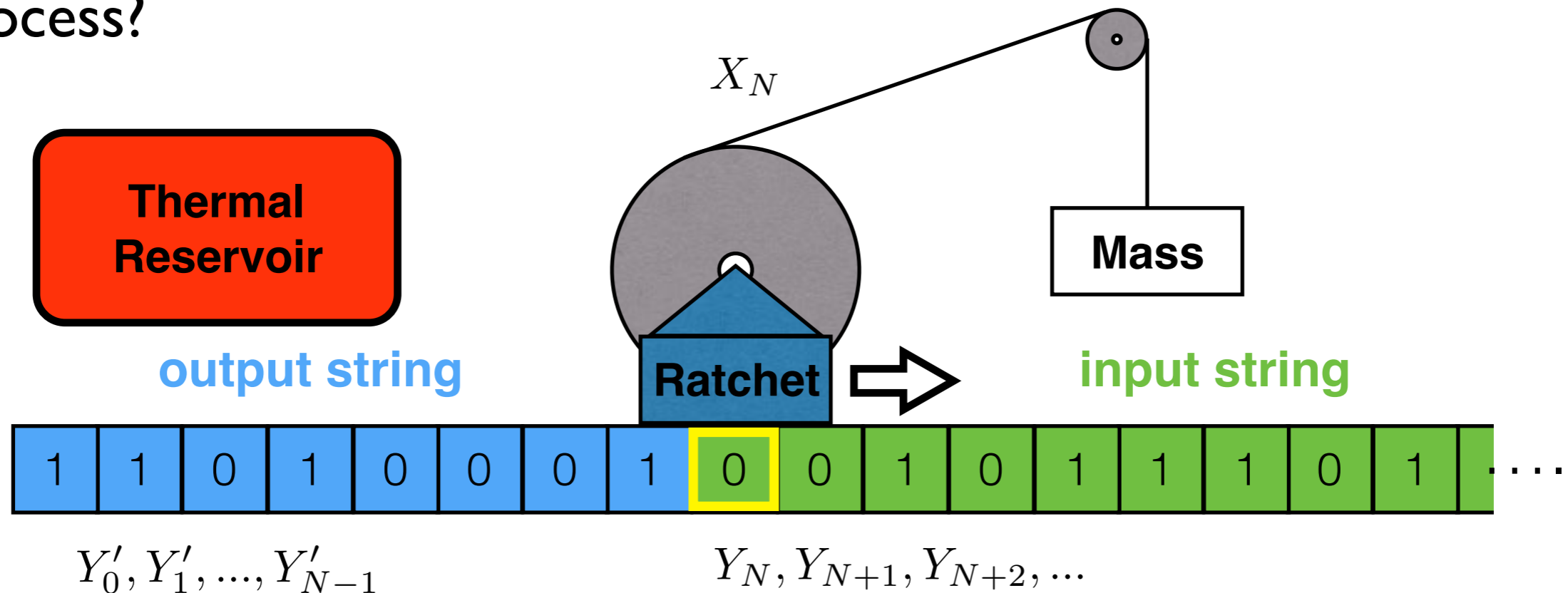


Information reservoir includes inputs  $Y_N, Y_{N+1}, Y_{N+2}, \dots$ , outputs  $Y'_0, Y'_1, \dots, Y'_{N-1}$ , and ratchet/demon state  $X_N$ .



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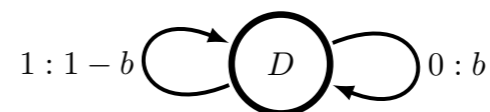
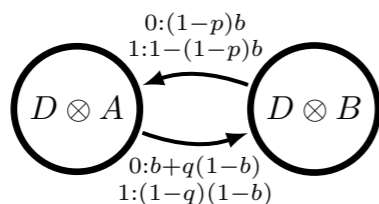
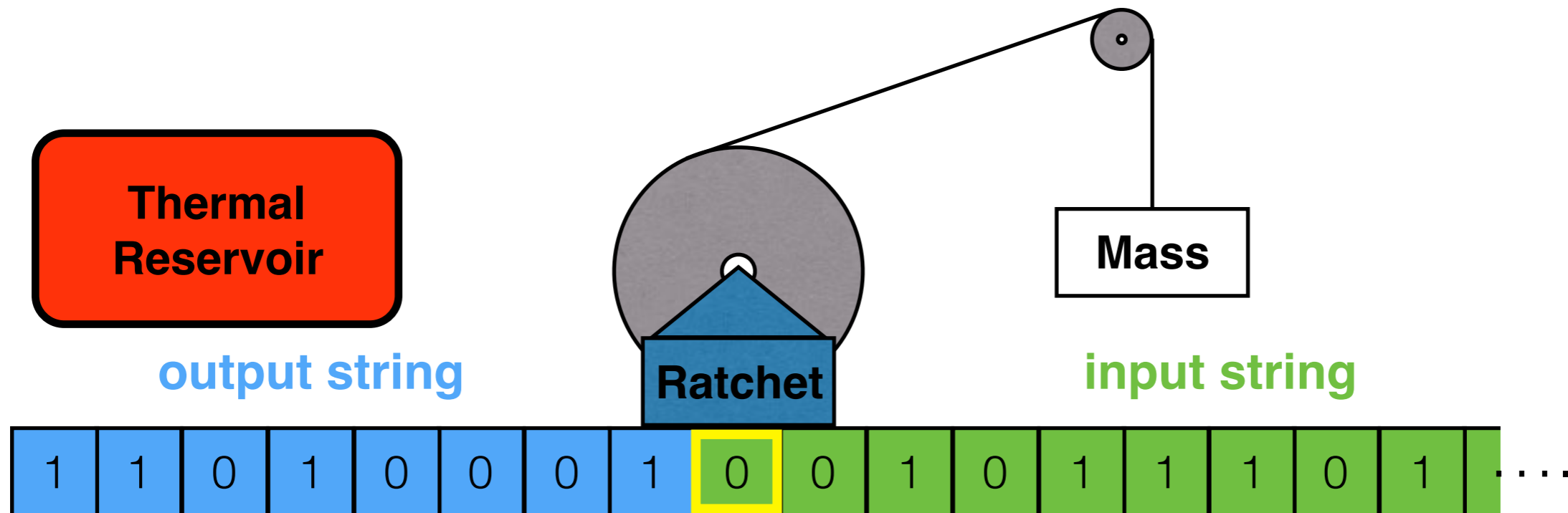
The change in free energy is:

$$\Delta F_{t:N\tau \rightarrow N'\tau} = k_B T \ln 2 (H[X_N, Y_{N:\infty}, Y'_{0:N}] - H[X_{N'}, Y_{N':\infty}, Y'_{0:N'}])$$

# Asymptotic Work Invested

The work investment is bounded by non-equilibrium free energy

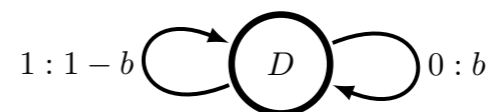
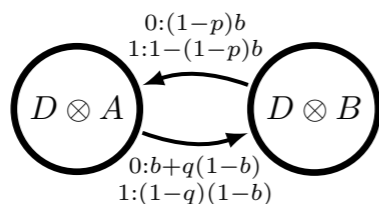
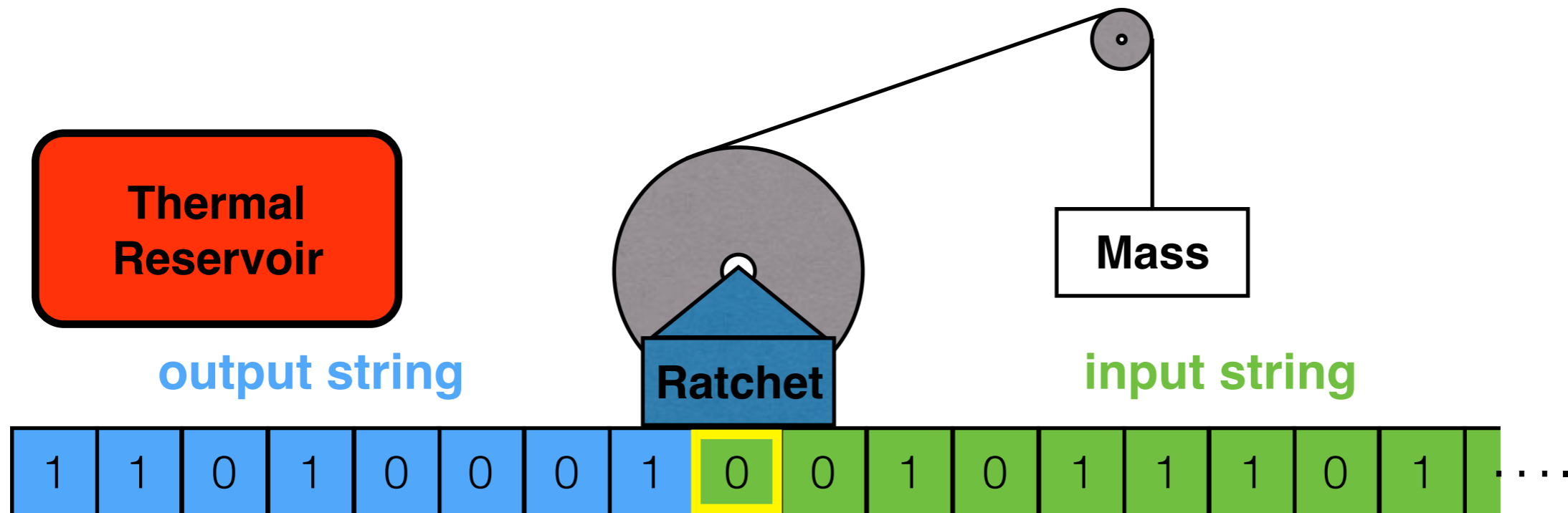
$$\langle W_{t:N\tau \rightarrow N'\tau} \rangle \geq \Delta F_{t:N\tau \rightarrow N'\tau}$$



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$$\begin{aligned} \langle W_{t:N\tau \rightarrow N'\tau} \rangle &\geq \Delta F_{t:N\tau \rightarrow N'\tau} \\ &= k_B T \ln 2 (H[X_N, Y_{N:\infty}, Y'_{0:N}] - H[X_{N'}, Y_{N':\infty}, Y'_{0:N'}]) \end{aligned}$$



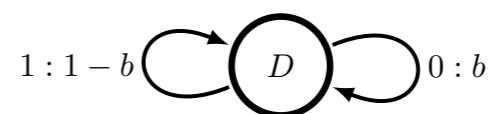
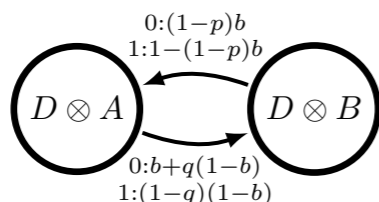
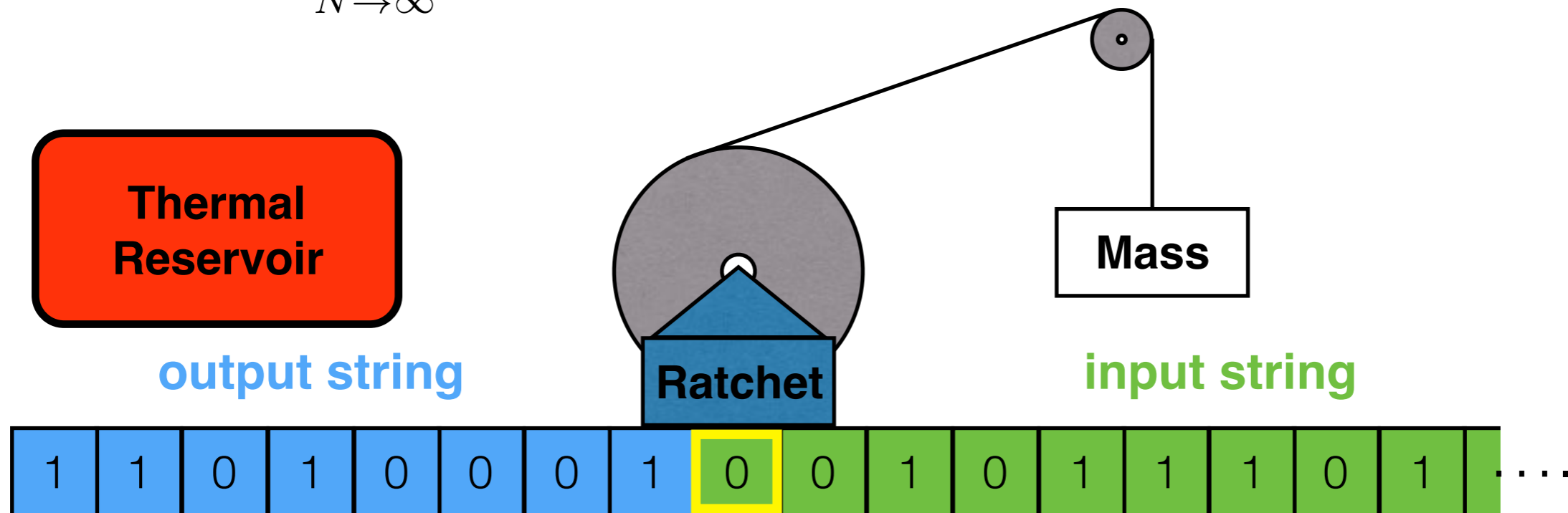
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Asymptotically, the work investment is bounded by the difference in entropy rates of the input and output process

$$\text{IPSL: } \lim_{N \rightarrow \infty} \langle W_{t:N\tau \rightarrow (N+1)\tau} \rangle \geq k_B T \ln 2 (h_\mu - h'_\mu)$$



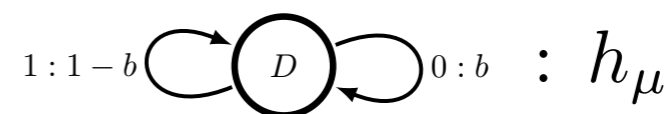
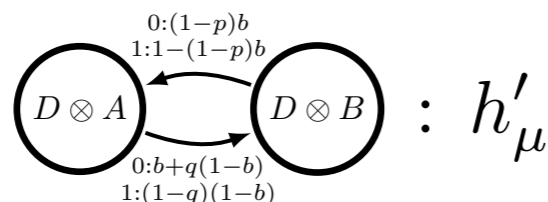
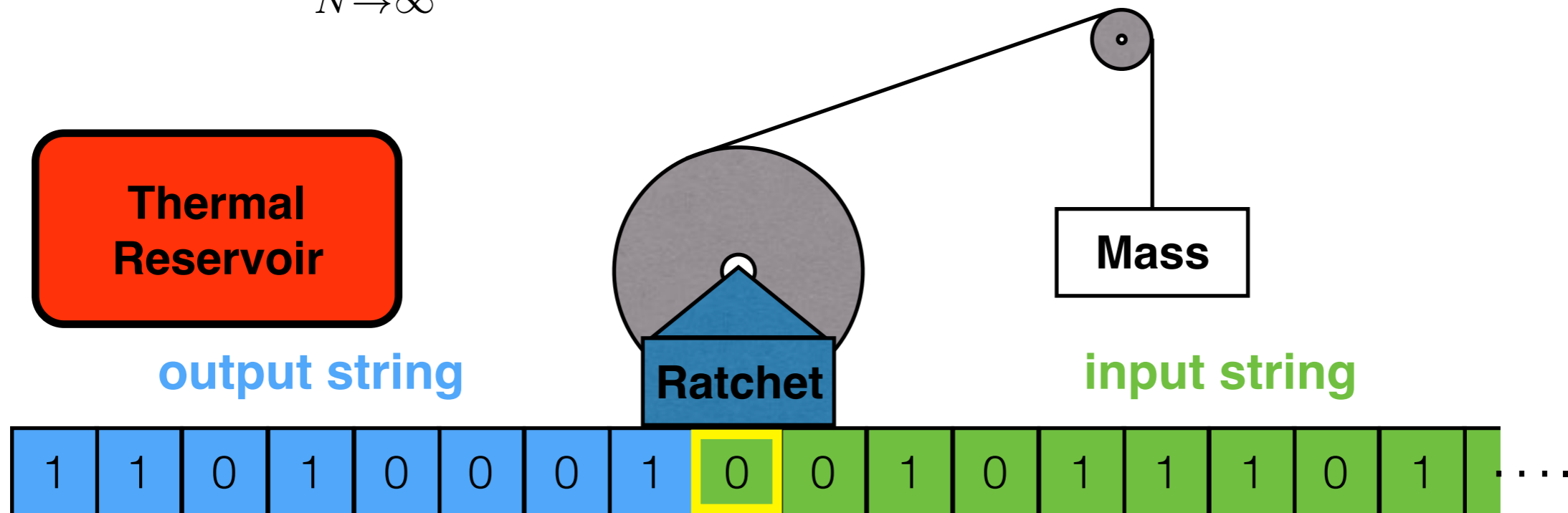
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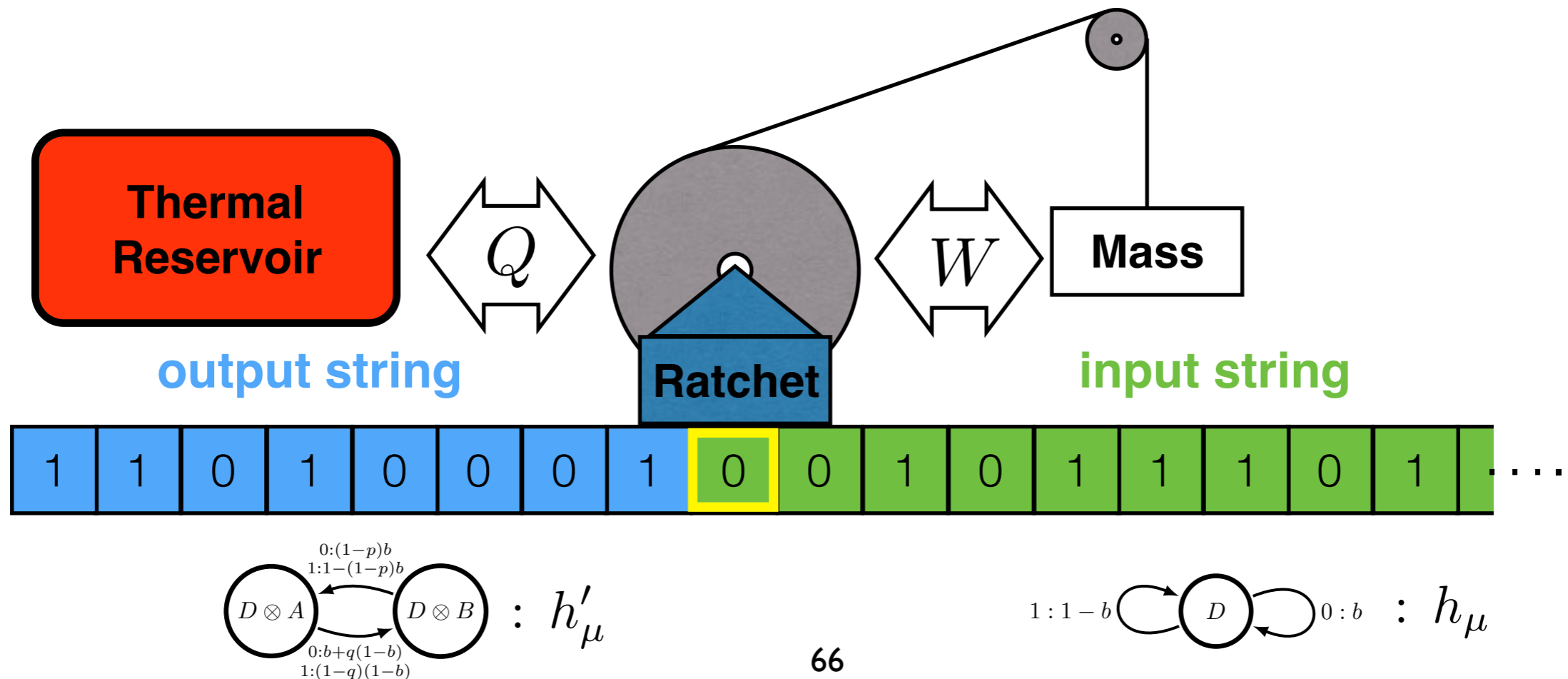
$$\text{IPSL: } \lim_{N \rightarrow \infty} \langle W_{t:N\tau \rightarrow (N+1)\tau} \rangle \geq k_B T \ln 2 (h_\mu - h'_\mu)$$



# Engines and Erasers

There are three possible types of functionality:

Engine:  $W < 0$  and  $h_\mu < h'_\mu$

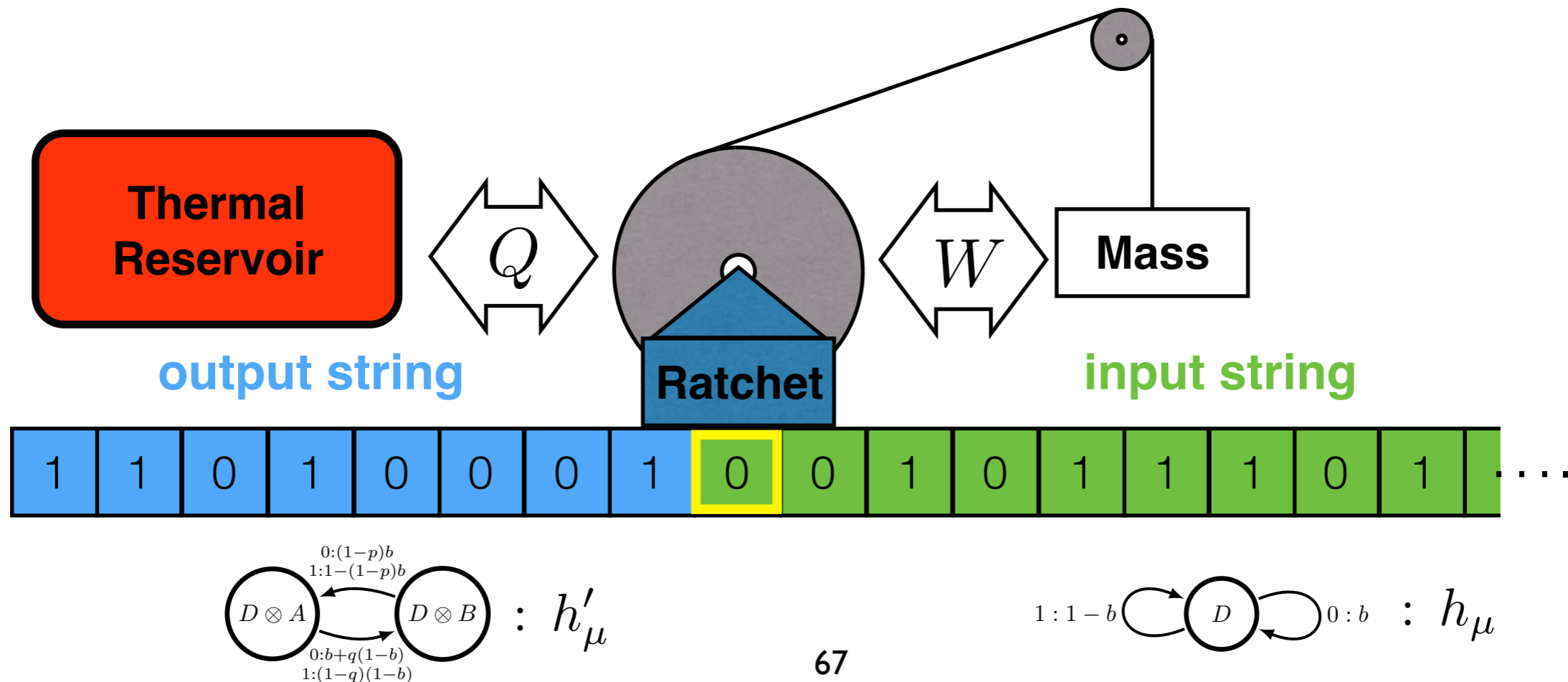


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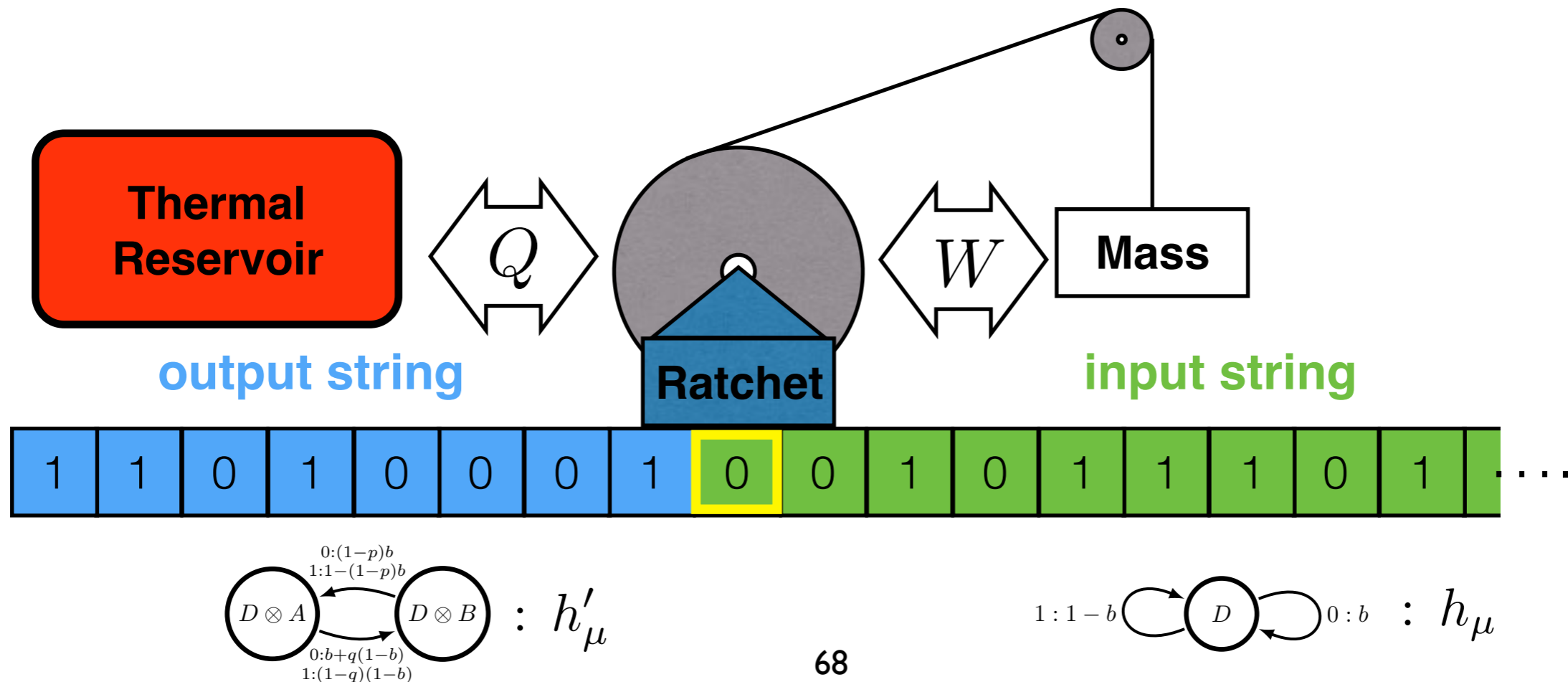
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Dud:  $W > 0$  and  $h_\mu < h'_\mu$





# Engines and Erasers

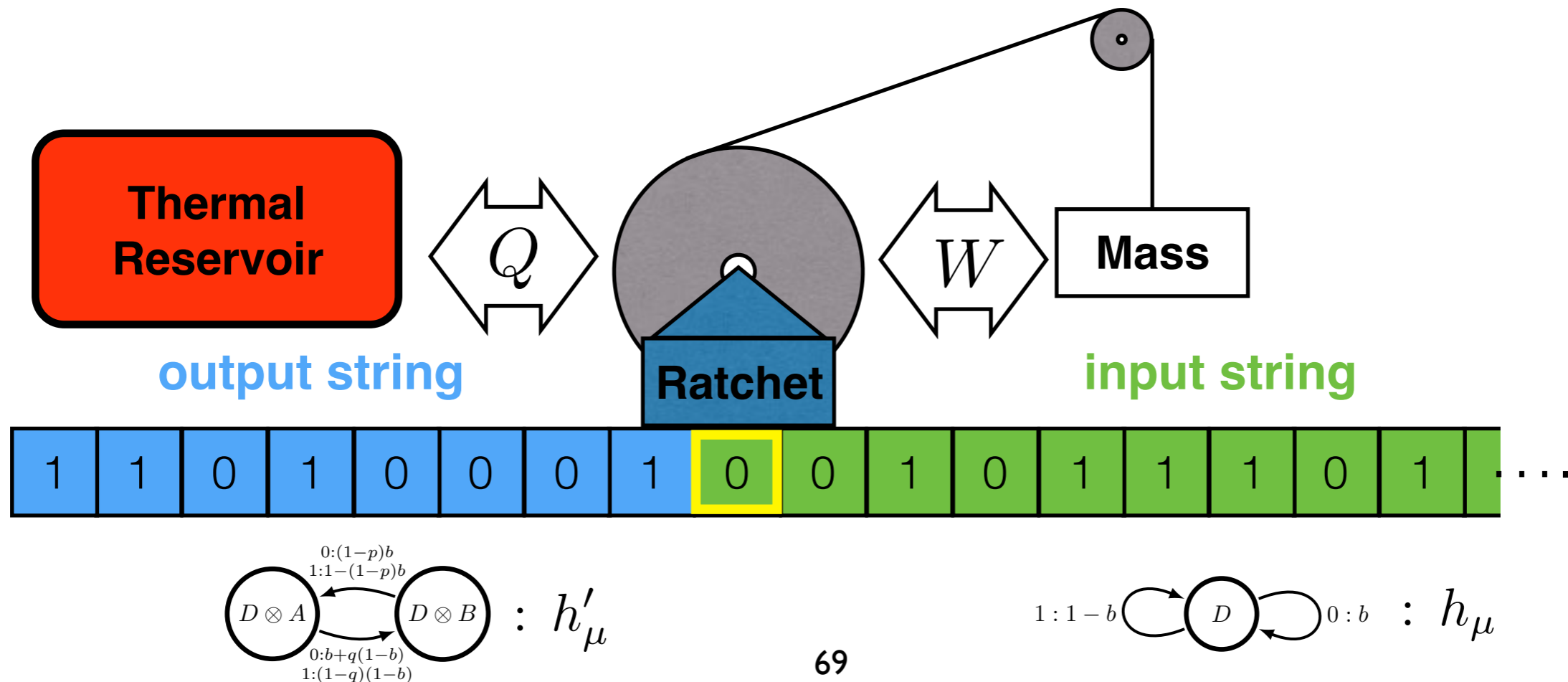
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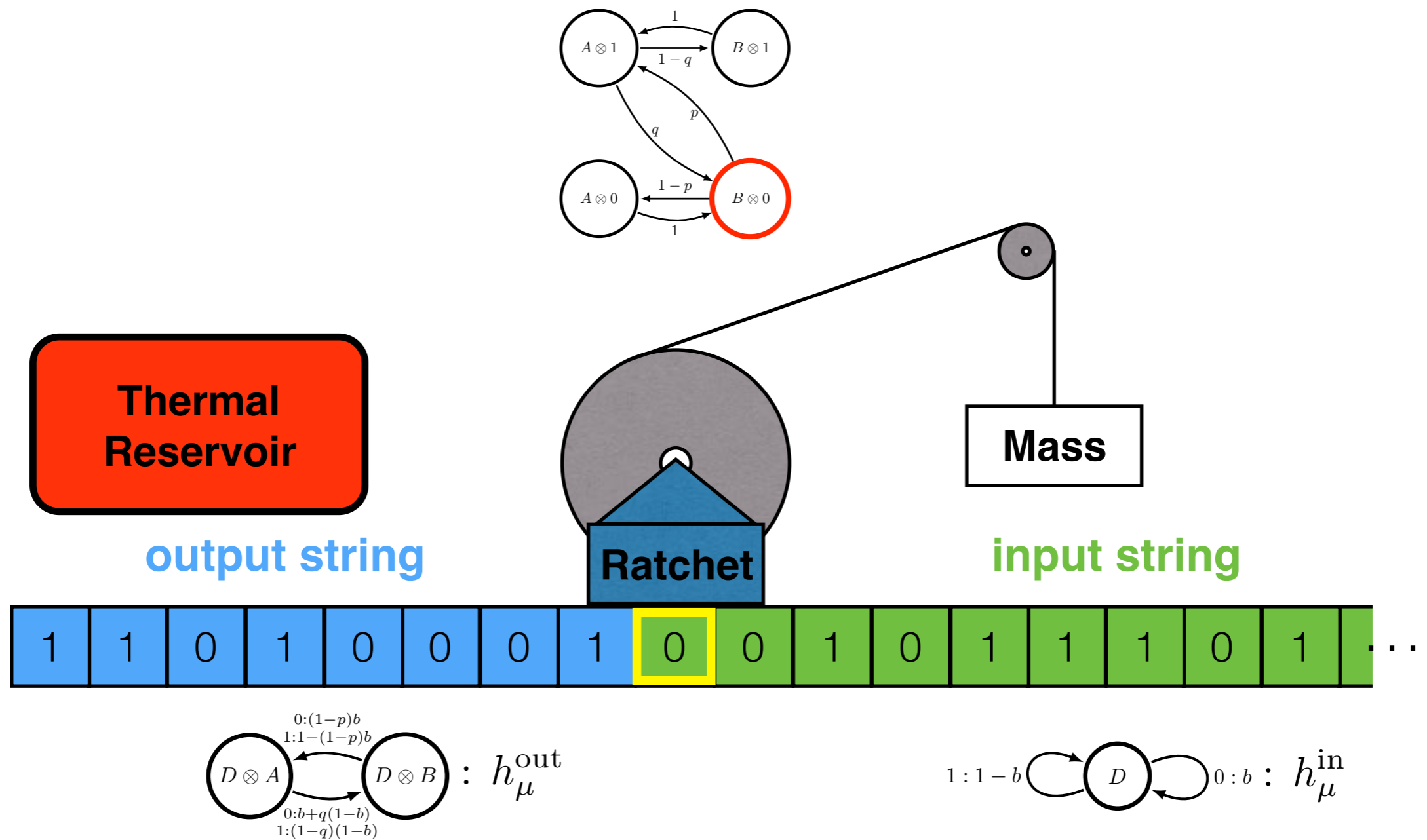
Eraser:  $W > 0$  and  $h_\mu > h'_\mu$

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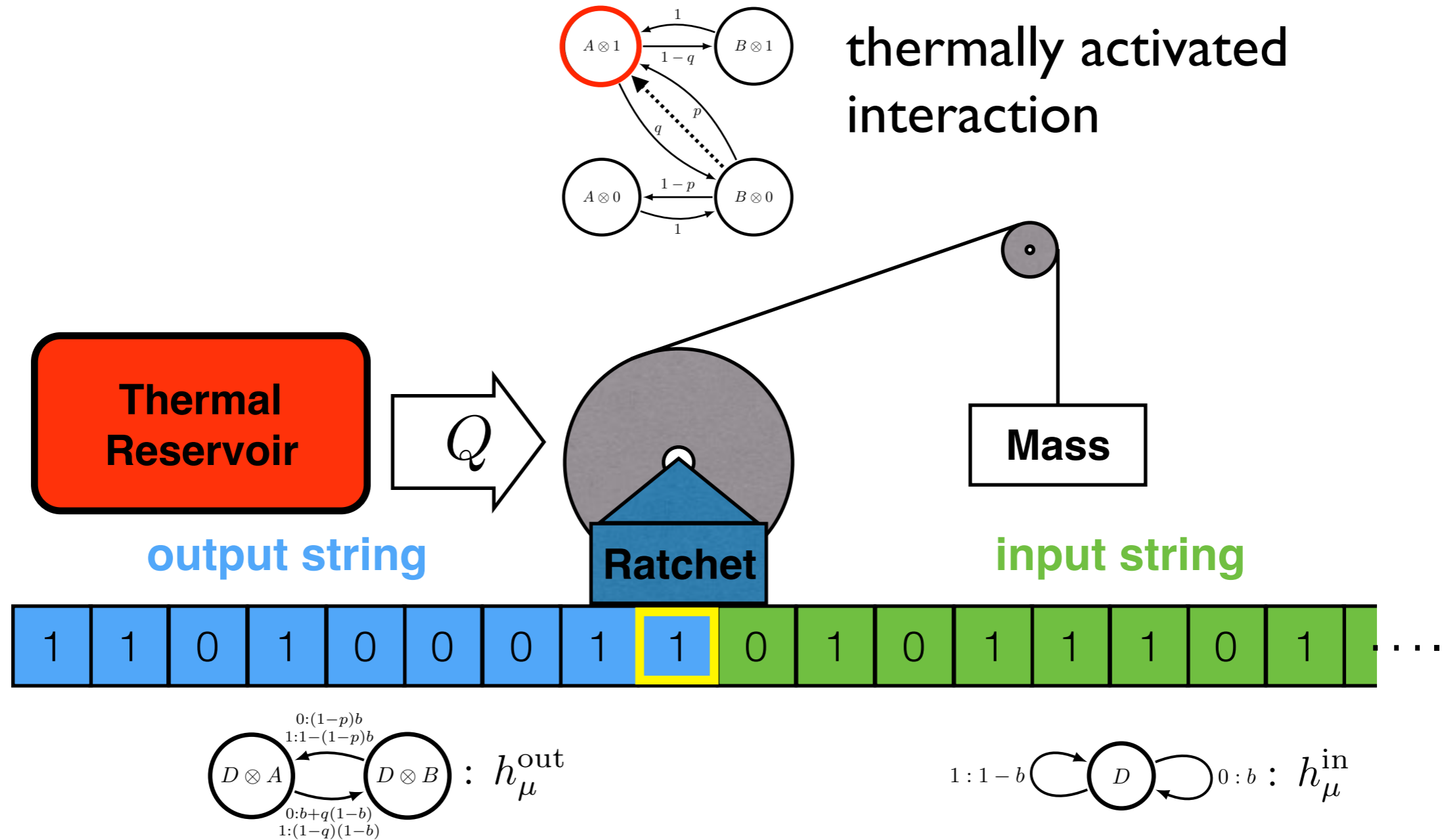
How do we determine the work?



