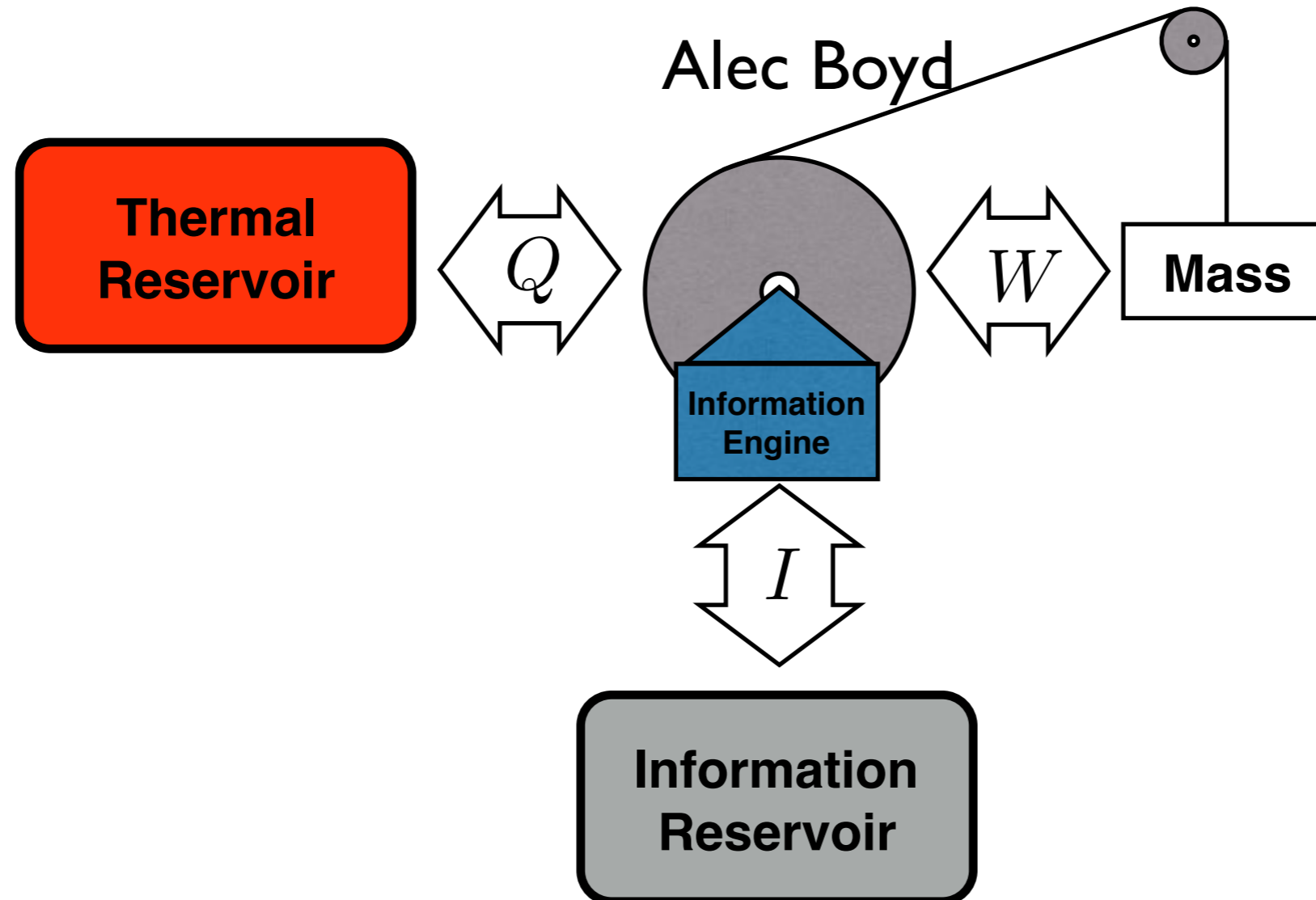
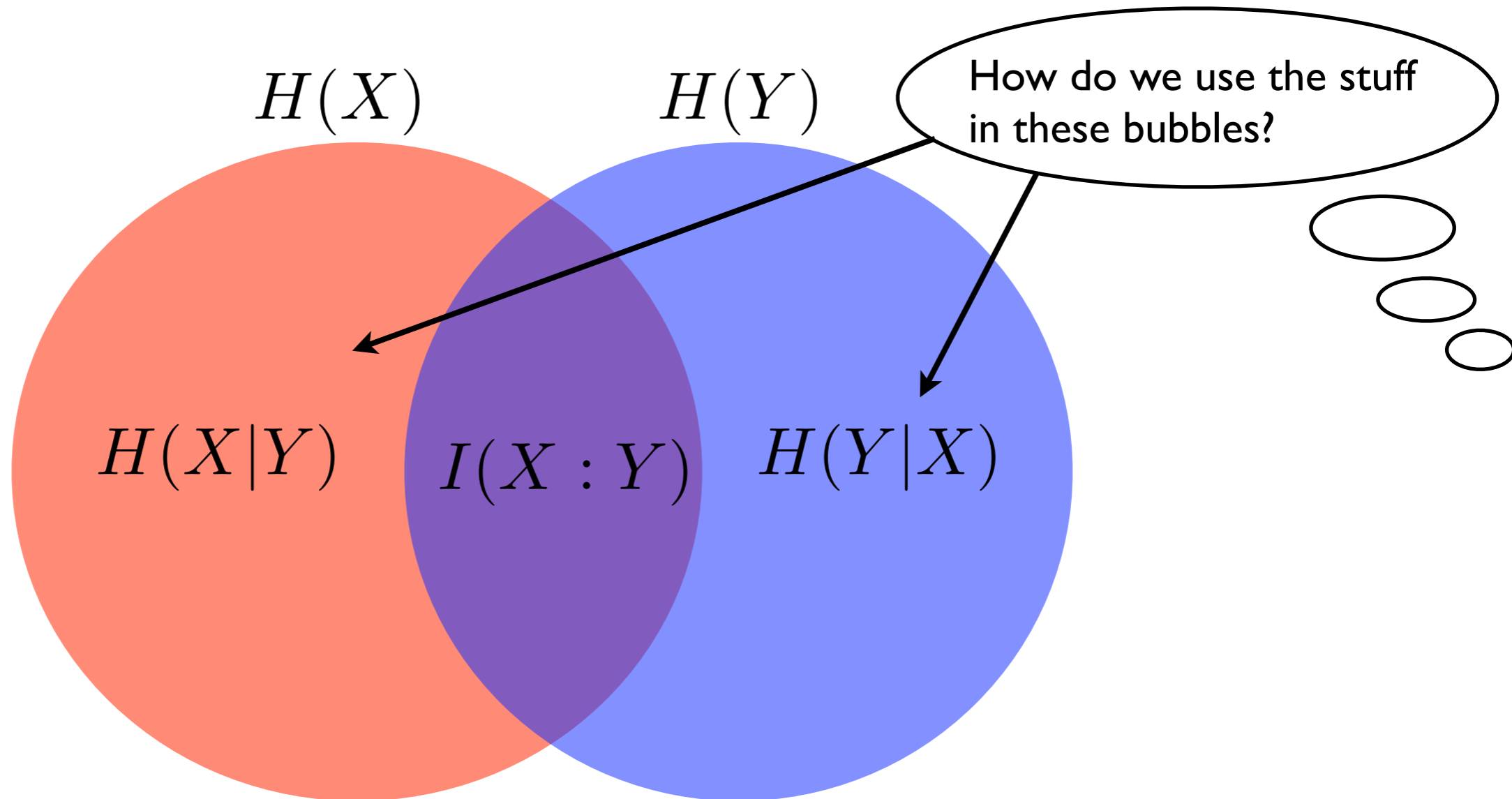


Thermodynamics of Information

Part I



What does information do?

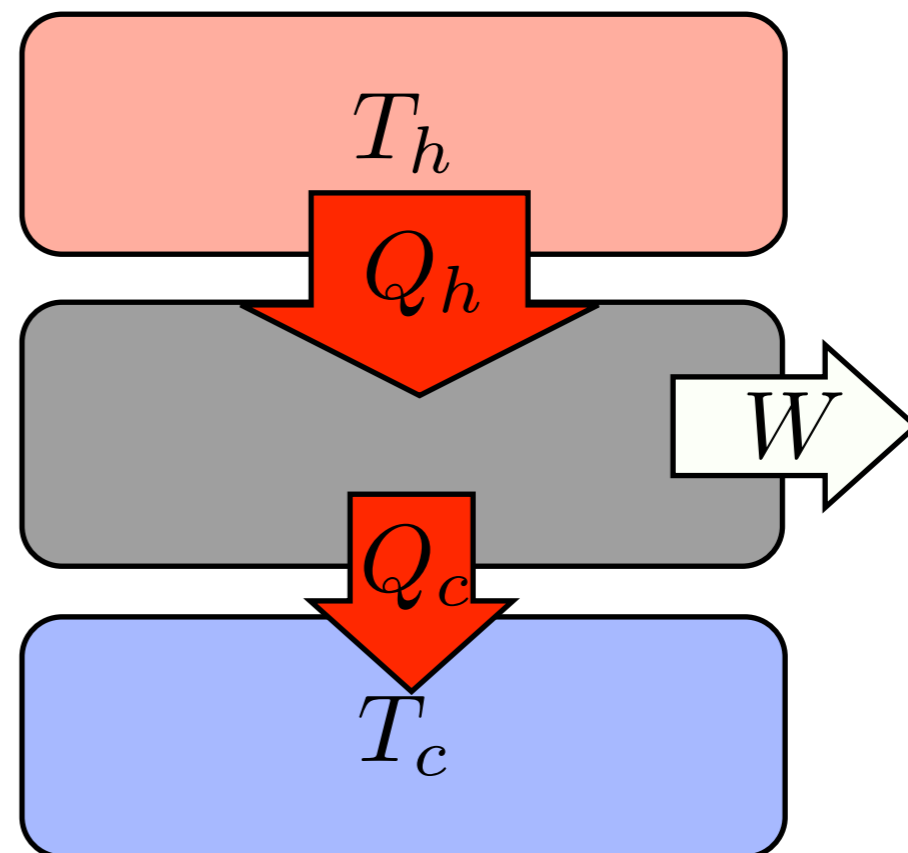


Thermodynamics

Thermodynamics treats physical systems many elements.

Relevant quantities:

1) Energy

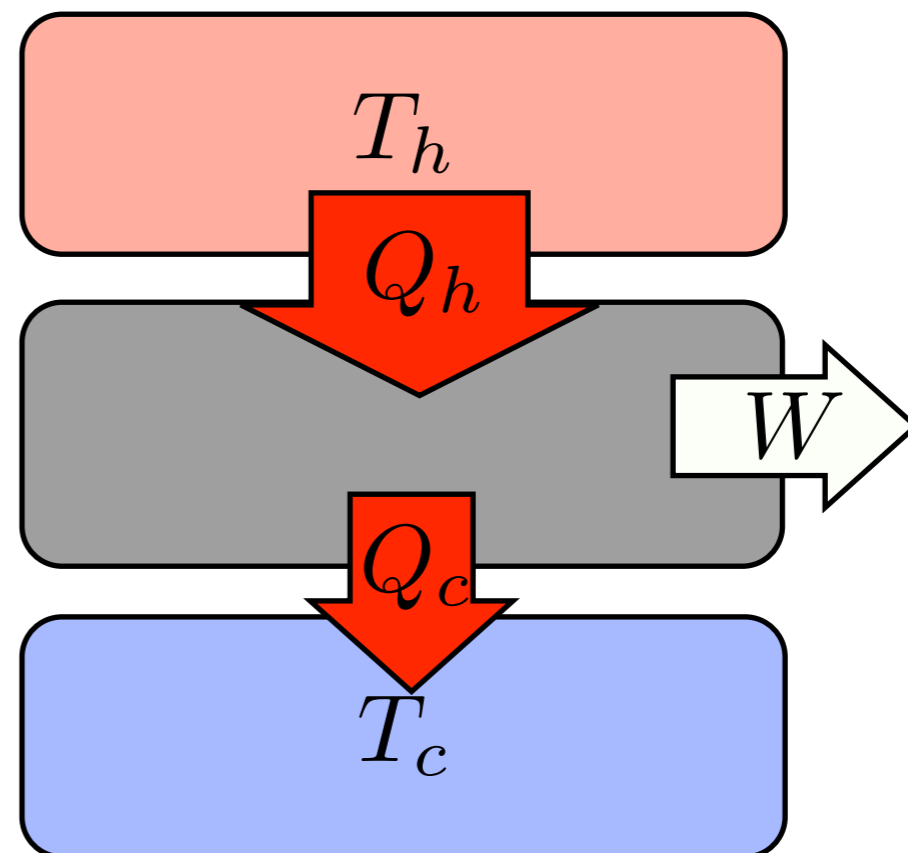


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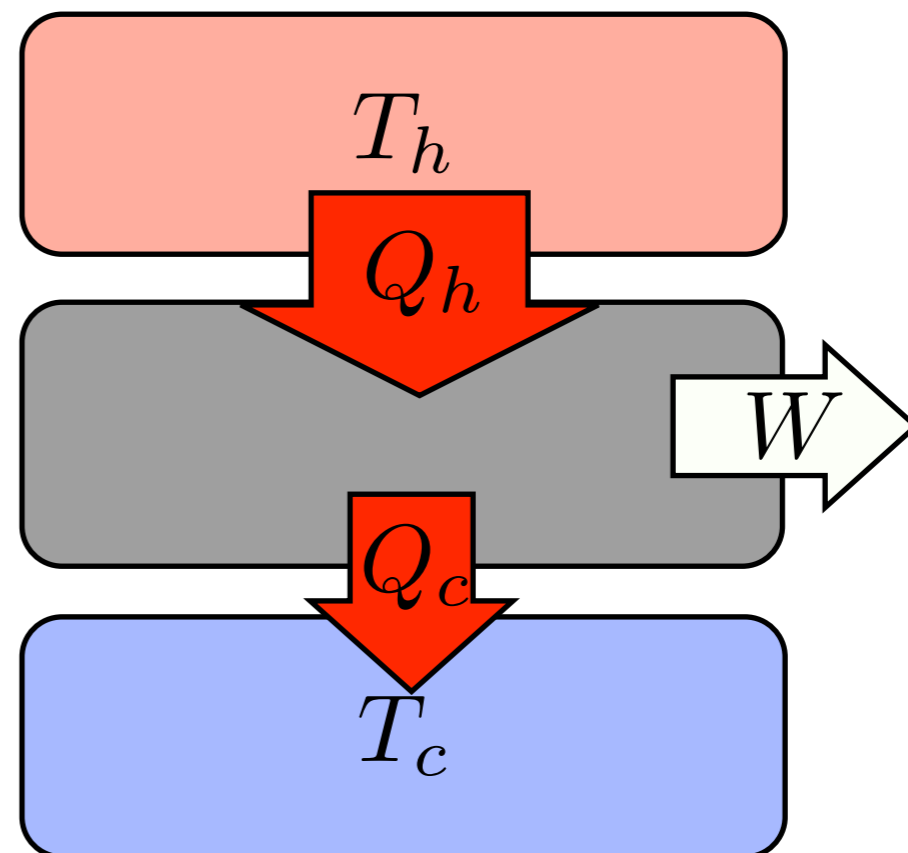


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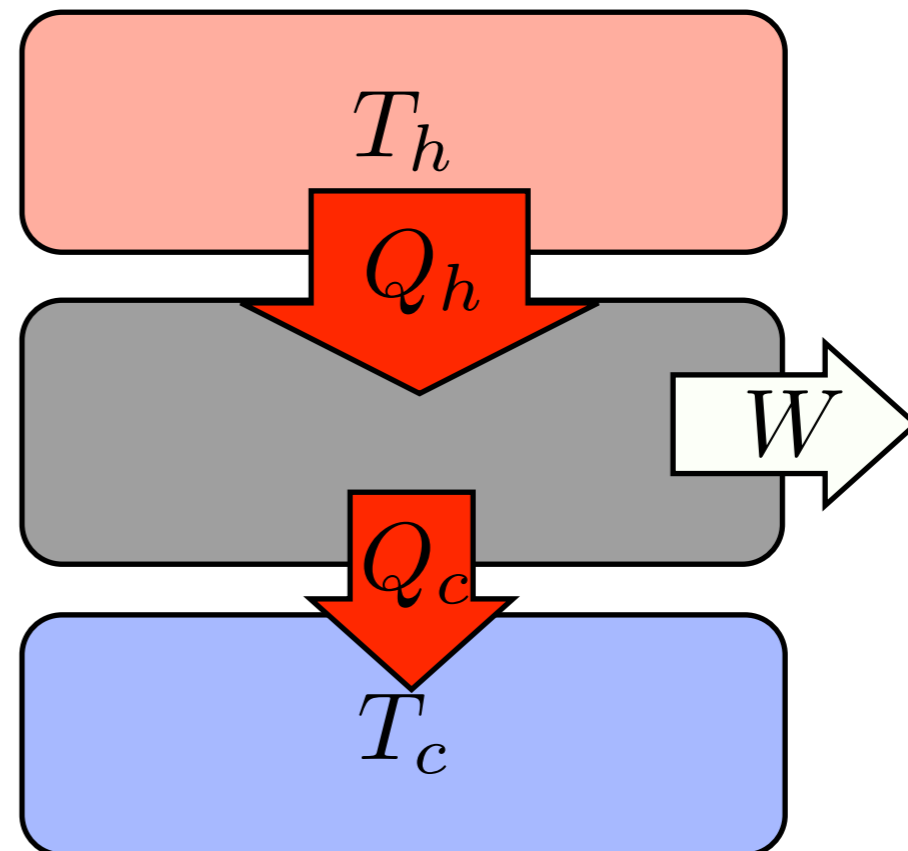


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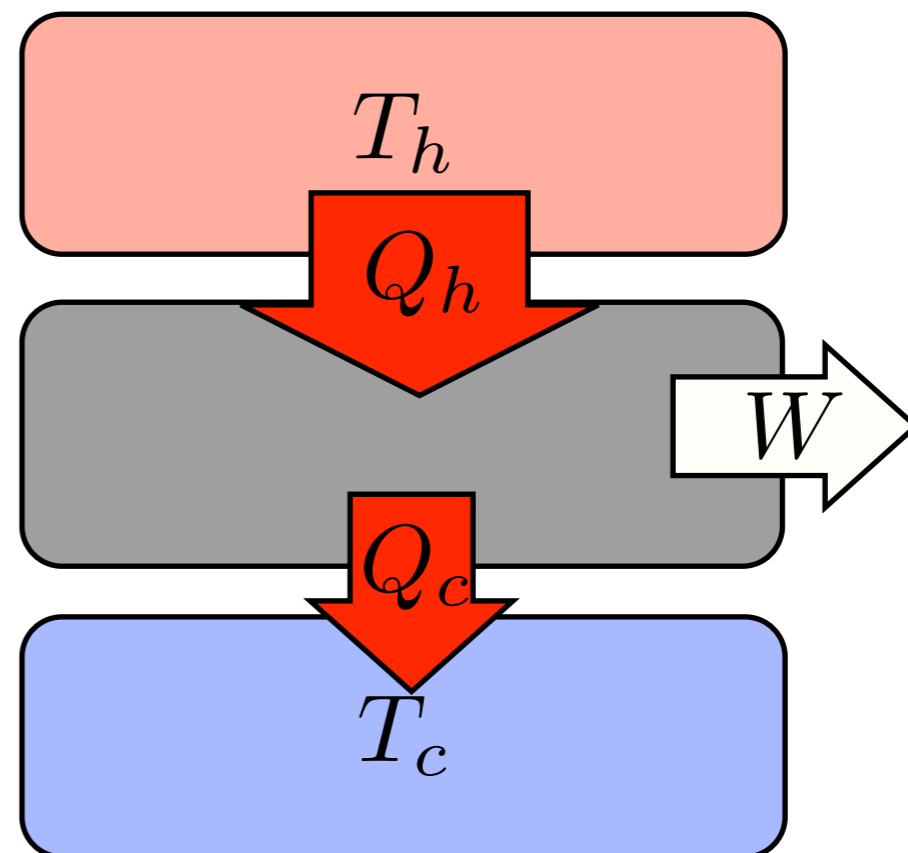