# Non-Equilibrium Ratchet Example

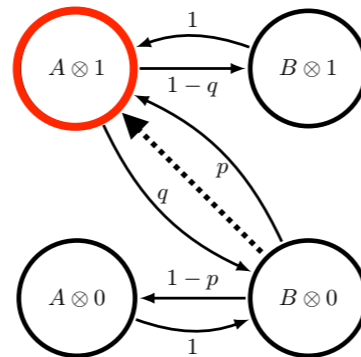


# Non-Equilibrium Ratchet Example

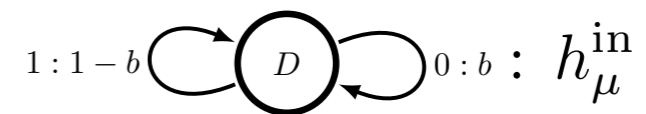
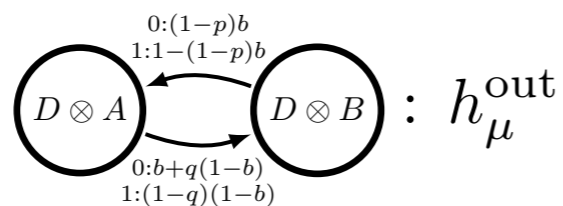
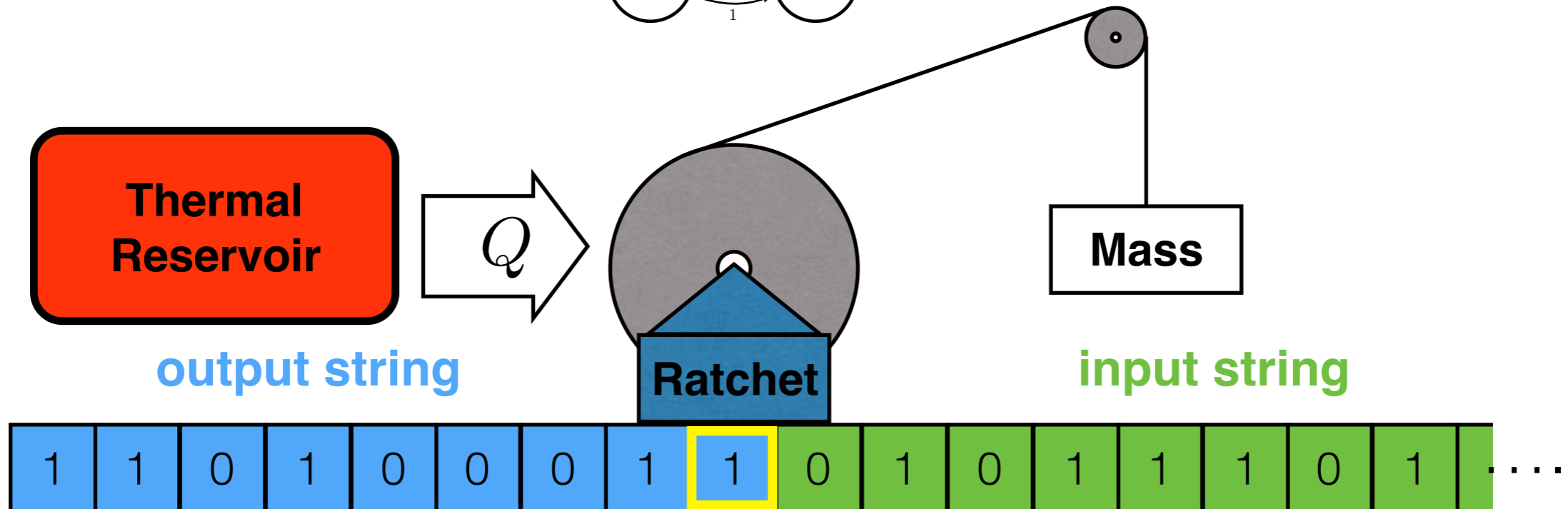


# Non-Equilibrium Ratchet Example

$$E_{A \otimes 1} - E_{B \otimes 0} = k_B T \ln \frac{M_{A \otimes 1 \rightarrow B \otimes 0}}{M_{B \otimes 0 \rightarrow A \otimes 1}}$$

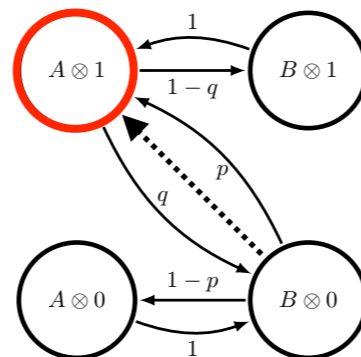


thermally activated interaction



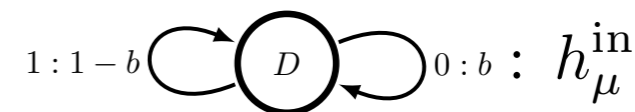
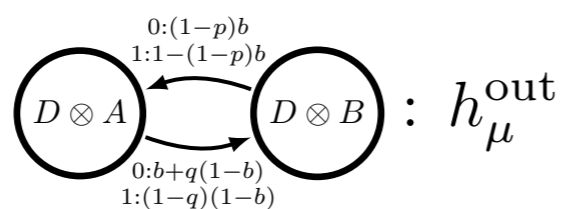
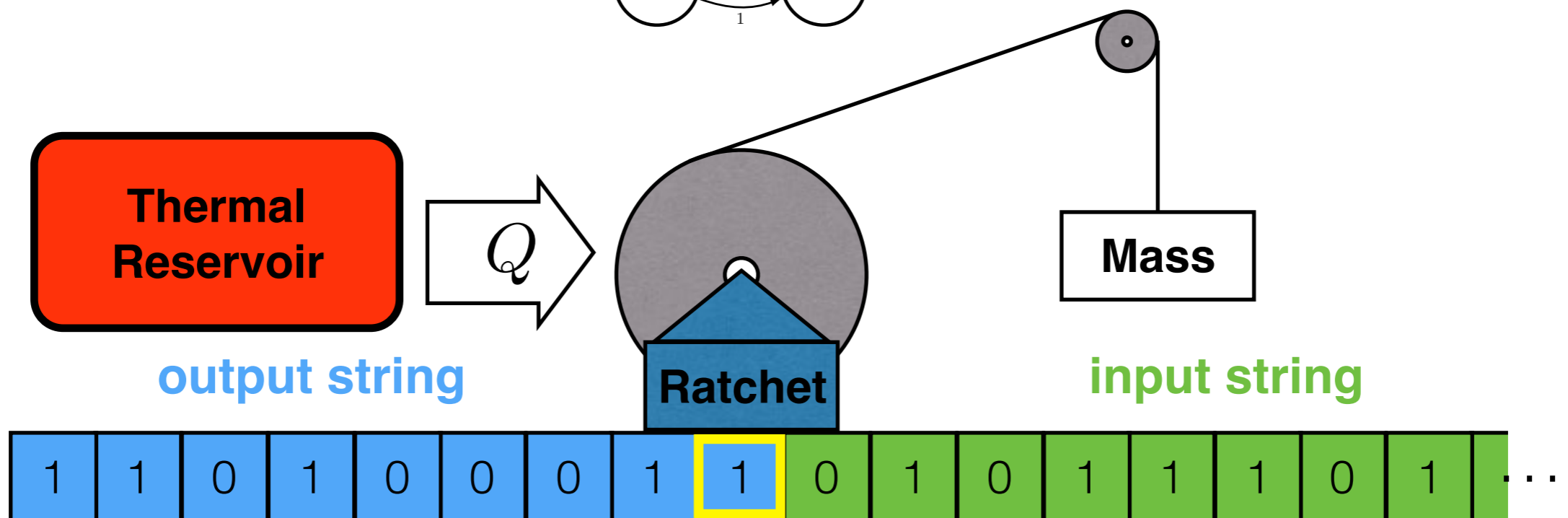
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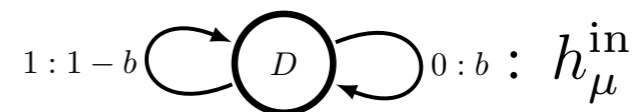
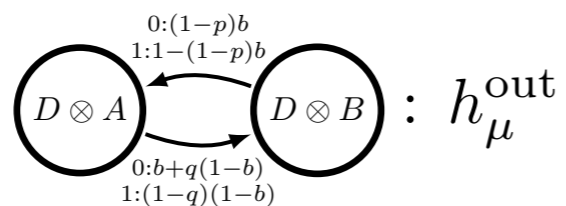
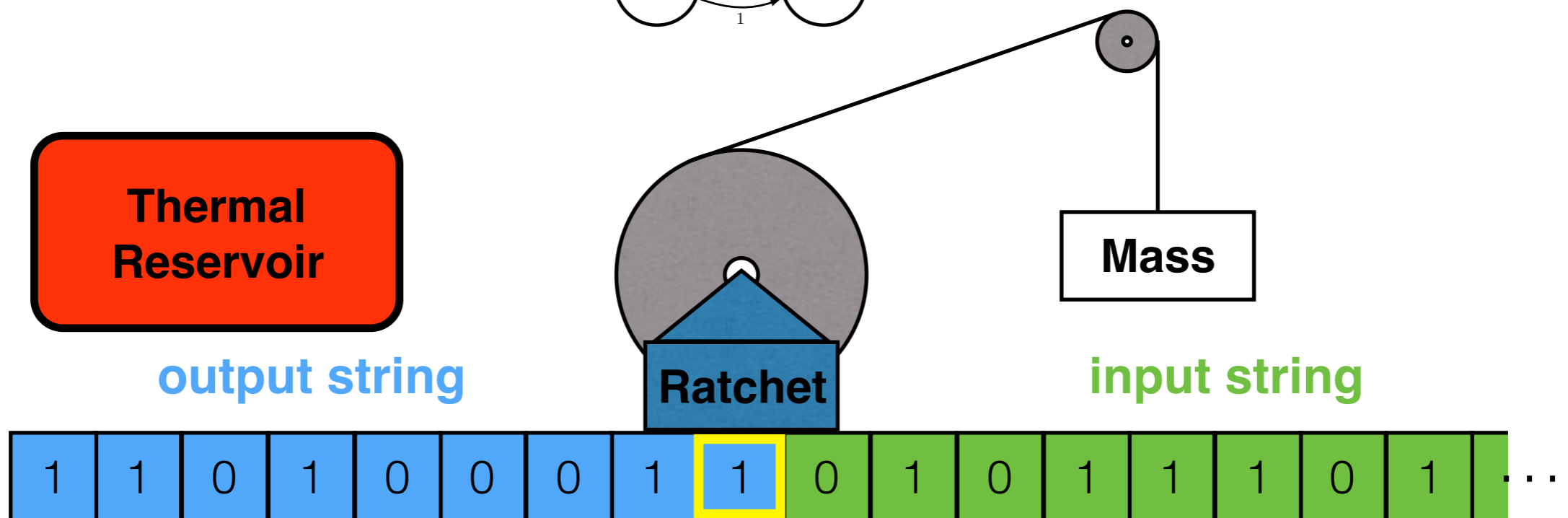
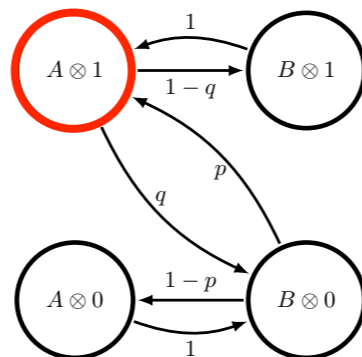
thermally activated

$$Q = E_{B \otimes 0} - E_{A \otimes 1}$$



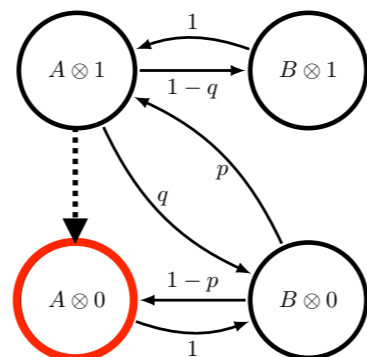
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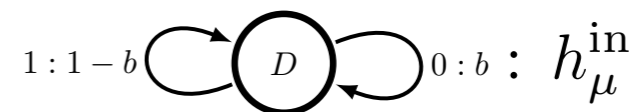
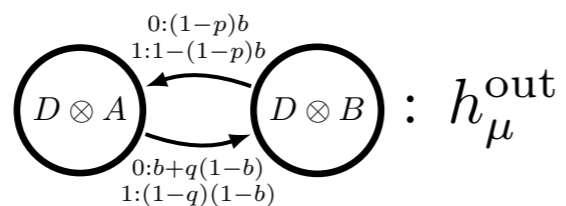
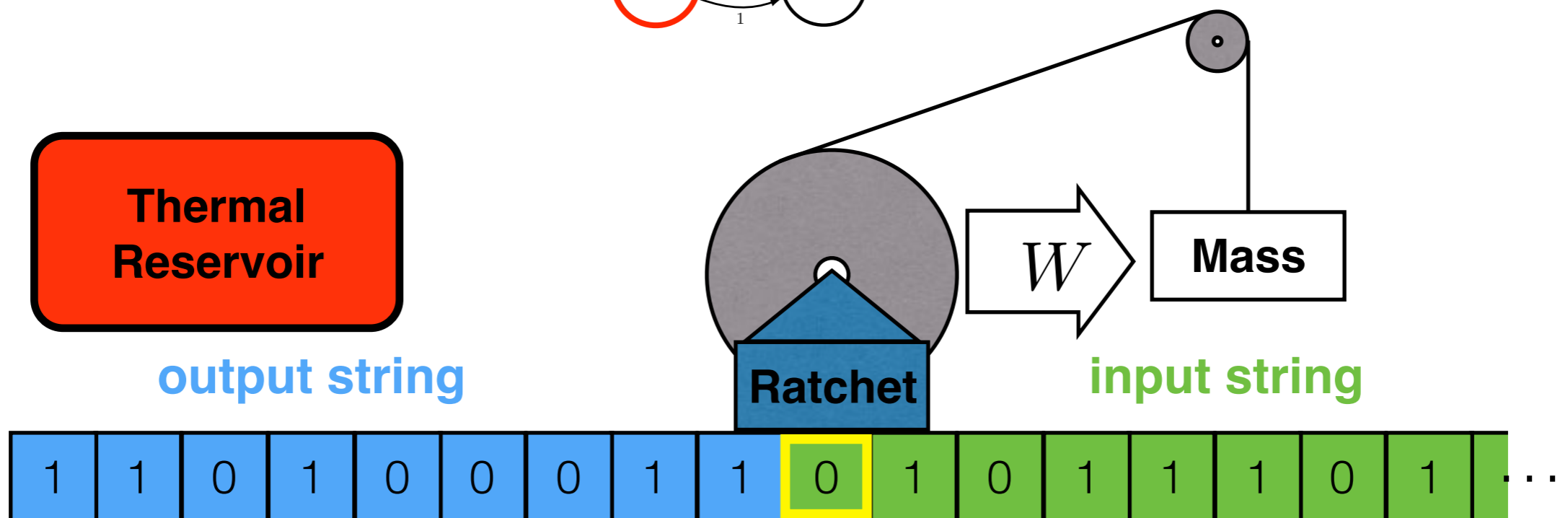


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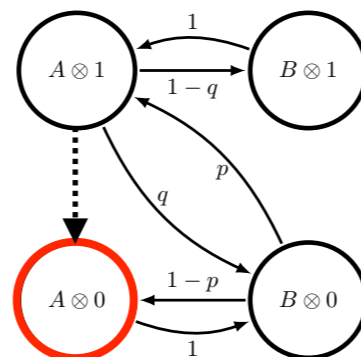


driven switching



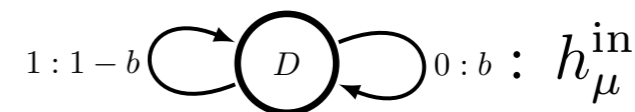
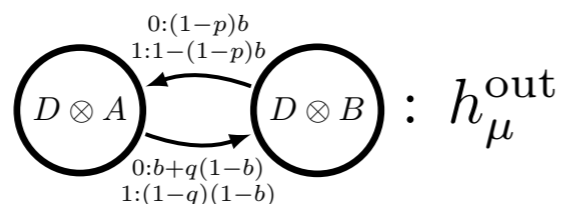
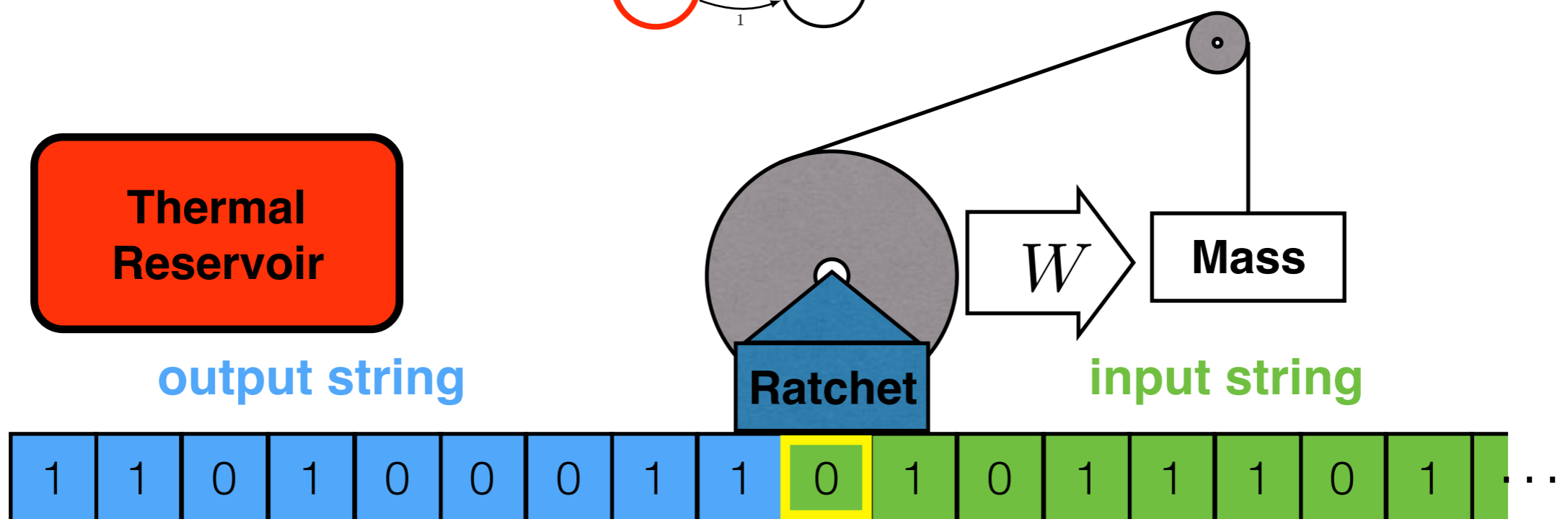
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driven switching

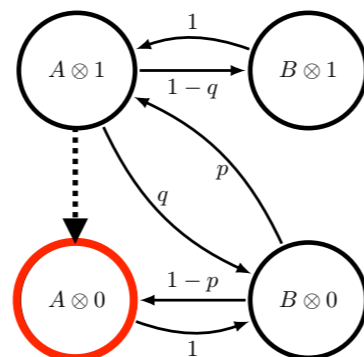
$$W = E_{A \otimes 0} - E_{A \otimes 1}$$





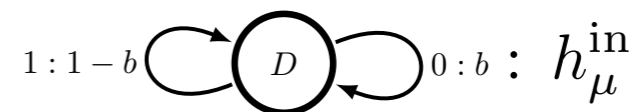
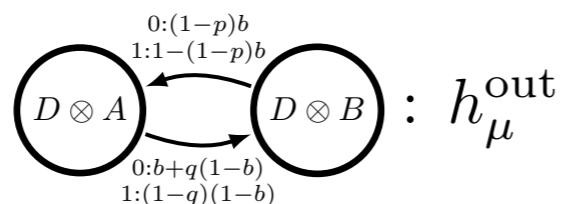
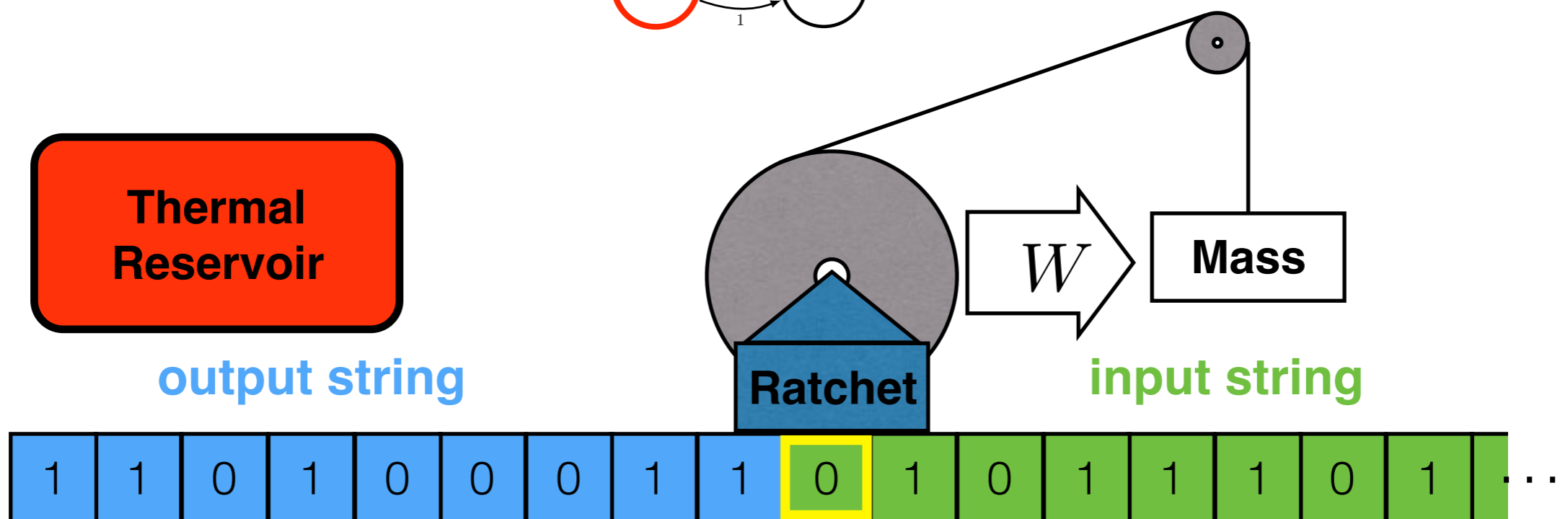
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driven switching

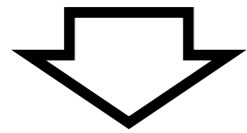
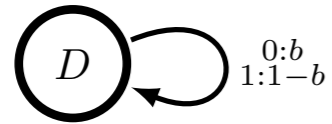
$$W = E_{A \otimes 0} - E_{A \otimes 1}$$



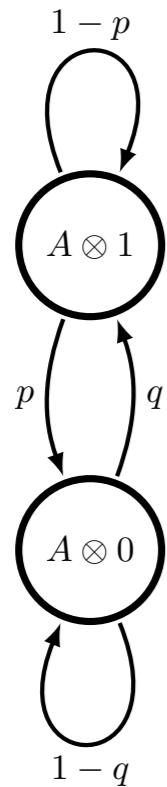
$$\langle Q \rangle = \langle W \rangle = k_B T \sum_{x, x', y, y'} \Pr(X_N = x, Y_N = y) M_{x \otimes y \rightarrow x' \otimes y'} \ln \frac{M_{x' \otimes y' \rightarrow x \otimes y}}{M_{x \otimes y \rightarrow x' \otimes y'}}$$

# Different Types of Order

simple inputs

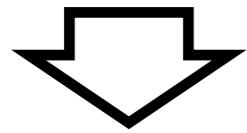
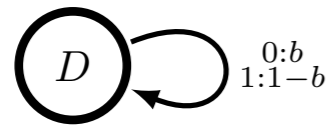


simple engine

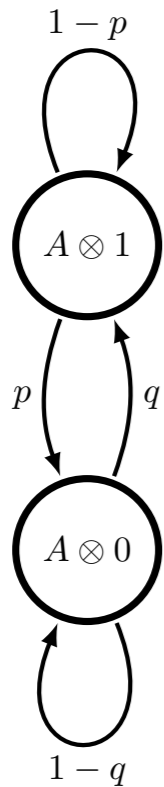


# Different Types of Order

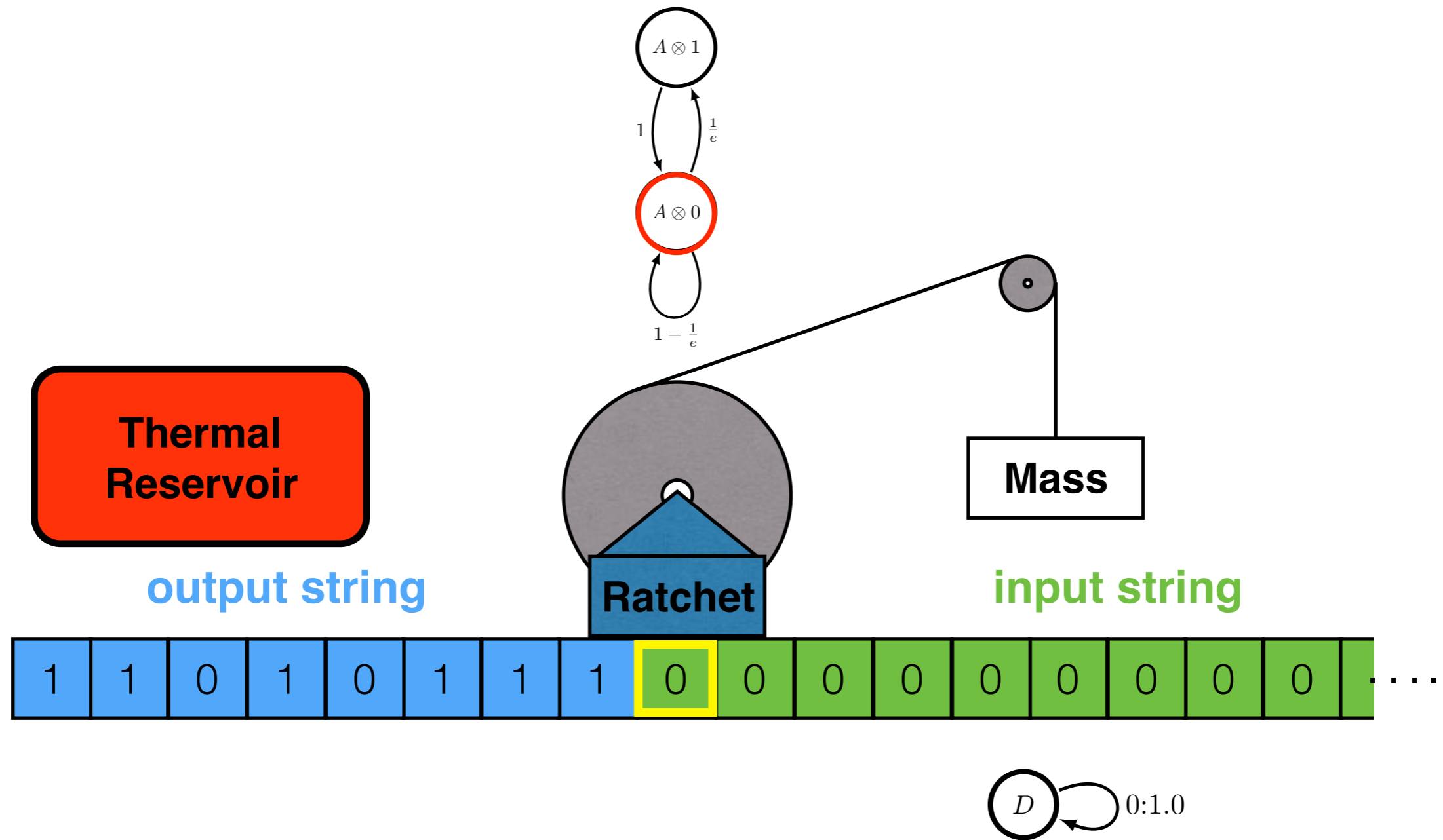
simple inputs: creates no temporal correlations



simple engine: insensitive to temporal correlations

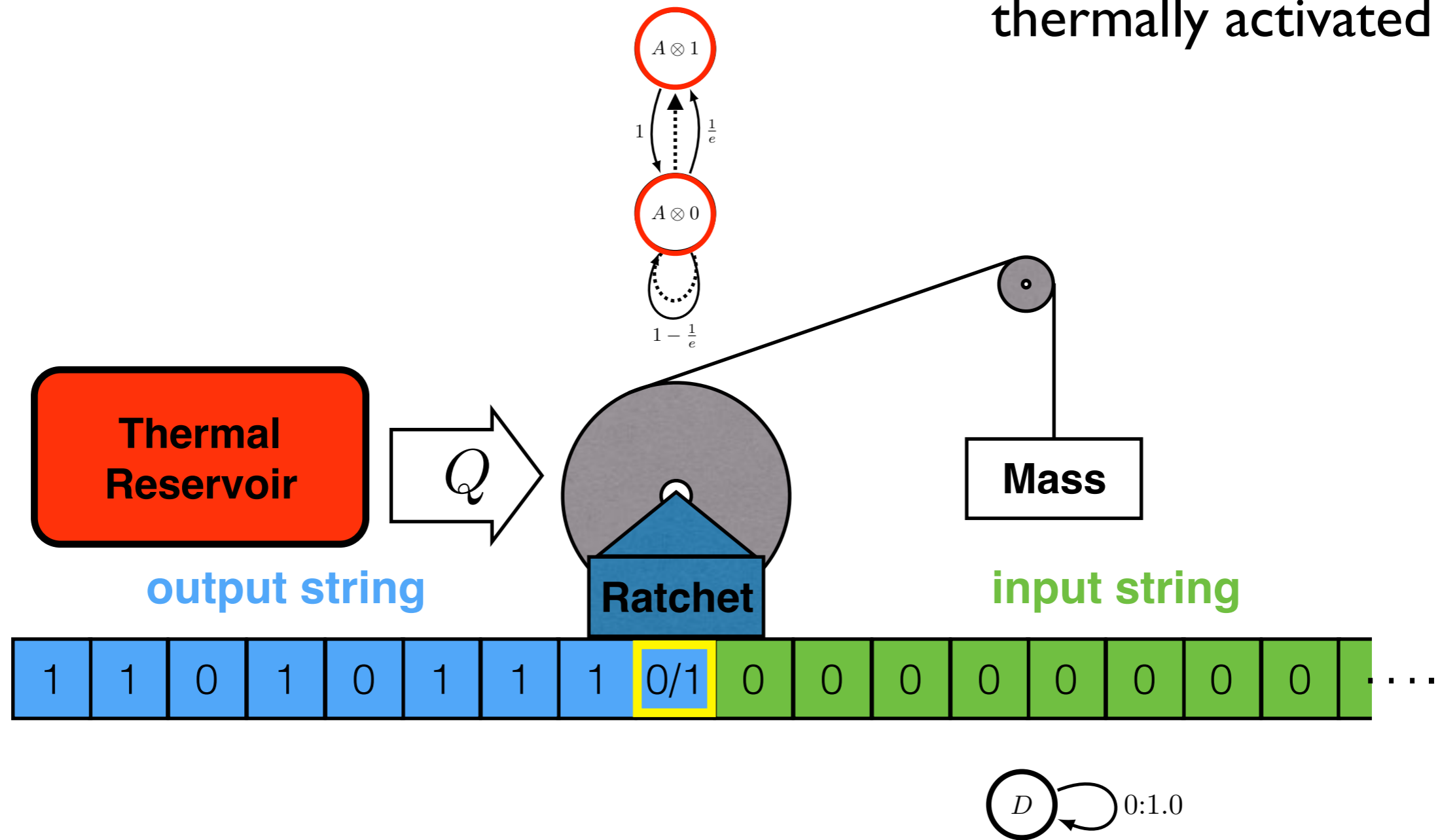


# Memoryless Ratchet Operation

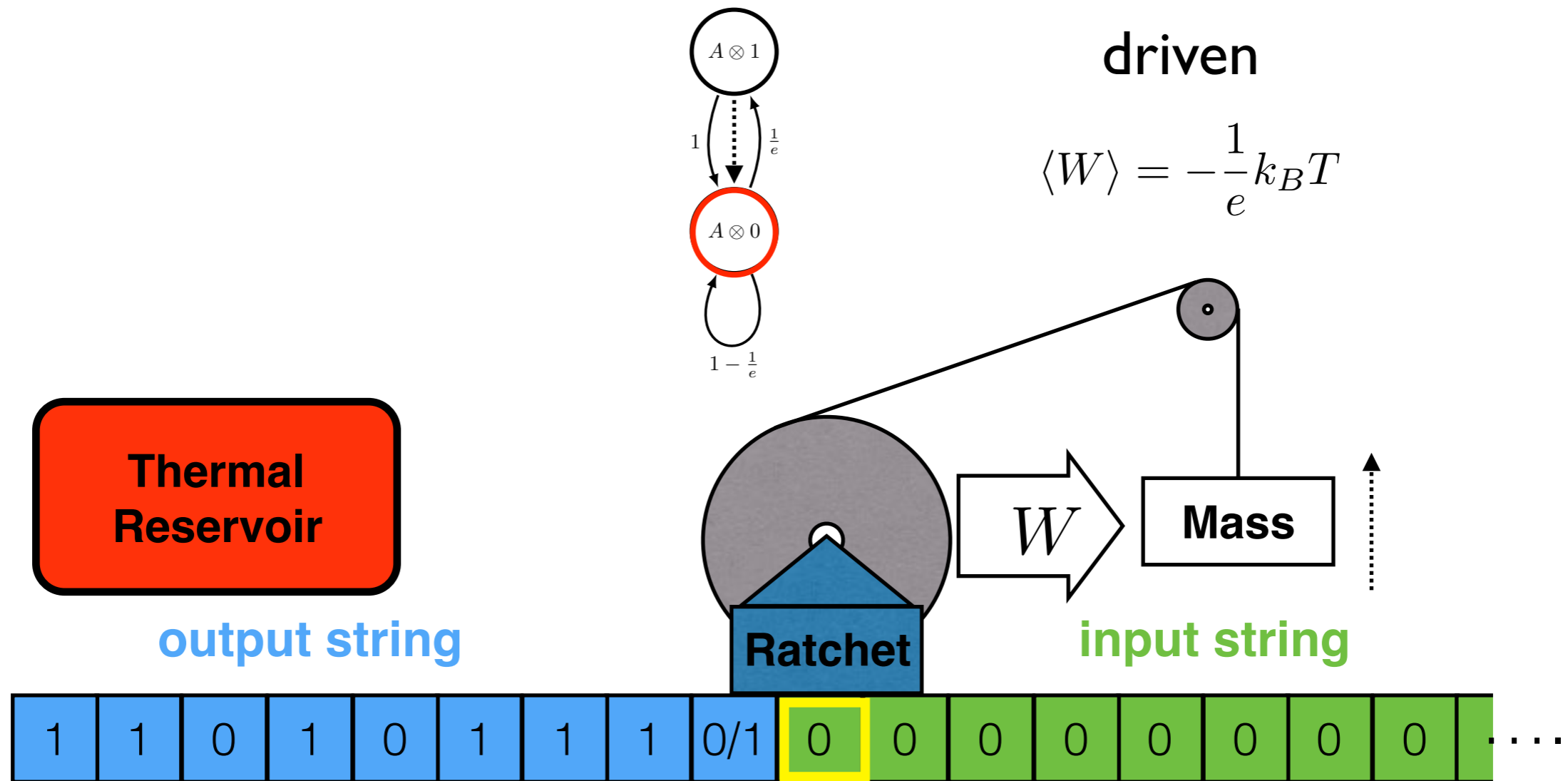


# Memoryless Ratchet Operation

thermally activated

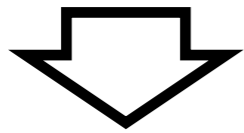
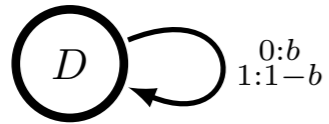


# Memoryless Ratchet Operation

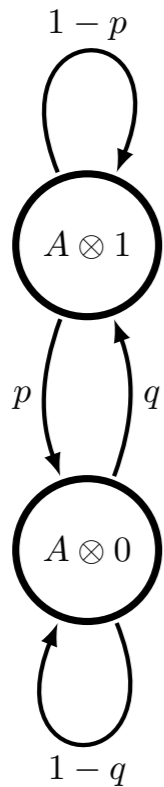


# Different Types of Order

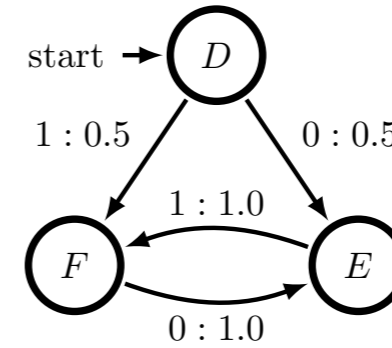
simple inputs



simple engine

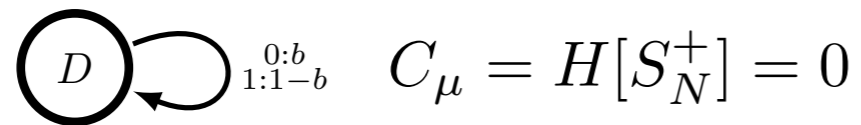


complex inputs

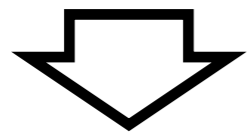
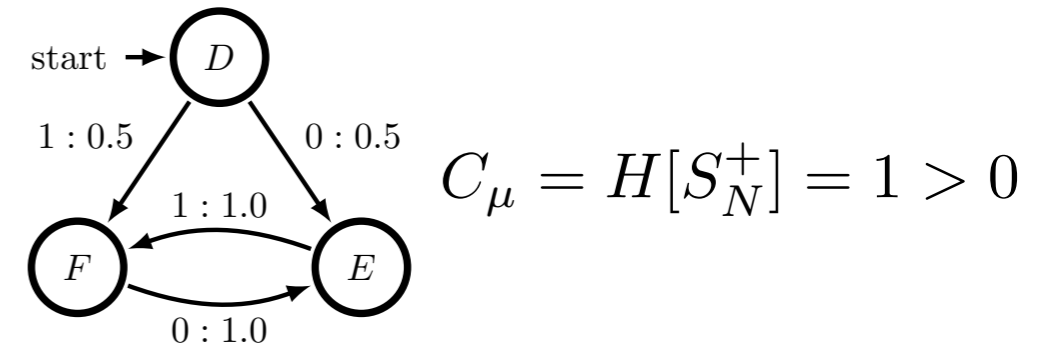


# Different Types of Order

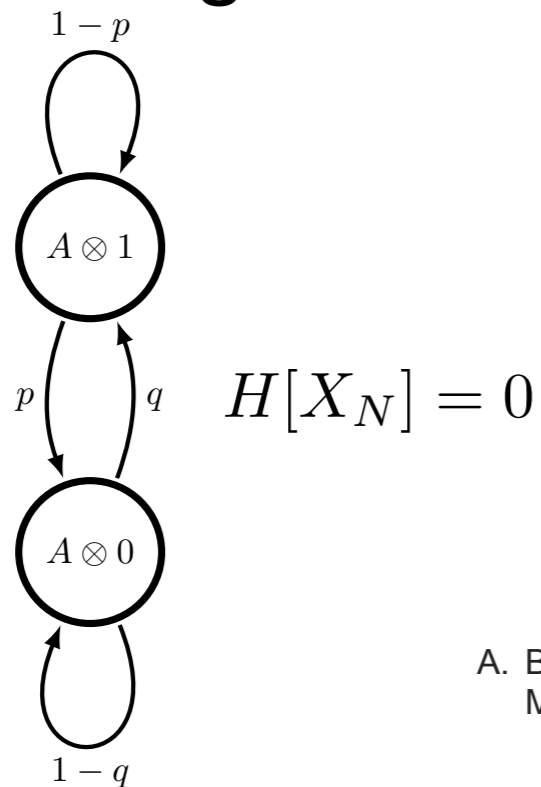
simple inputs



complex inputs



simple engine

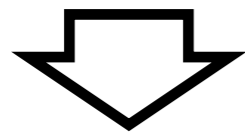
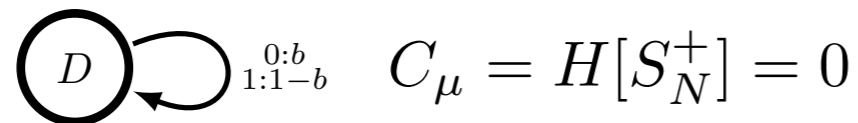


A. B. Boyd, D. Mandal, and J. P. Crutchfield, "Above and Beyond the Landauer Bound: Thermodynamics of Modularity", arXiv:1708.03030 (2017)

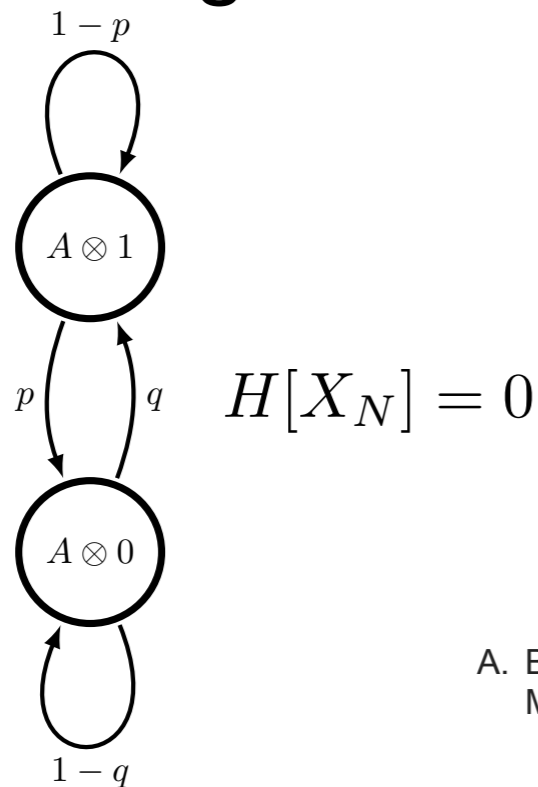


# Different Types of Order

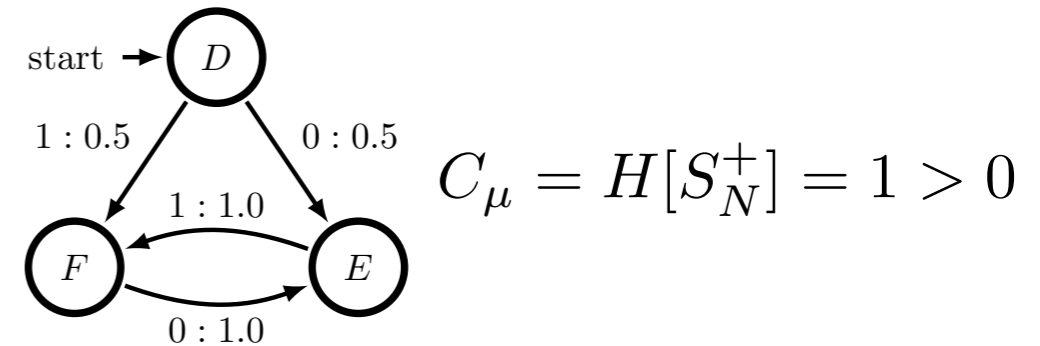
simple inputs



simple engine



complex inputs



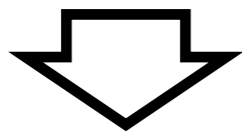
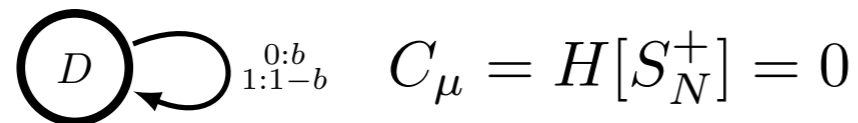
order is temporal correlations:

$$H[Y_N] - h_\mu = 1$$

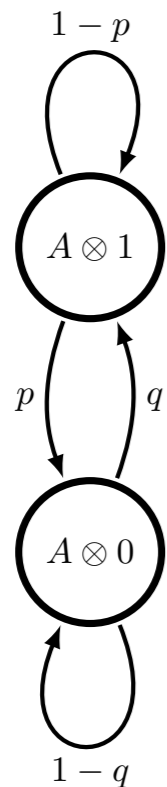
A. B. Boyd, D. Mandal, and J. P. Crutchfield, "Above and Beyond the Landauer Bound: Thermodynamics of Modularity", arXiv:1708.03030 (2017)

# Different Types of Order

simple inputs



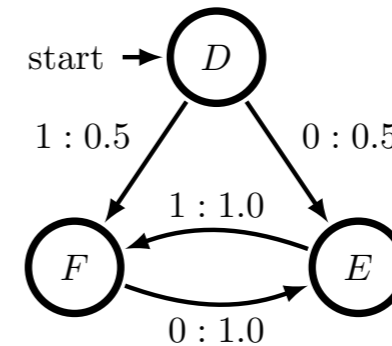
simple engine



$$H[X_N] = 0$$

Memoryless ratchet dissipates all temporal correlations, because of **modularity**.