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- 5) Entropy



Thermodynamic Entropy

Physical system states:

$$\mathcal{X} = \{x\}$$

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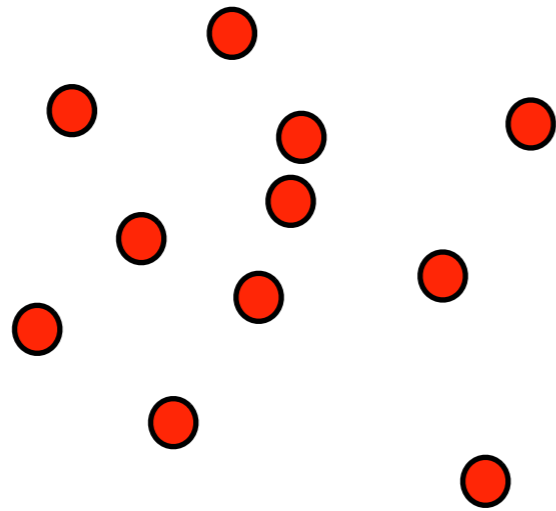
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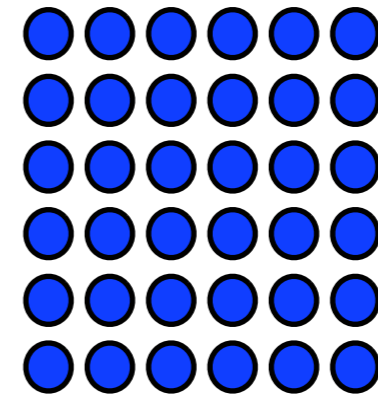
Entropy Comparison

Thermodynamic entropy as a measure of disorder.

Disordered (Hot Gas)



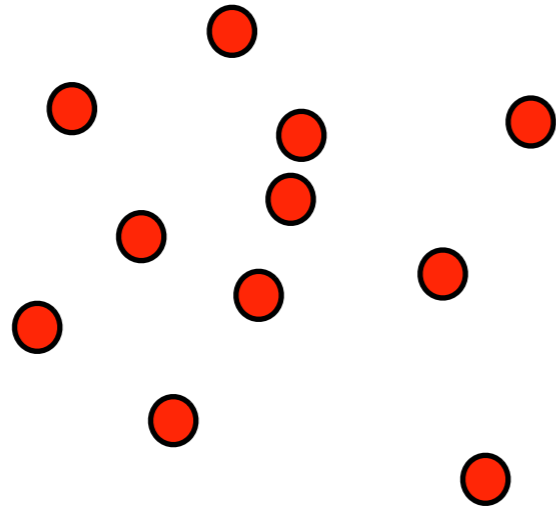
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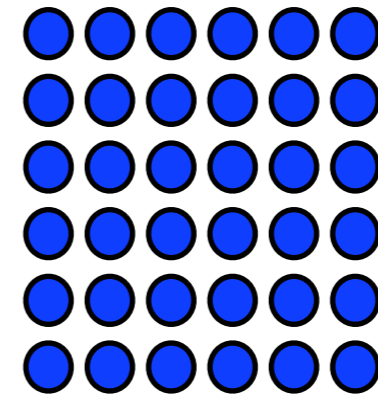
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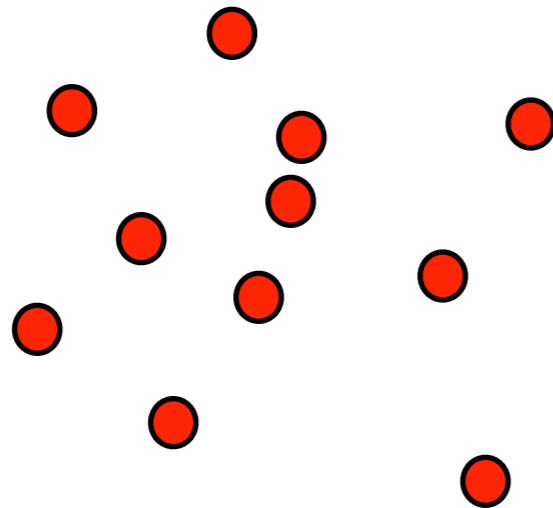


Shannon entropy as a measure of uncertainty or unpredictability.

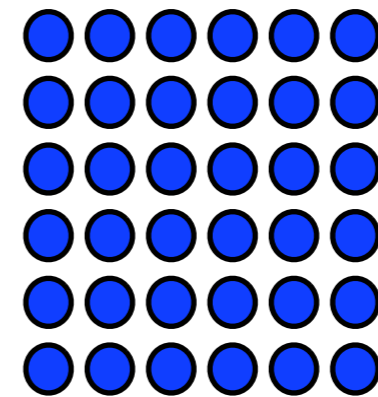
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String of measurements of unpredictable variable

...11011101100101111000000001...

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...10101010101010101010101010101...

Classical Physics

Physical states described by positions q_i and their conjugate momenta p_i :

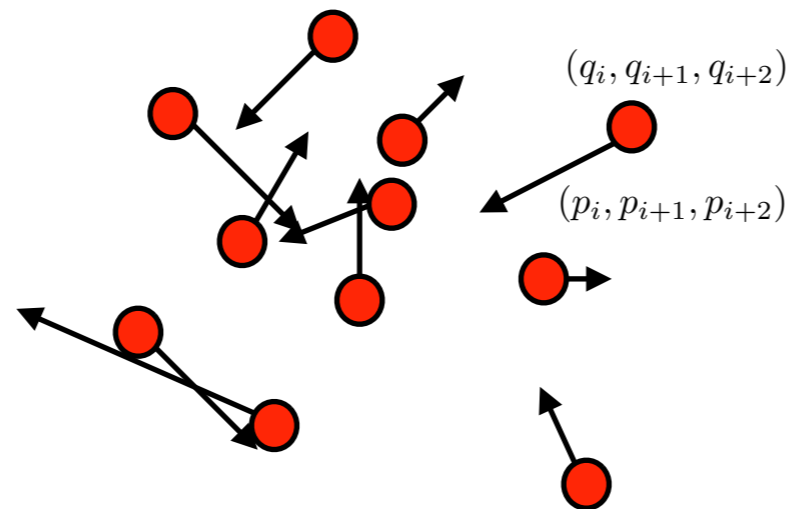
$$\mathcal{X} = \{x\} = \{(q_1, q_2, \dots, q_N, p_1, p_2, \dots, p_N)\}$$

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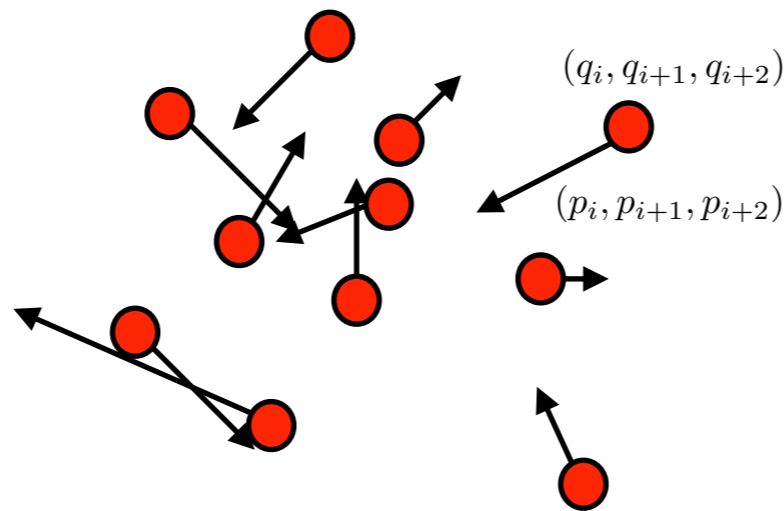


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How does this change with time?

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Energy of each state specified by Hamiltonian function of state

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Equations of motion conserve energy

$$\Delta_{t \rightarrow t+\tau} E = E(x(t + \tau)) - E(x(t)) = 0$$

$$\frac{dE(x(t))}{dt} = 0$$

Second Law of Thermodynamics

Entropy increases in isolated systems:

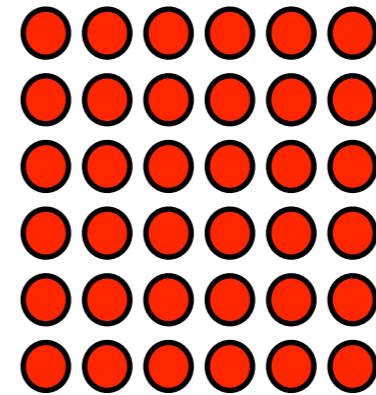
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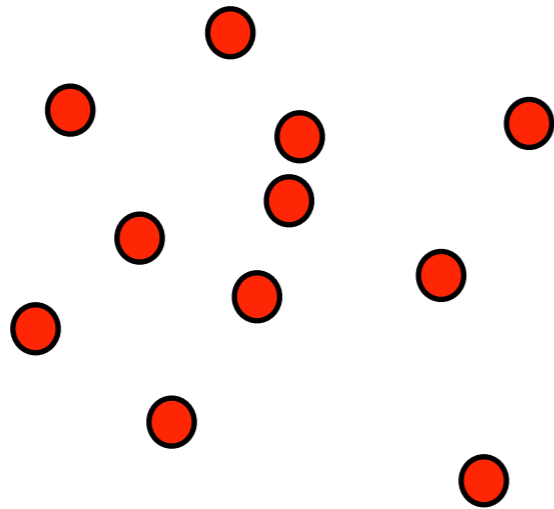