complex inputs



$$C_\mu = H[S_N^+] = 1 > 0$$

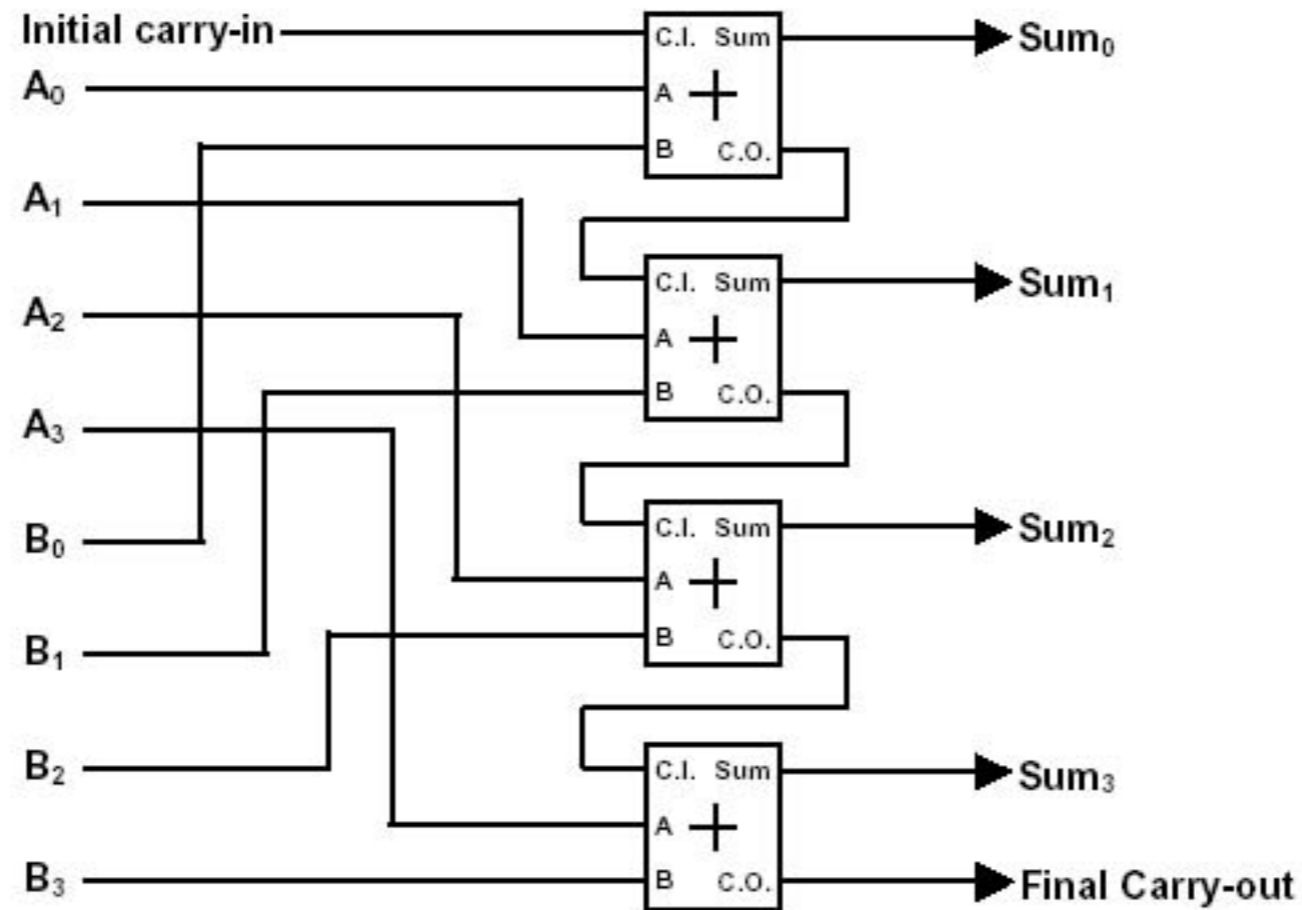
order is temporal correlations:

$$H[Y_N] - h_\mu = 1$$

# “Complex” Computation

$$A + B = ?$$

information states:  $\mathcal{Z}$



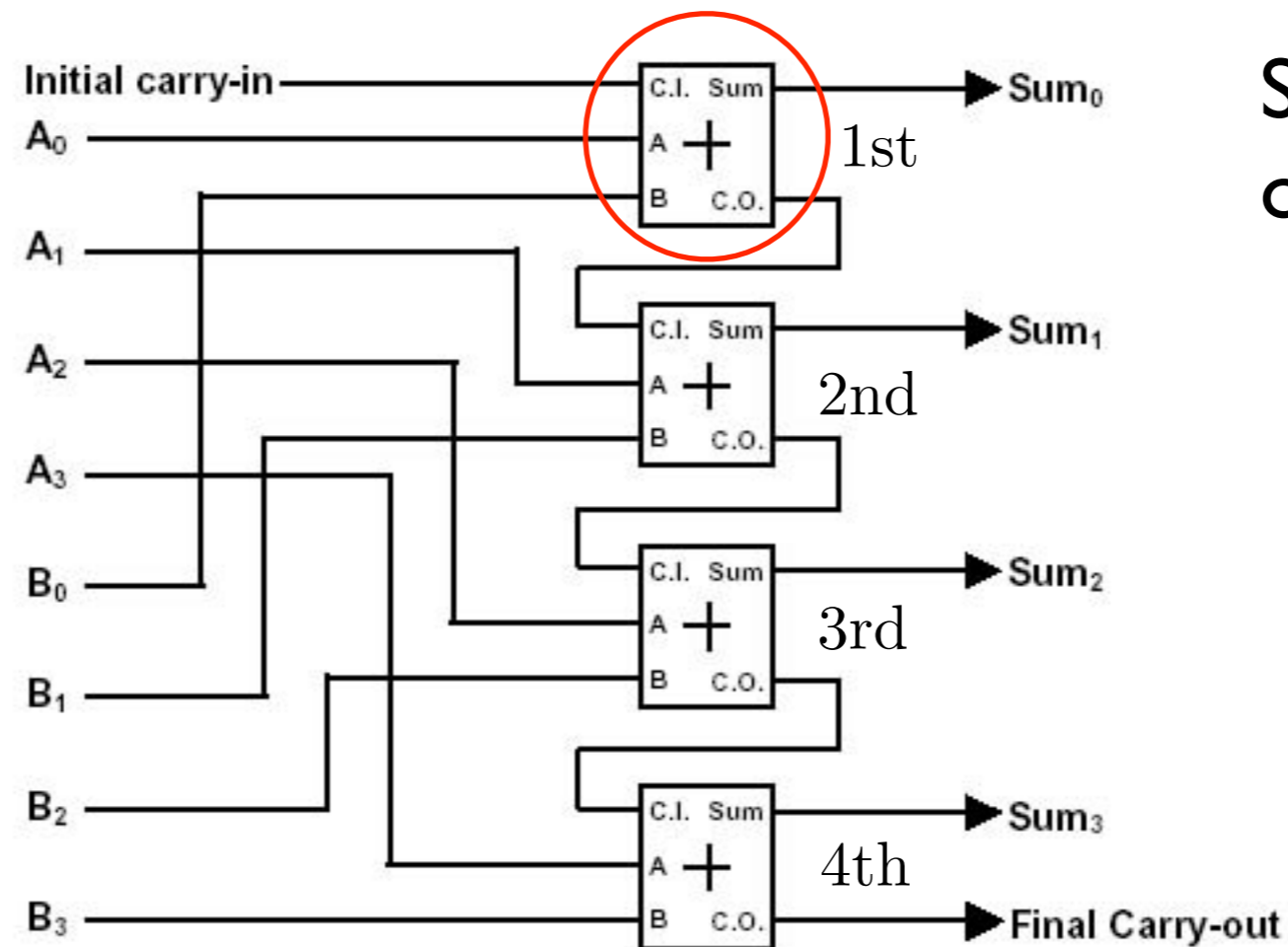
<http://www.science.smith.edu/dftwiki/images/9/93/4BitAdderBlockDiagram.jpg>

$$\langle W \rangle_{\min} = k_B T \ln 2 (H[Z_t] - H[Z_{t+\tau}])?$$

# Modular Design

$$A + B = ?$$

information states:  $\mathcal{Z}$

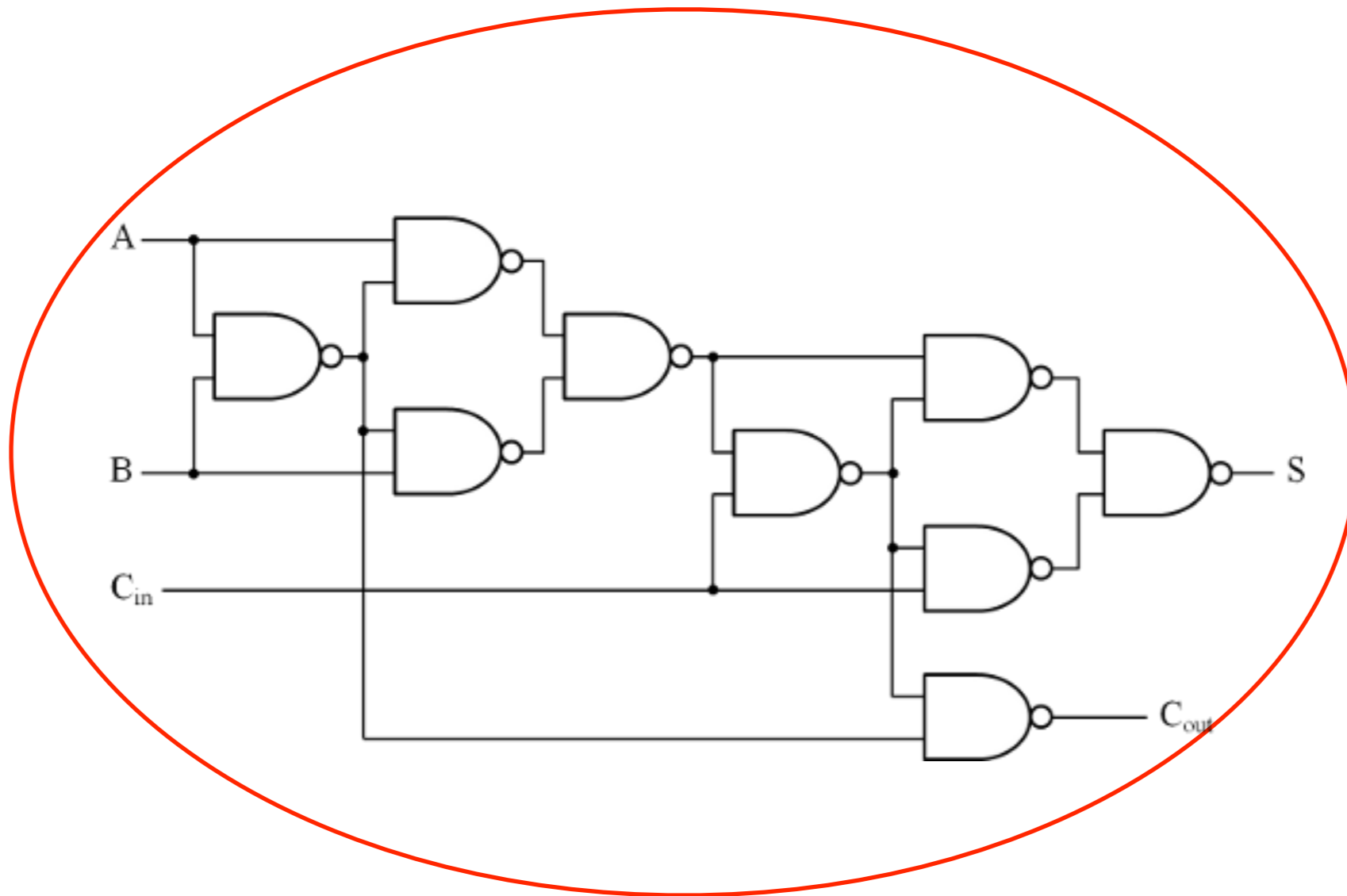


Sequence of simpler operations

<http://www.science.smith.edu/dftwiki/images/9/93/4BitAdderBlockDiagram.jpg>

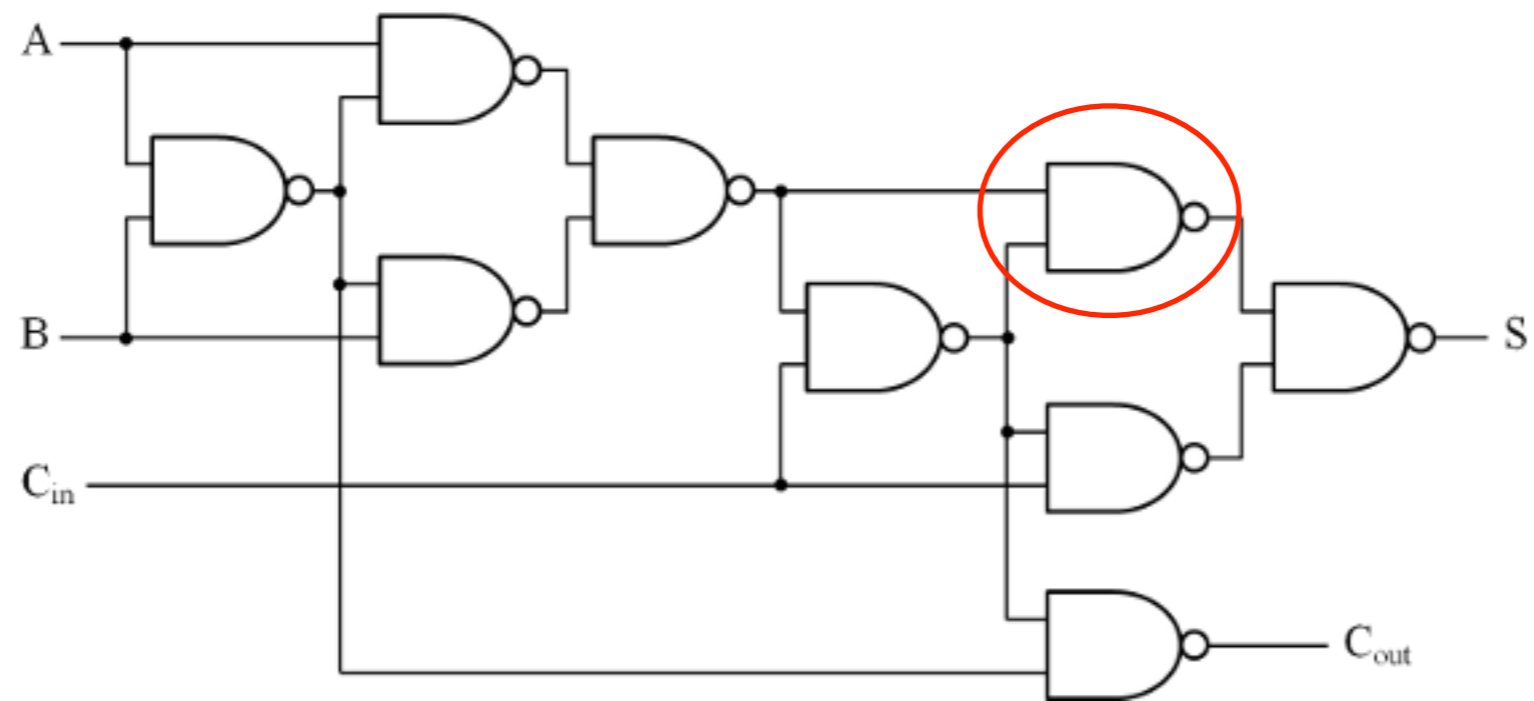
$$\langle W \rangle_{\min} = k_B T \ln 2 (H[Z_t] - H[Z_{t+\tau}])?$$

# Modular Design



<http://gateoverflow.in/84564/digital>

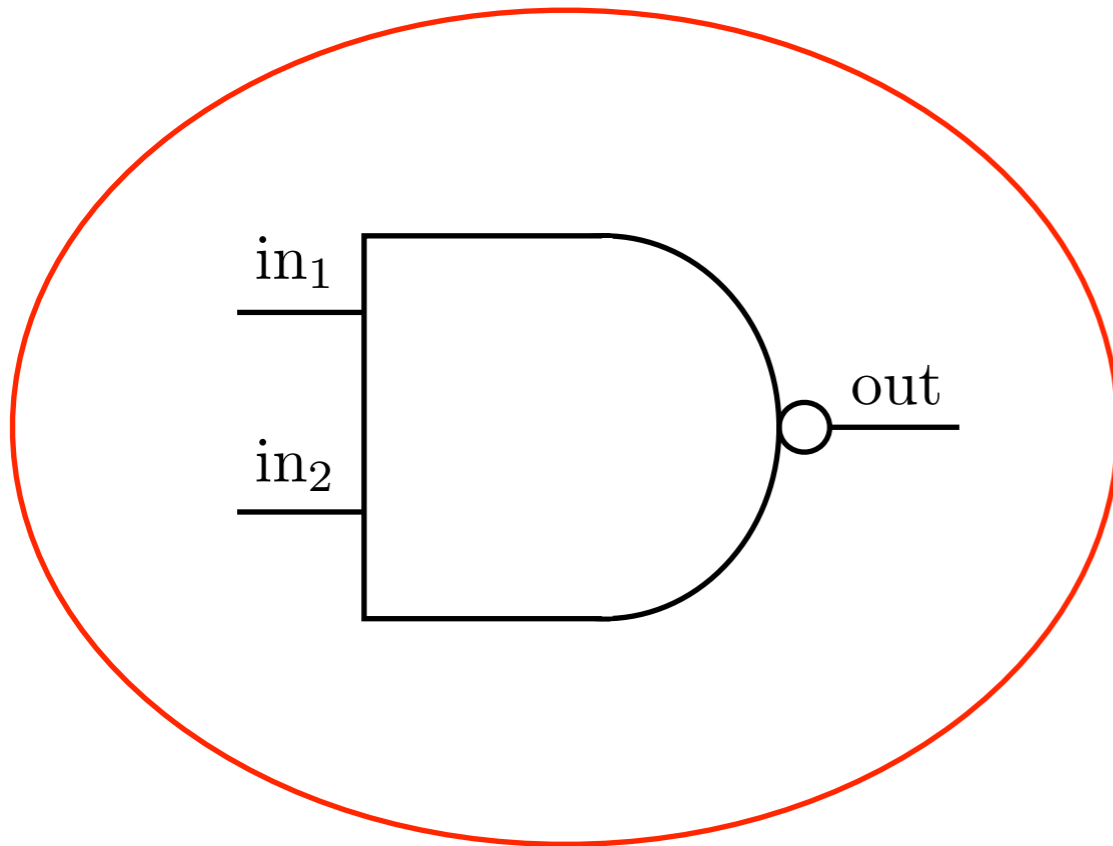
# Modular Design



<http://gateoverflow.in/84564/digital>

# Local Elementary Operations

local information states:  $\mathcal{Z}^i = \{0, 1\} \otimes \{0, 1\} \otimes \{0, 1\}$

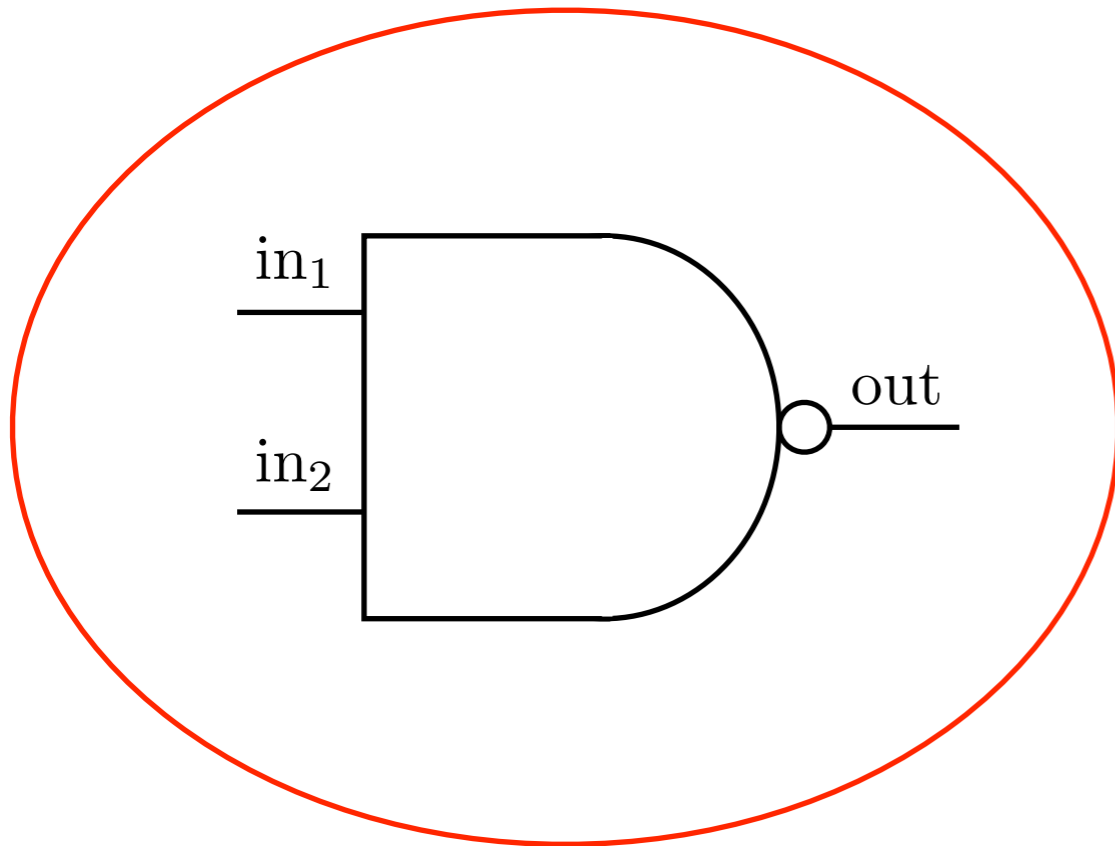


$in_1$	$in_2$	$out$
0	0	1
0	1	1
1	0	1
1	1	0

random variable:  $Z^i$

# Local Elementary Operations

local information states:  $\mathcal{Z}^i = \{0, 1\} \otimes \{0, 1\} \otimes \{0, 1\}$



in <sub>1</sub>	in <sub>2</sub>	out
0	0	1
0	1	1
1	0	1
1	1	0

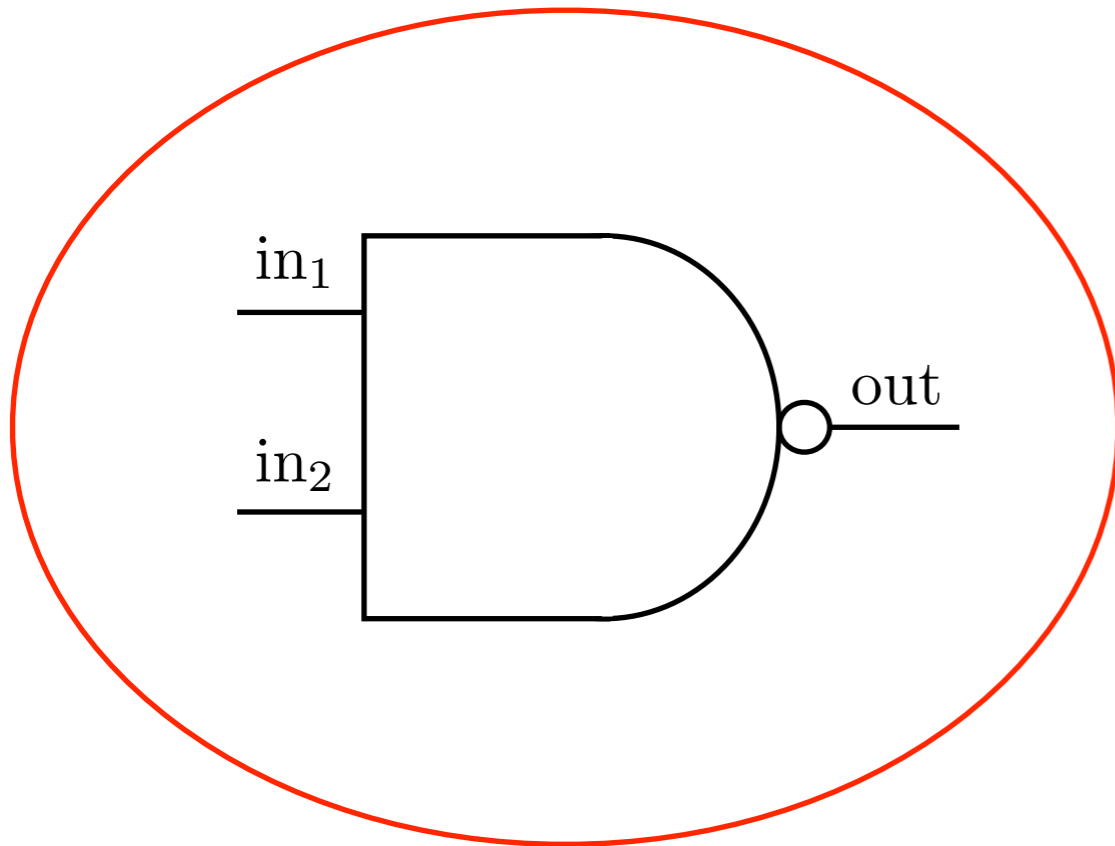
random variable:  $Z^i$

local Markov dynamics:  $M_{z_t^i \rightarrow z_{t+\tau}^i}^{\text{local}} = \Pr(Z_{t+\tau}^i = z_{t+\tau}^i | Z_t^i = z_t^i)$



# Local Elementary Operations

local information states:  $\mathcal{Z}^i = \{0, 1\} \otimes \{0, 1\} \otimes \{0, 1\}$



in <sub>1</sub>	in <sub>2</sub>	out
0	0	1
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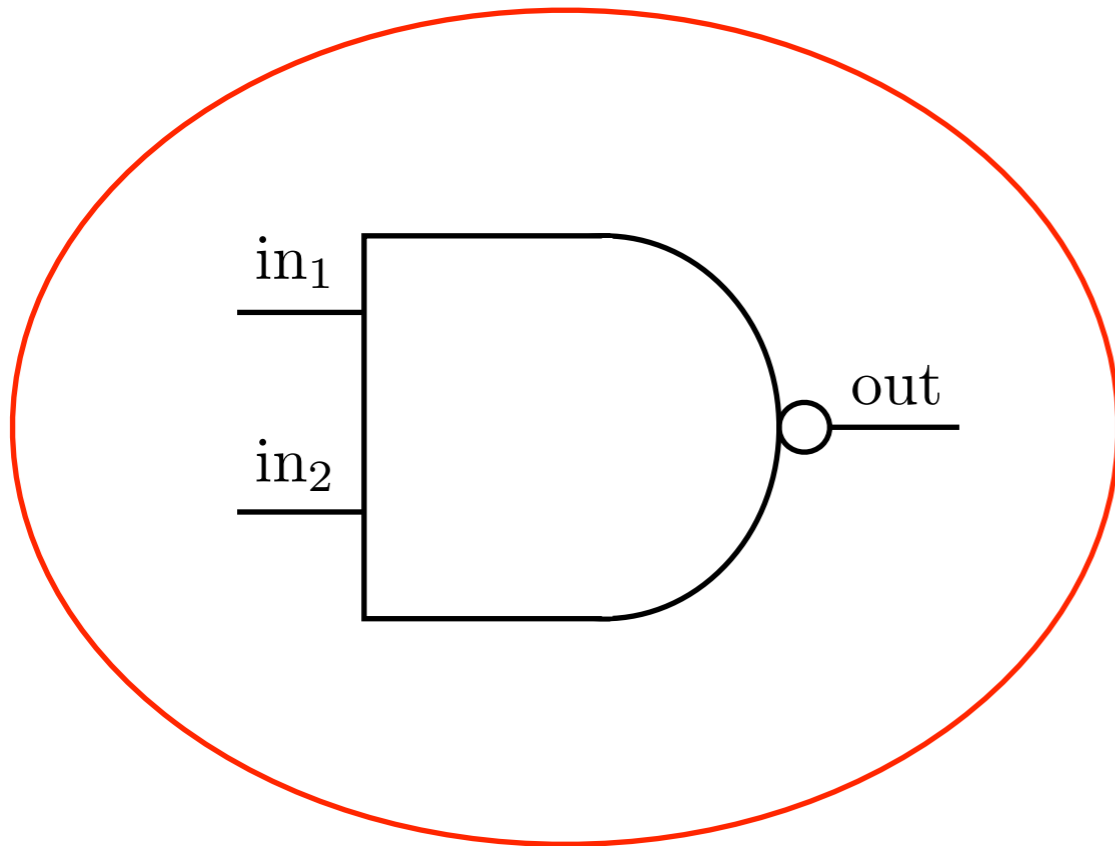
random variable:  $Z^i$

local Markov dynamics:  $M_{z_t^i \rightarrow z_{t+\tau}^i}^{\text{local}} = \Pr(Z_{t+\tau}^i = z_{t+\tau}^i | Z_t^i = z_t^i)$

localized control:  $\langle W^{\text{local}} \rangle_{\min} = k_B T \ln 2 (H[Z_t^i] - H[Z_{t+\tau}^i])$

# Local Elementary Operations

local information states:  $\mathcal{Z}^i = \{0, 1\} \otimes \{0, 1\} \otimes \{0, 1\}$



in <sub>1</sub>	in <sub>2</sub>	out
0	0	1
0	1	1
1	0	1
1	1	0

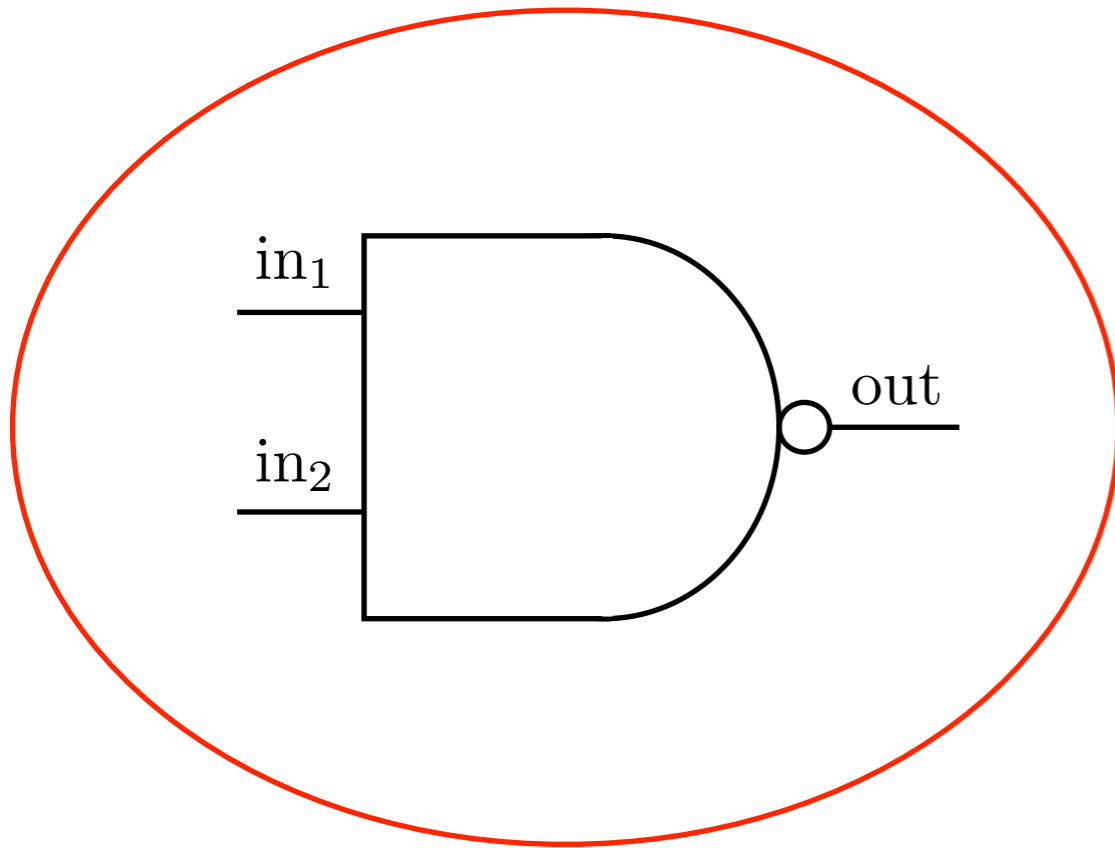
random variable:  $Z^i$

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 $\geq \Delta F^{\text{NEQ}}$

# Local Elementary Operations

local information states:  $\mathcal{Z}^i = \{0, 1\} \otimes \{0, 1\} \otimes \{0, 1\}$



in <sub>1</sub>	in <sub>2</sub>	out
0	0	1
0	1	1
1	0	1
1	1	0

random variable:  $Z^i$

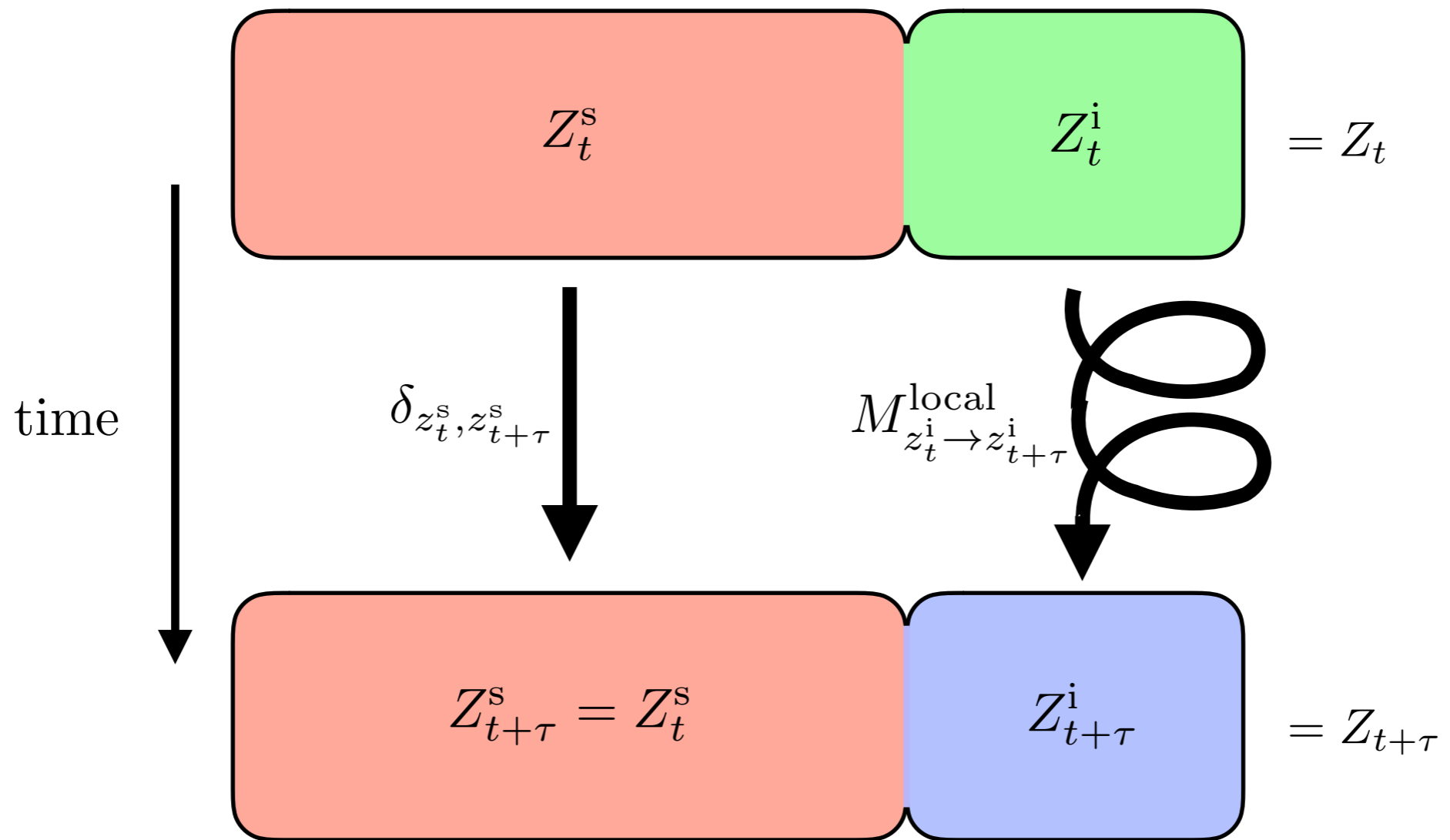
local Markov dynamics:  $M_{z_t^i \rightarrow z_{t+\tau}^i}^{\text{local}} = \Pr(Z_{t+\tau}^i = z_{t+\tau}^i | Z_t^i = z_t^i)$

localized control:  $\langle W^{\text{local}} \rangle_{\min} = k_B T \ln 2 (H[Z_t^i] - H[Z_{t+\tau}^i])$   
 $\geq \Delta F^{\text{NEQ}}$

global change in free energy:  $\Delta F^{\text{NEQ}} = k_B T \ln 2 (H[Z_t] - H[Z_{t+\tau}])$

# Local vs Global Dynamics

global information states:  $\mathcal{Z} = \mathcal{Z}^i \otimes \mathcal{Z}^s$



global Markov dynamics:  $M_{(z_t^i, z_t^s) \rightarrow (z_{t+\tau}^i, z_{t+\tau}^s)}^{\text{global}} = \delta_{z_t^s, z_{t+\tau}^s} M_{z_t^i \rightarrow z_{t+\tau}^i}^{\text{local}}$

# Modularity Dissipation

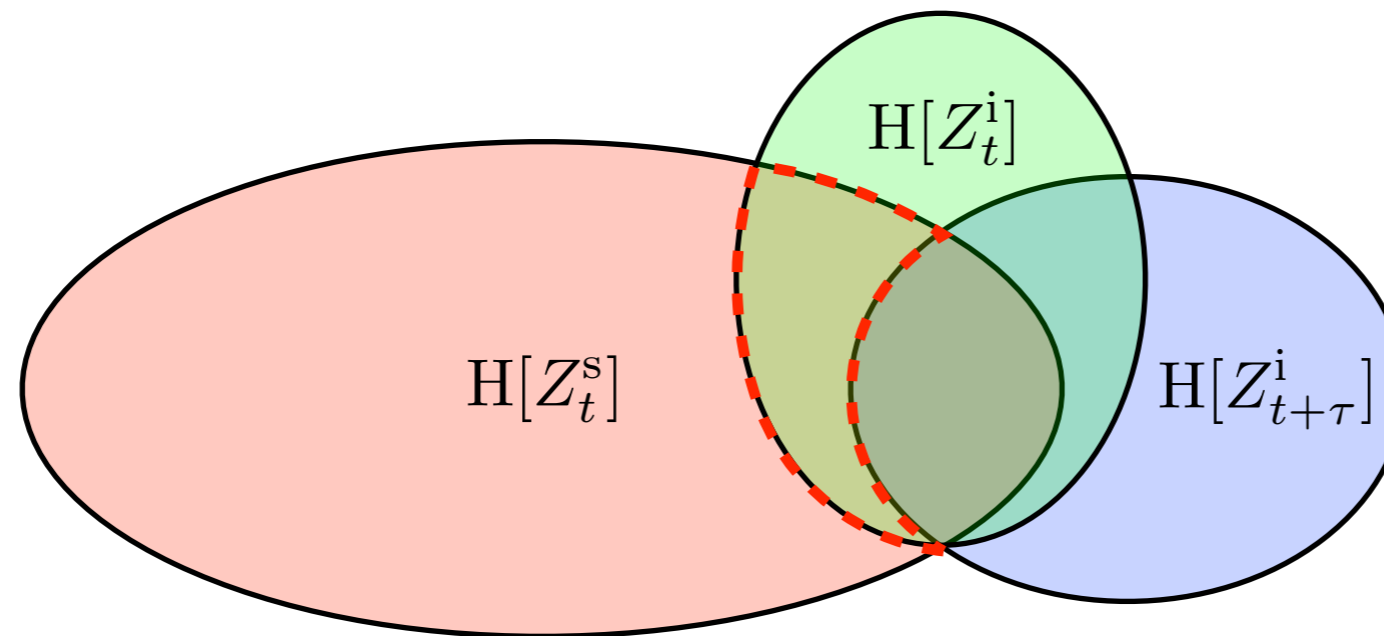
$$T\langle\Sigma^{\text{mod}}\rangle_{\text{min}} = \langle W^{\text{local}}\rangle_{\text{min}} - \Delta F^{\text{NEQ}}$$

# Modularity Dissipation

$$\begin{aligned} T\langle\Sigma^{\text{mod}}\rangle_{\text{min}} &= \langle W^{\text{local}}\rangle_{\text{min}} - \Delta F^{\text{NEQ}} \\ &= \langle W^{\text{local}}\rangle_{\text{min}} - \langle W^{\text{global}}\rangle_{\text{min}} \end{aligned}$$

# Modularity Dissipation

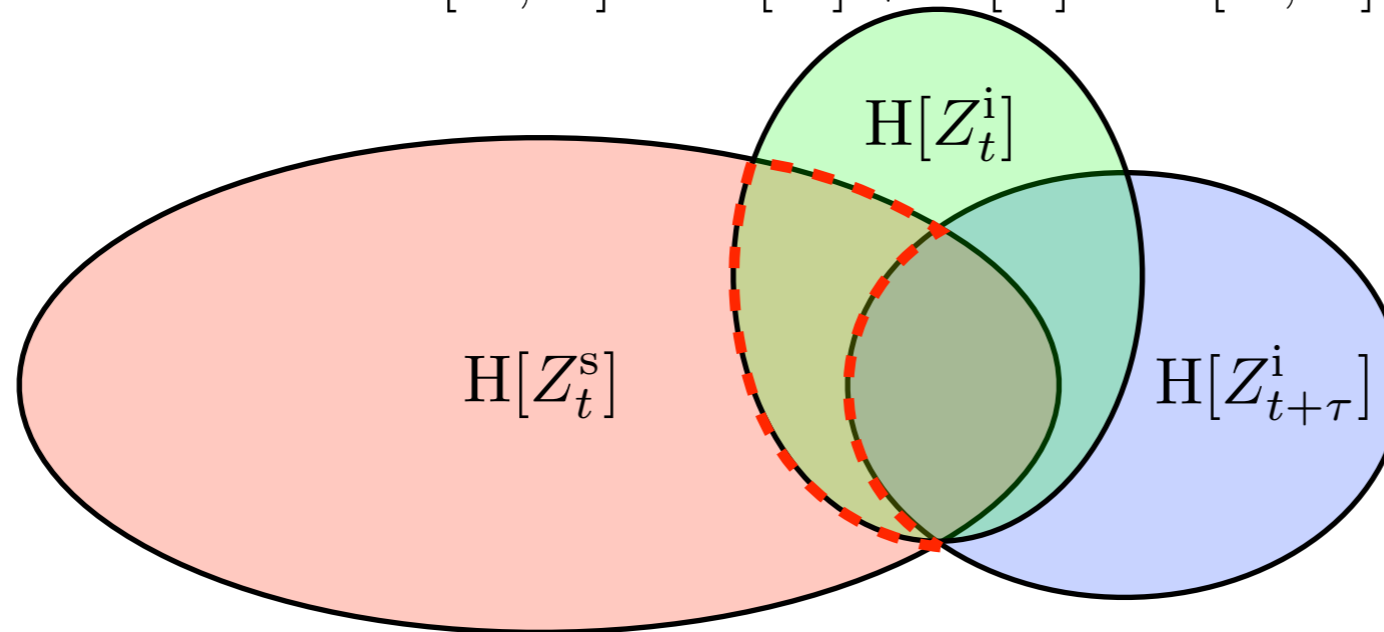
$$\begin{aligned} T\langle \Sigma^{\text{mod}} \rangle_{\text{min}} &= \langle W^{\text{local}} \rangle_{\text{min}} - \Delta F^{\text{NEQ}} \\ &= \langle W^{\text{local}} \rangle_{\text{min}} - \langle W^{\text{global}} \rangle_{\text{min}} \\ &= k_B T \ln 2 (H[Z_t^i] - H[Z_{t+\tau}^i] - H[Z_t^s] + H[Z_{t+\tau}^s]) \end{aligned}$$



# Modularity Dissipation

$$\begin{aligned} T\langle \Sigma^{\text{mod}} \rangle_{\text{min}} &= \langle W^{\text{local}} \rangle_{\text{min}} - \Delta F^{\text{NEQ}} \\ &= \langle W^{\text{local}} \rangle_{\text{min}} - \langle W^{\text{global}} \rangle_{\text{min}} \\ &= k_B T \ln 2 (H[Z_t^i] - H[Z_{t+\tau}^i] - H[Z_t] + H[Z_{t+\tau}]) \\ &= k_B T \ln 2 (I[Z_t^i; Z_t^s] - I[Z_{t+\tau}^i; Z_t^s]) \end{aligned}$$

$$I[X; Y] = H[X] + H[Y] - H[X, Y]$$



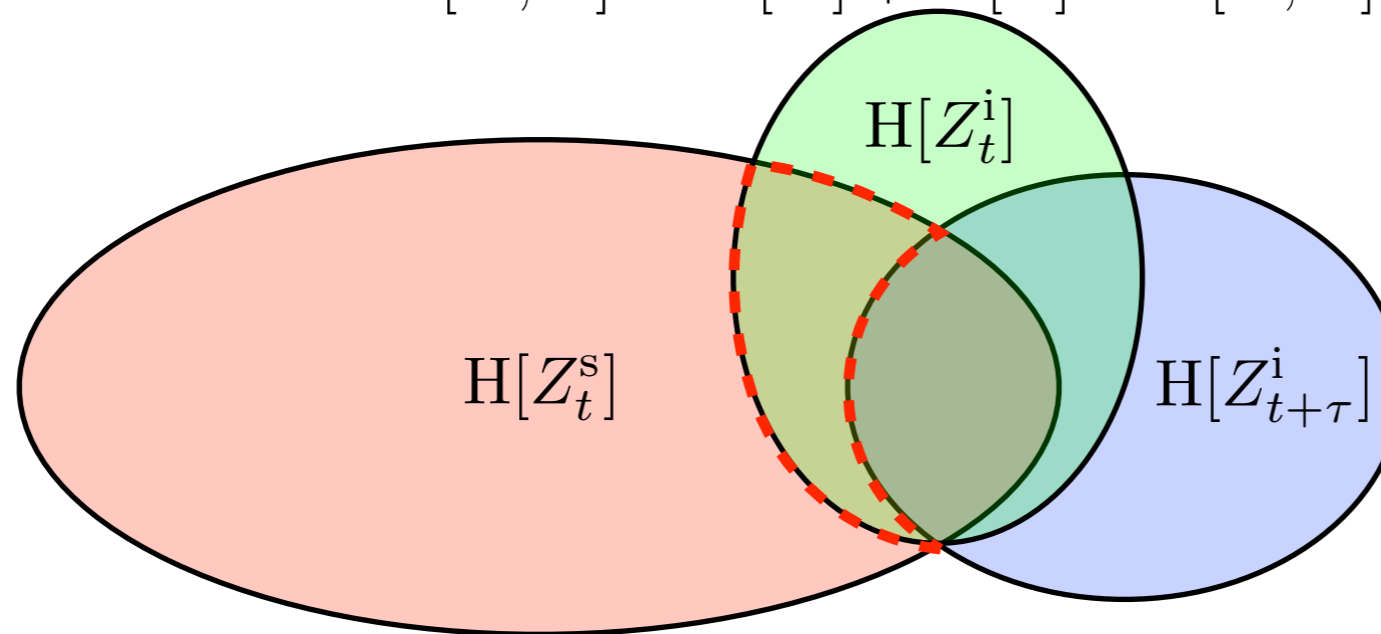
Dissipation of forgotten global correlations



# Modularity Dissipation

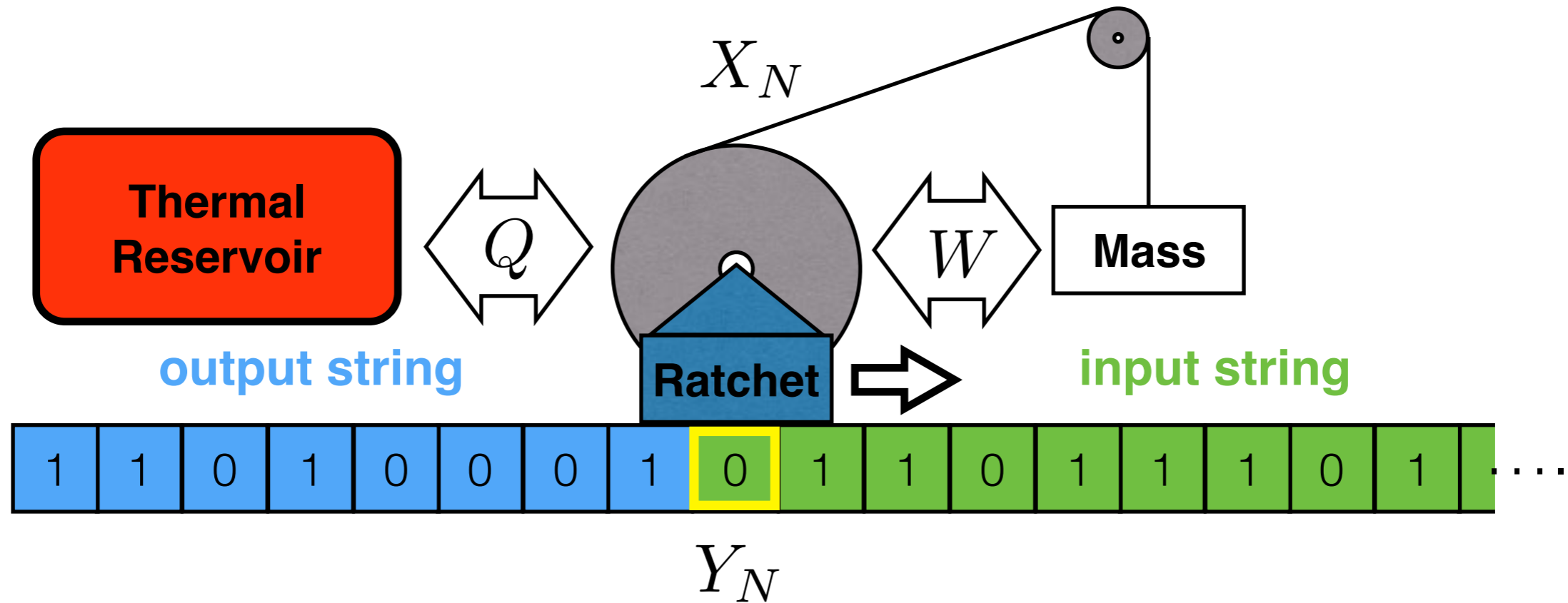
$$\begin{aligned}
 T\langle \Sigma^{\text{mod}} \rangle_{\text{min}} &= \langle W^{\text{local}} \rangle_{\text{min}} - \Delta F^{\text{NEQ}} \\
 &= \langle W^{\text{local}} \rangle_{\text{min}} - \langle W^{\text{global}} \rangle_{\text{min}} \\
 &= k_B T \ln 2 (H[Z_t^i] - H[Z_{t+\tau}^i] - H[Z_t] + H[Z_{t+\tau}]) \\
 &= k_B T \ln 2 (I[Z_t^i; Z_t^s] - I[Z_{t+\tau}^i; Z_t^s]) \\
 &= k_B T \ln 2 I[Z_t^i; Z_t^s | Z_{t+\tau}^i]
 \end{aligned}$$

$$I[X; Y] = H[X] + H[Y] - H[X, Y]$$



Dissipation of forgotten global correlations

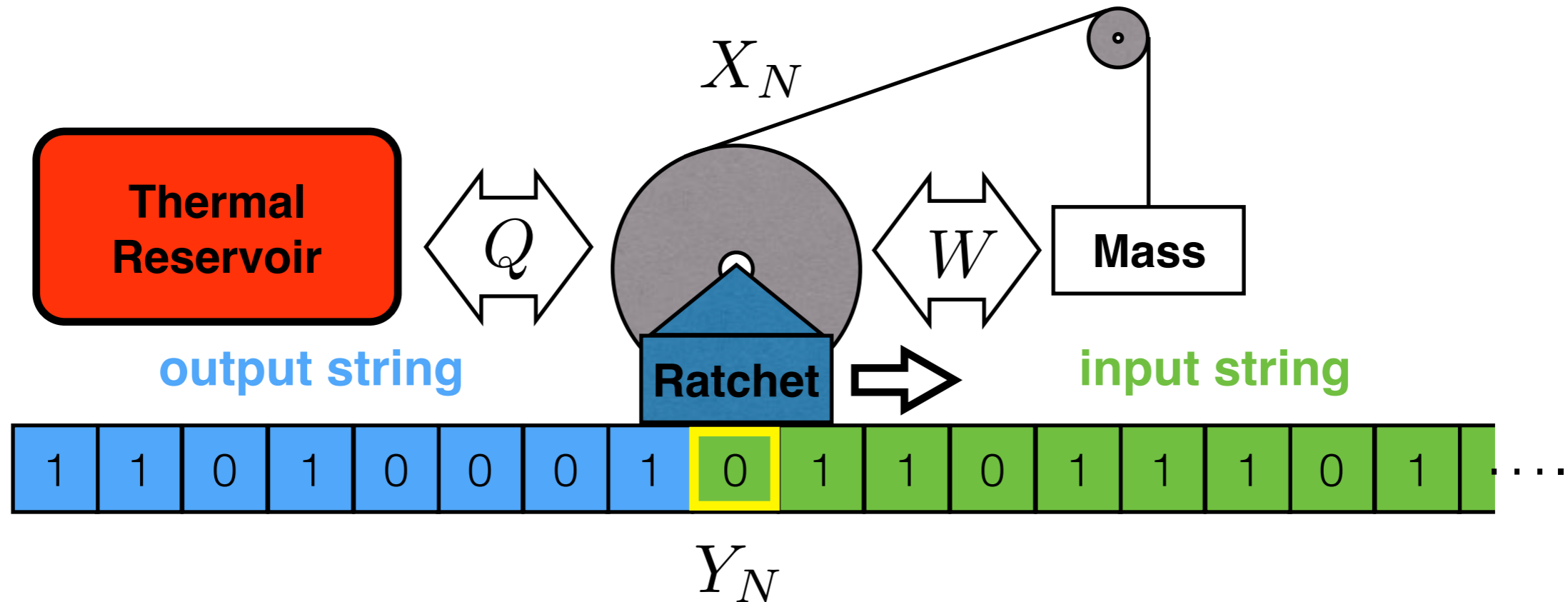
# Modular Thermodynamic Transducers



Ratchet and interaction bit are locally changing elements:

$$\langle W_N^{\text{local}} \rangle_{\min} = k_B T \ln 2 (H[X_N, Y_N] - H[X_{N+1}, Y'_N])$$

# Modular Thermodynamic Transducers



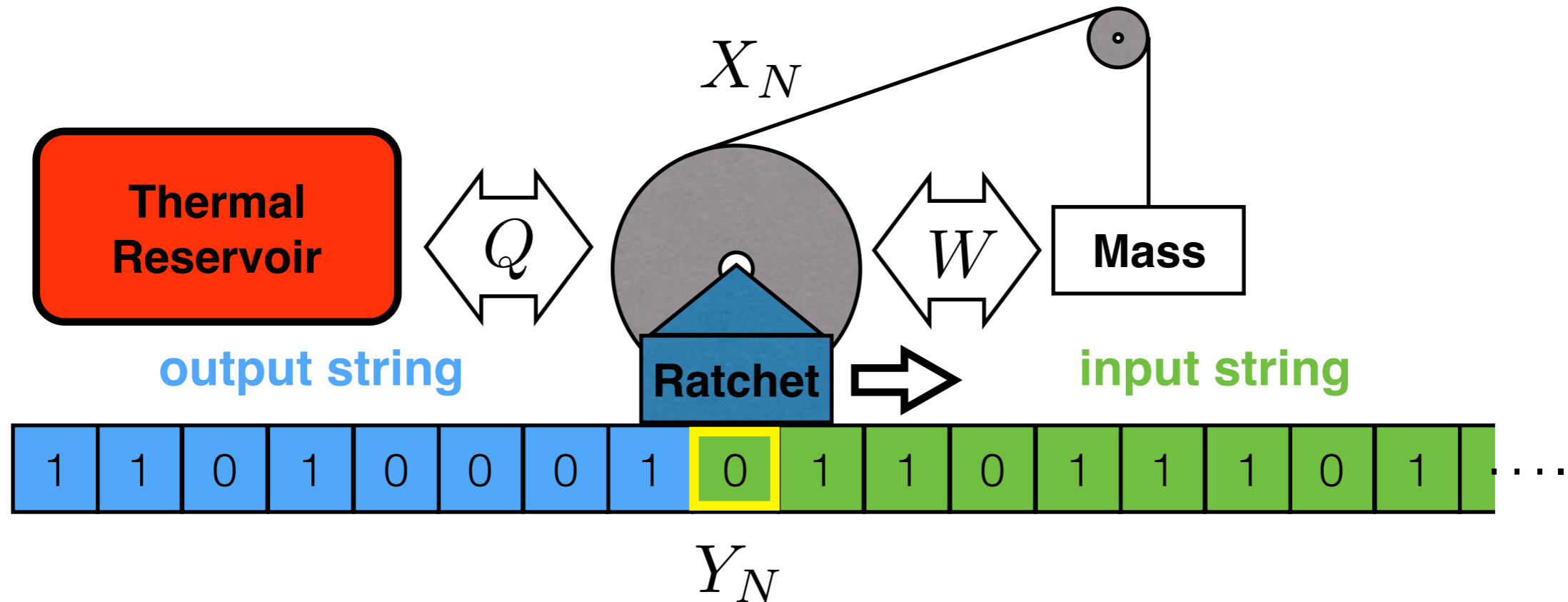
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Locally operating ratchets necessarily dissipate:

$$\begin{aligned} T \langle \Sigma_N^{\text{mod}} \rangle_{\min} &= \langle W_N^{\text{local}} \rangle_{\min} - \Delta F^{\text{NEQ}} \\ &= k_B T \ln 2 I[X_N Y_N; Y'_{0:N} Y_{N+1:\infty} | X_{N+1} Y'_N] \end{aligned}$$

# Modular Thermodynamic Transducers



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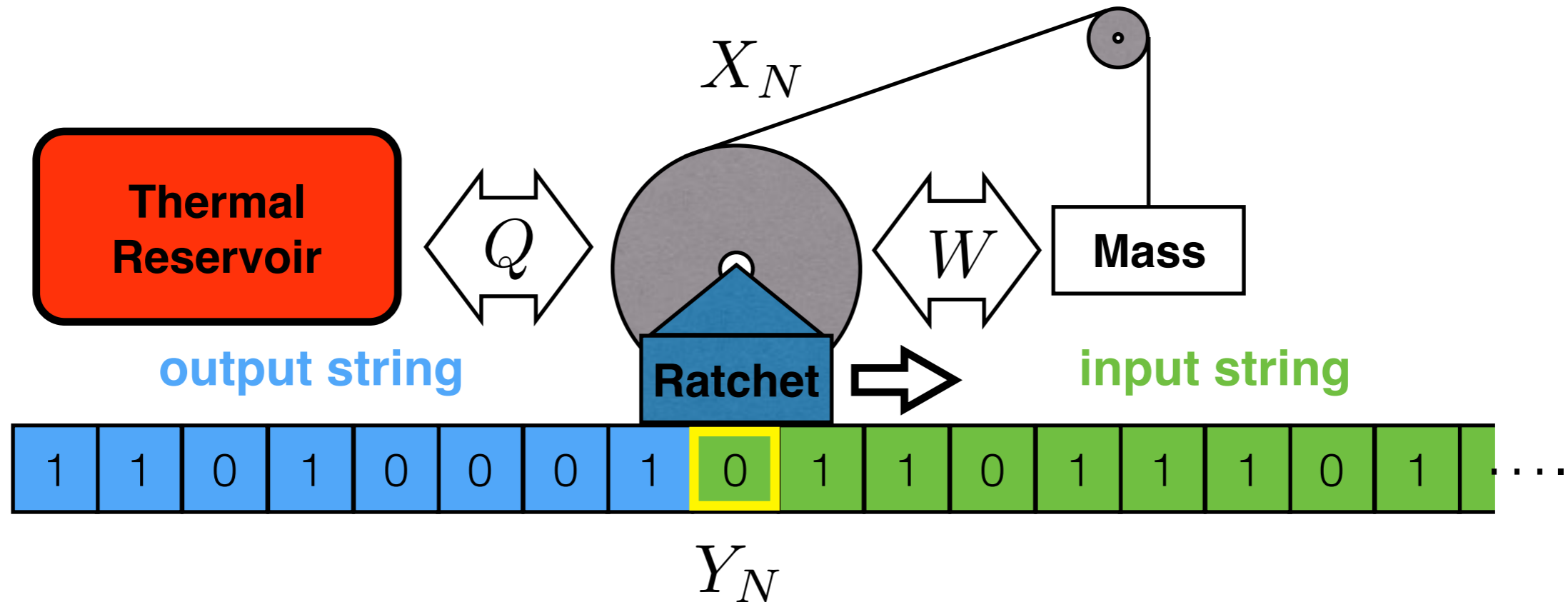
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Can be seen by plugging into formula for modularity dissipation:

# Modular Thermodynamic Transducers



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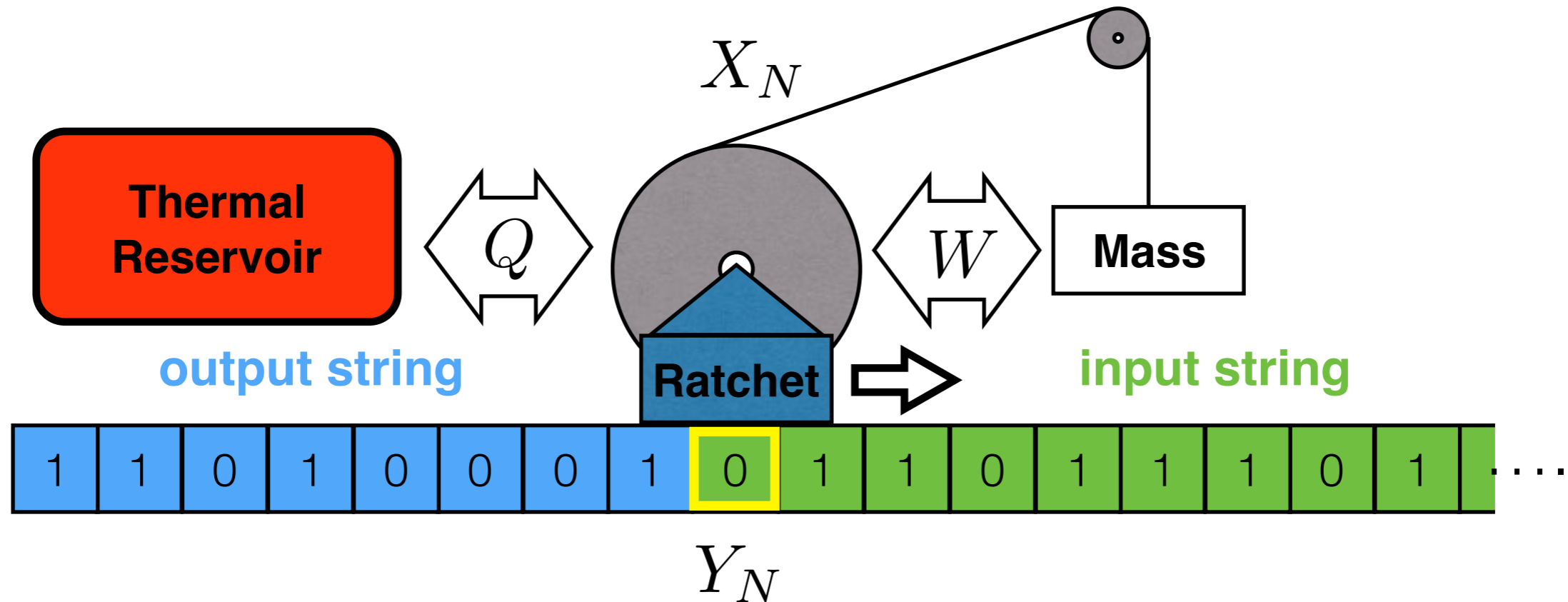
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$$\underline{Z_{N\tau}^i} = X_N Y_N$$

# Modular Thermodynamic Transducers



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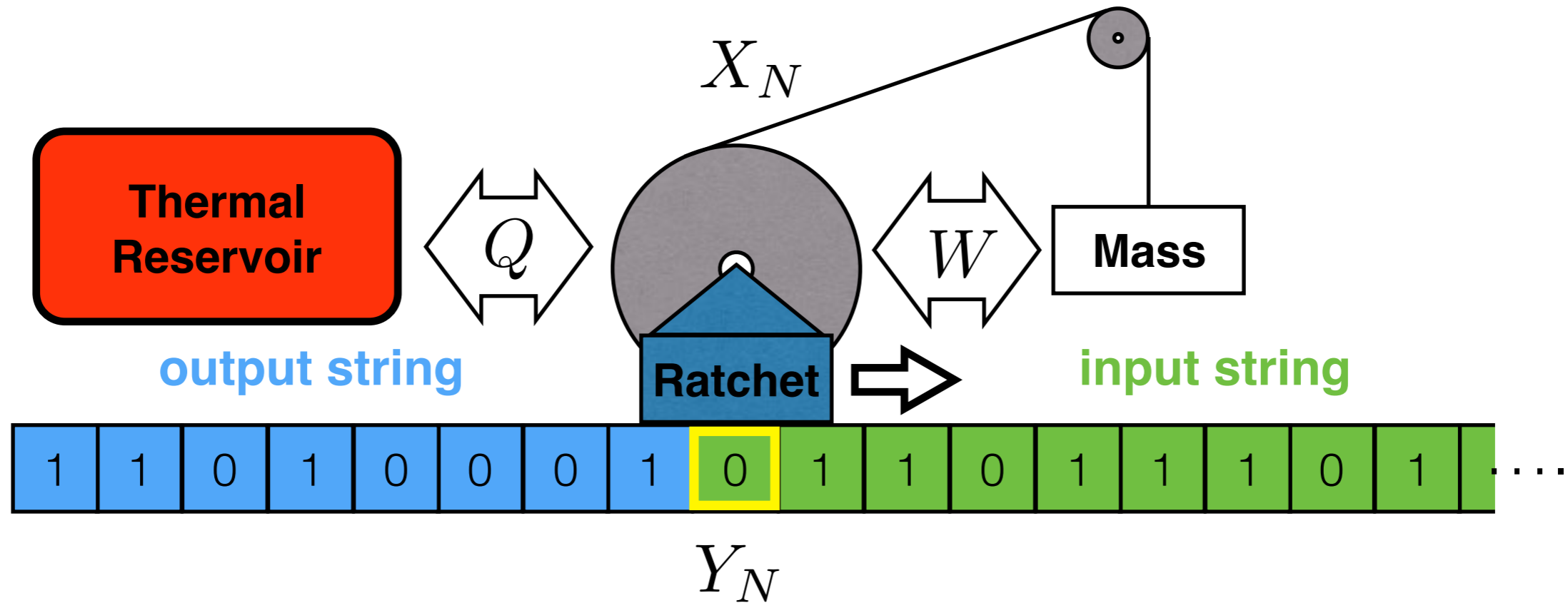
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# Modular Thermodynamic Transducers



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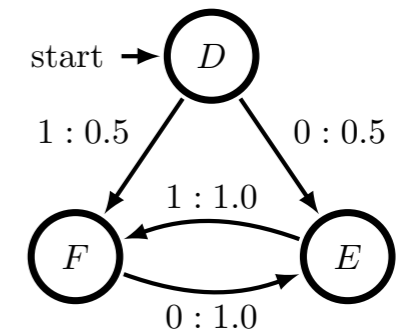
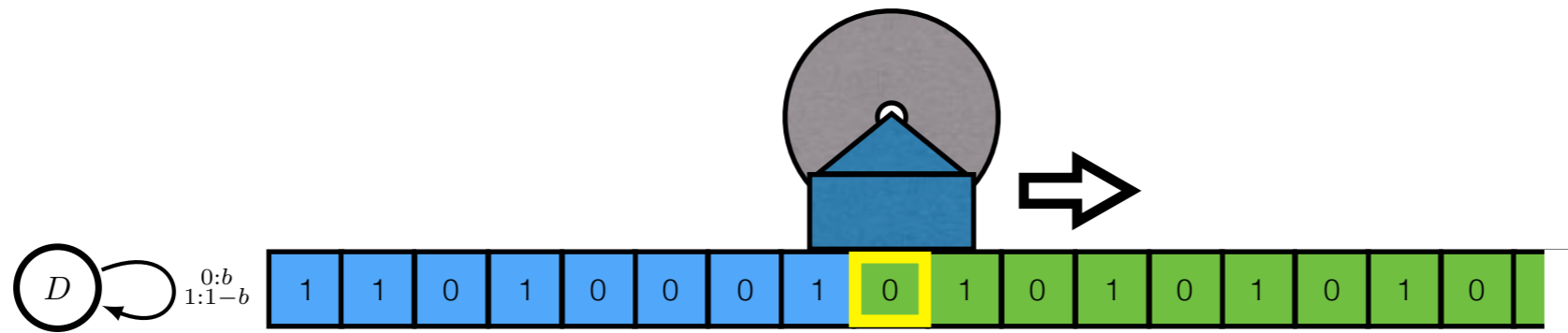
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Can be seen by plugging into formula for modularity dissipation:

$$\underline{Z_{N\tau}^i} = X_N Y_N \quad \underline{Z_{(N+1)\tau}^i} = X_{N+1} Y'_N \quad \underline{Z_{N\tau}^s} = Y'_{0:N} Y_{N+1:\infty}$$

# Modular Extractors

Pattern Extractors: correlated inputs, uncorrelated outputs



$$\Pr(Y'_{a:b} = y'_{a:b}) = \prod_{i=a}^{b-1} \Pr(Y'_i = y'_i)$$

$$C'_\mu = H[S_N^+] = 0$$

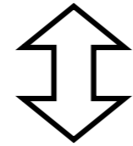
$$\Pr(Y_{a:b} = y_{a:b}) \neq \prod_{i=a}^{b-1} \Pr(Y_i = y_i)$$

$$C_\mu = H[S_N^+] \geq 0$$



# Efficient Extractors

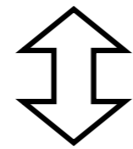
**Perfect efficiency:**  $\langle \Sigma_N^{\text{mod}} \rangle_{\min} = 0$  for all  $N$



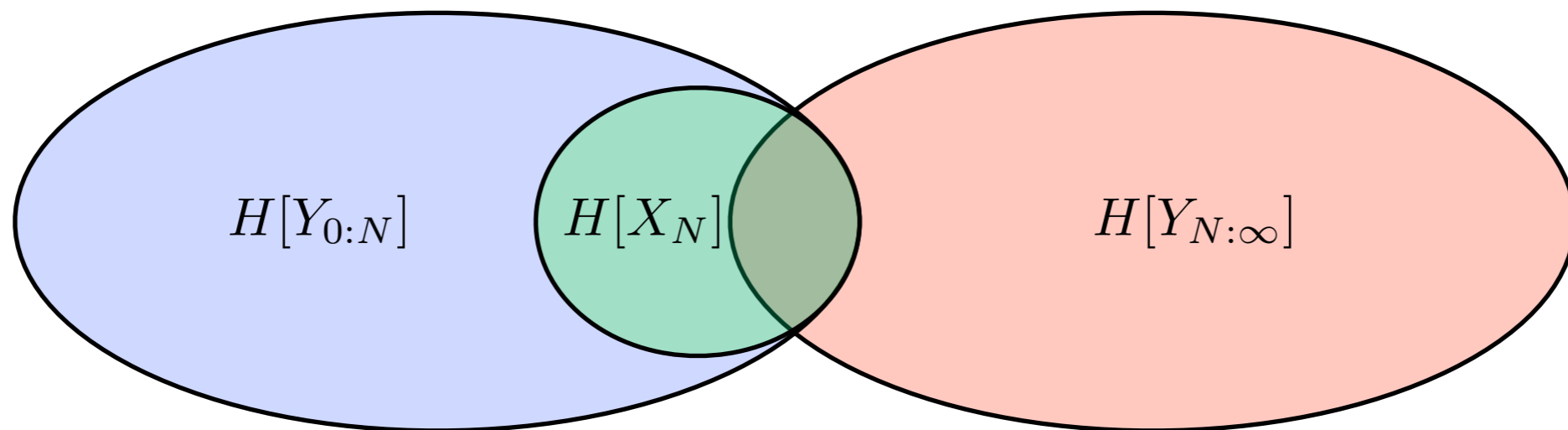
**Predictive ratchet:**  $I[X_N; Y_{N:\infty} | Y_{0:N}] = 0$  and  $I[Y_{0:N}; Y_{N:\infty} | X_N] = 0$

# Efficient Extractors

**Perfect efficiency:**  $\langle \Sigma_N^{\text{mod}} \rangle_{\min} = 0$  for all  $N$

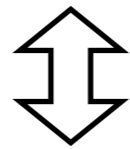


**Predictive ratchet:**  $I[X_N; Y_{N:\infty} | Y_{0:N}] = 0$  and  $I[Y_{0:N}; Y_{N:\infty} | X_N] = 0$

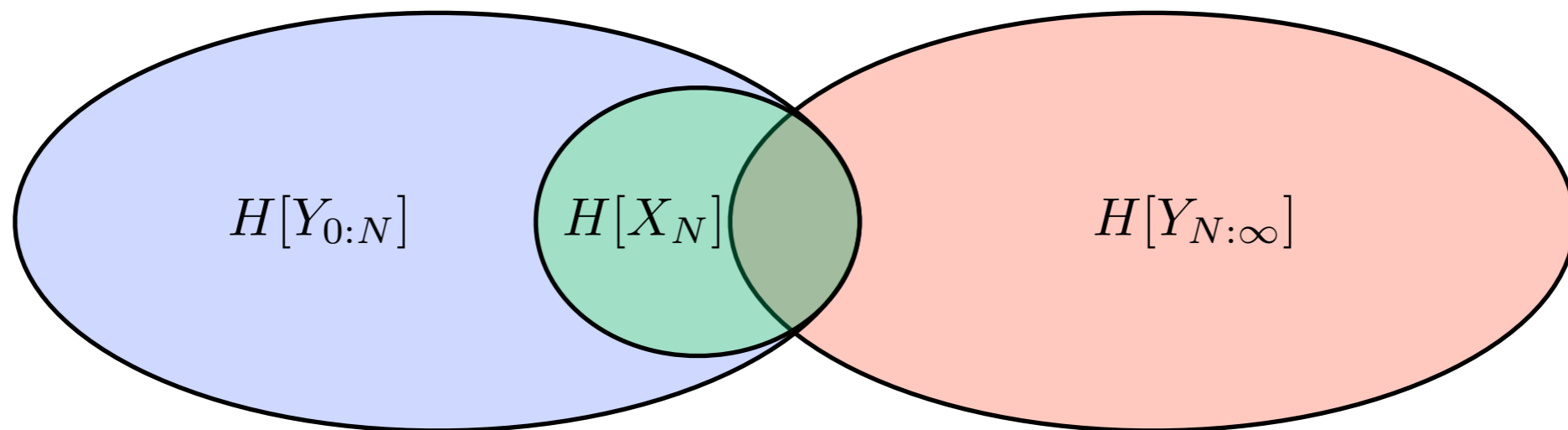


# Efficient Extractors

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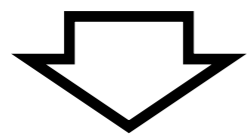
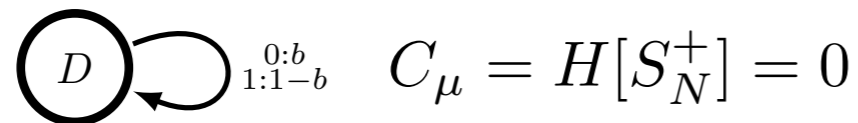
**Implies principle of requisite complexity: an efficient extractor must at least match the statistical complexity of its input.**

$$\lim_{N \rightarrow \infty} H[X_N] \geq C_\mu$$

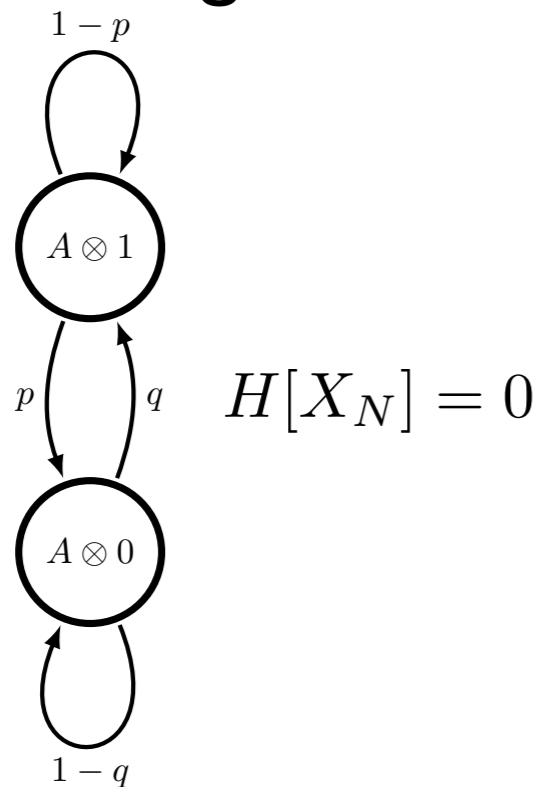
A. B. Boyd, D. Mandal, and J. P. Crutchfield, "Leveraging Environmental Correlations: The Thermodynamics of Requisite Variety", J. Stat. Phys. (2017)

# Different Types of Order

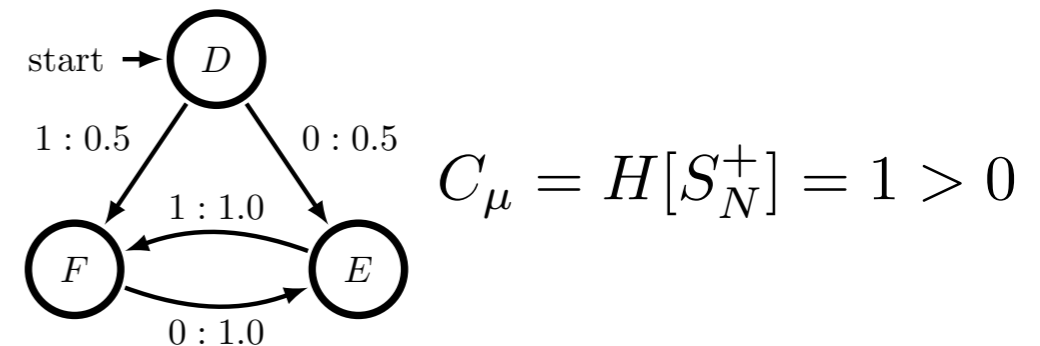
simple inputs



simple engine



complex inputs



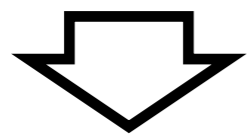
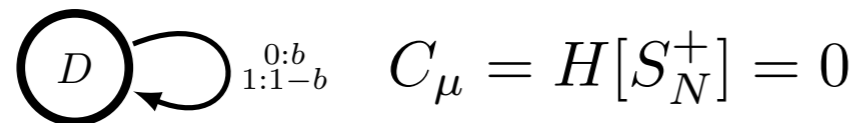
order is temporal  
correlations:

$$H[Y_N] - h_\mu = 1$$

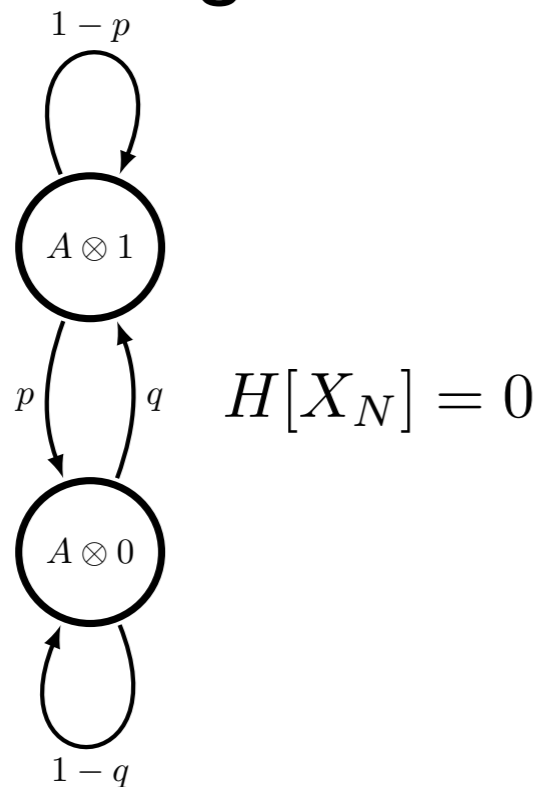
Memoryless ratchet dissipates all temporal correlations,  
because of modularity:

# Different Types of Order

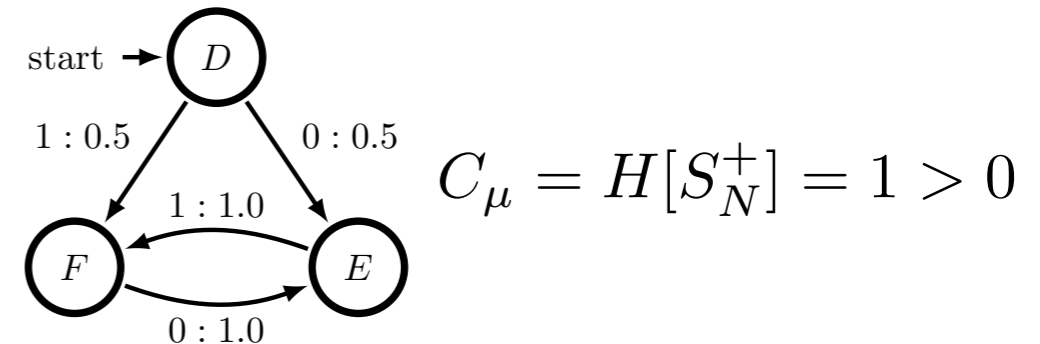
simple inputs



simple engine



complex inputs



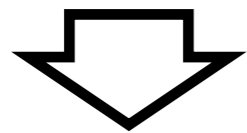
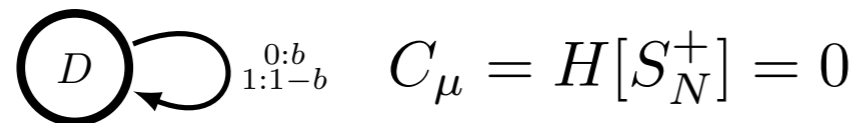
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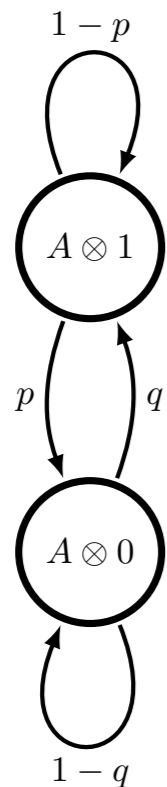
Memoryless ratchet dissipates all temporal correlations,  
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# Different Types of Order

simple inputs



simple engine

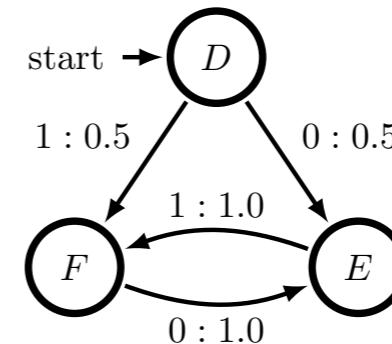


$$H[X_N] = 0$$

Memoryless ratchet dissipates all temporal correlations, because of modularity:  $\langle \Sigma_N^{\text{mod}} \rangle_{\min} = k_B T \ln 2 (H[Y_N] - h_\mu)$

Modularity dissipation is only minimized when the ratchet is **predictive**, matching **statistical complexity** of the input.

complex inputs



$$C_\mu = H[S_N^+] = 1 > 0$$

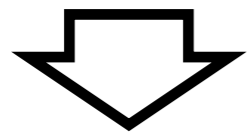
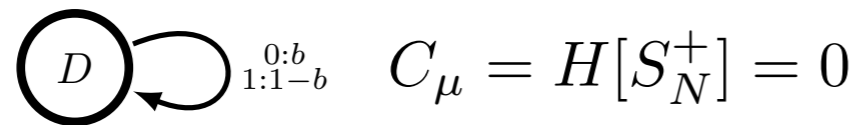
order is temporal correlations:

$$H[Y_N] - h_\mu = 1$$

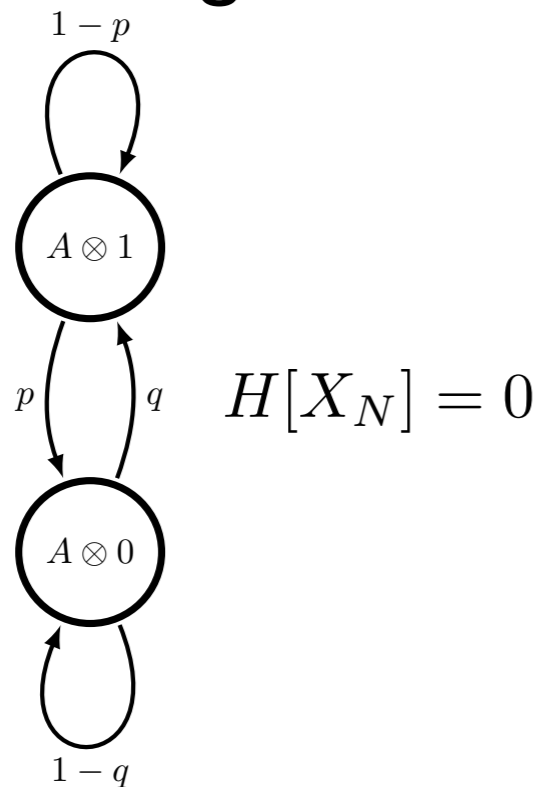
$$H[X_N] \geq C_\mu$$

# Different Types of Order

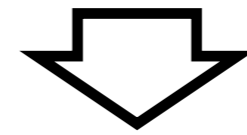
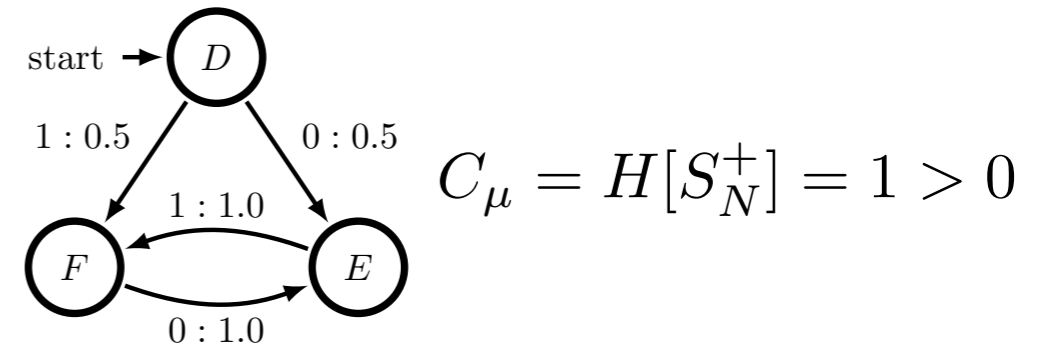
simple inputs