Second Law of Thermodynamics

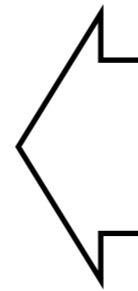
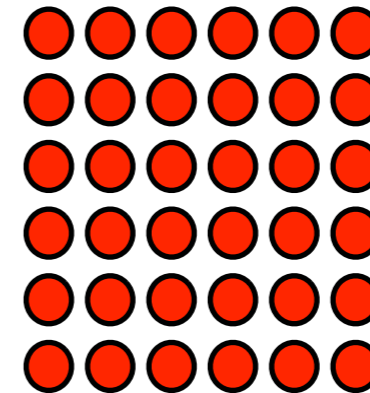
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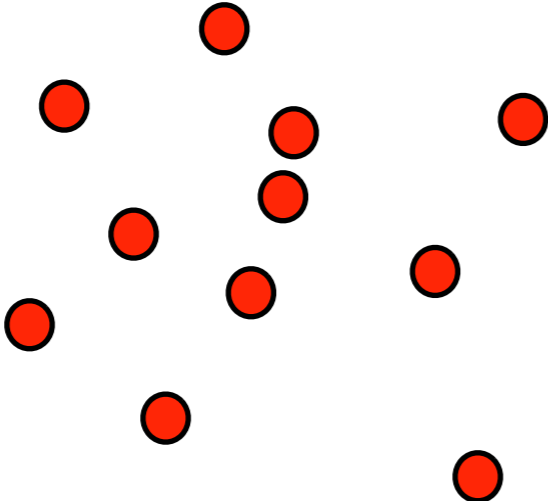


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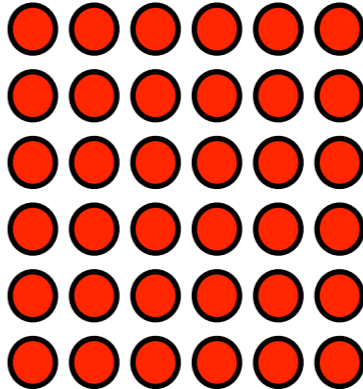
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Example another and another and another

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According to the *first law* and *second law* of thermodynamics together, the microstates evolve towards the maximum entropy distribution over a fixed energy E , called the *microcanonical ensemble*:

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This leads to an equilibrium estimate of entropy: $S[X^{\text{eq}} | E(x) = E'] = k_B \ln \Omega(E')$

Energy/Entropy vs. Temperature

What is temperature?

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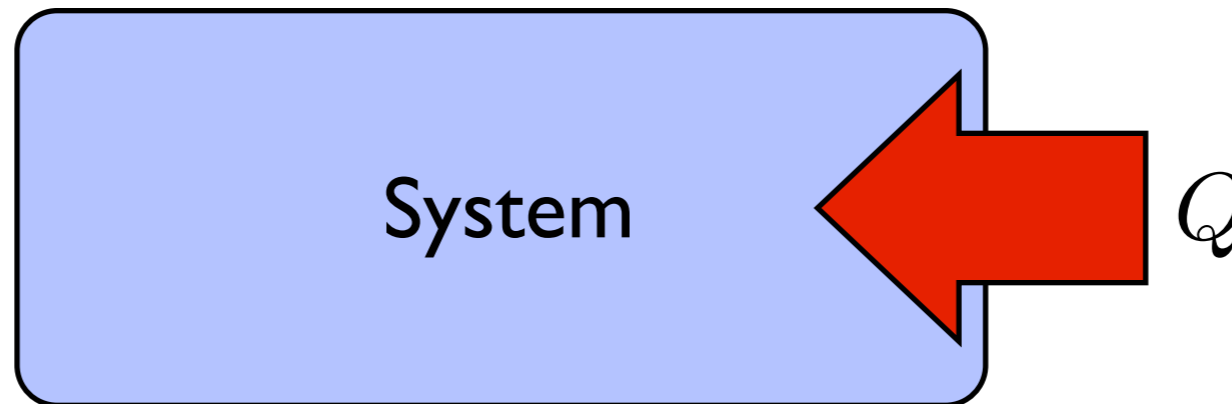
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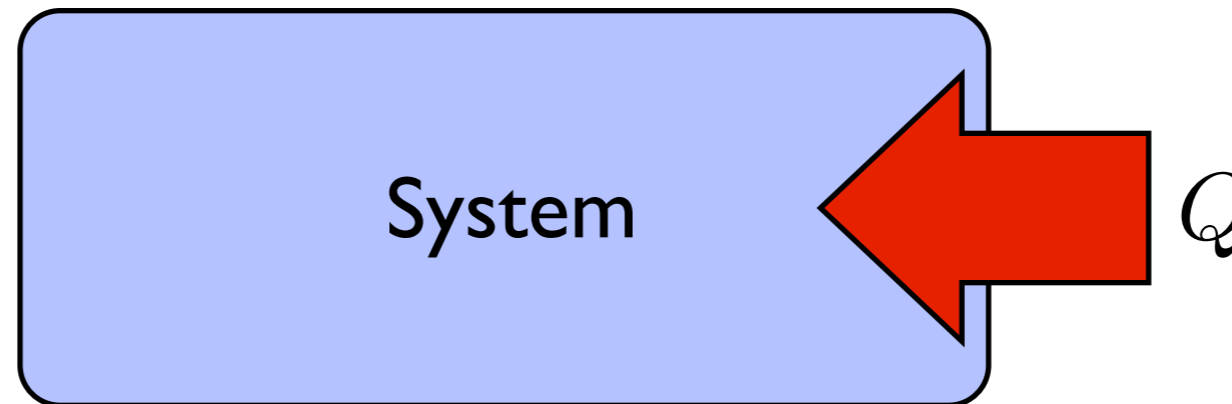
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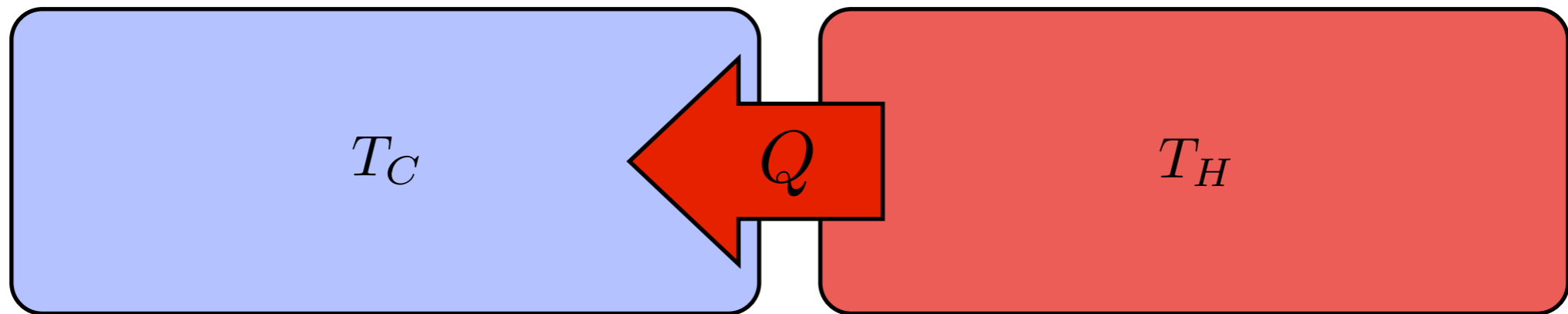
In most systems, every degree of freedom stores energy proportional to the temperature:

$$\langle E_{qi} \rangle \approx \langle E_{pi} \rangle \approx \frac{k_B T}{2}$$

Heat Flow

Entropy explains why heat diffuses from hot
to cold:

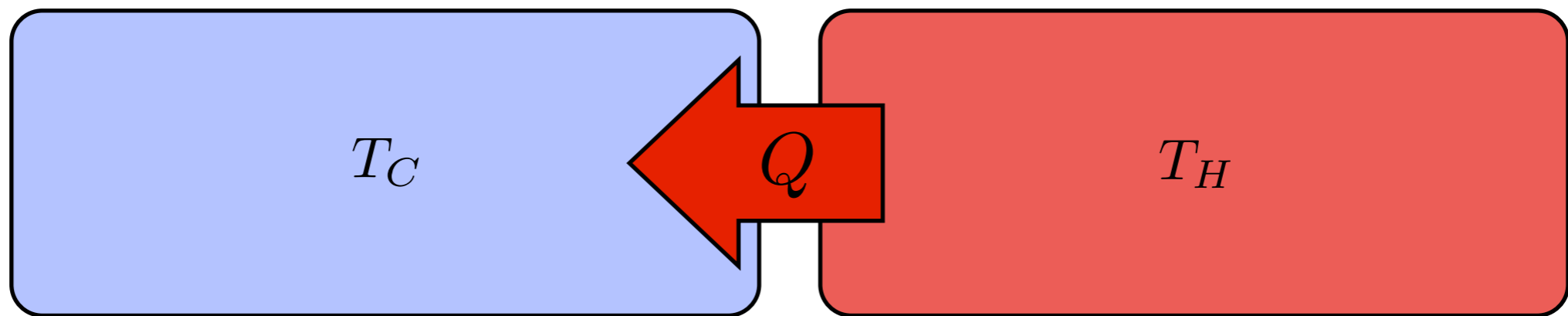
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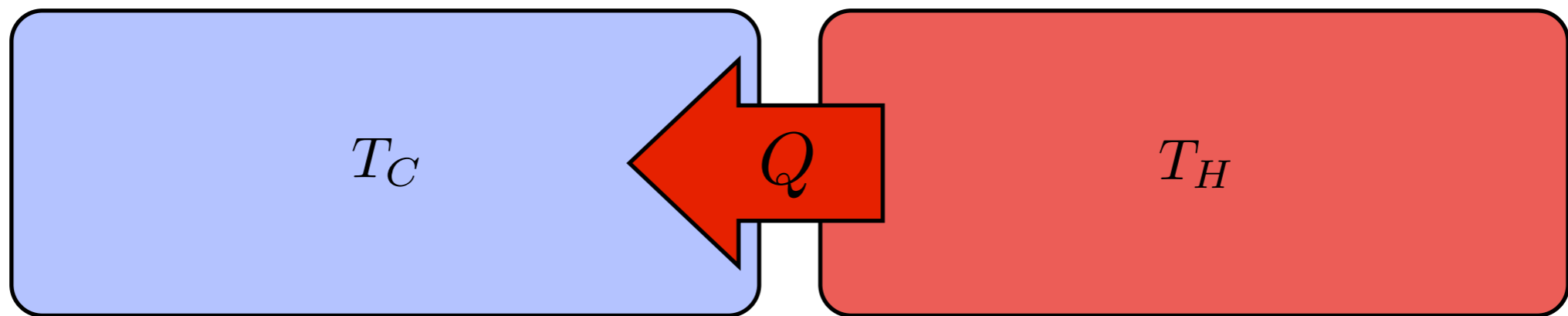
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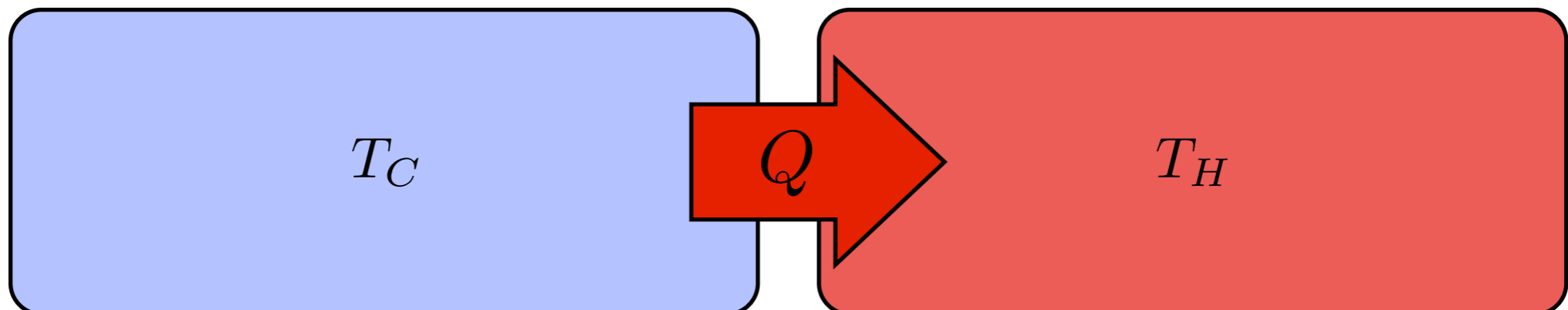
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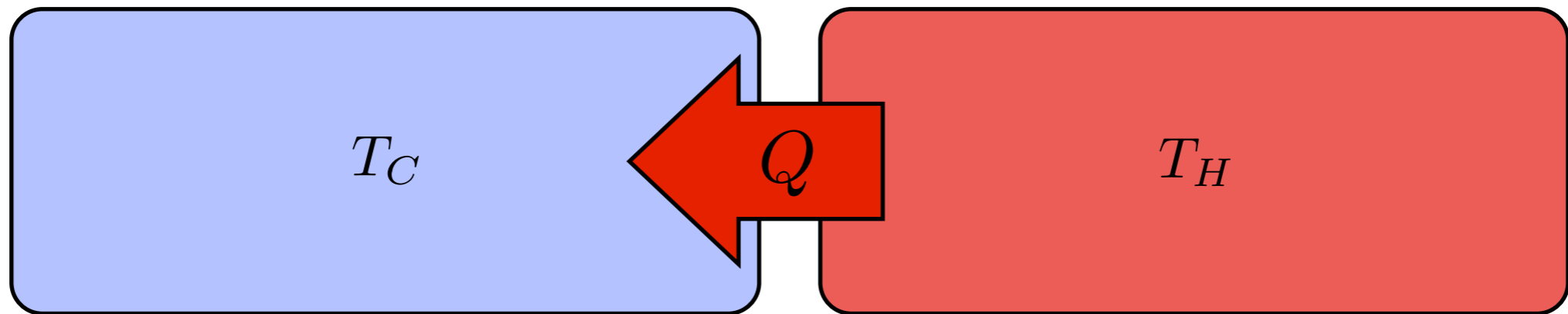
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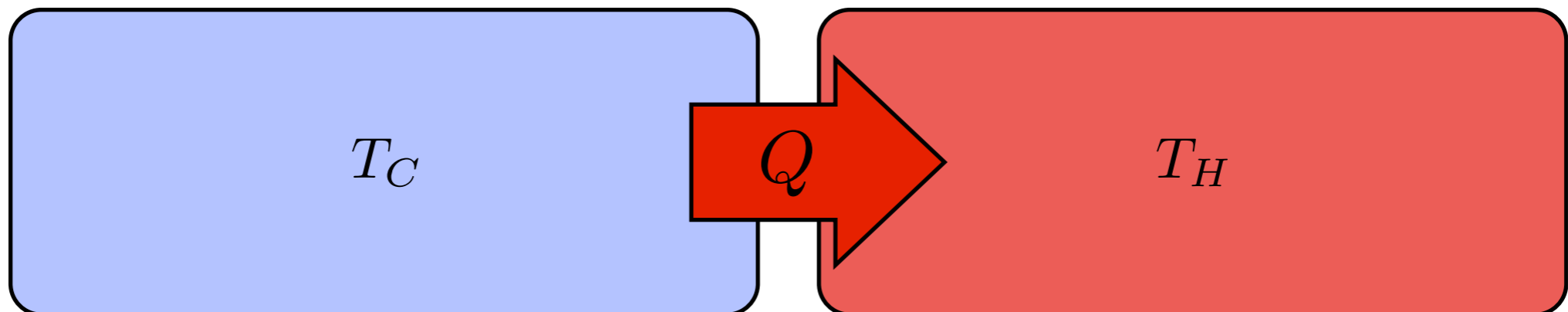


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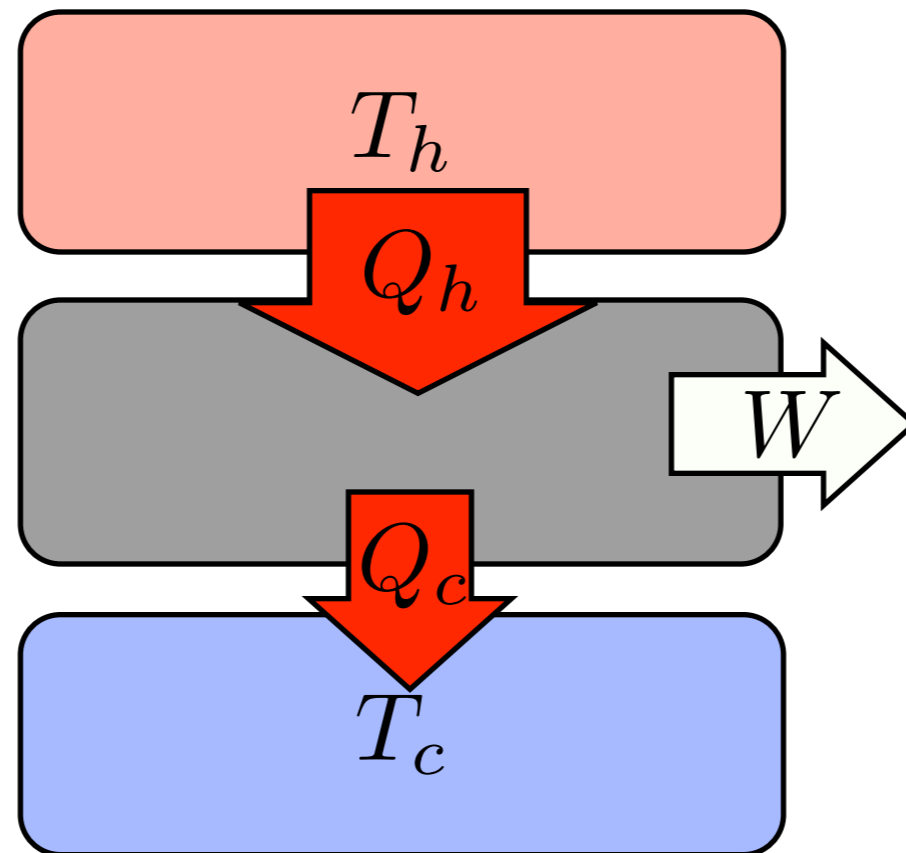