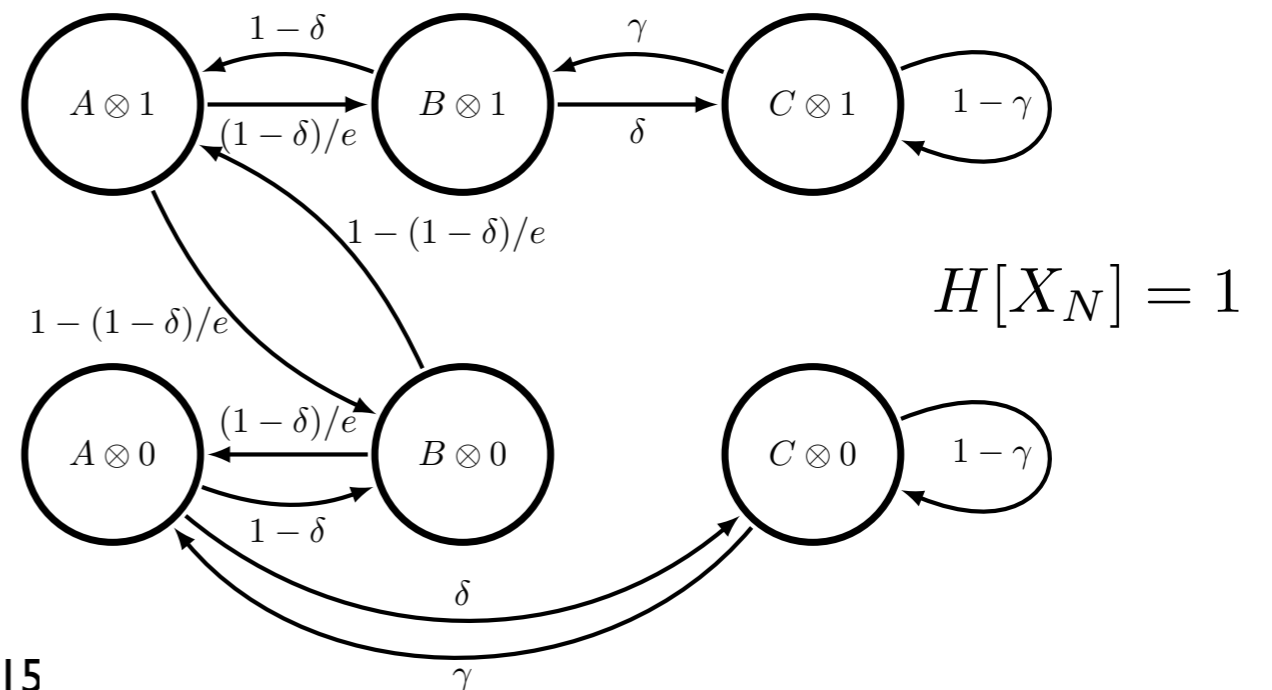
simple engine



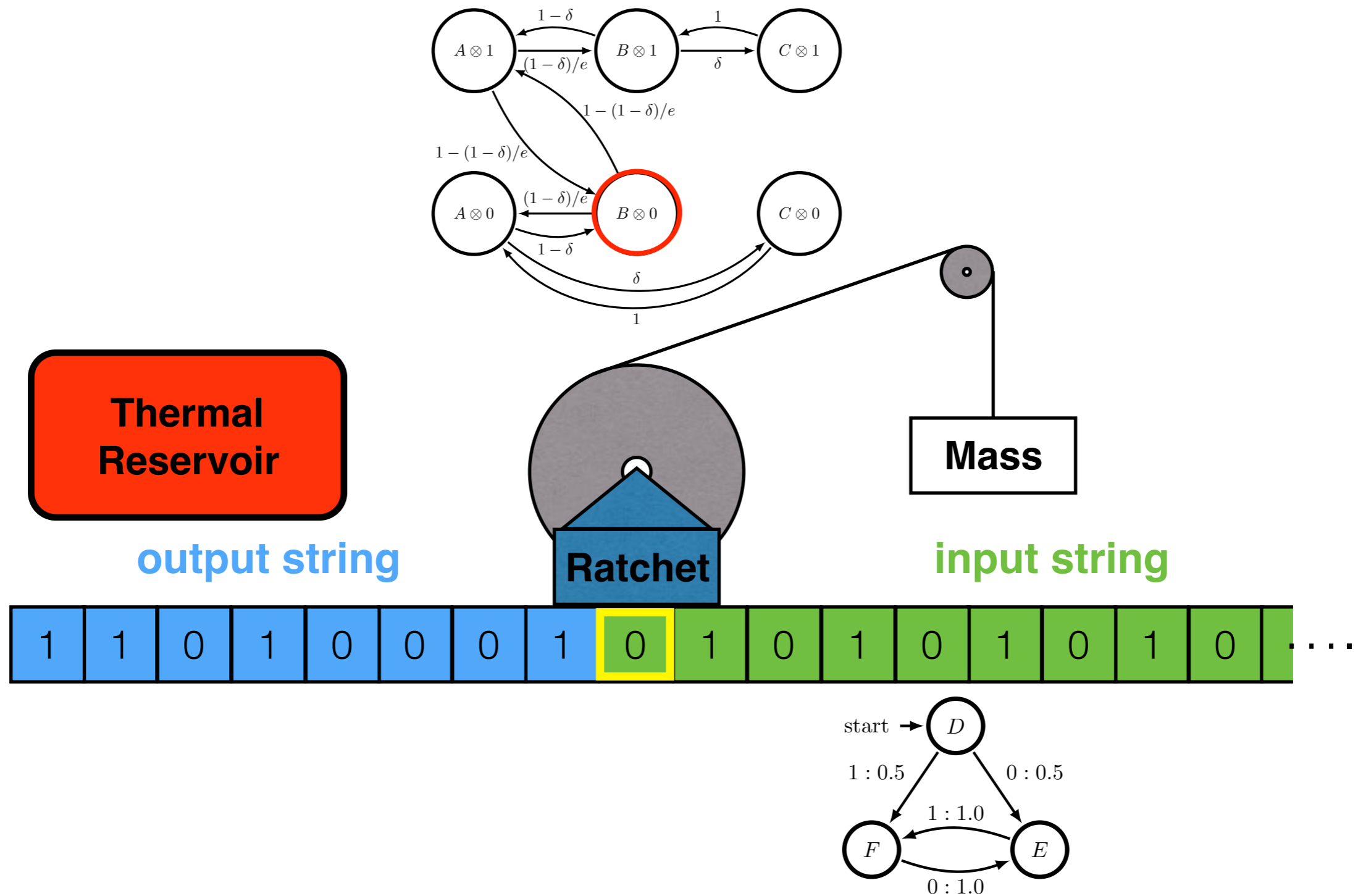
complex inputs



complex engine

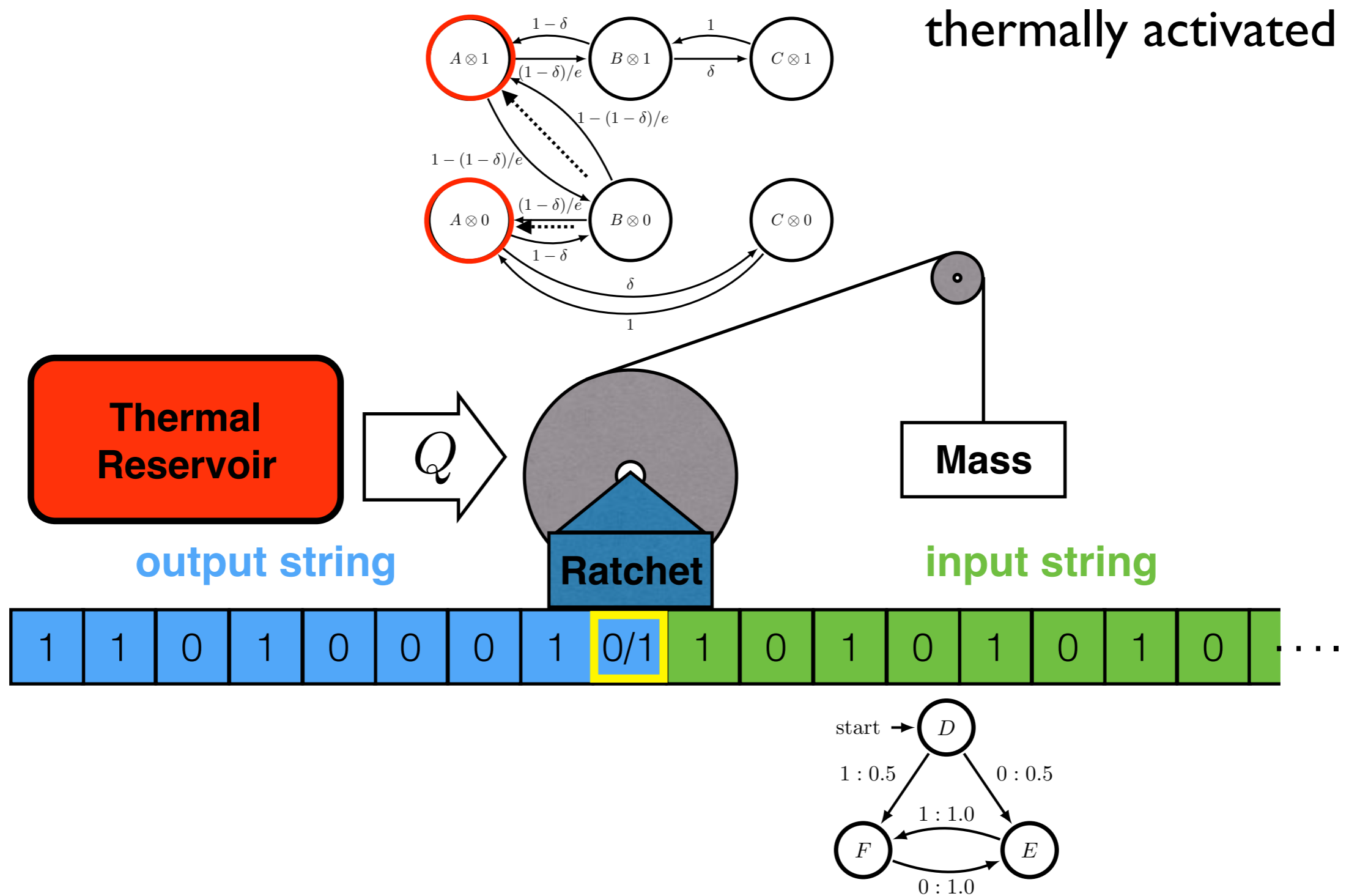


# Memoryful Ratchet Operation

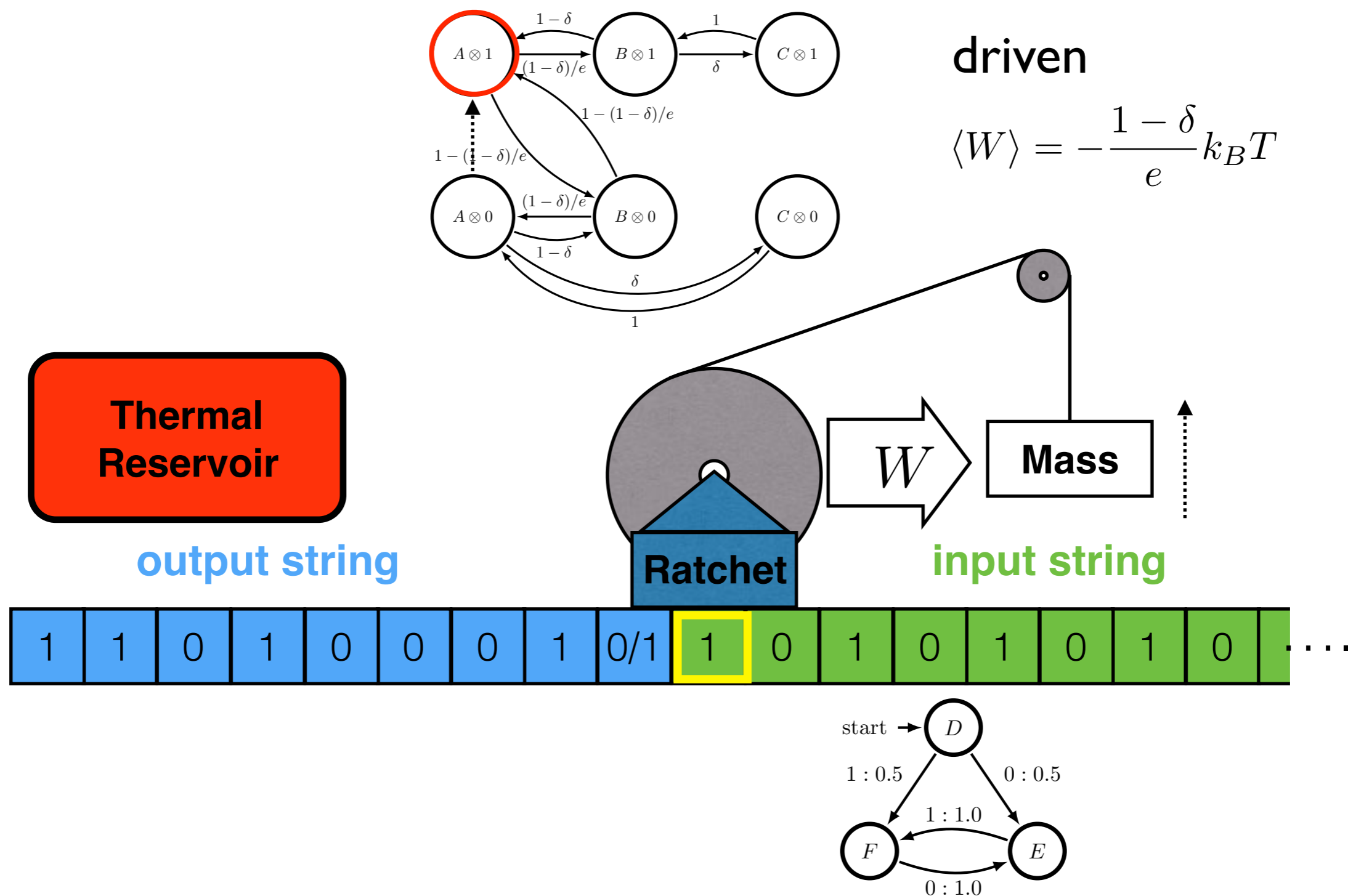




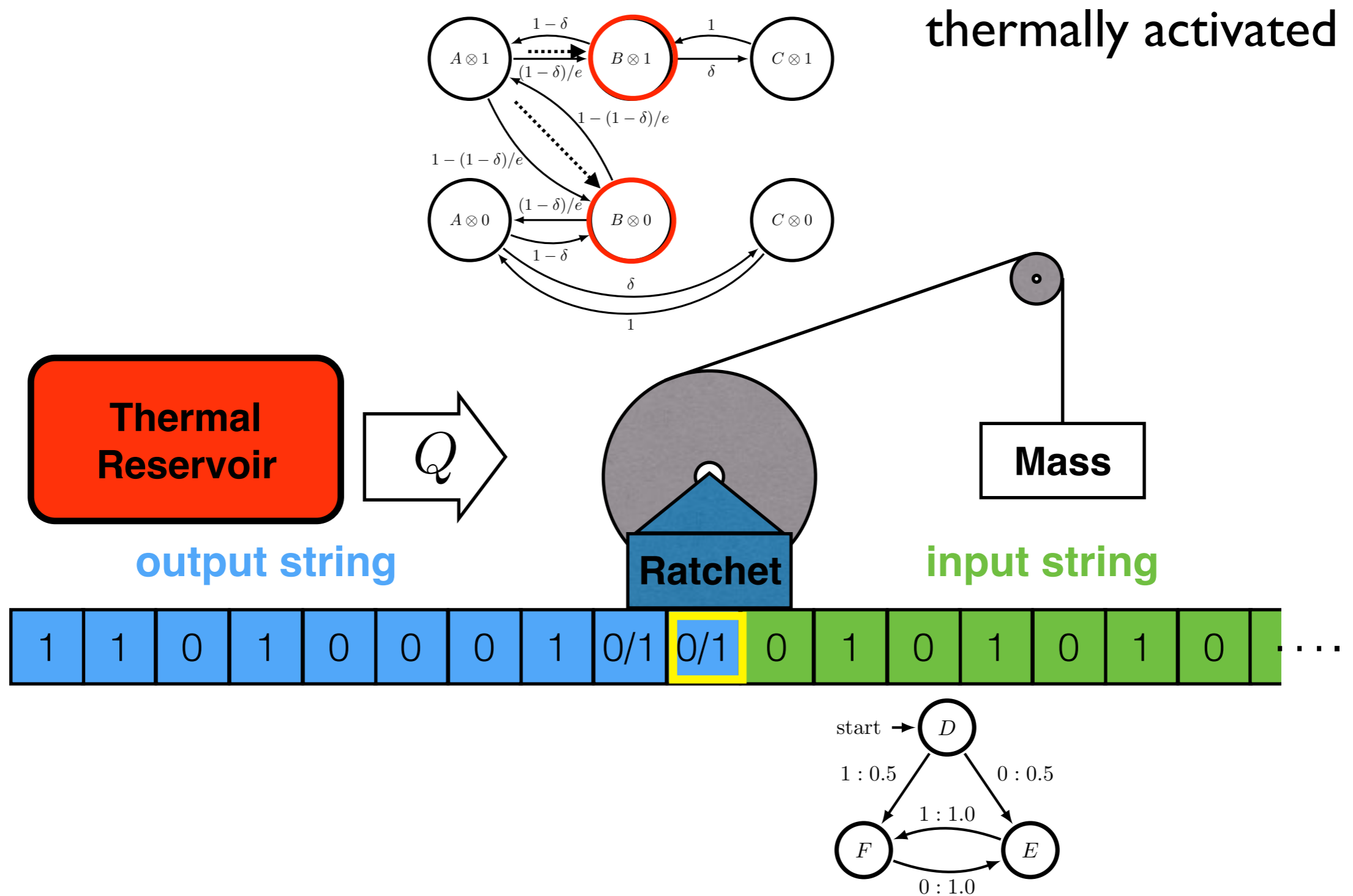
# Memoryful Ratchet Operation



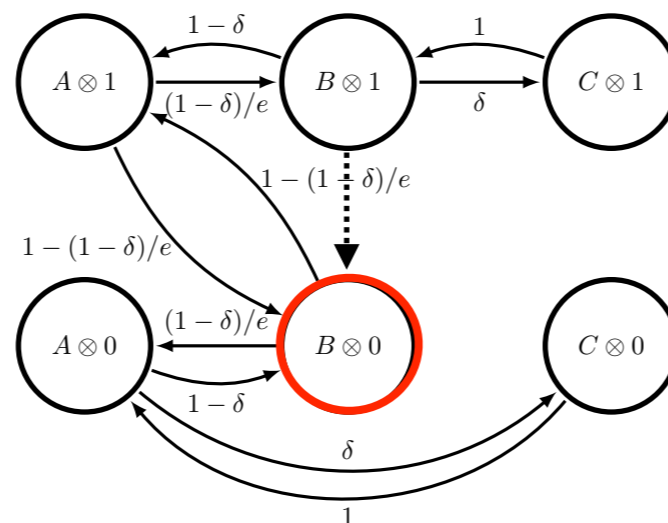
# Memoryful Ratchet Operation



# Memoryful Ratchet Operation



# Memoryful Ratchet Operation

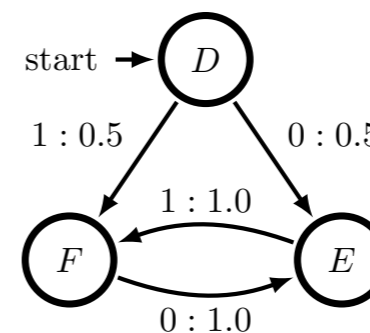
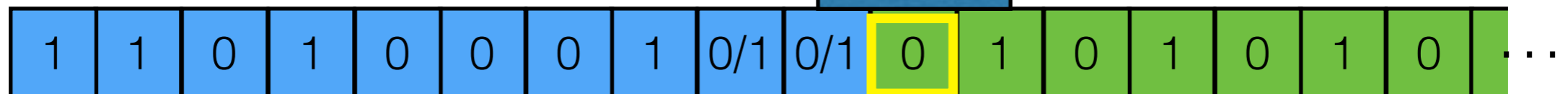
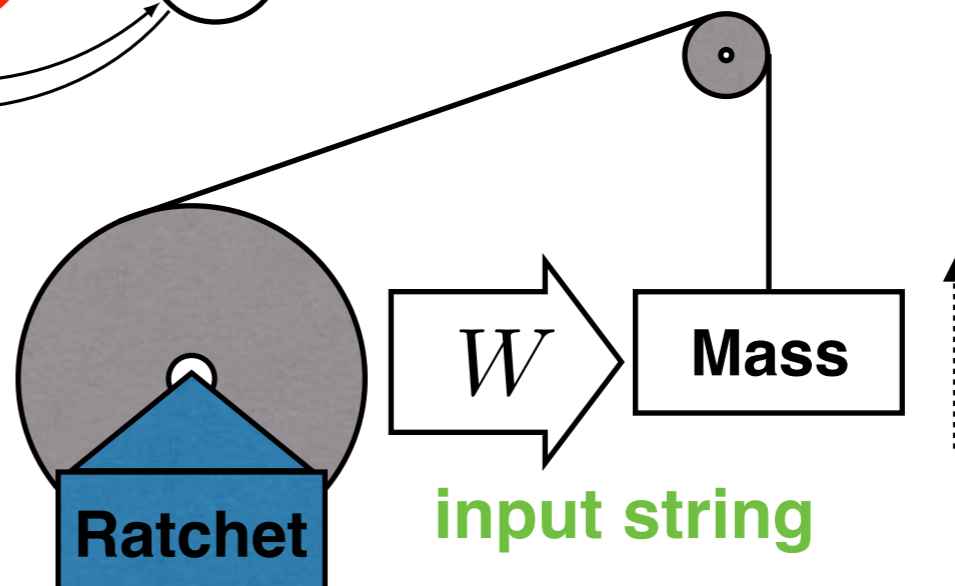


driven

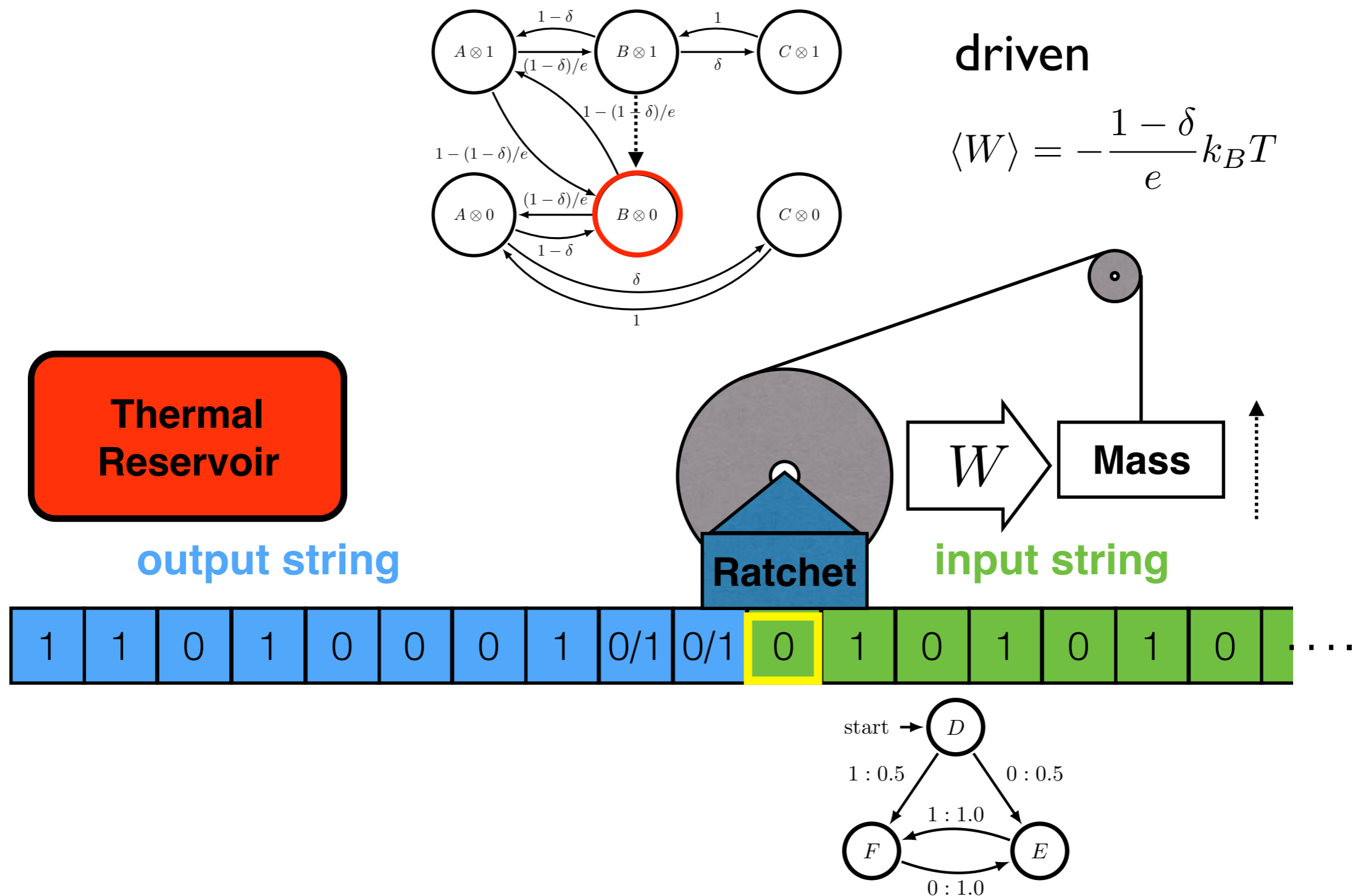
$$\langle W \rangle = -\frac{1-\delta}{e} k_B T$$



output string



# Memoryful Ratchet Operation



driven

$$\langle W \rangle = -\frac{1-\delta}{e} k_B T$$

Thermal Reservoir

output string

Ratchet

input string

Mass

$W$

1

1

0

1

0

0

0

1

0/1

0/1

0

1

0

1

0

1

0

...