Heat Engine

Some energy can be redirected to produce work

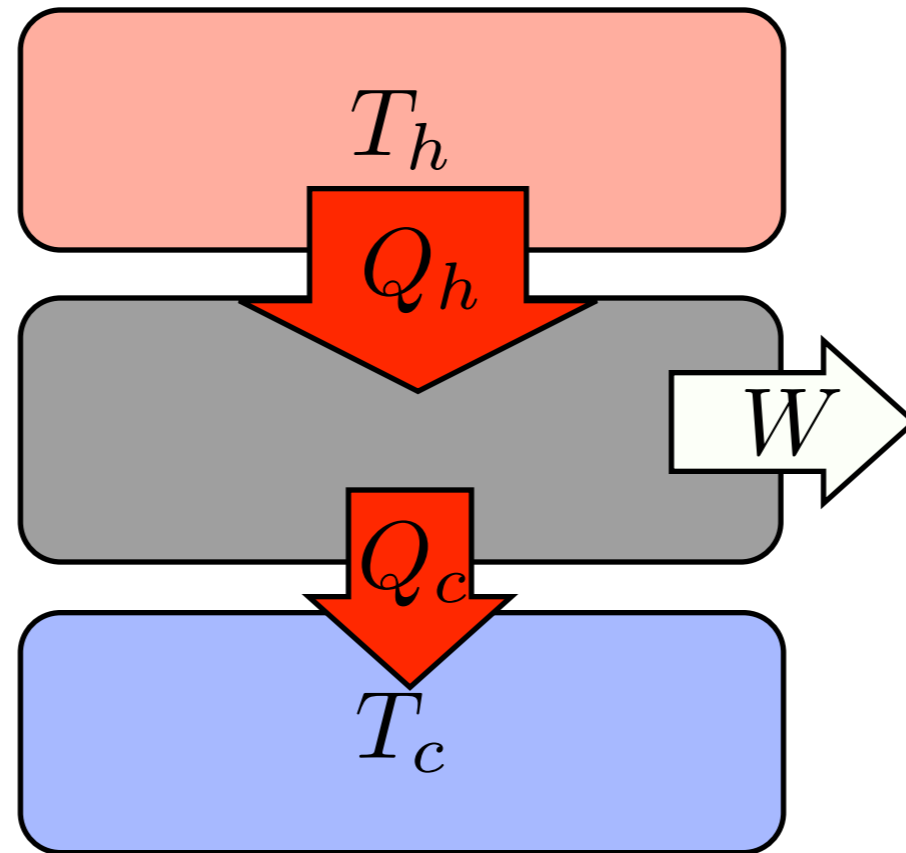
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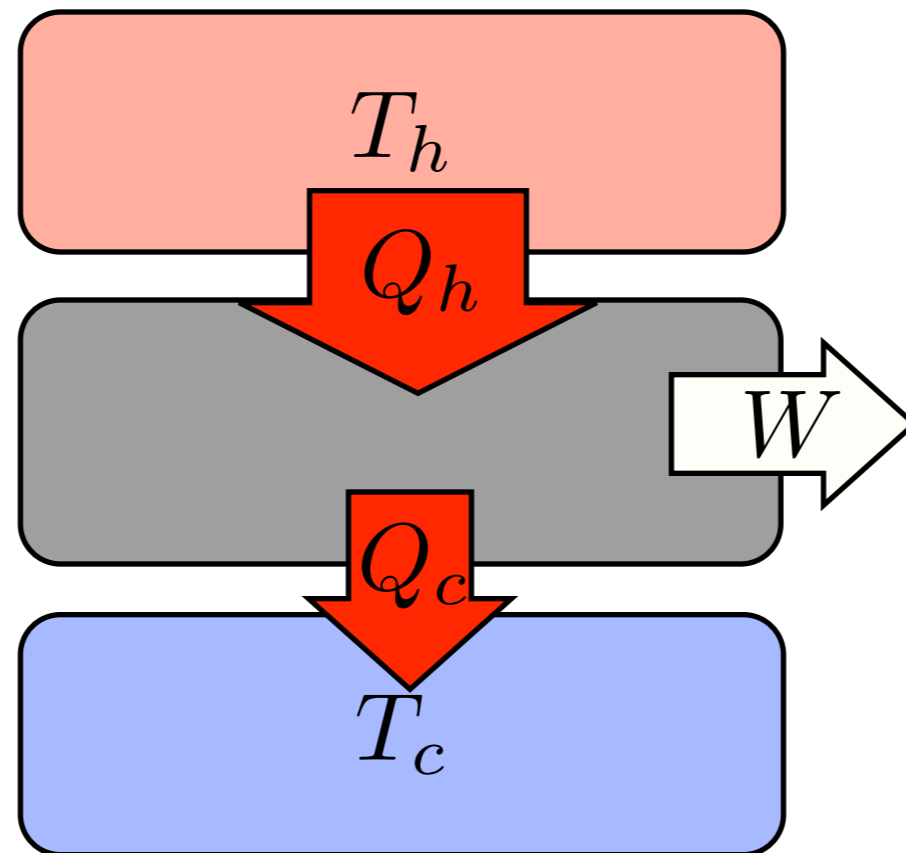
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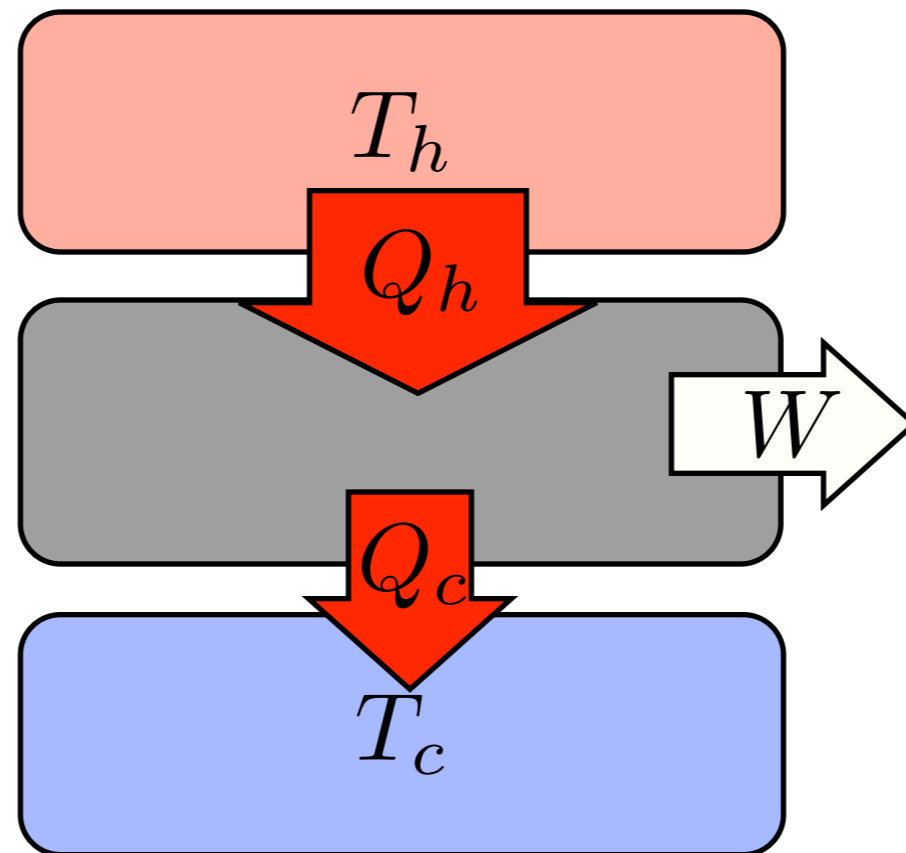
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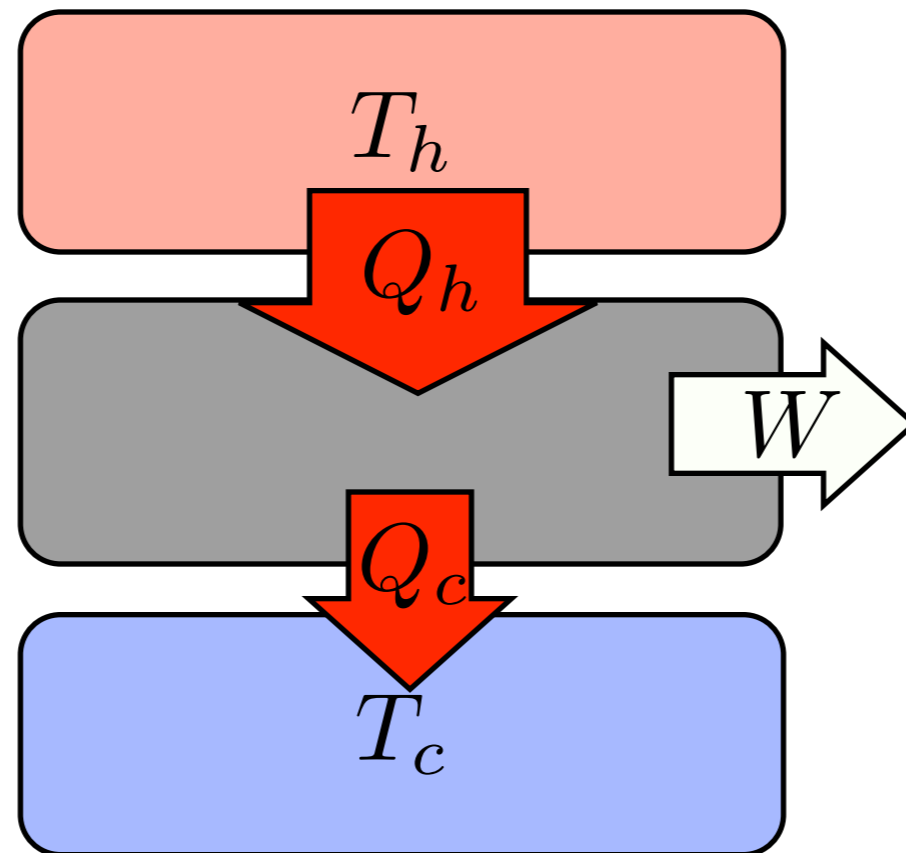
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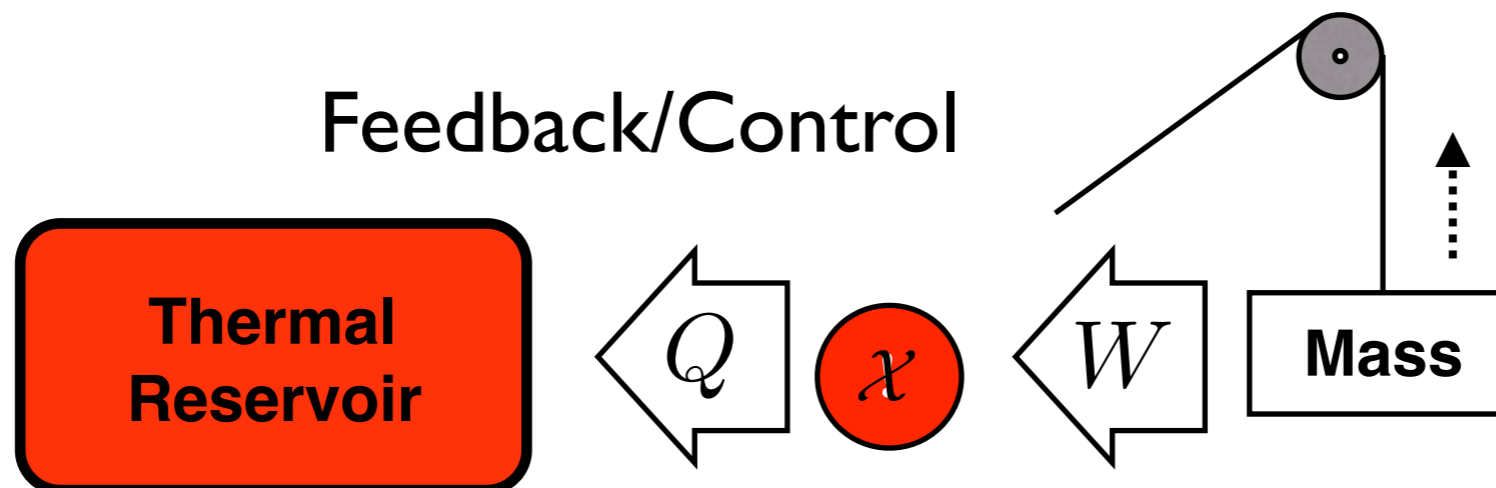
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Thermodynamics of Control

How do we extract work from a thermodynamic system?

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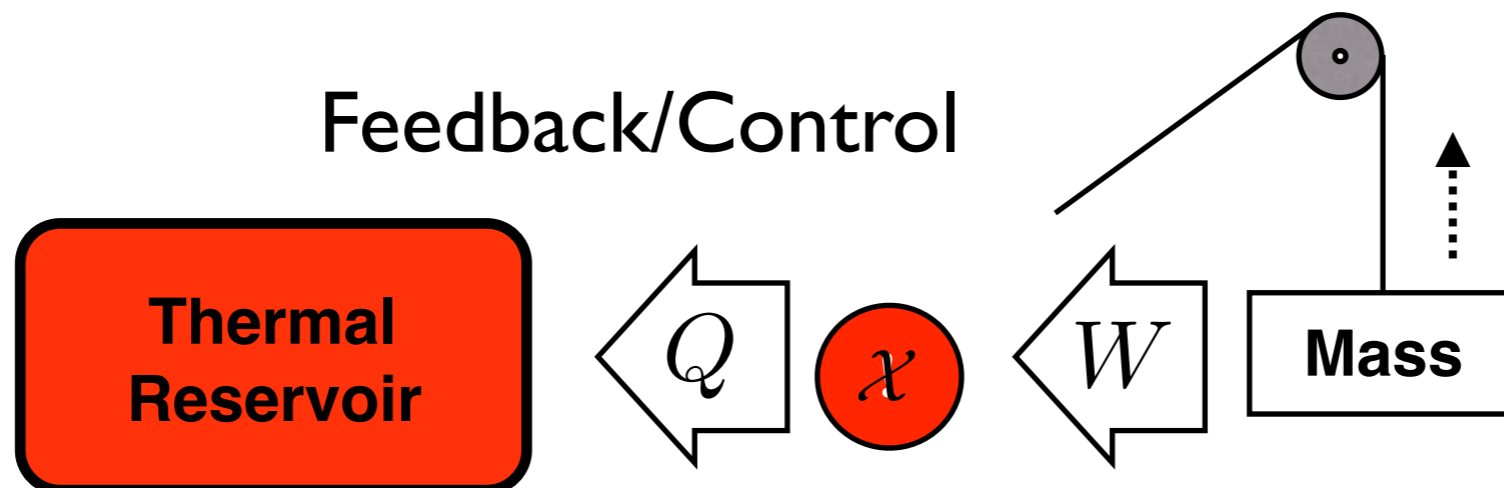


Thermodynamics of Control

How do we extract work from a thermodynamic system?

Through control of the energy landscape

$$d\langle E \rangle = \sum_x \Pr(X_t = x) dE(x) + \sum_x E(x) d\Pr(X_t = x)$$

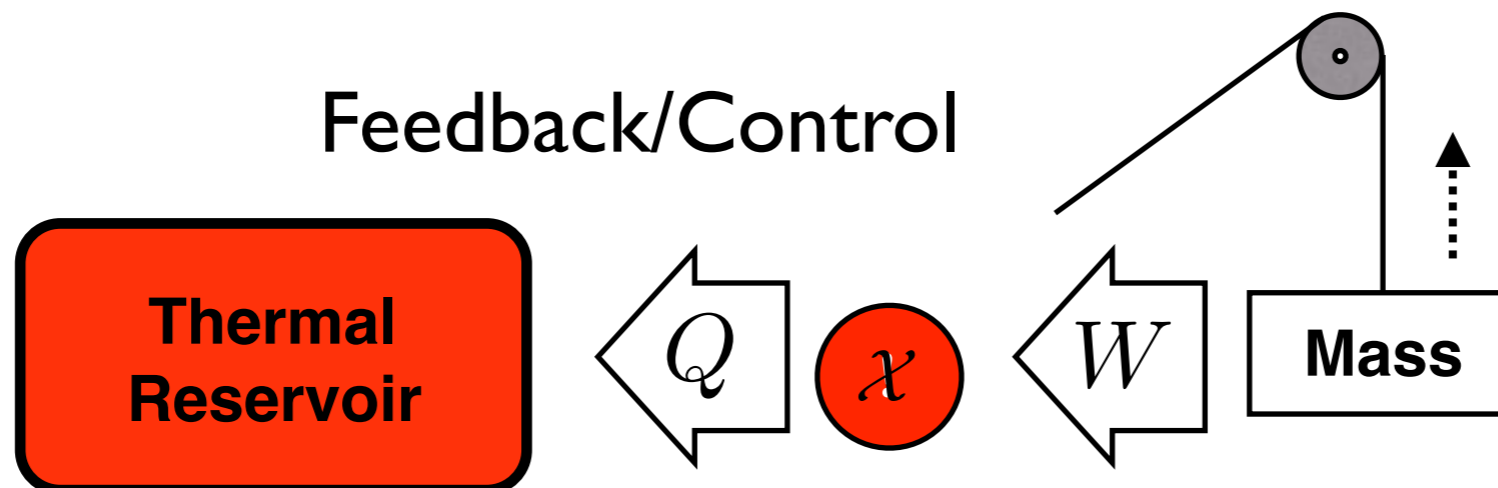


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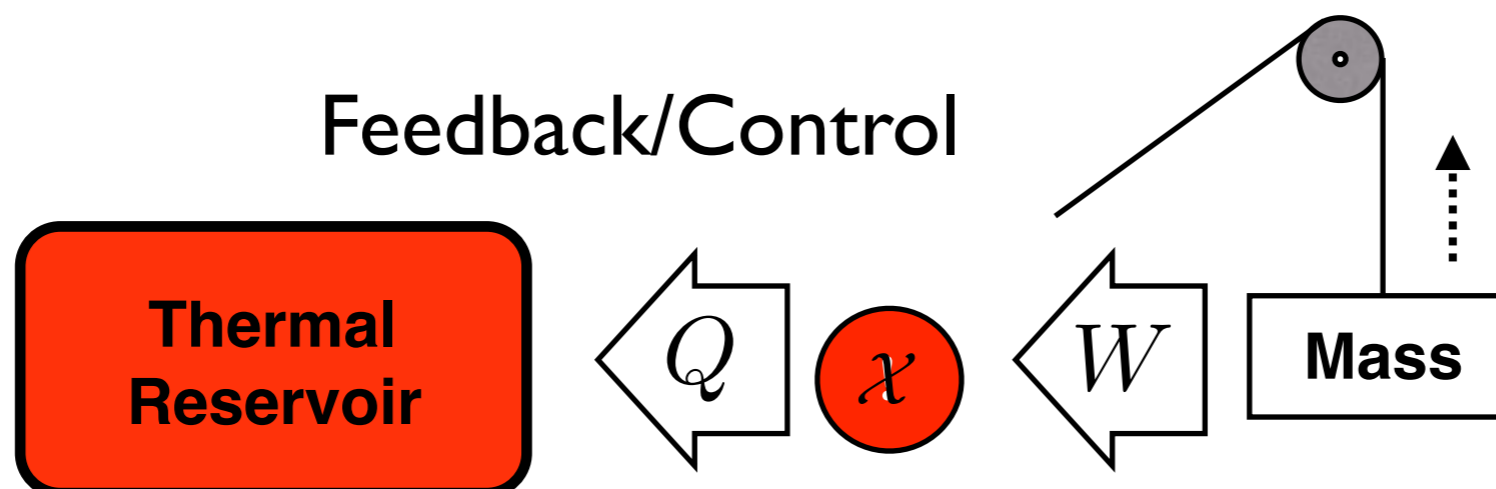
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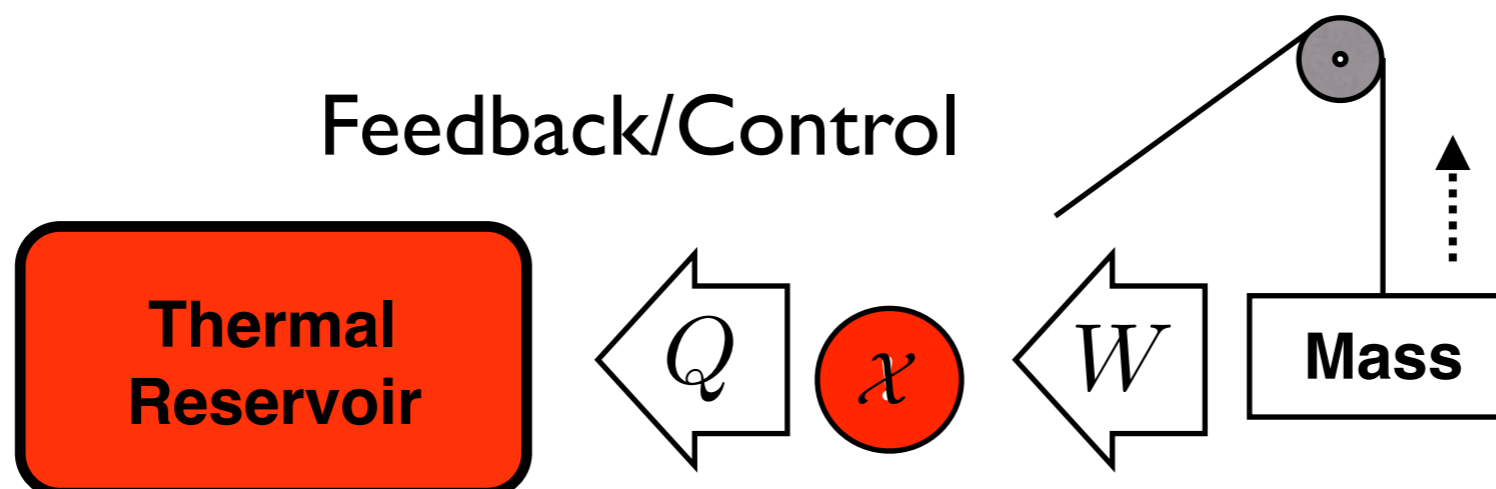
We consider control of a system \mathcal{X} which interacts with an ideal thermal reservoir \mathcal{R} at temperature T to produce work