start  $\rightarrow$

$D$

1 : 0.5

0 : 0.5

$F$

$E$

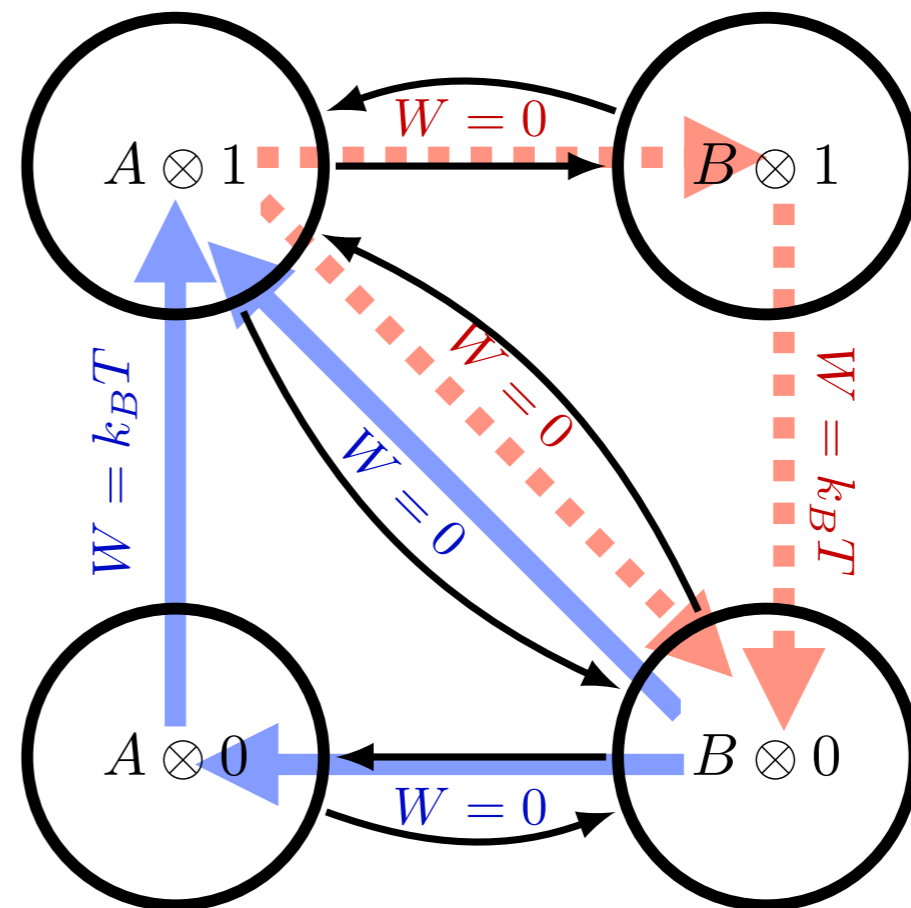
1 : 1.0

0 : 1.0

Stationary work producing dynamical phase

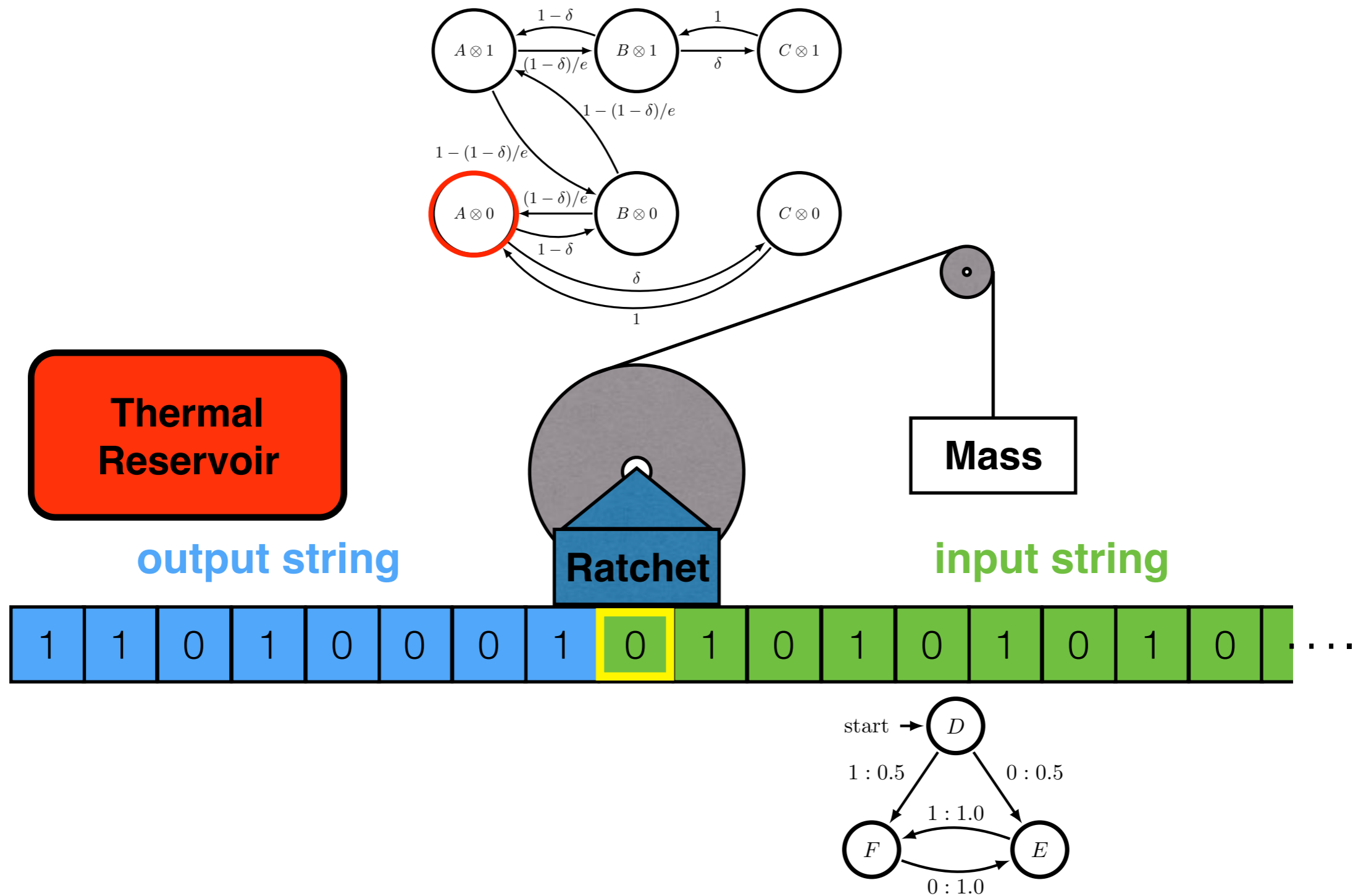
# Dynamical Phase

- ■ ■ : input 0 transition
- ■ ■ : input 1 transition

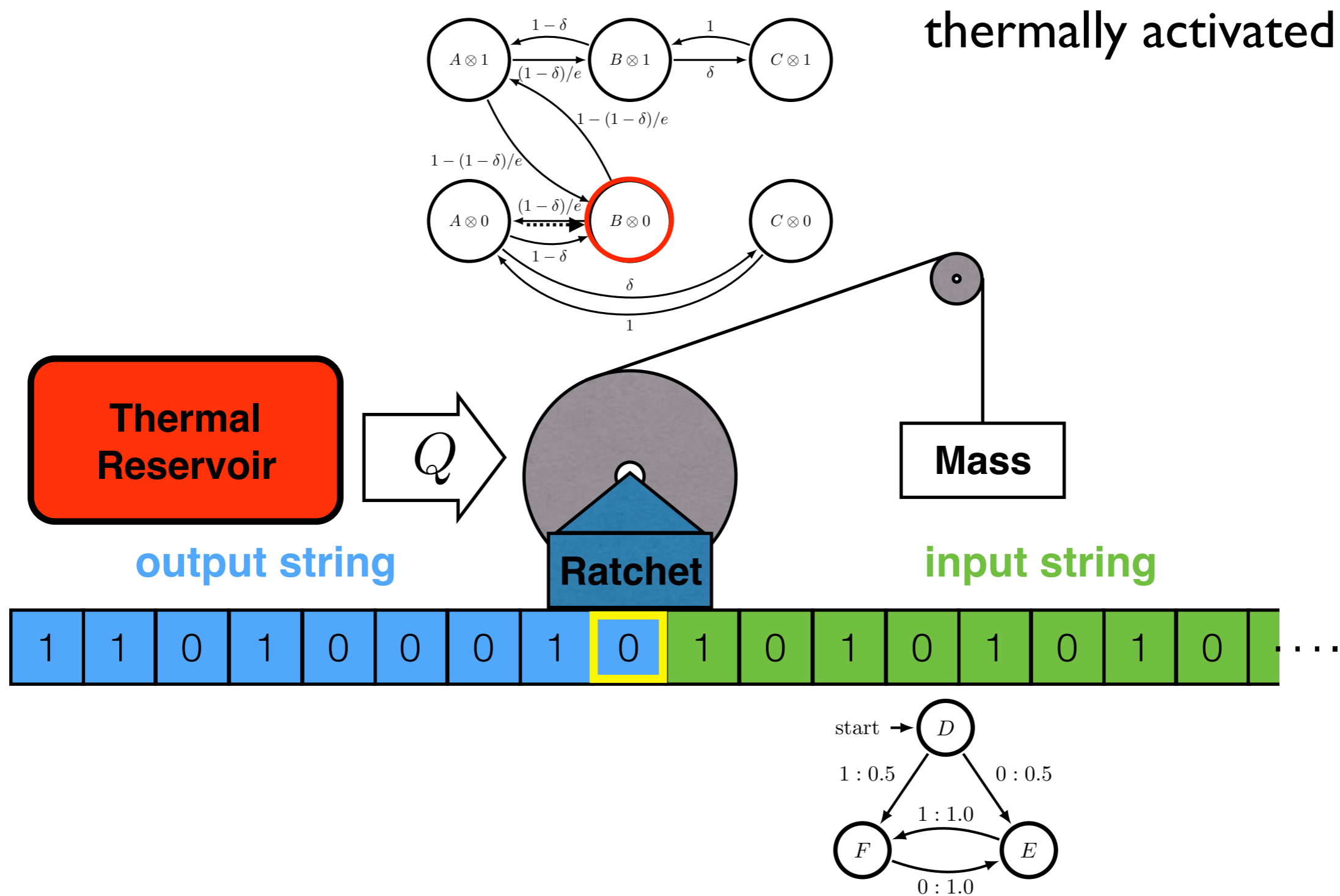


$$\langle W \rangle_{\text{clockwise}} = -k_B T/e$$

# Memoryful Ratchet Operation

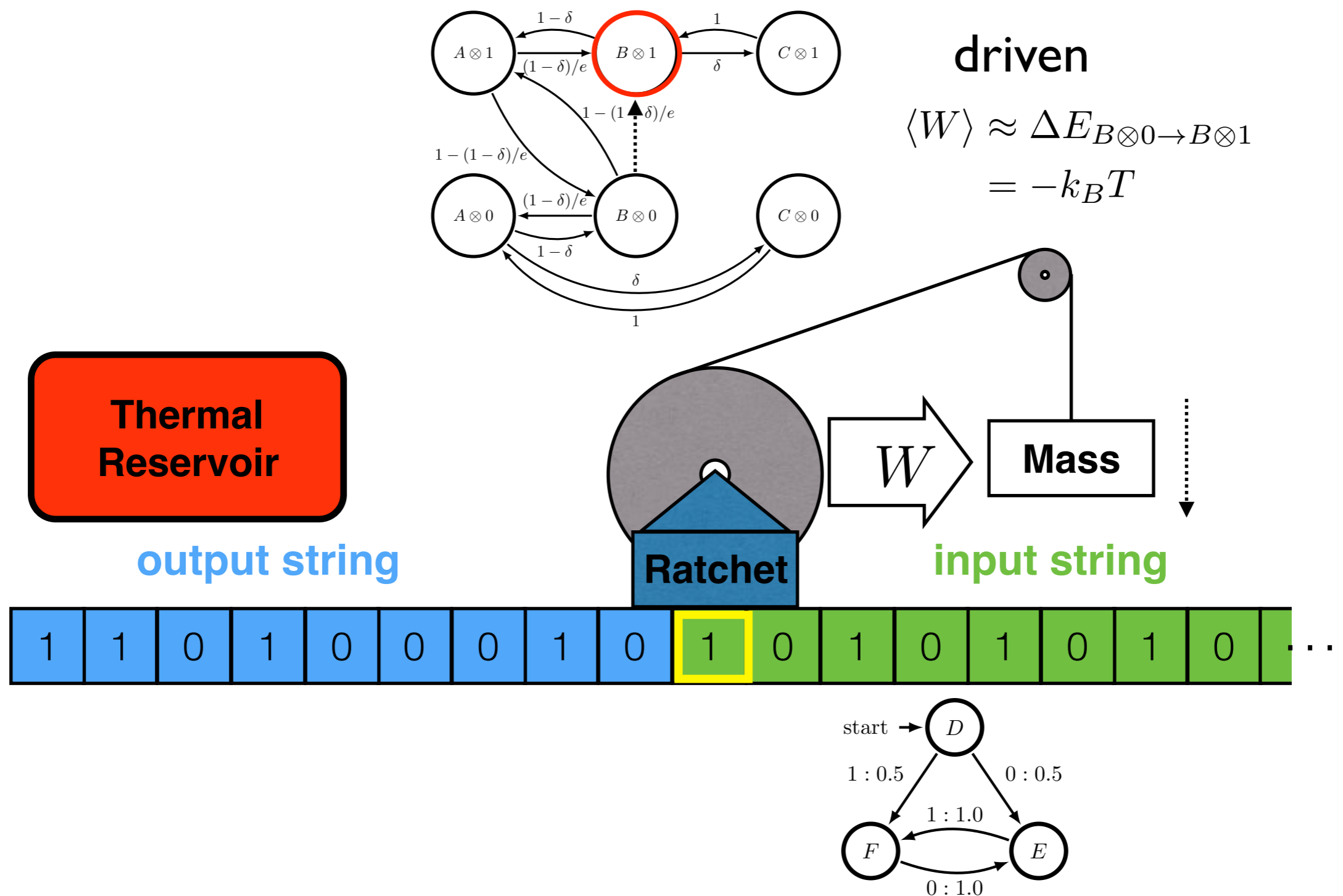


# Memoryful Ratchet Operation

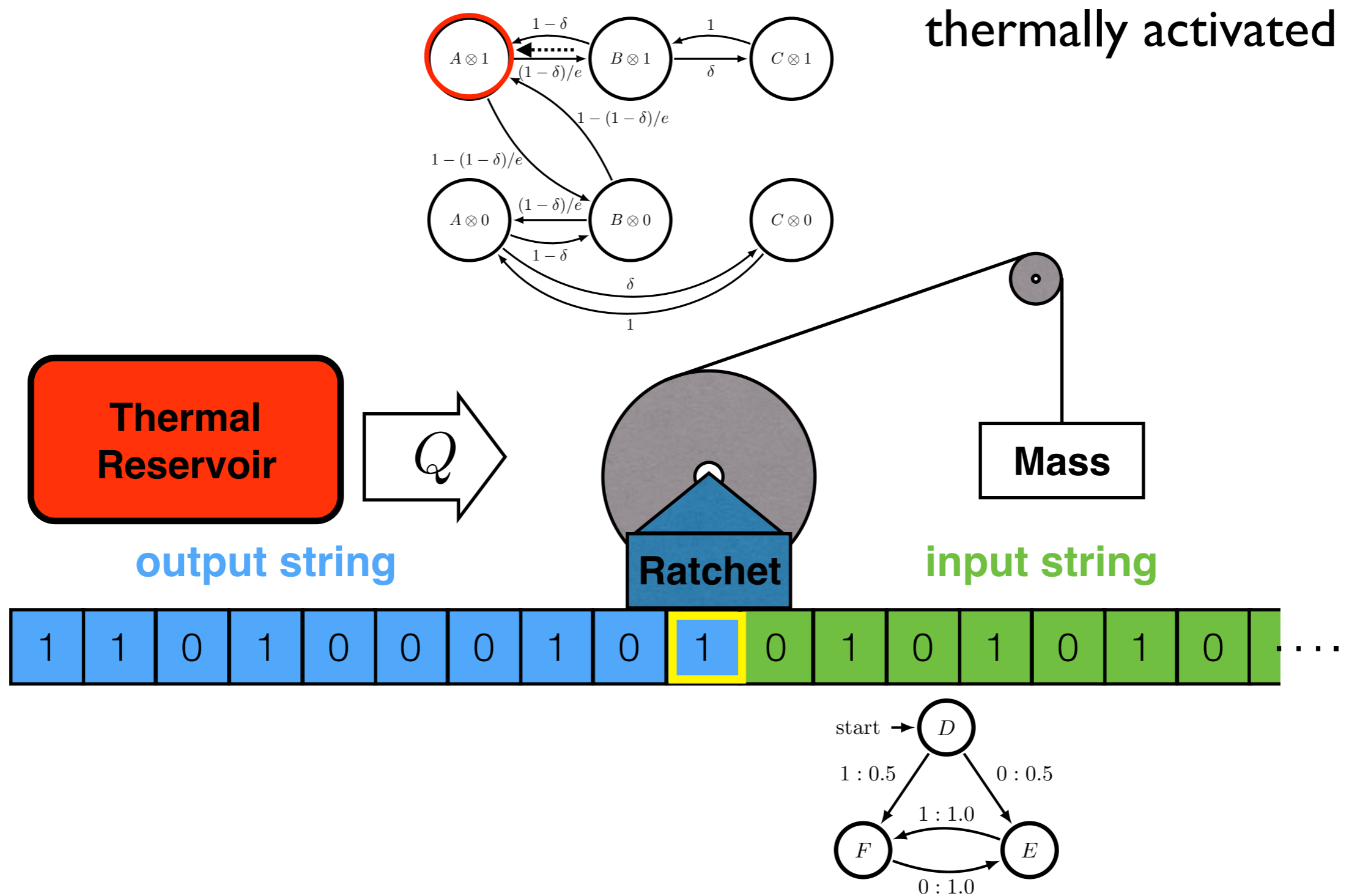




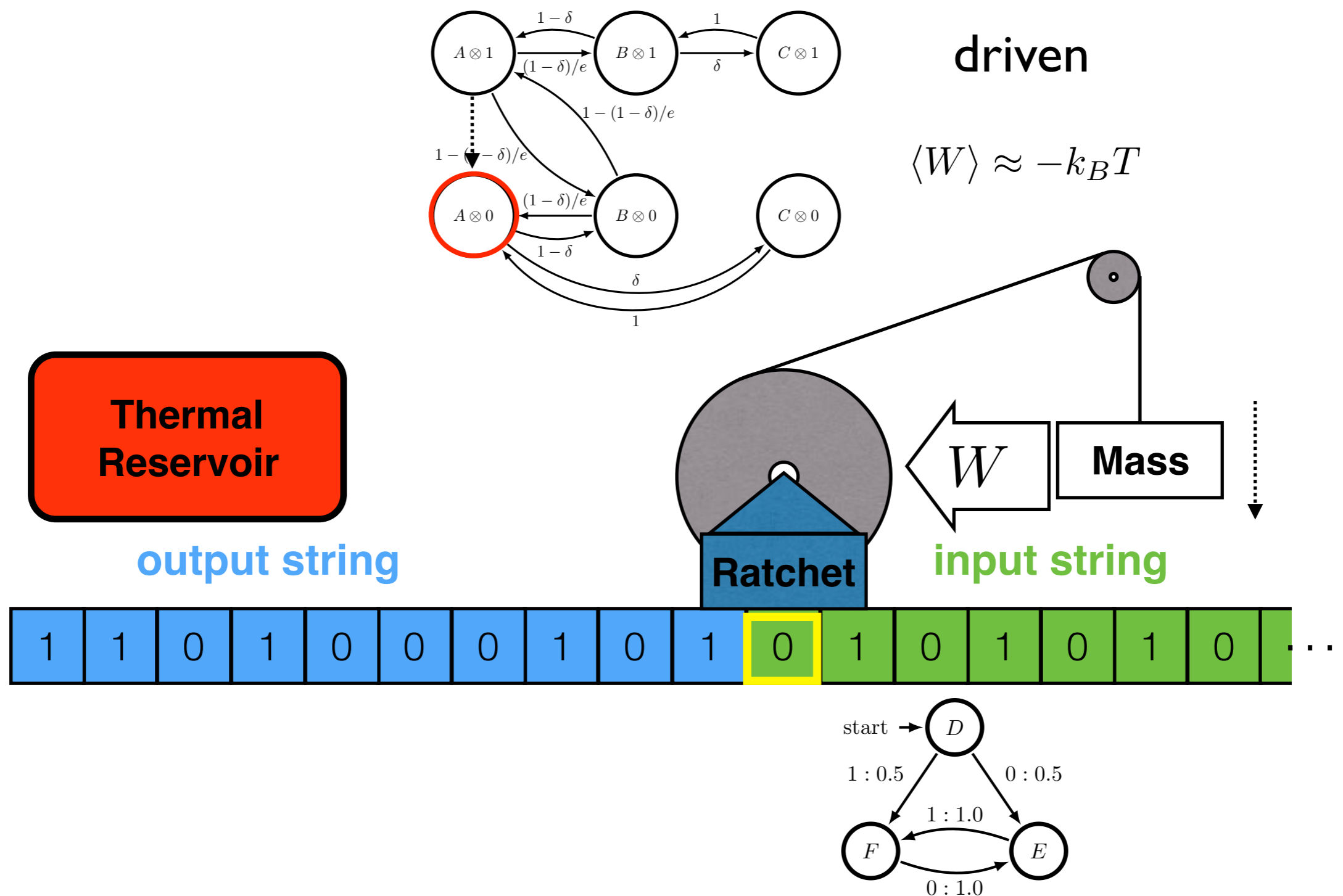
# Memoryful Ratchet Operation



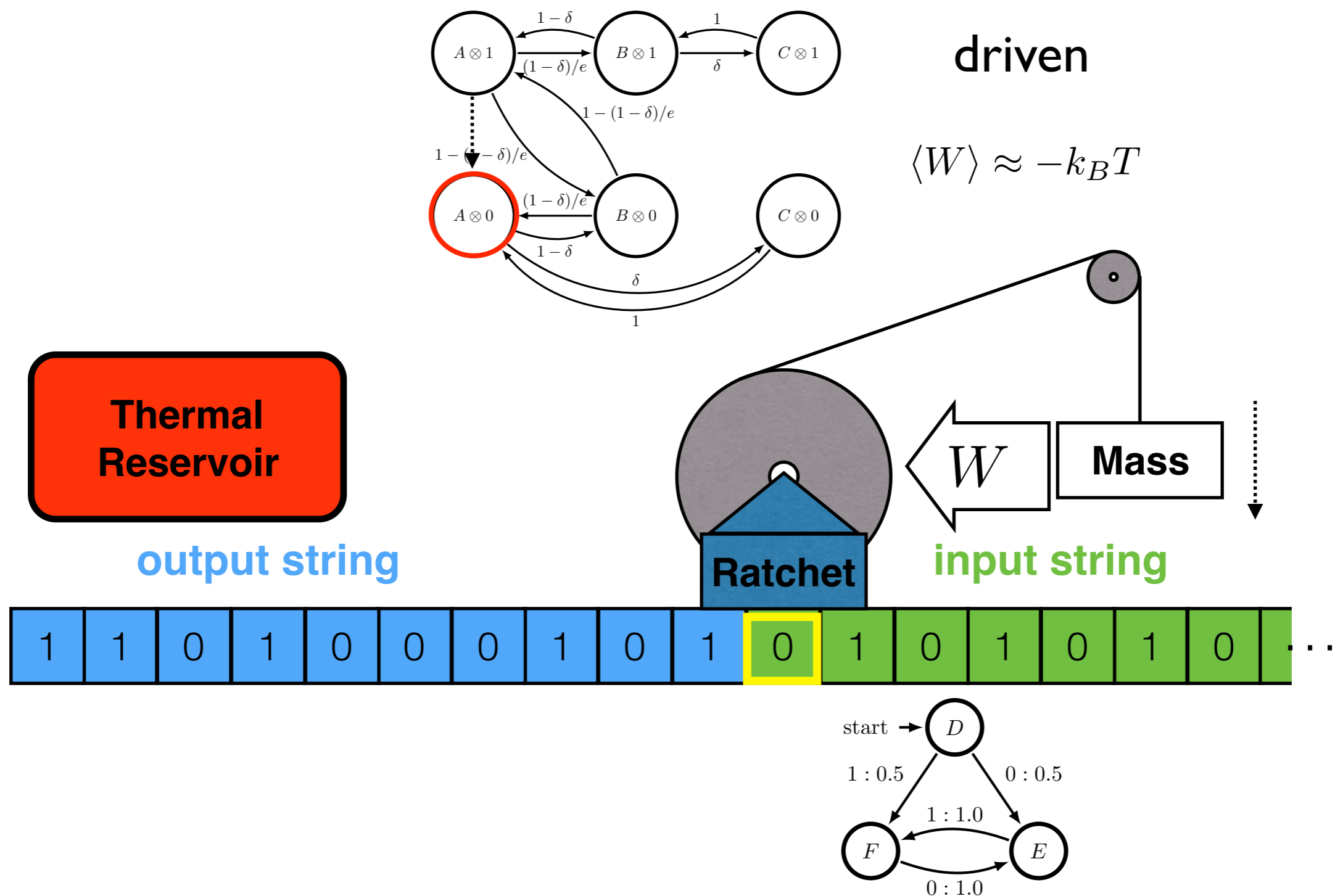
# Memoryful Ratchet Operation



# Memoryful Ratchet Operation



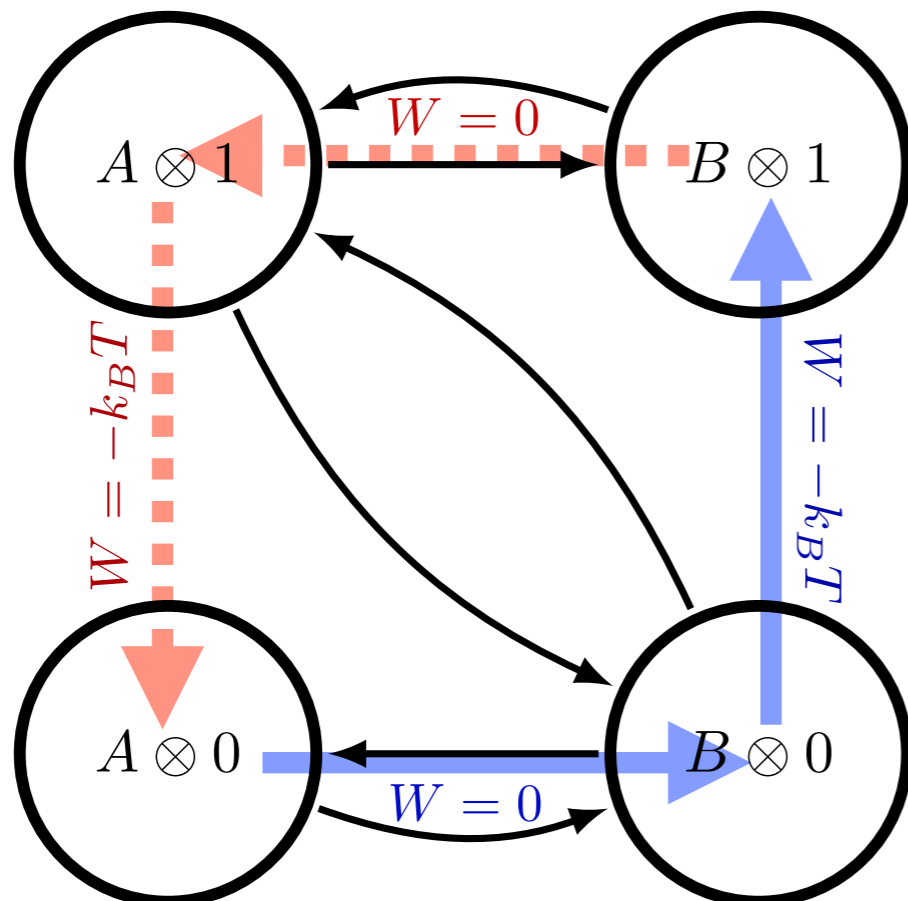
# Memoryful Ratchet Operation



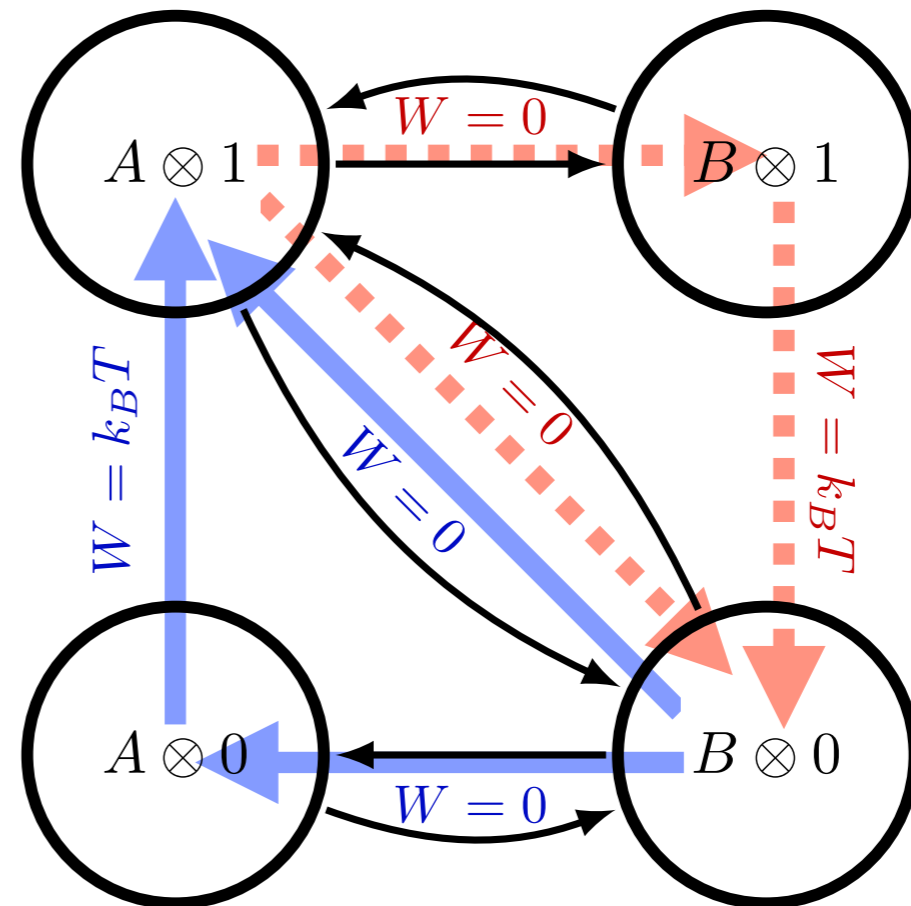
Work dissipating cycle

# Two Dynamical Phases

■ ■ ■ : input 0 transition  
■ : input 1 transition

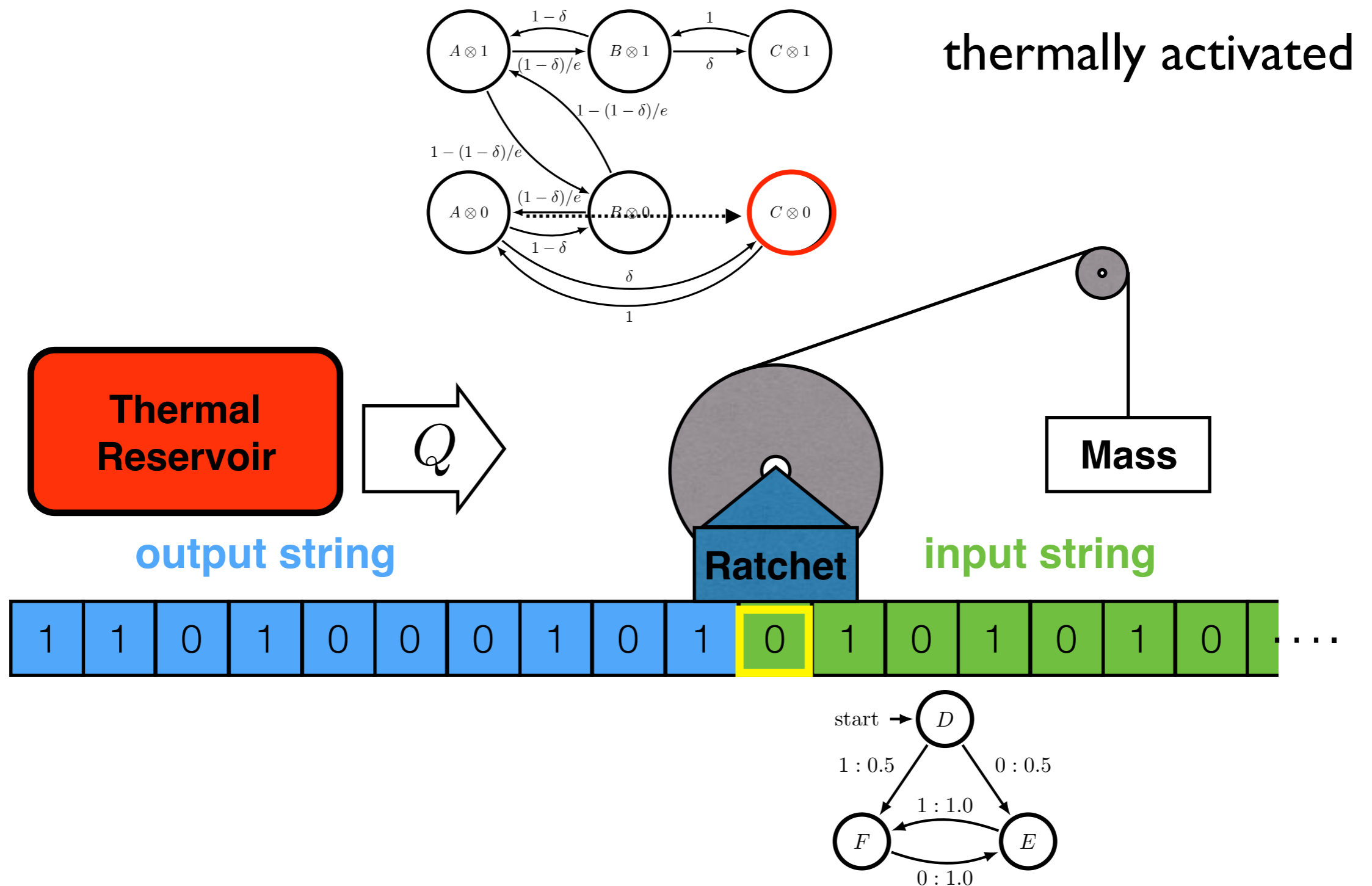


$$\langle W \rangle_{\text{counterclockwise}} = k_B T$$



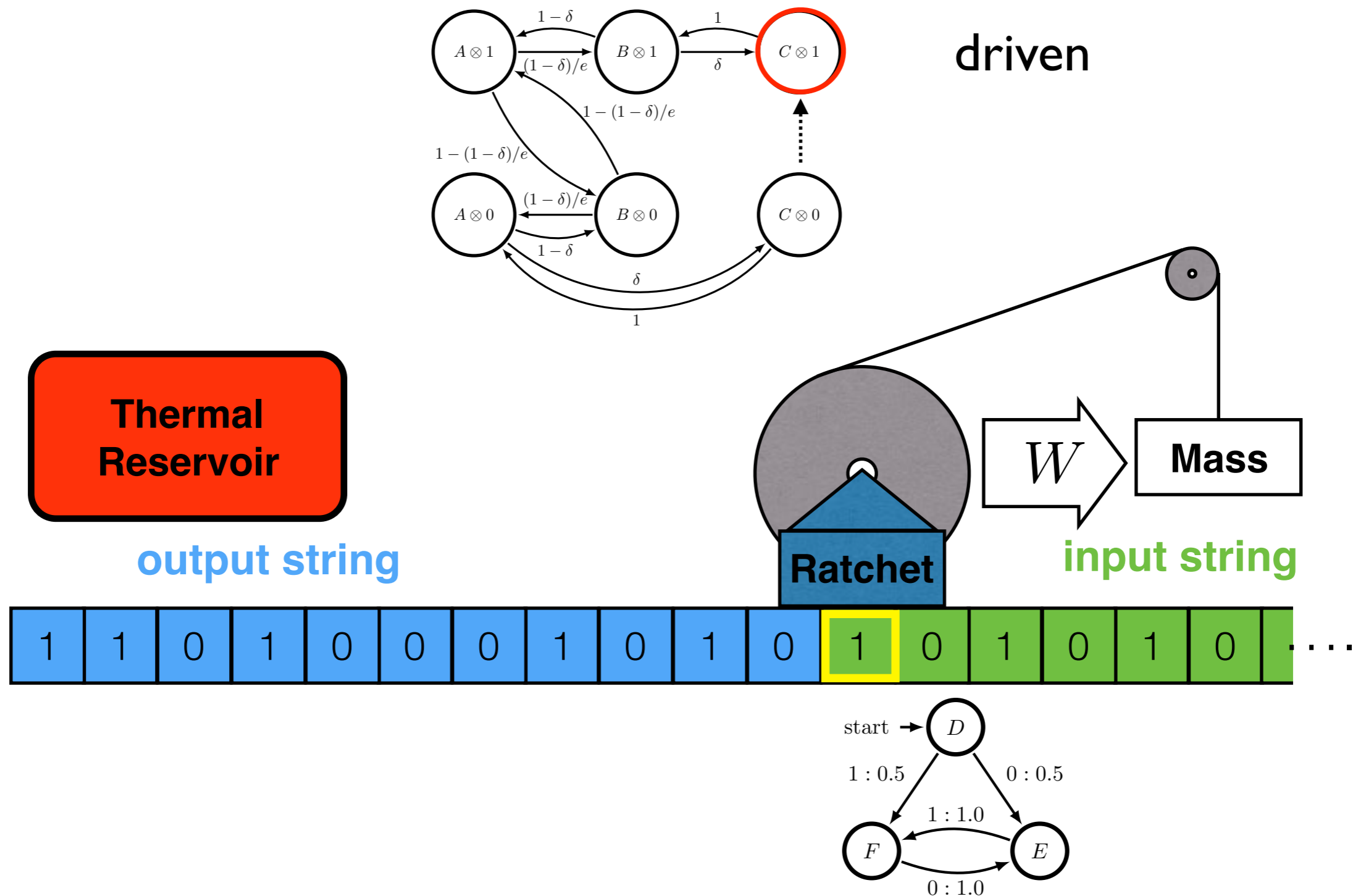
$$\langle W \rangle_{\text{clockwise}} = -k_B T/e$$

# Memoryful Ratchet Operation



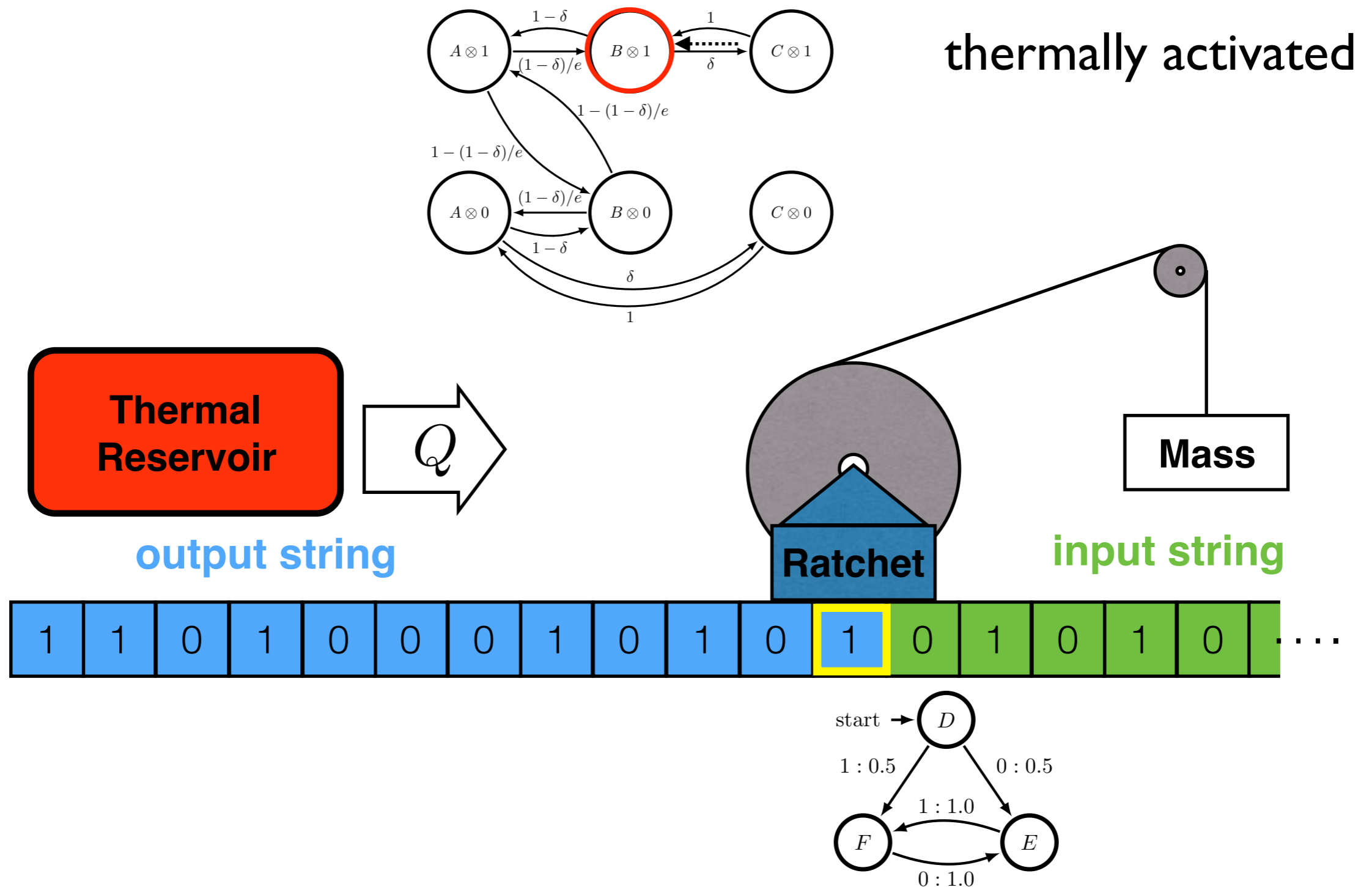
Work dissipating cycle is unstable

# Memoryful Ratchet Operation



Work dissipating cycle is unstable

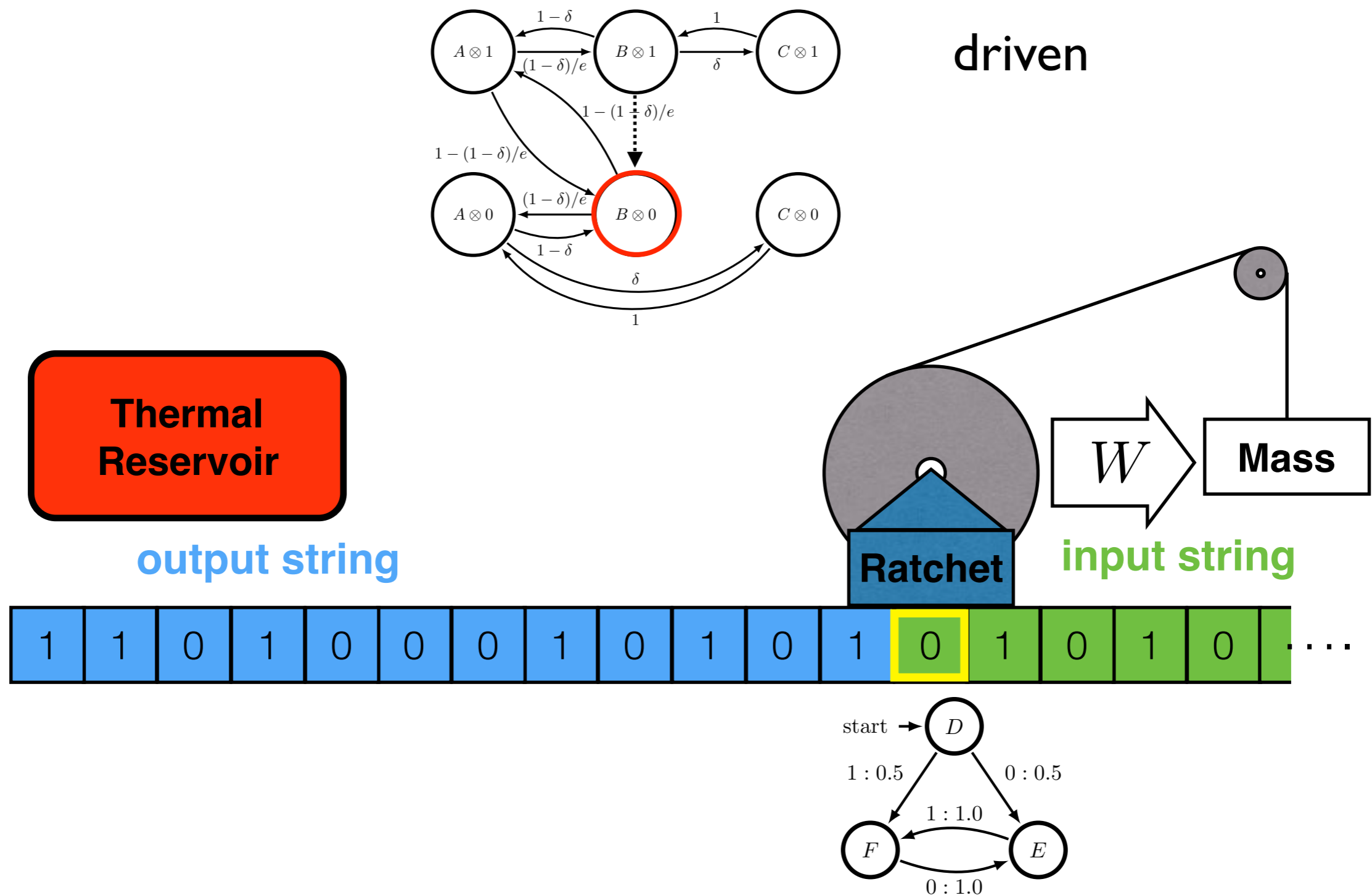
# Memoryful Ratchet Operation



Work dissipating cycle is unstable

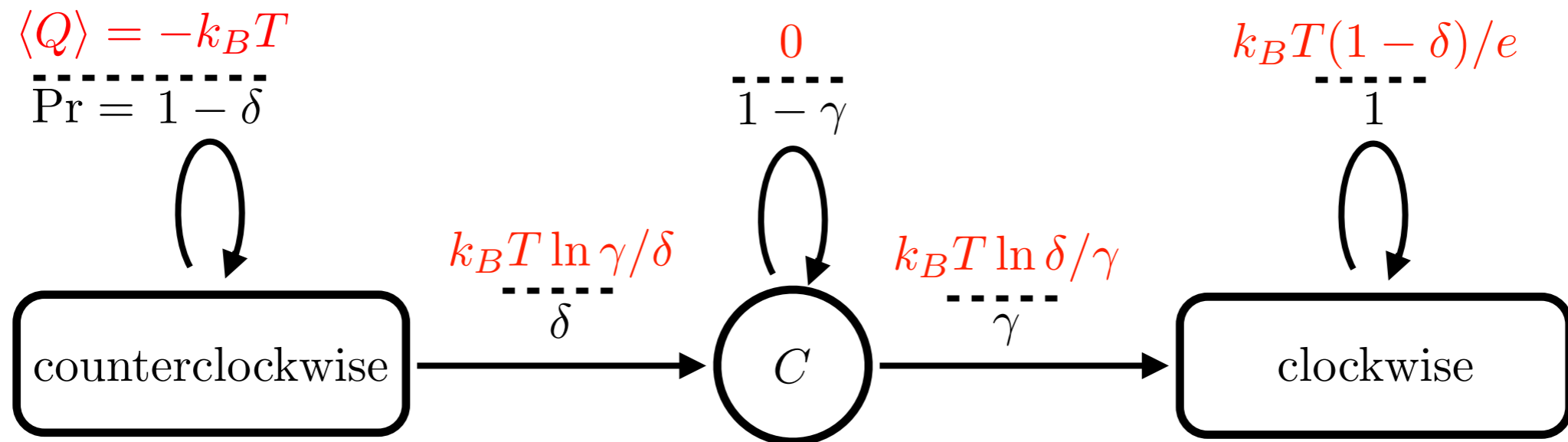


# Memoryful Ratchet Operation



synchronizes to work producing dynamical phase

# Non-Ergodic Dynamical Phases

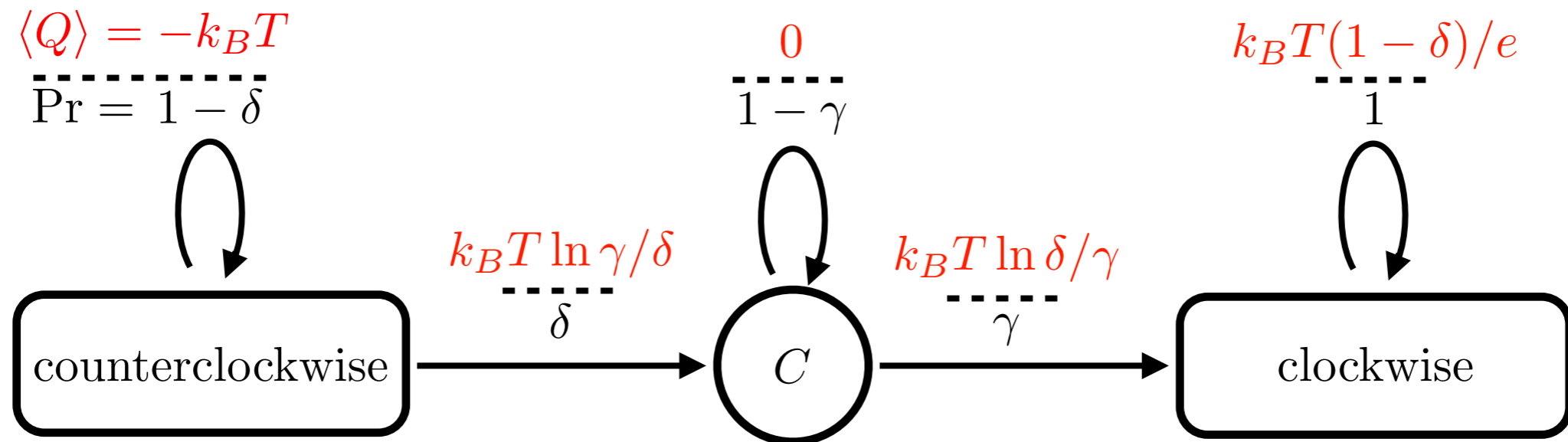


Synchronizes to predictive states of input to generate work from temporal correlations:

$$\lim_{t \rightarrow \infty} \langle W \rangle_t = \langle W \rangle_{\text{clockwise}} = -\frac{1 - \delta}{e} k_B T$$

A. B. Boyd, D. Mandal, and J. P. Crutchfield, "Correlation-powered information engines and the thermodynamics of self-correction", PRE (2017)

# Non-Ergodic Dynamical Phases

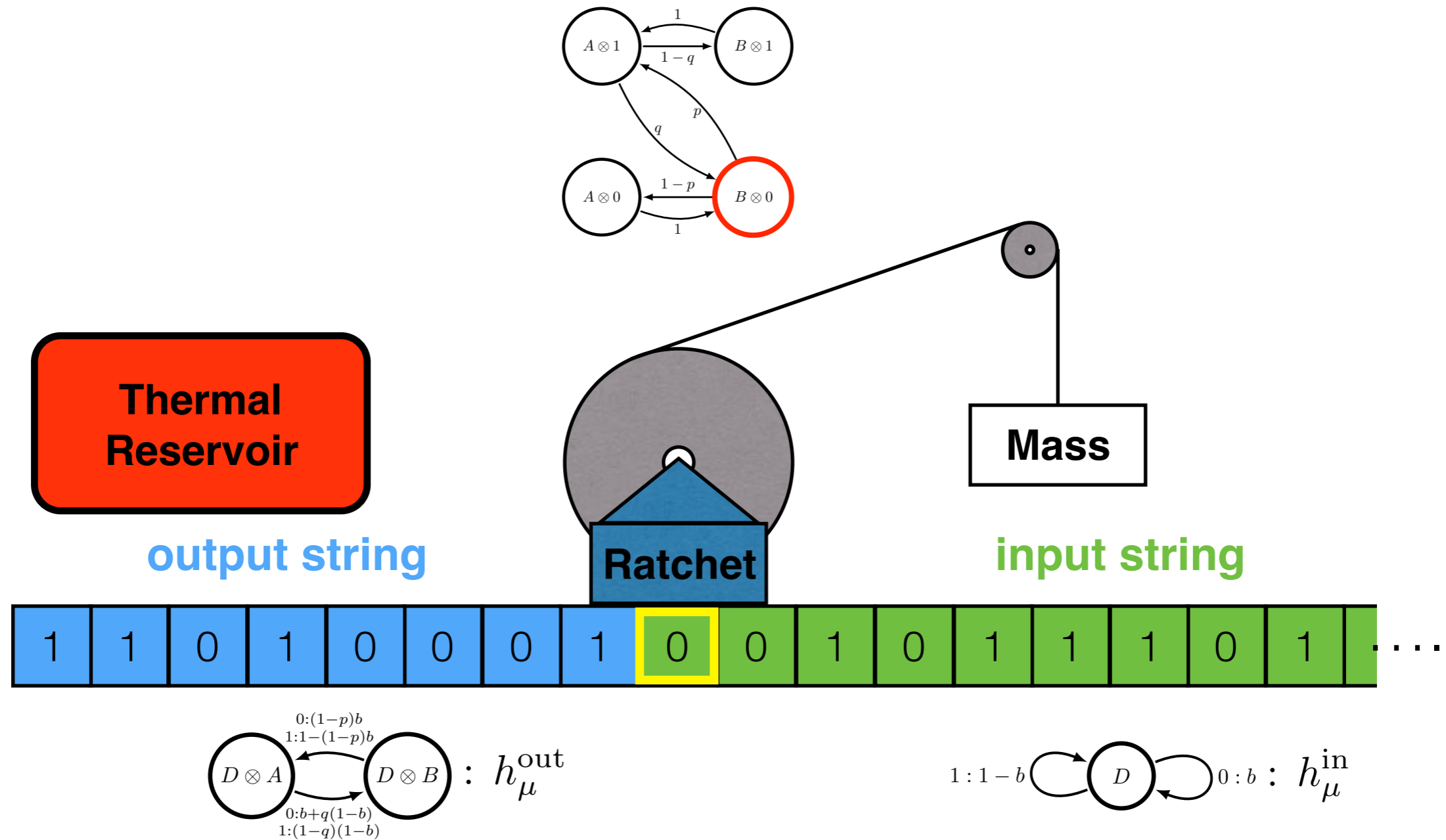


Synchronizes to predictive states of input to generate work from temporal correlations:

$$\begin{aligned}
 \lim_{t \rightarrow \infty} \langle W \rangle_t &= \langle W \rangle_{\text{clockwise}} = -\frac{1 - \delta}{e} k_B T \\
 &\geq k_B T \ln 2 \Delta h_\mu
 \end{aligned}$$

A. B. Boyd, D. Mandal, and J. P. Crutchfield, "Correlation-powered information engines and the thermodynamics of self-correction", PRE (2017)

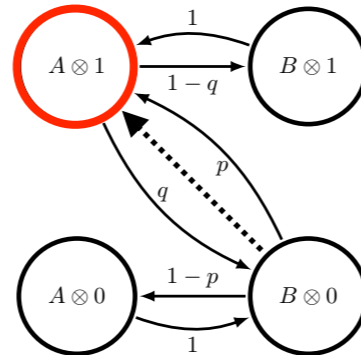
# Efficient Quasistatic Transducer



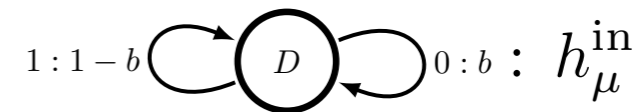
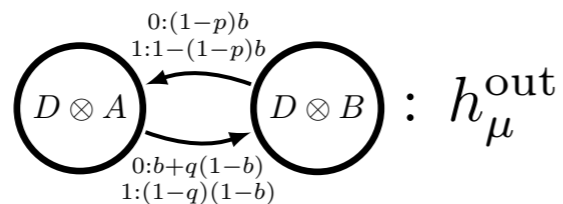
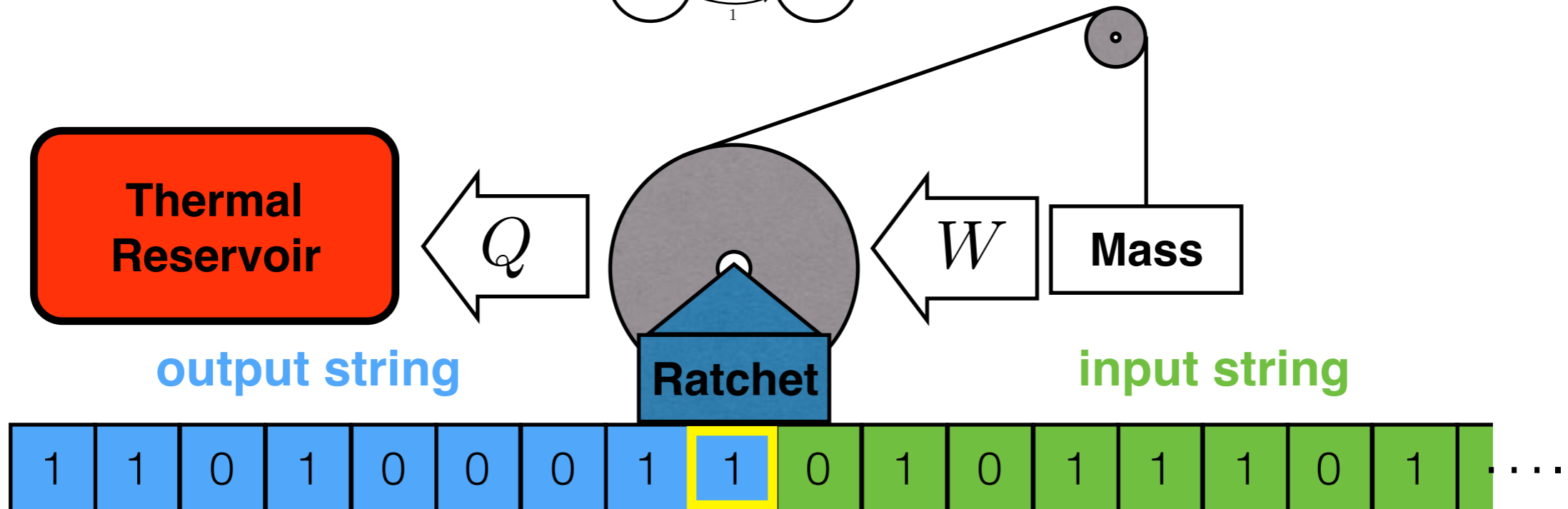
# Efficient Quasistatic Transducer

$$W_{B \otimes 0 \rightarrow A \otimes 1} = k_B T \ln \frac{\Pr(B \otimes 0)}{\Pr(A \otimes 1)}$$

$$= Q_{B \otimes 0 \rightarrow A \otimes 1}$$



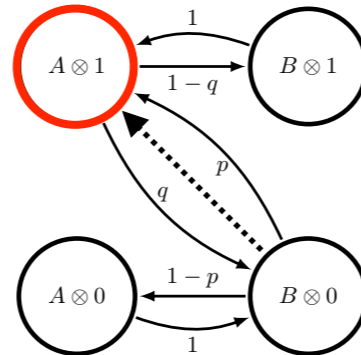
Slow simultaneous exchange of work and heat



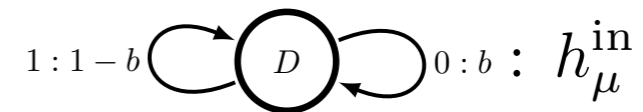
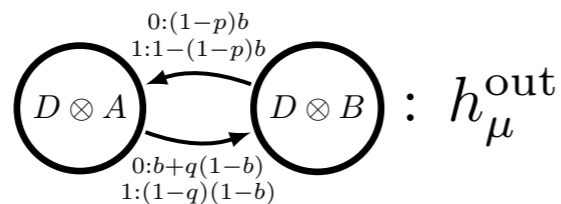
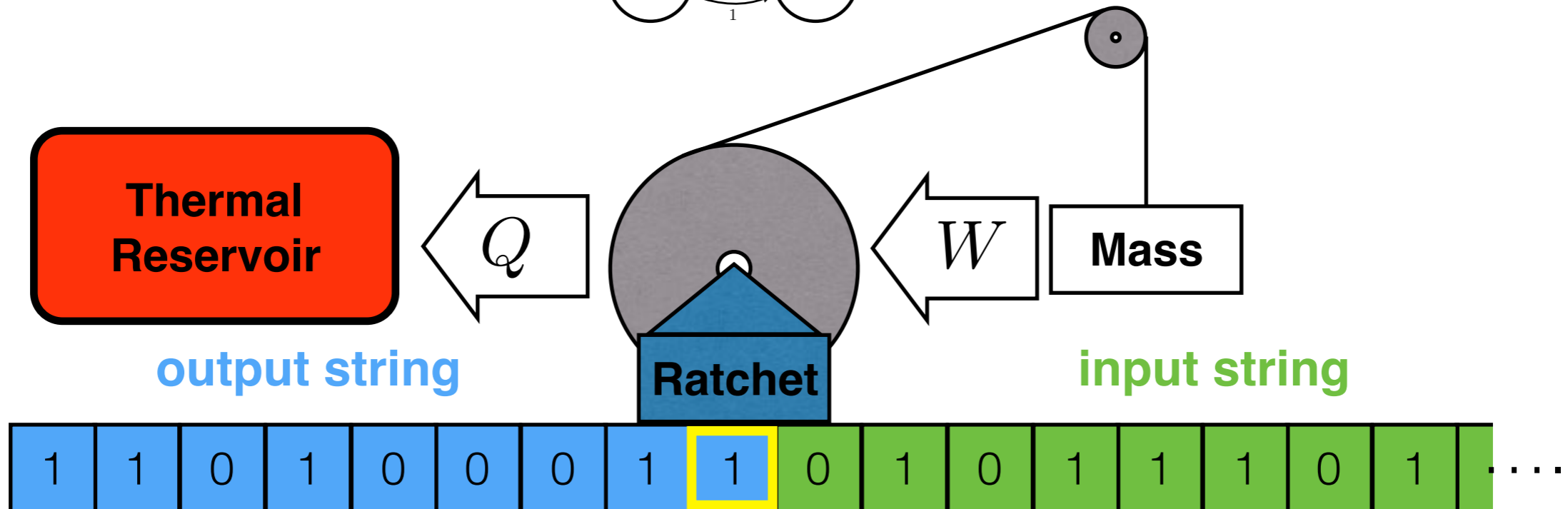
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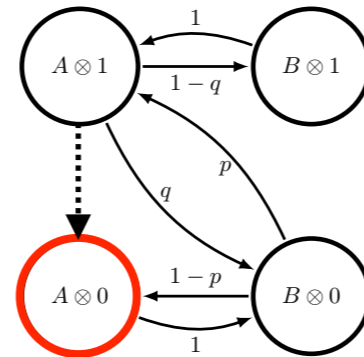


Slow simultaneous exchange of work and heat

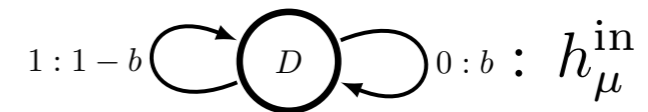
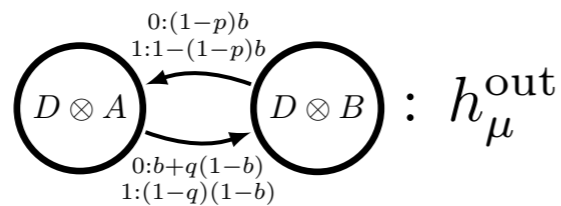
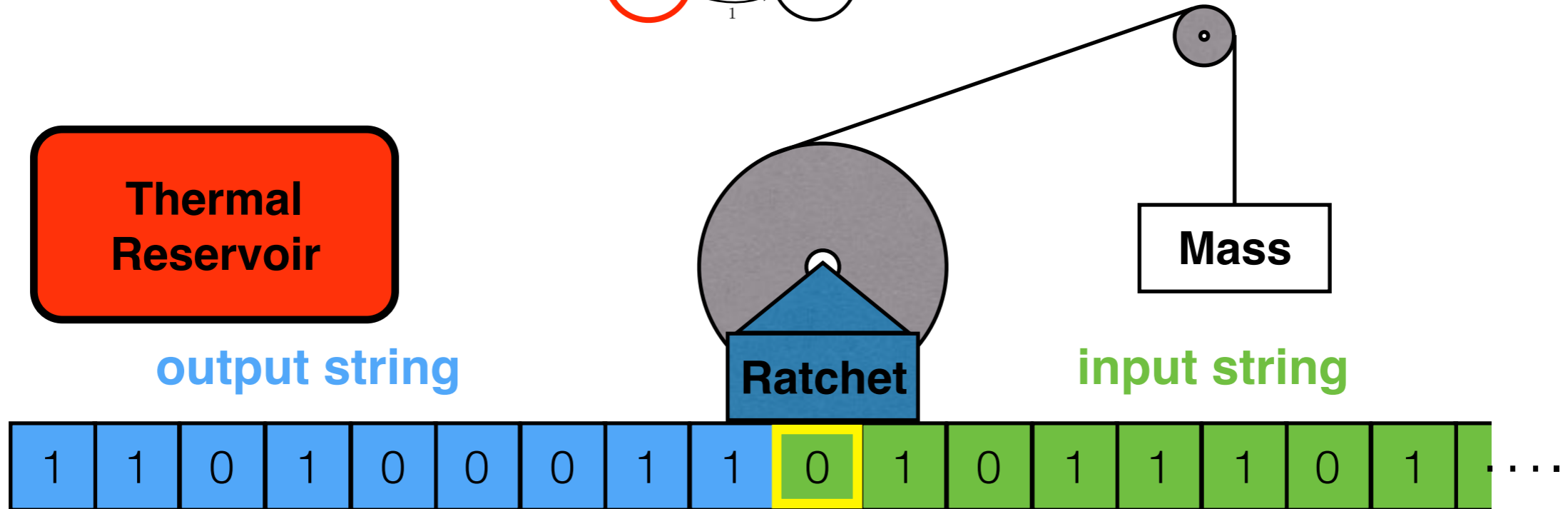


$$\langle W \rangle = \langle Q \rangle = k_B T \ln 2 (H[X_N, Y_N] - H[X_{N+1}, Y'_N])$$

# Efficient Quasistatic Transducer

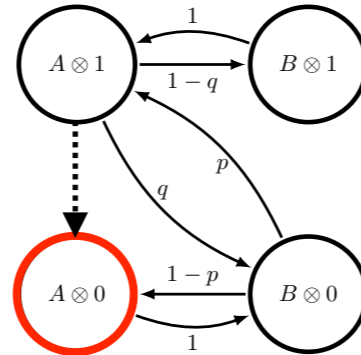


driven switching  
between states of  
equal energy

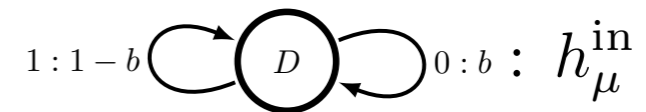
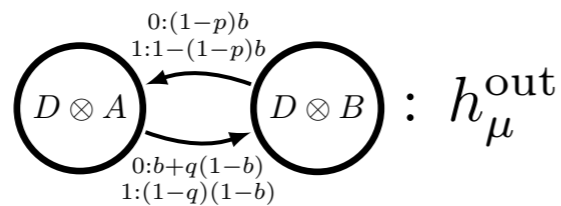
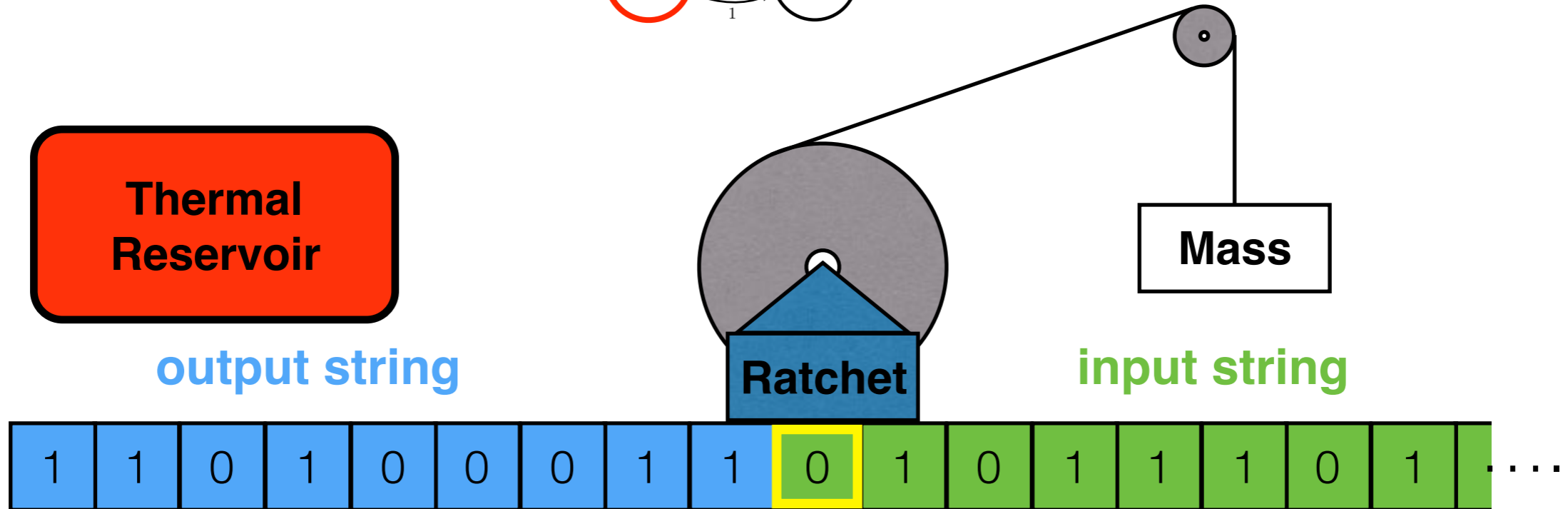


# Efficient Quasistatic Transducer

$$Q = W = 0$$

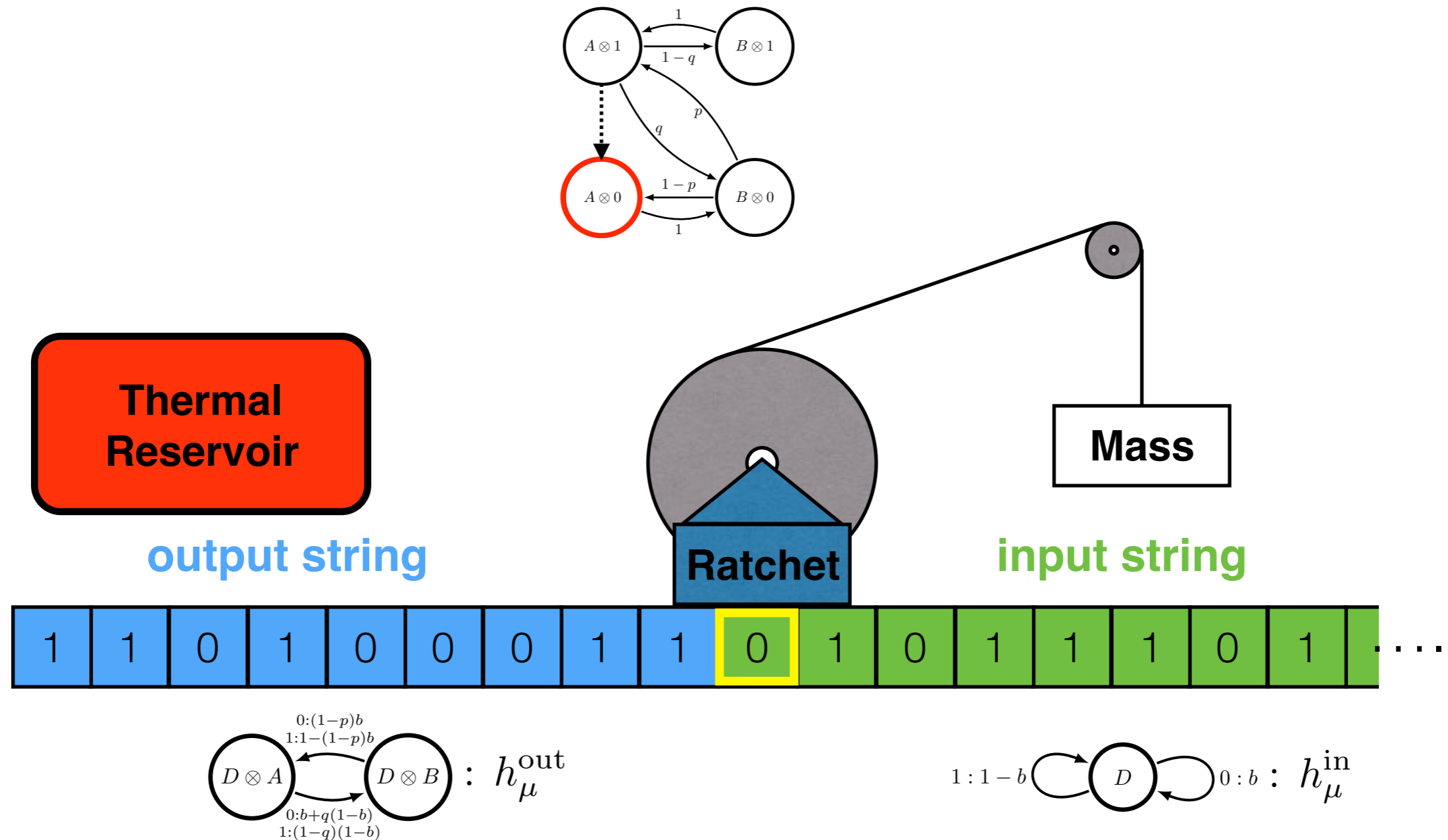


driven switching  
between states of  
equal energy





# Efficient Quasistatic Transducer



$$\langle W \rangle = \langle Q \rangle = k_B T \ln 2 (H[X_N, Y_N] - H[X_{N+1}, Y'_N])$$

Achieves IPSL if modularity dissipation is minimized.

# Golden Mean Extractor

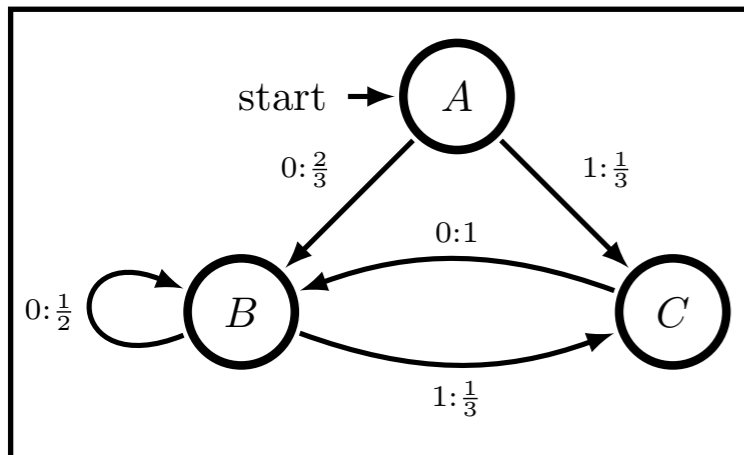
Minimize modularity dissipation by storing globally relevant correlations in ratchet.

# Golden Mean Extractor

Minimize modularity dissipation by storing globally relevant correlations in ratchet.

Input epsilon-machine gives prescription for designing optimal quasistatic ratchet:

input  $\epsilon$ -machine

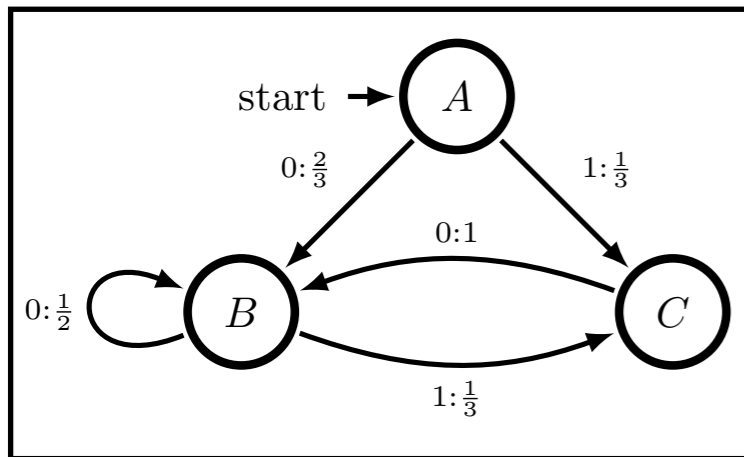


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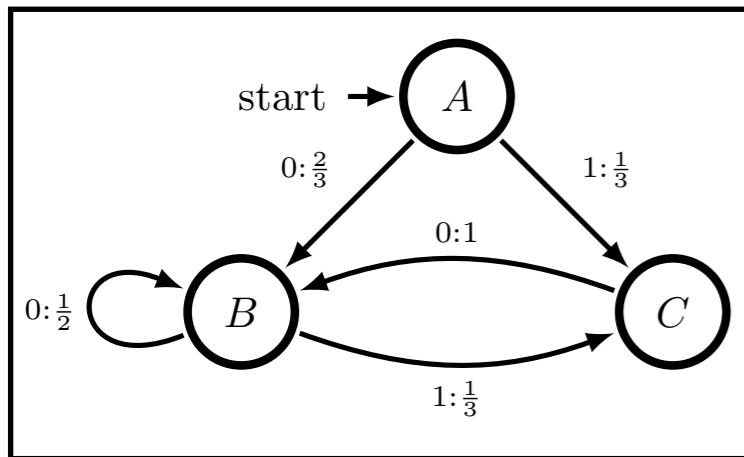
$$T_{s \rightarrow s'}^{(y)}$$

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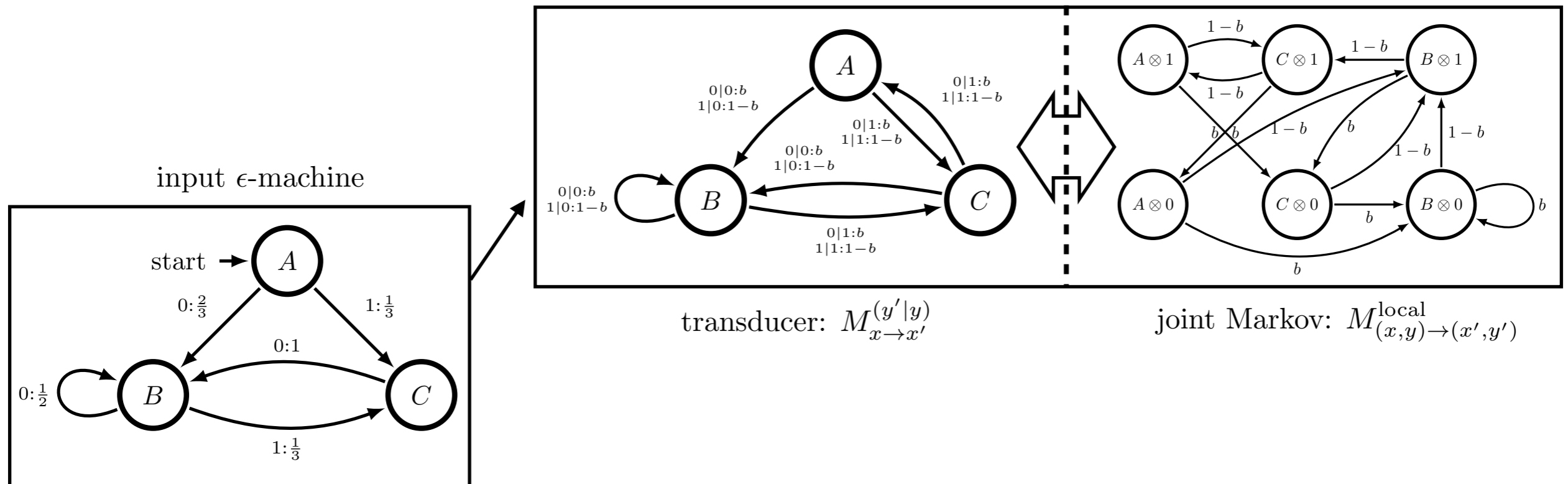
$$T_{s \rightarrow s'}^{(y)}$$

$$M_{(x,y) \rightarrow (x',y')}^{\text{local}} = \begin{cases} b, & \text{if } T_{x \rightarrow x'}^{(y)} \neq 0 \text{ and } y' = 0 \\ 1 - b, & \text{if } T_{x \rightarrow x'}^{(y)} \neq 0 \text{ and } y' = 1 \end{cases}$$

# Golden Mean Extractor

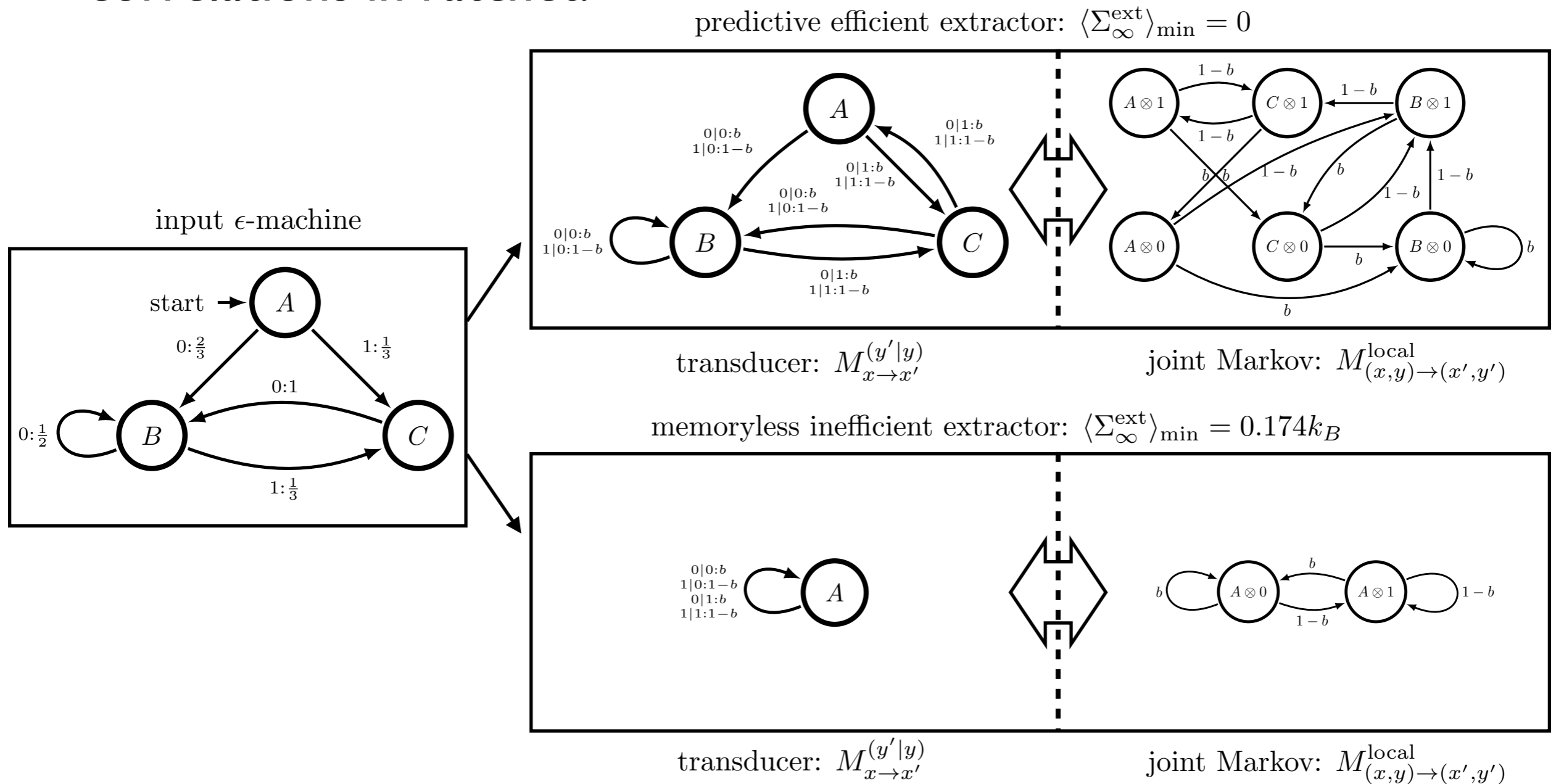
Minimize modularity dissipation by storing globally relevant correlations in ratchet.

predictive efficient extractor:  $\langle \Sigma_{\infty}^{\text{ext}} \rangle_{\min} = 0$



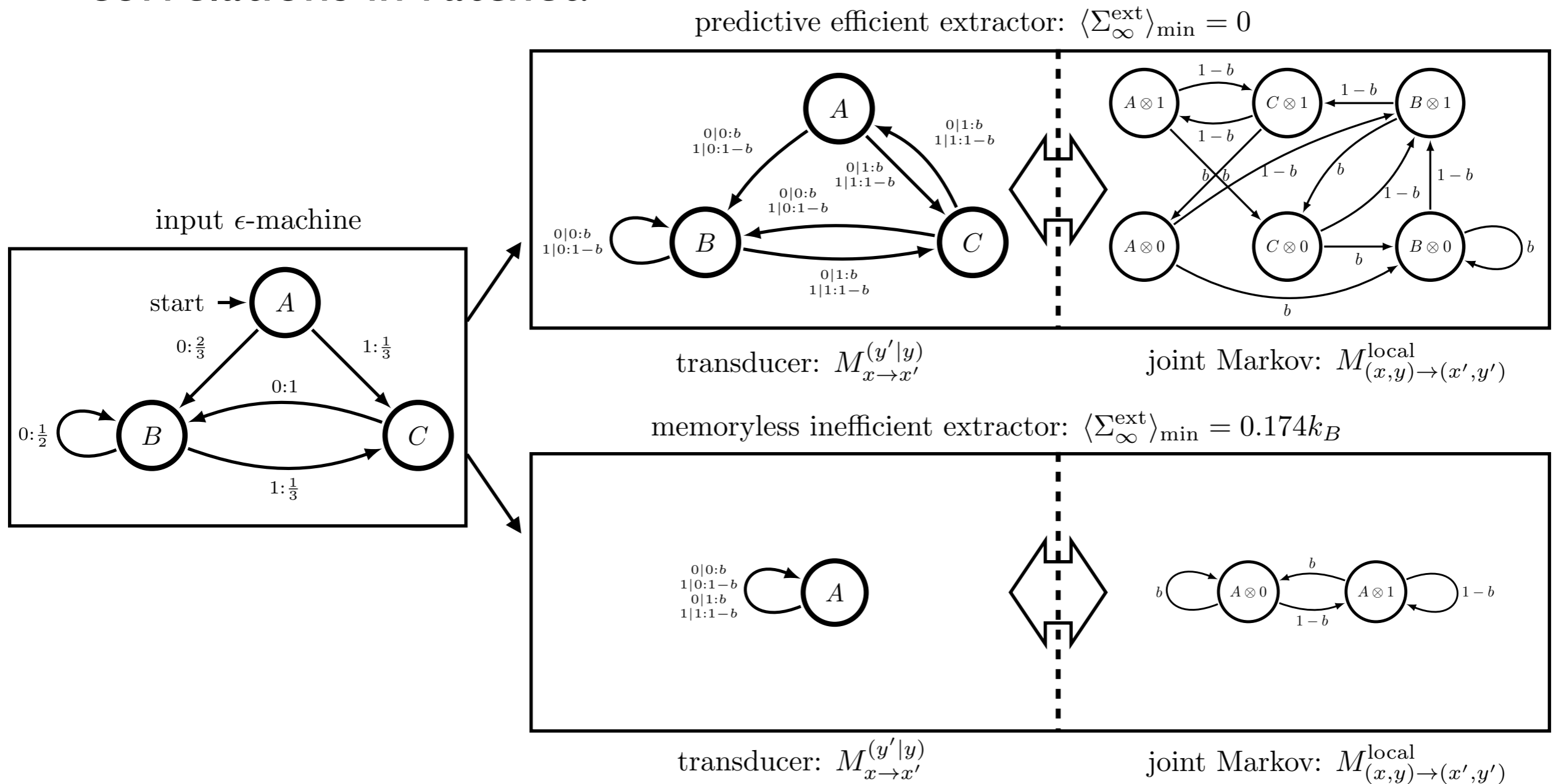
# Golden Mean Extractor

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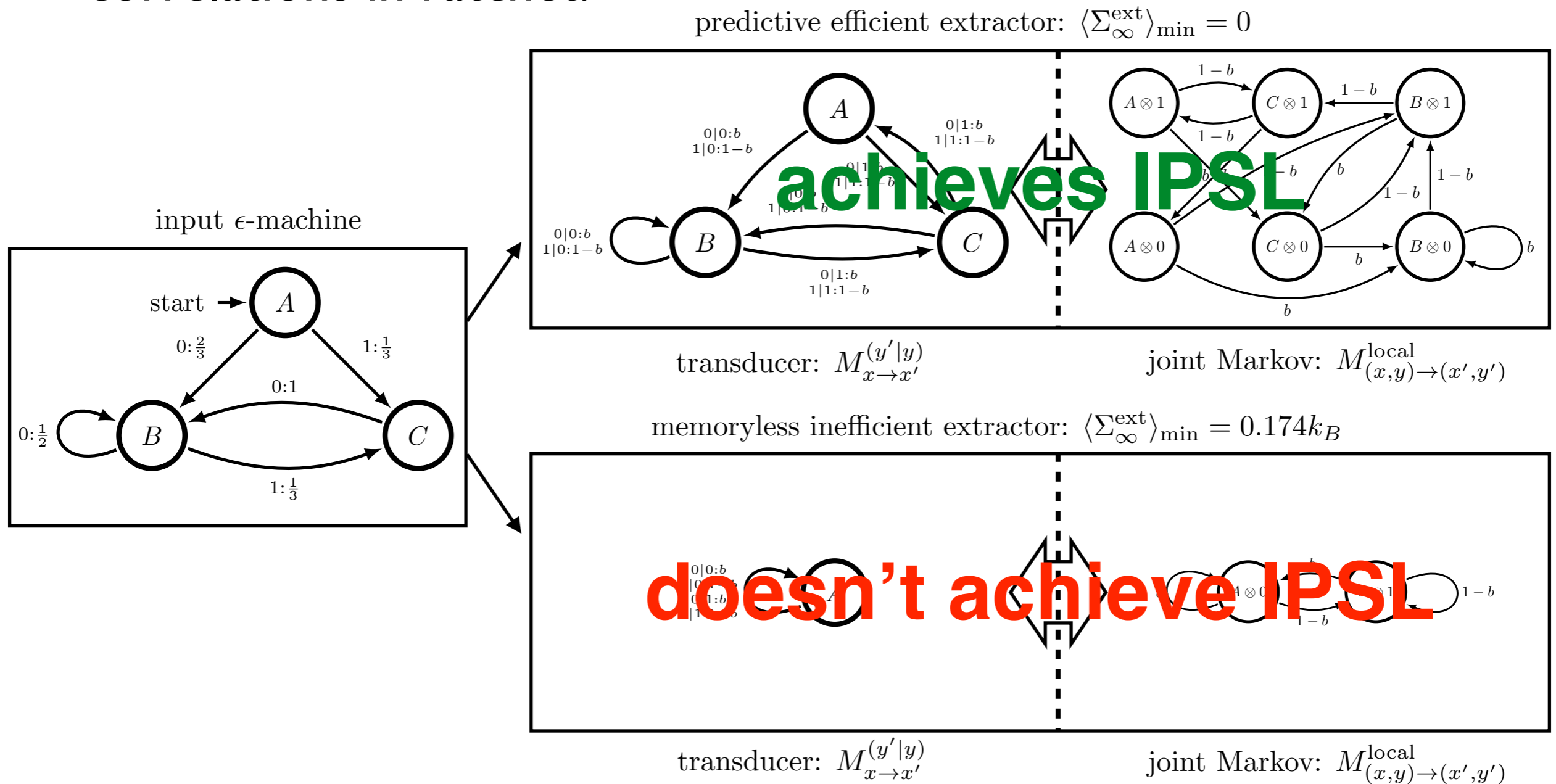
Memoryless ratchet dissipates all temporal correlations:

$$\langle \Sigma_N^{\text{ext}} \rangle_{\min} = k_B \ln 2 (H[Y_N] - h_{\mu})$$



# Golden Mean Extractor

Minimize modularity dissipation by storing globally relevant correlations in ratchet.

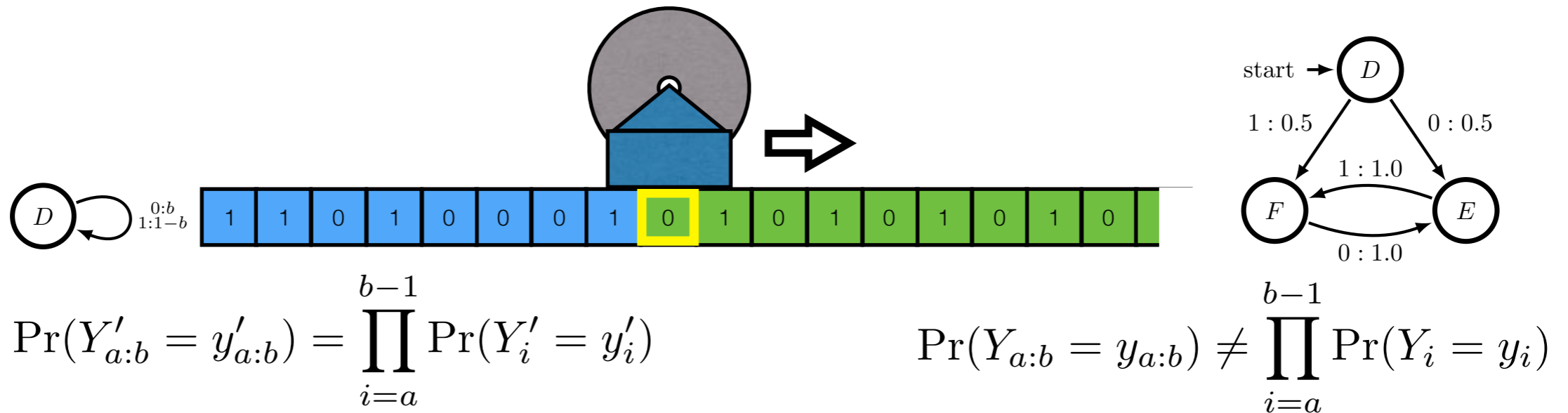


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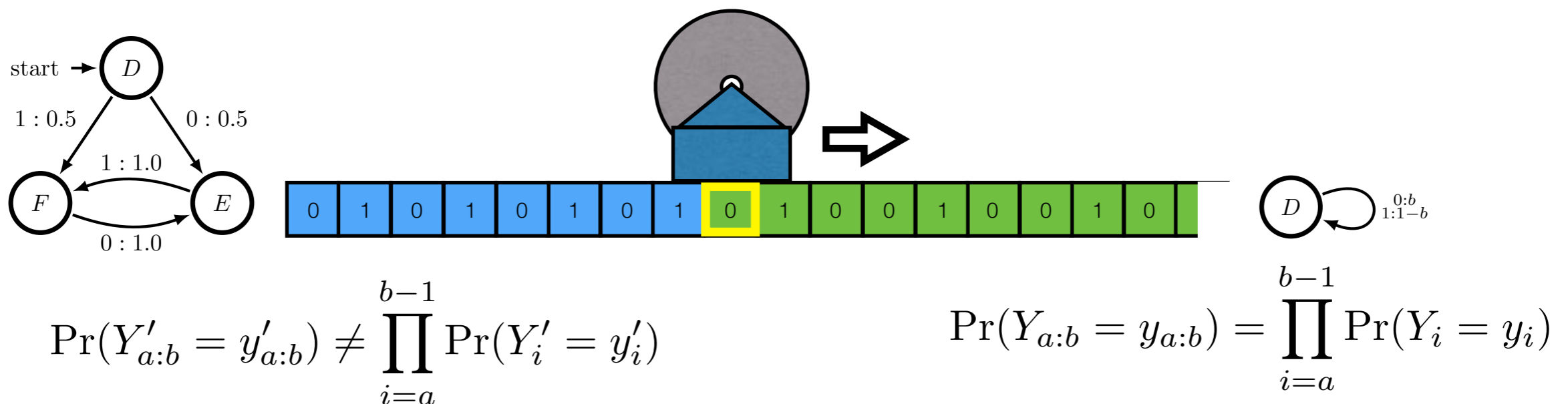
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# Beyond Pattern Extractors

Pattern Extractors: correlated inputs, uncorrelated outputs



Pattern Generators: uncorrelated inputs, correlated outputs



# Efficient Generators

**Perfect efficiency:**  $\langle \Sigma_N^{\text{mod}} \rangle_{\min} = 0$  for all  $N$



**Retrodictive ratchet:**  $I[Y'_{0:N}; Y'_{N:\infty} | X_N] = 0$  and  $I[Y'_{0:N}; X_N | Y'_{N:\infty}] = 0$

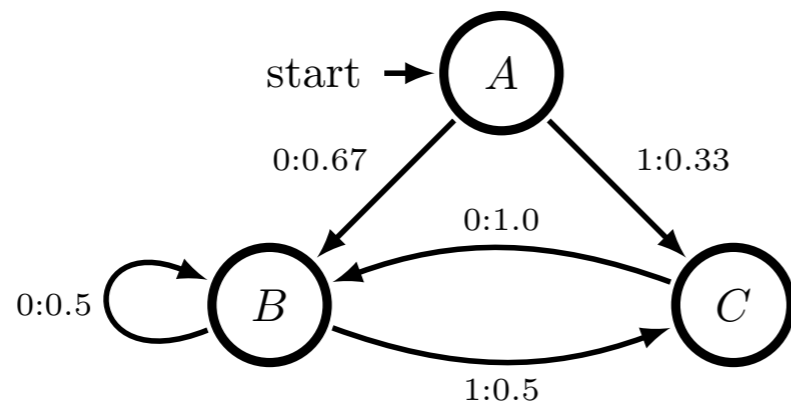
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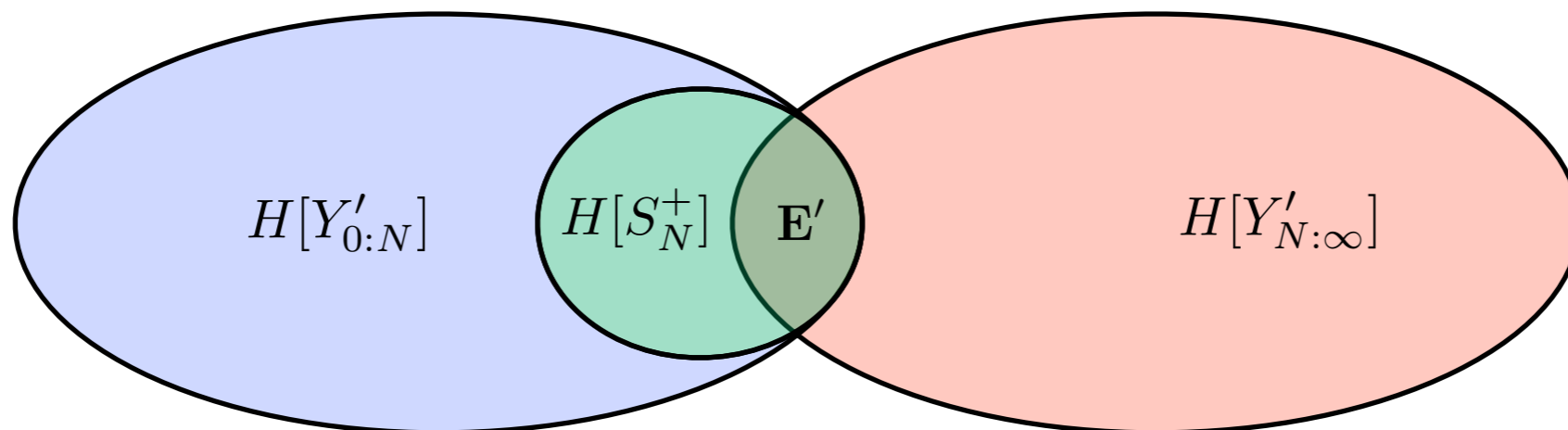


Retrodictive ratchet:  $I[Y'_{0:N}; Y'_{N:\infty} | X_N] = 0$  and  $I[Y'_{0:N}; X_N | Y'_{N:\infty}] = 0$

$\epsilon$ -machine



minimal predictive states:  $S_N^+$



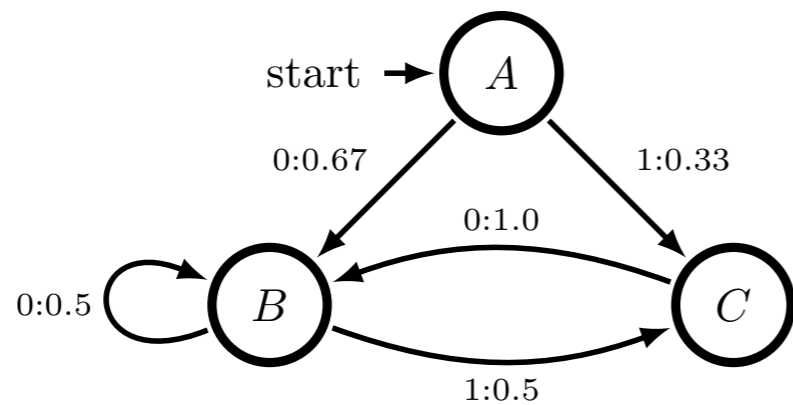
# Efficient Generators

Perfect efficiency:  $\langle \Sigma_N^{\text{mod}} \rangle_{\min} = 0$  for all  $N$



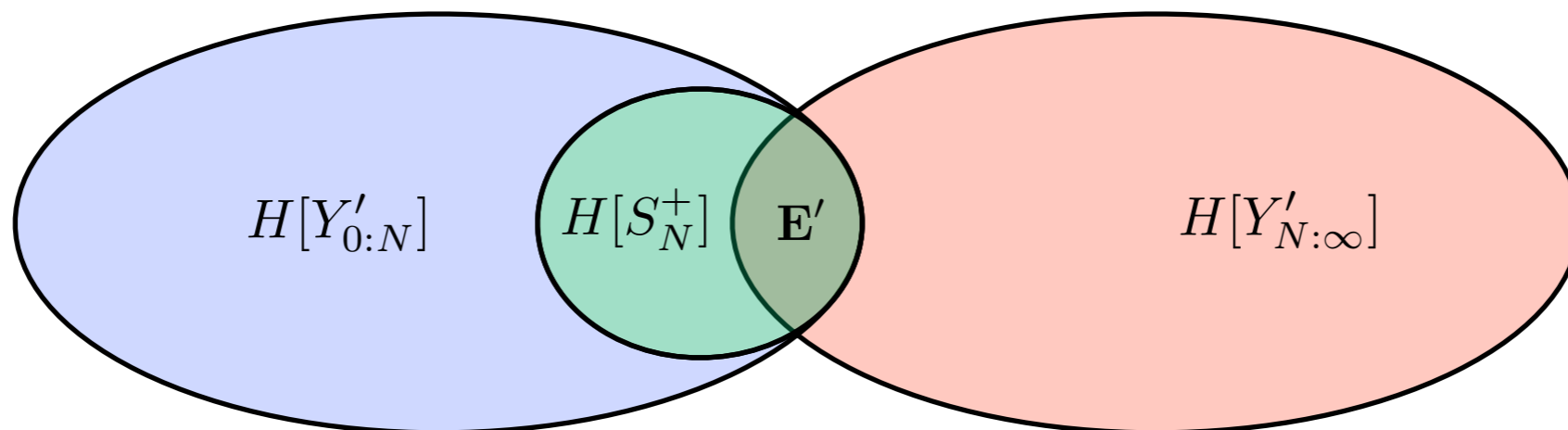
Retrodictive ratchet:  $I[Y'_{0:N}; Y'_{N:\infty} | X_N] = 0$  and  $I[Y'_{0:N}; X_N | Y'_{N:\infty}] = 0$

$\epsilon$ -machine



$$\langle \Sigma_N^{\text{mod}} \rangle_{\min} = \frac{2}{3} k_B T \ln 2$$

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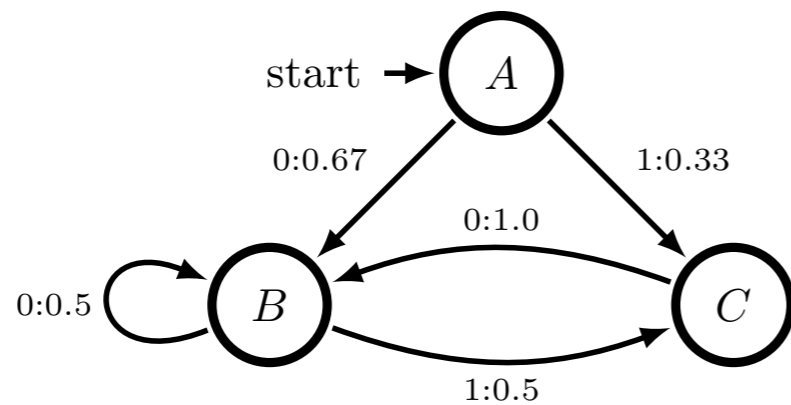
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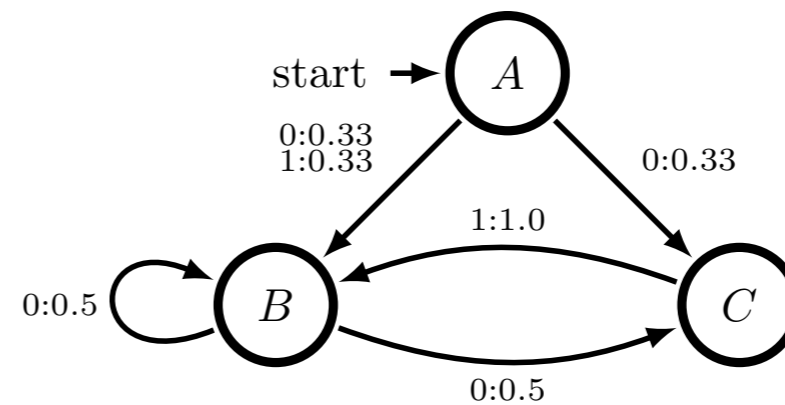
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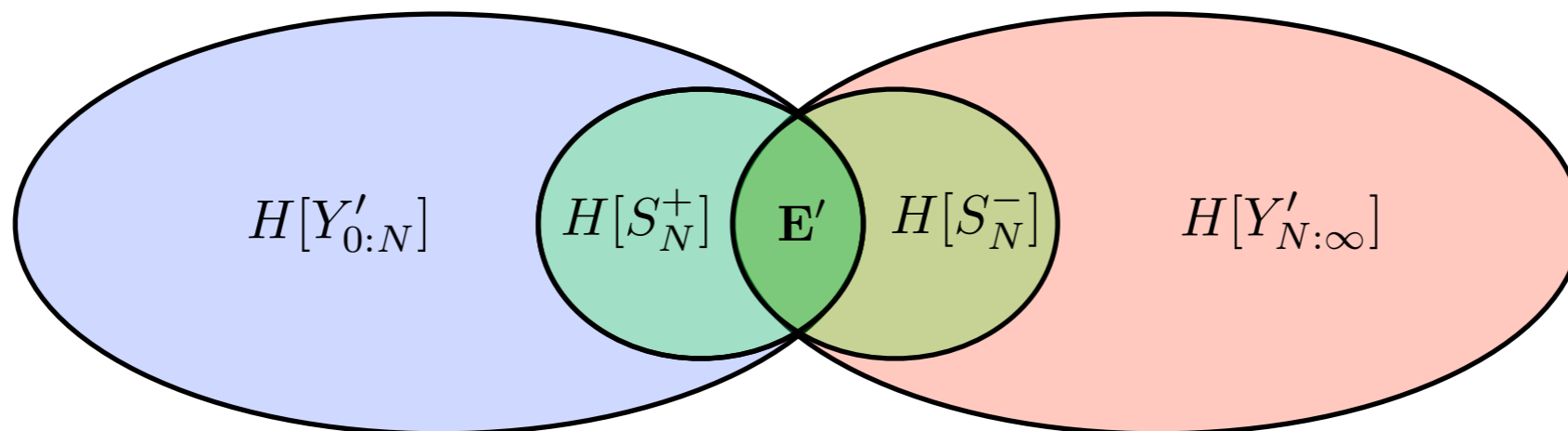


minimal predictive states:  $S_N^+$

time reversal of  $\epsilon$ -machine

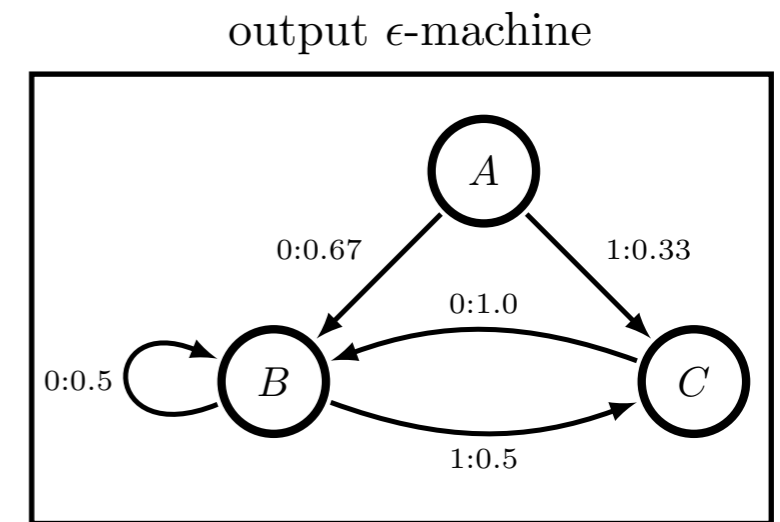


minimal retrodictive states:  $S_N^-$



# Golden Mean Generator

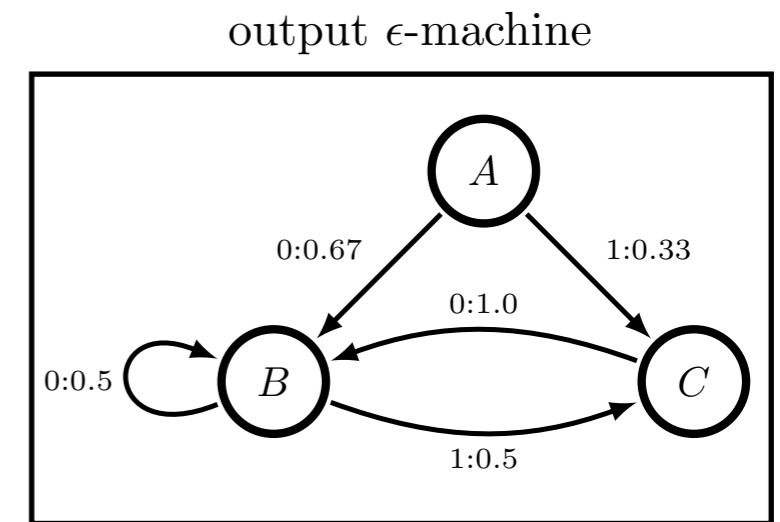
Time reversal of reverse time output epsilon-machine gives prescription for designing optimal quasistatic ratchet.



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Make the ratchet input agnostic for any particular HMM



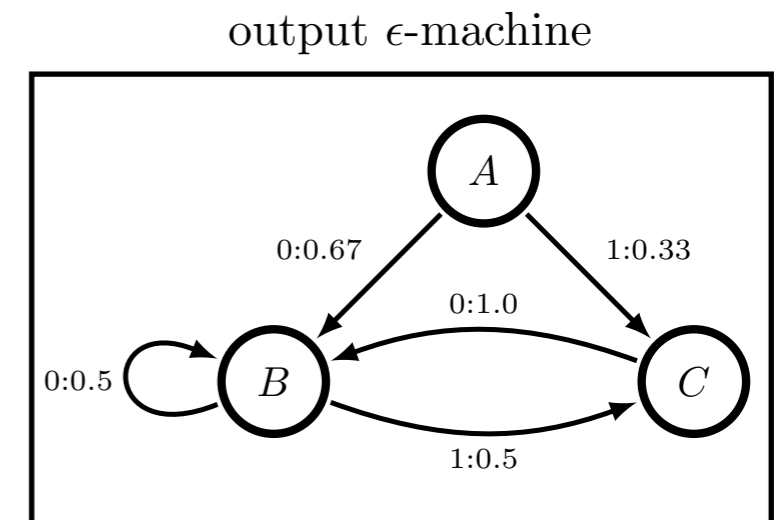


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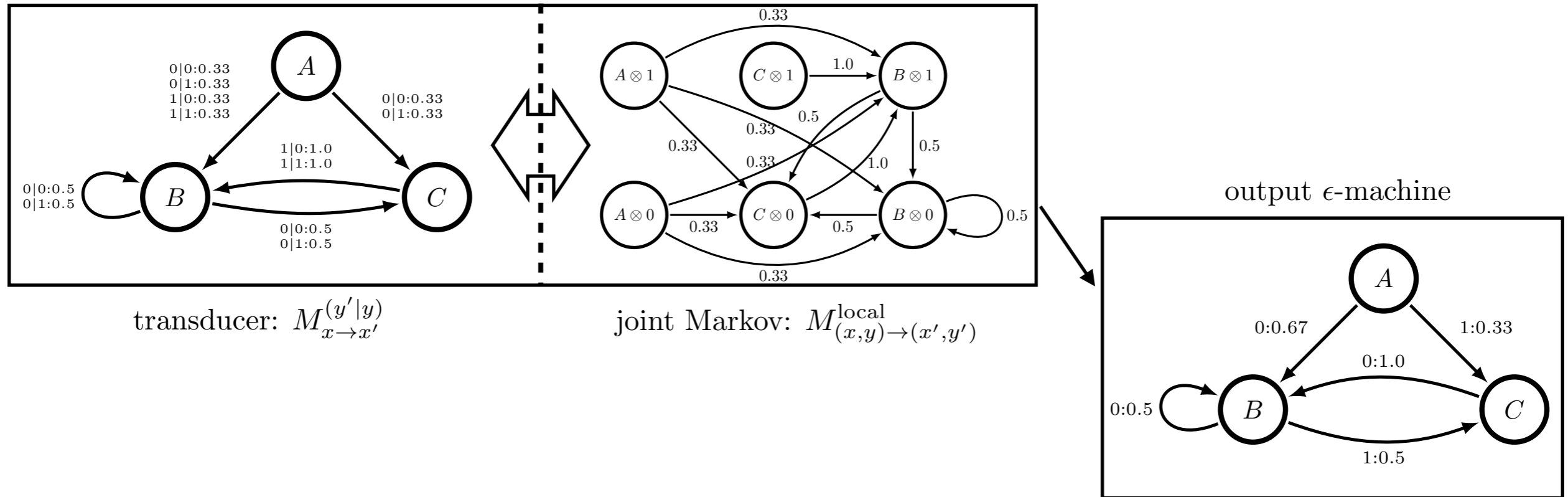
Make the ratchet input agnostic for any particular HMM

$$M_{x,y \rightarrow x',y'}^{\text{local}} = T_{x \rightarrow x'}^{(y')}$$



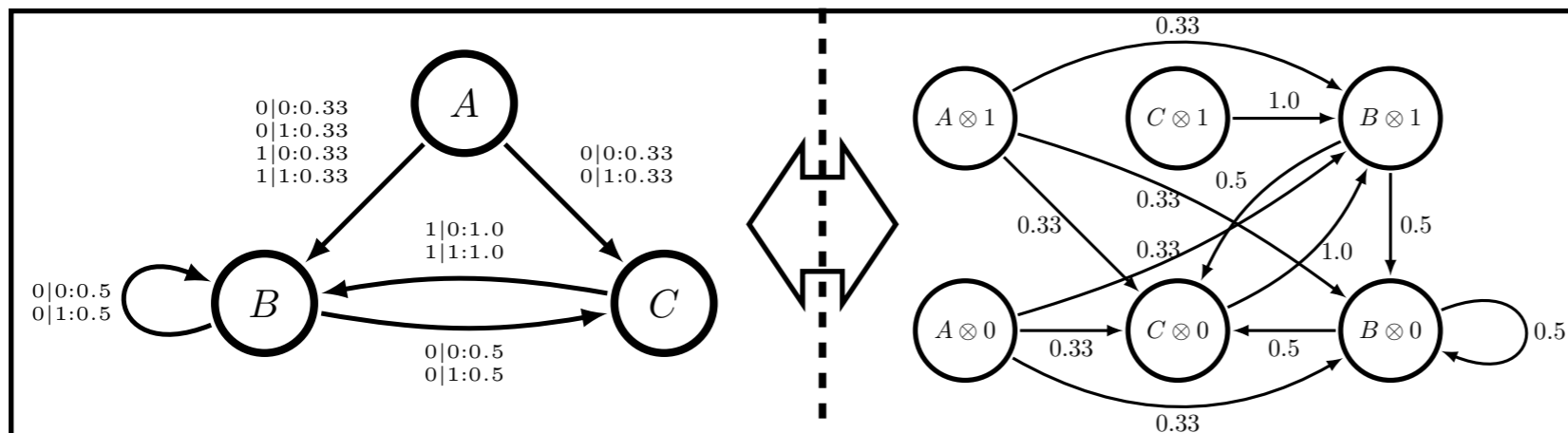
# Golden Mean Generator

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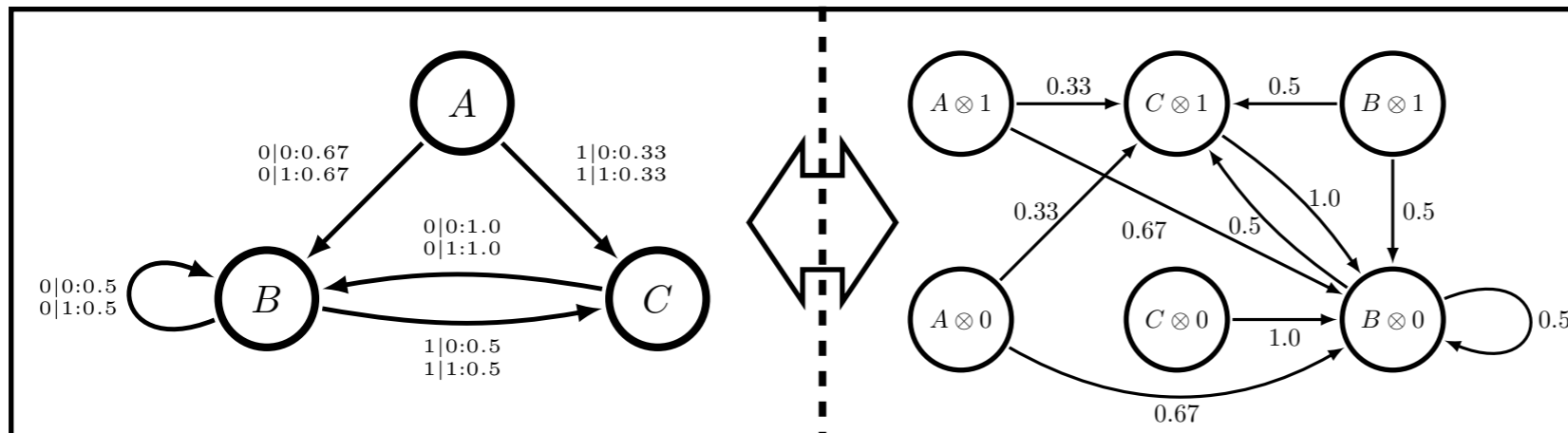
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transducer:  $M_{x \rightarrow x'}^{(y'|y)}$

joint Markov:  $M_{(x,y) \rightarrow (x',y')}^{\text{local}}$

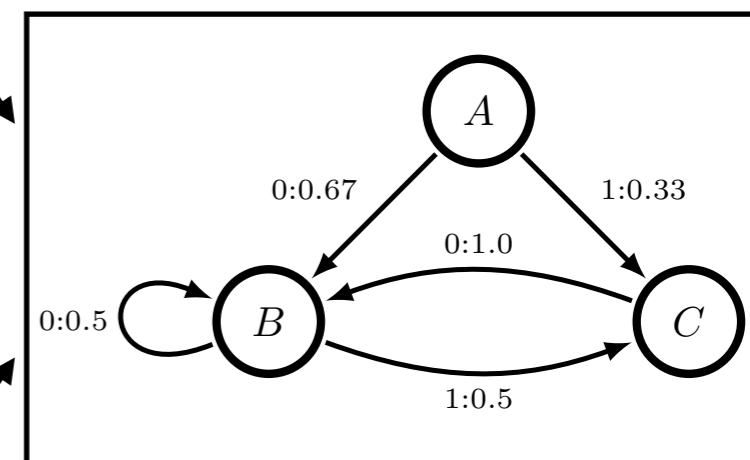
predictive inefficient generator:  $\langle \Sigma_{\infty}^{\text{gen}} \rangle_{\min} = 0.462k_B$



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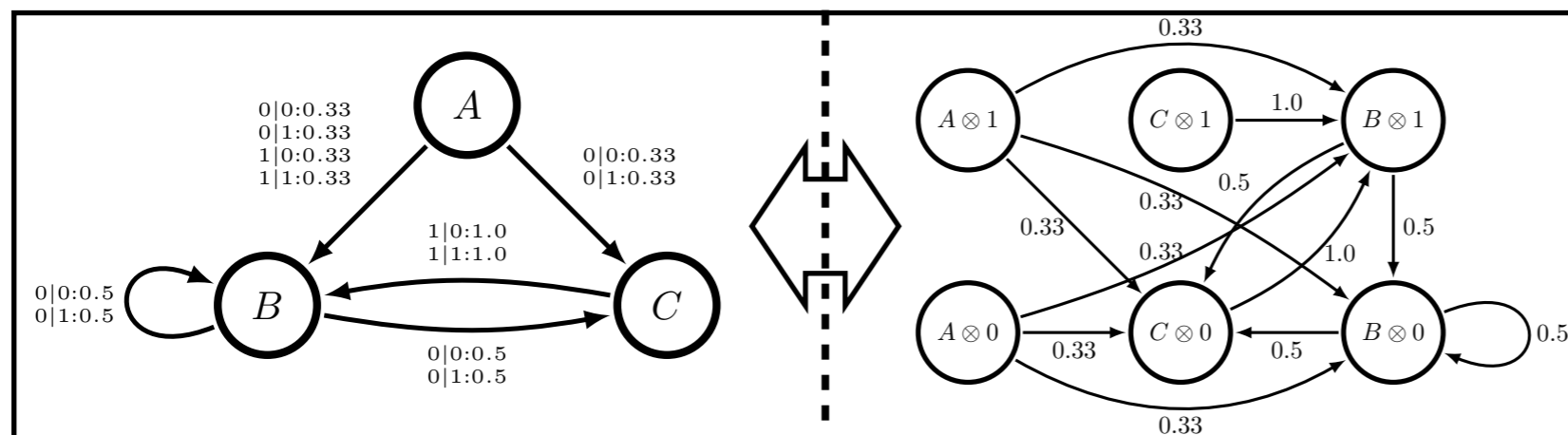
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output  $\epsilon$ -machine



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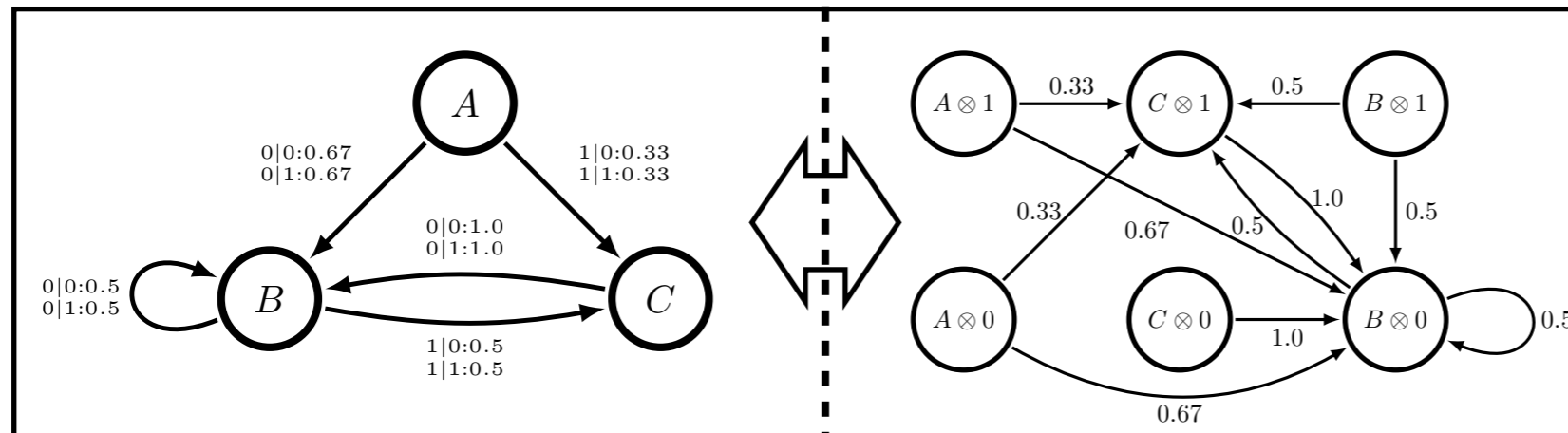
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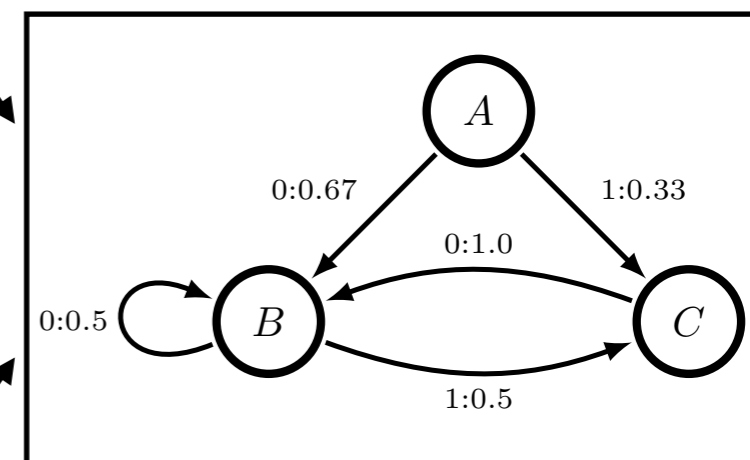
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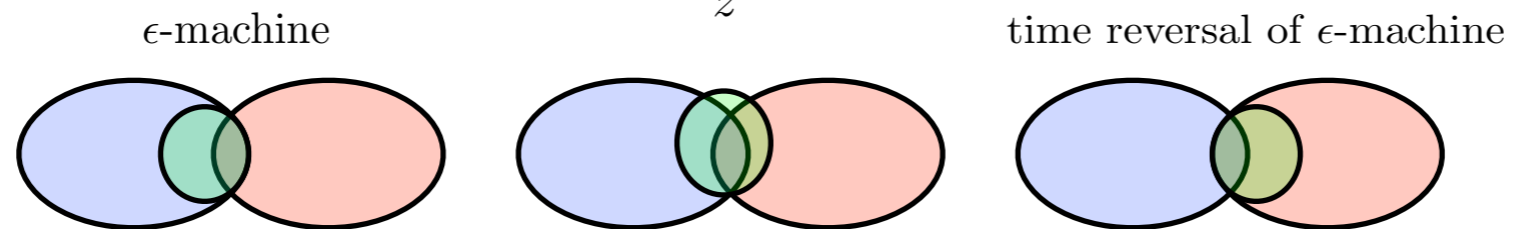
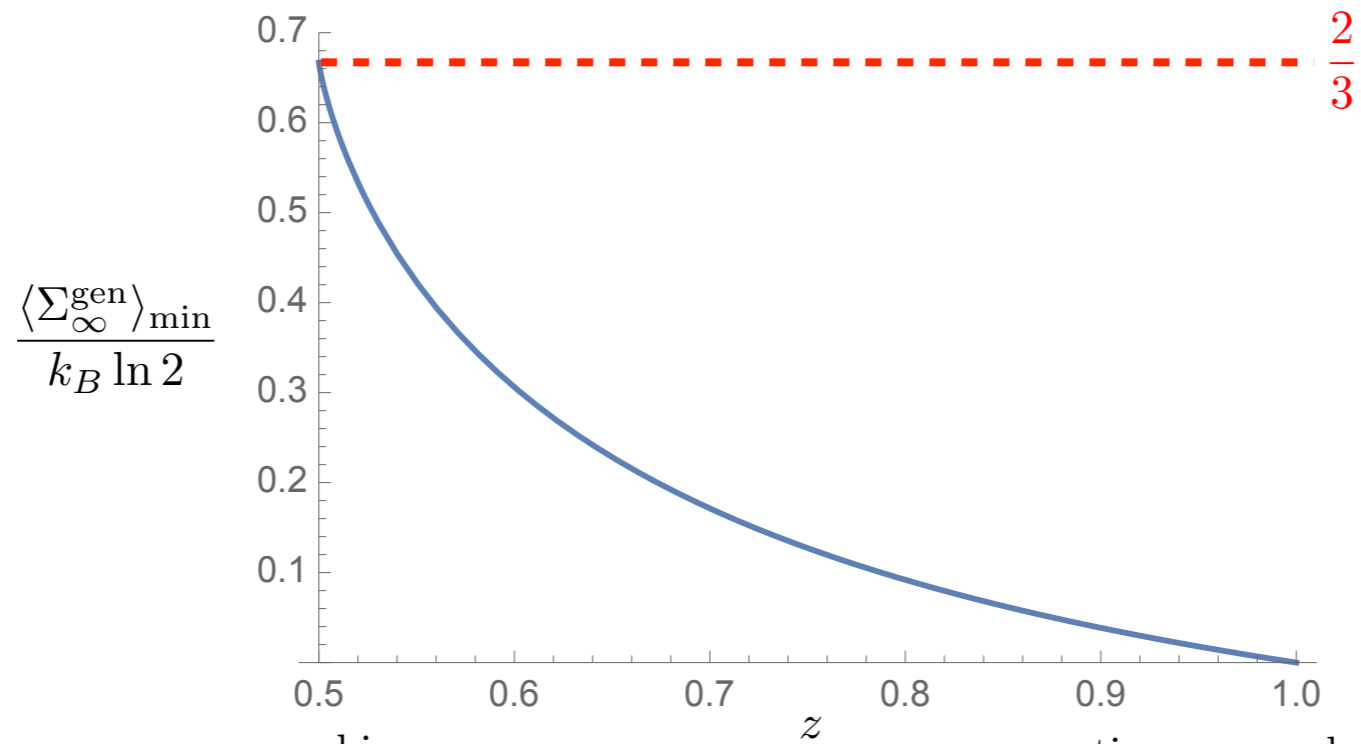
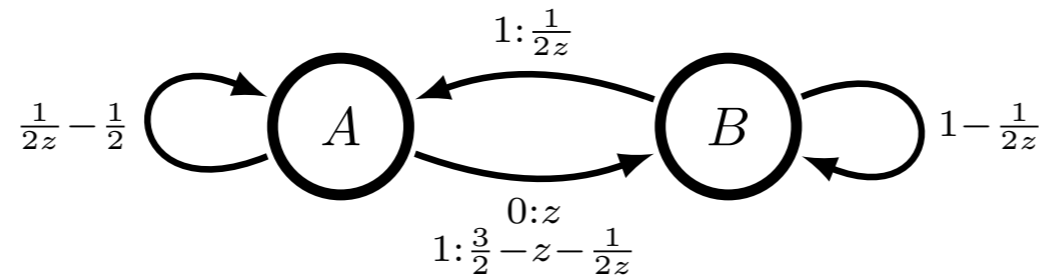
output  $\epsilon$ -machine



Despite doing the same computation, the predictive ratchet requires an additional amount of work with every time step:

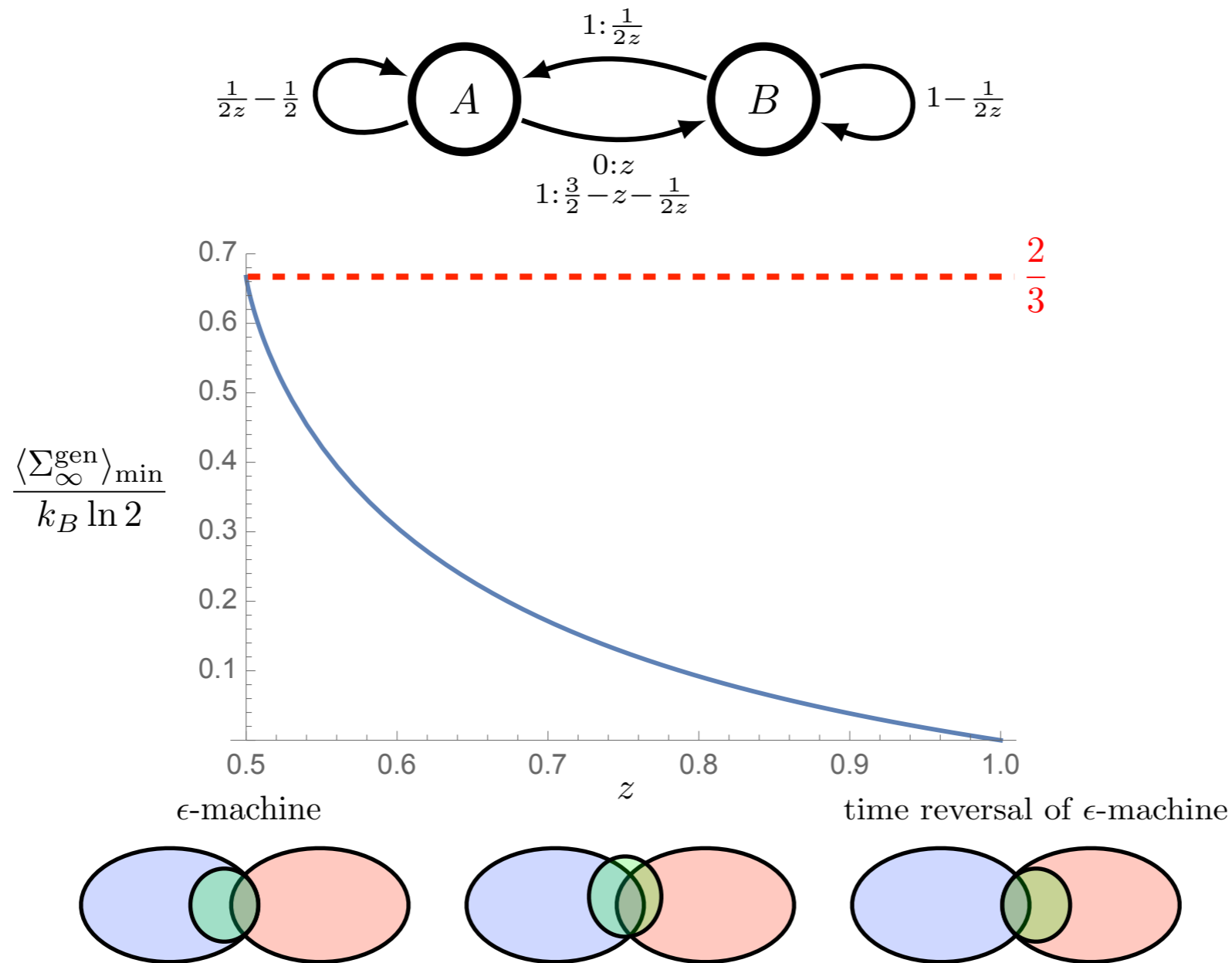
$$\langle W_{\infty}^{\text{predictive}} \rangle_{\min} = \langle W_{\infty}^{\text{retrodictive}} \rangle_{\min} + \frac{2}{3} k_B T \ln 2$$

# Cost of Unnecessary Memory In Generators



C. J. Ellison, J. R. Mahoney, R. G. James, J. P. Crutchfield, and J. Reichardt. Information symmetries in irreversible processes. CHAOS (2011)

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It appears that the more unnecessary information the ratchet stores about the past, the less efficient the pattern generator is.

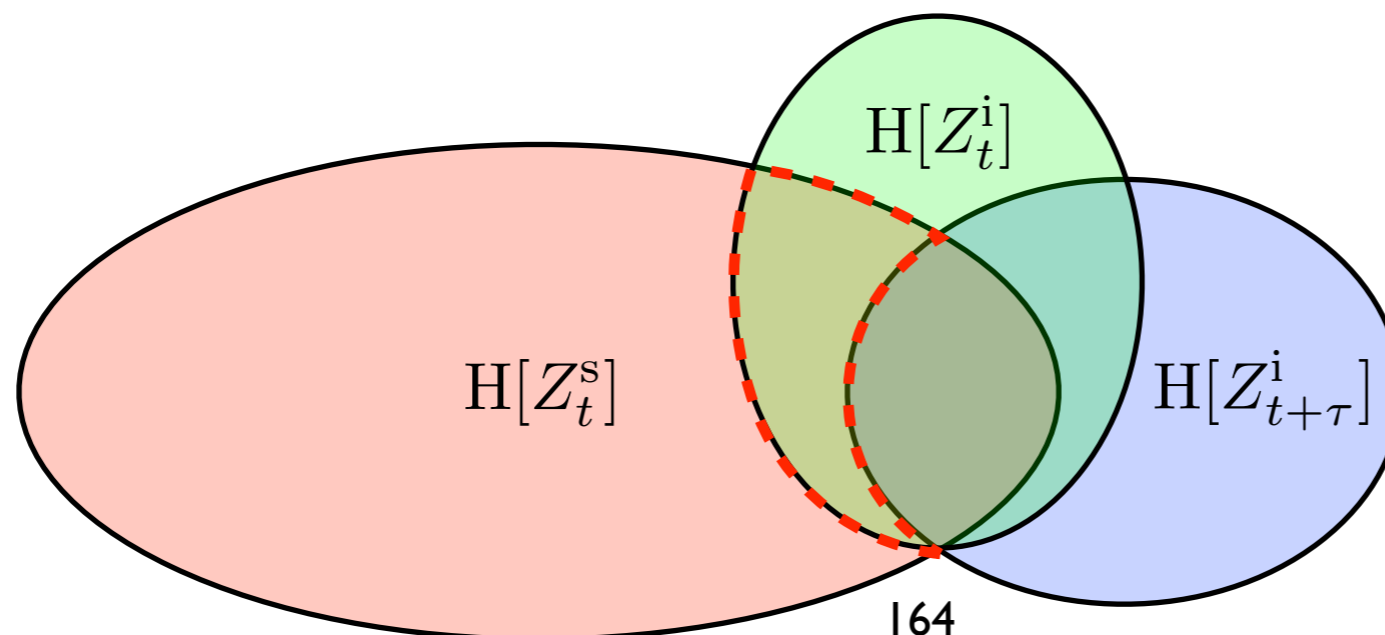
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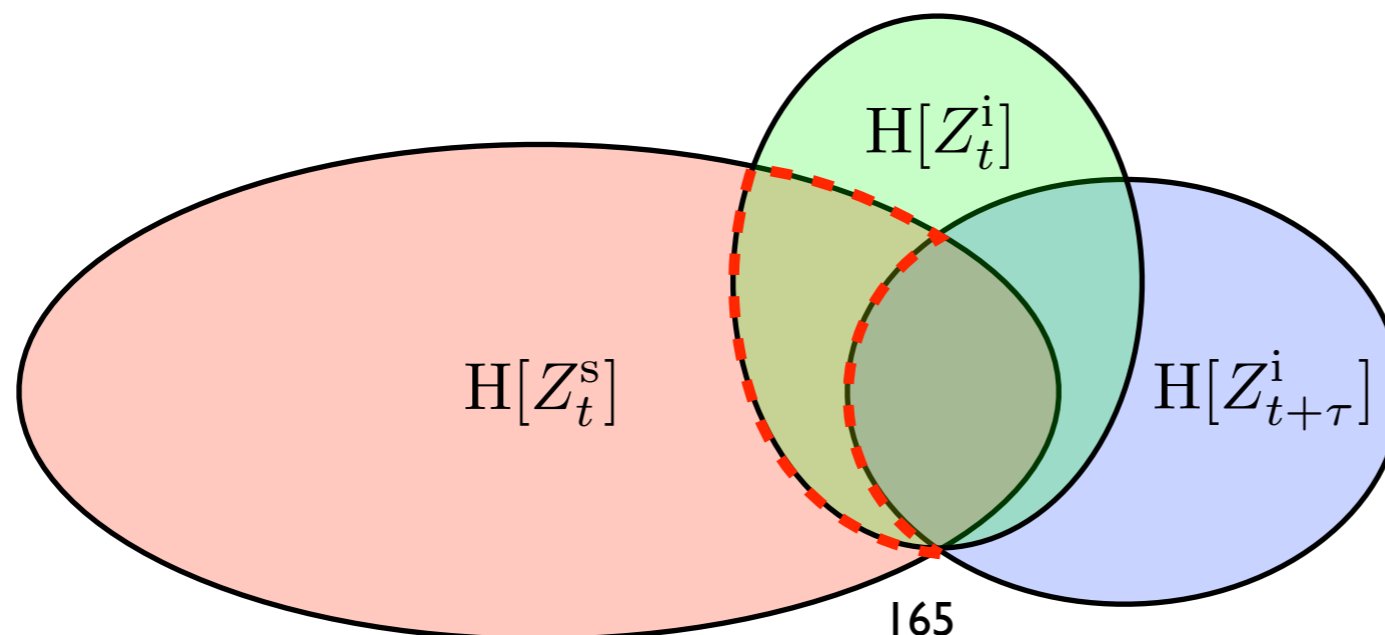
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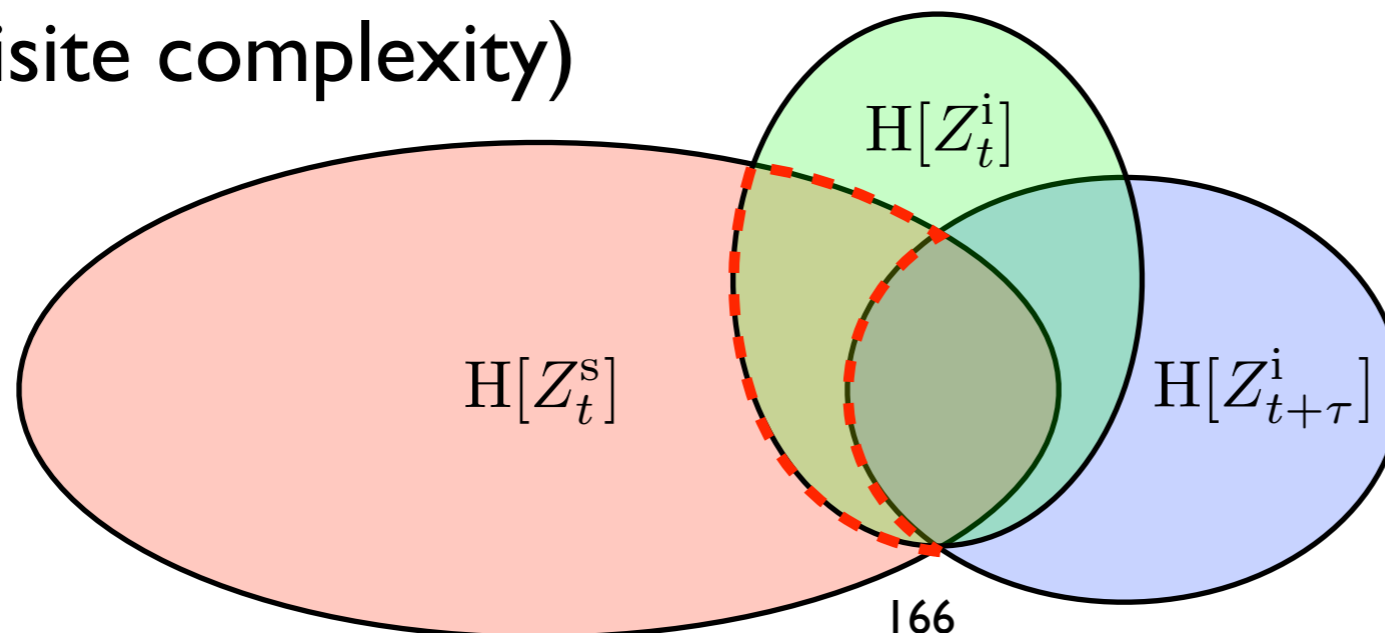
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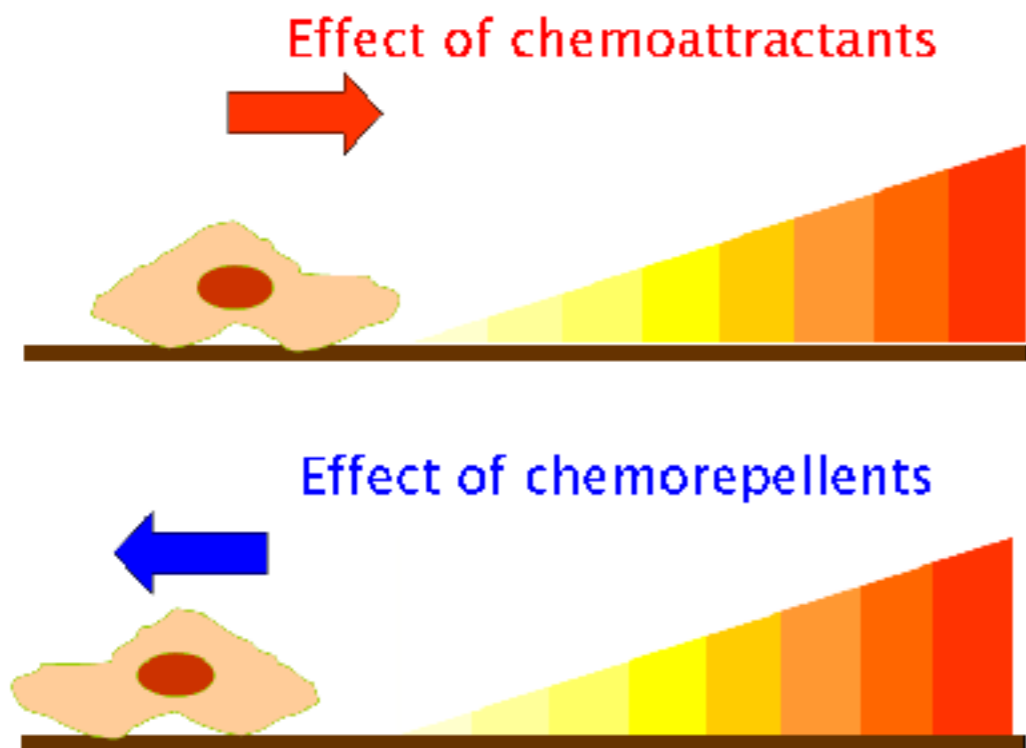
Modularity dissipation implies:

- Efficient pattern generators are retrodictive. (cost to excess memory of past)
- Efficient pattern extractors are predictive. (principle of requisite complexity)

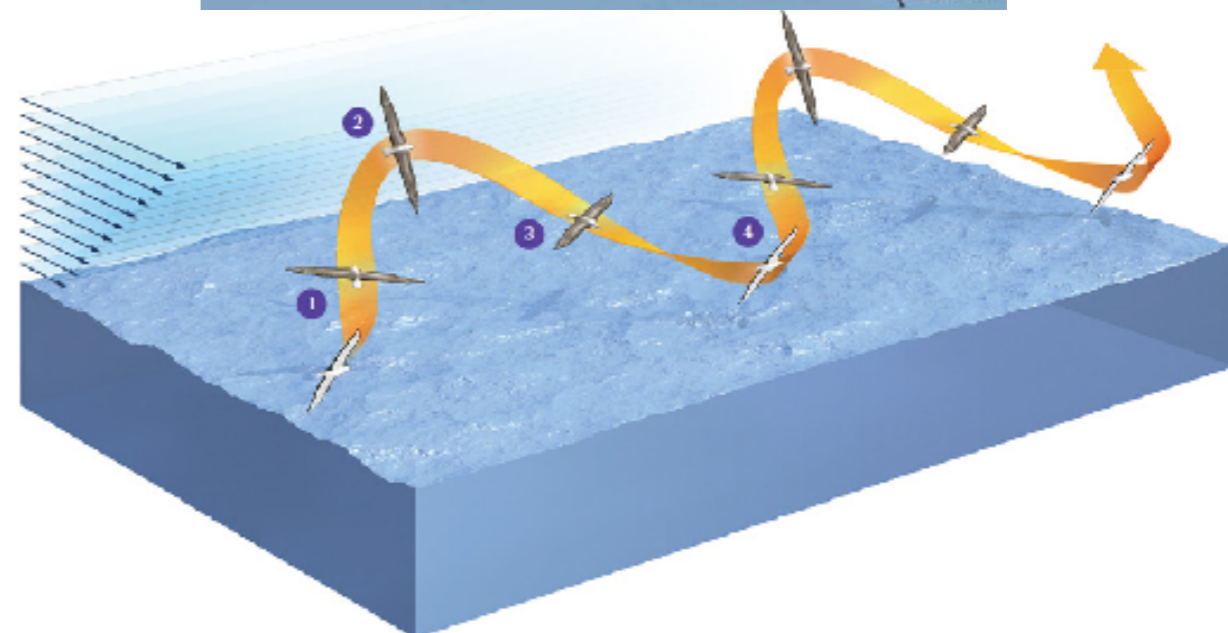


# Broader Picture

## Principle of Requisite Complexity



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- Dibyendu Mandal (UC Berkeley)



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