Entropy of Control

The total entropy production of the joint reservoir system

$$\Delta S_{\text{total}} = \Delta S[R] + \Delta S[X] - \Delta I[X; R]$$

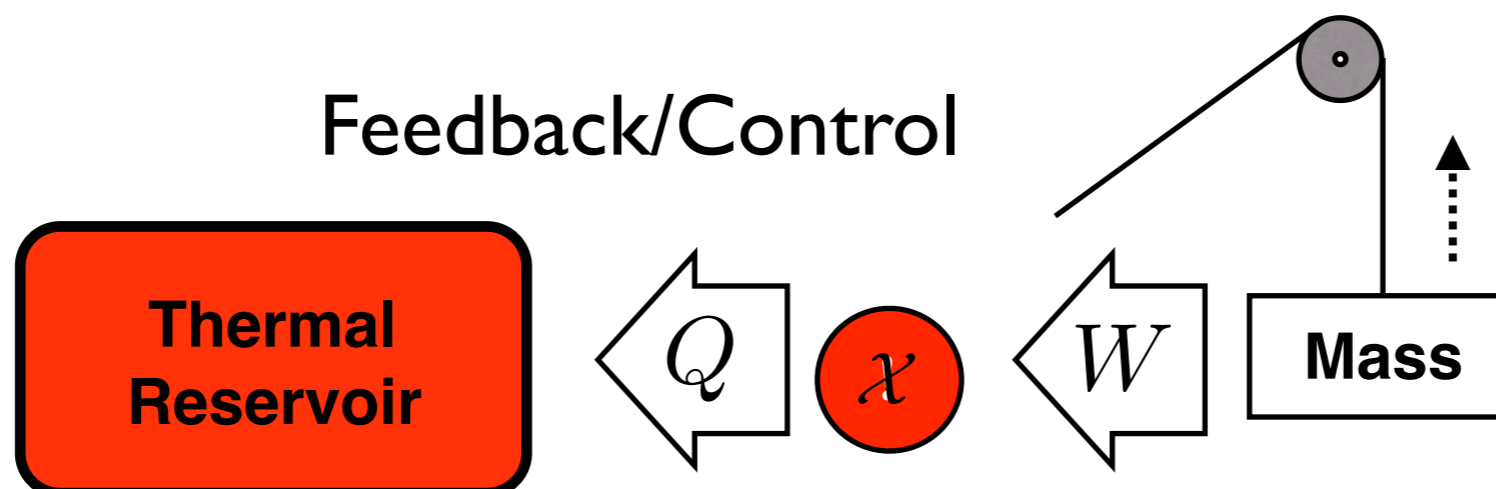


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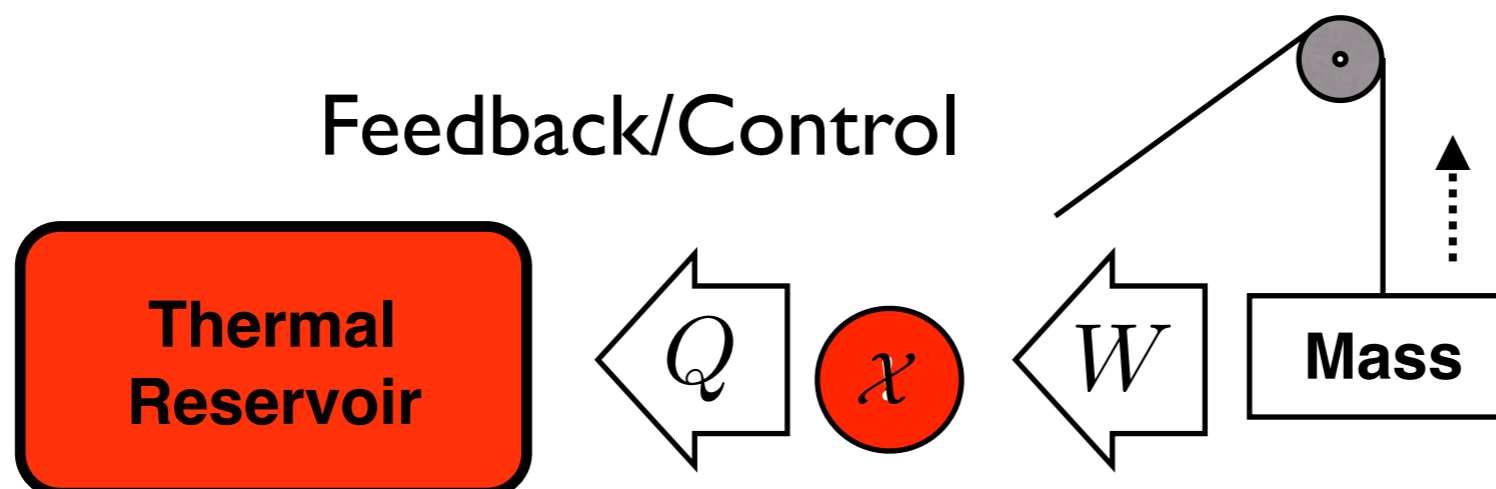
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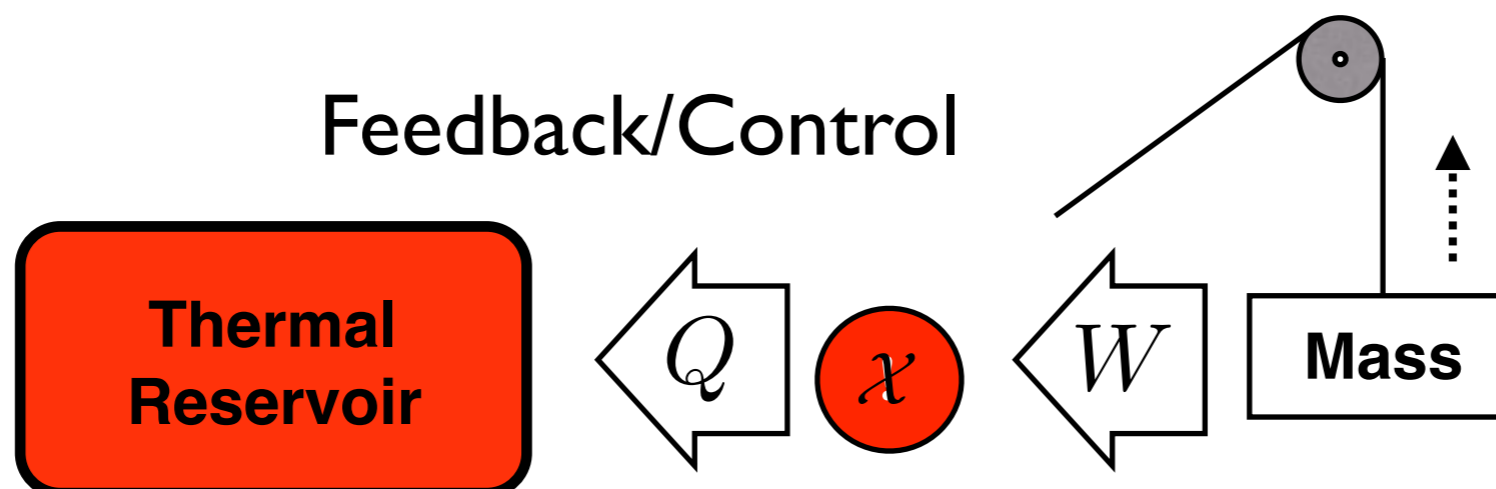
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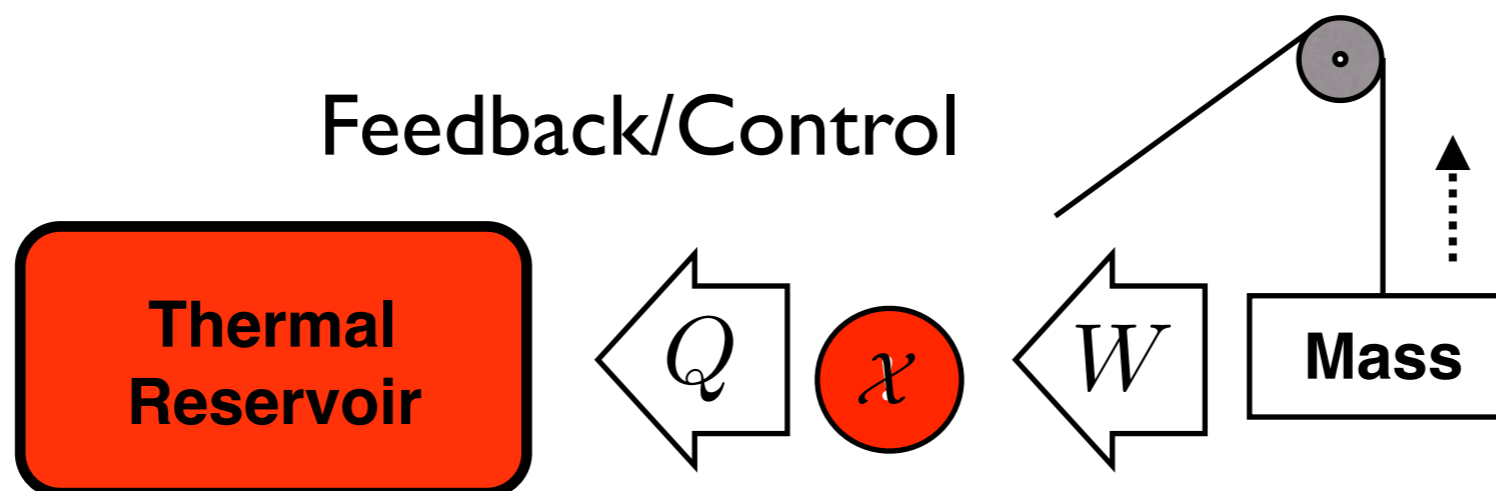
$$\langle Q \rangle \geq -T\Delta S[X]$$



Entropy of Control

This can be re-expressed with work

$$T\Delta S_{\text{total}} = \langle W \rangle - \Delta \langle E[X] \rangle + T\Delta S[X]$$



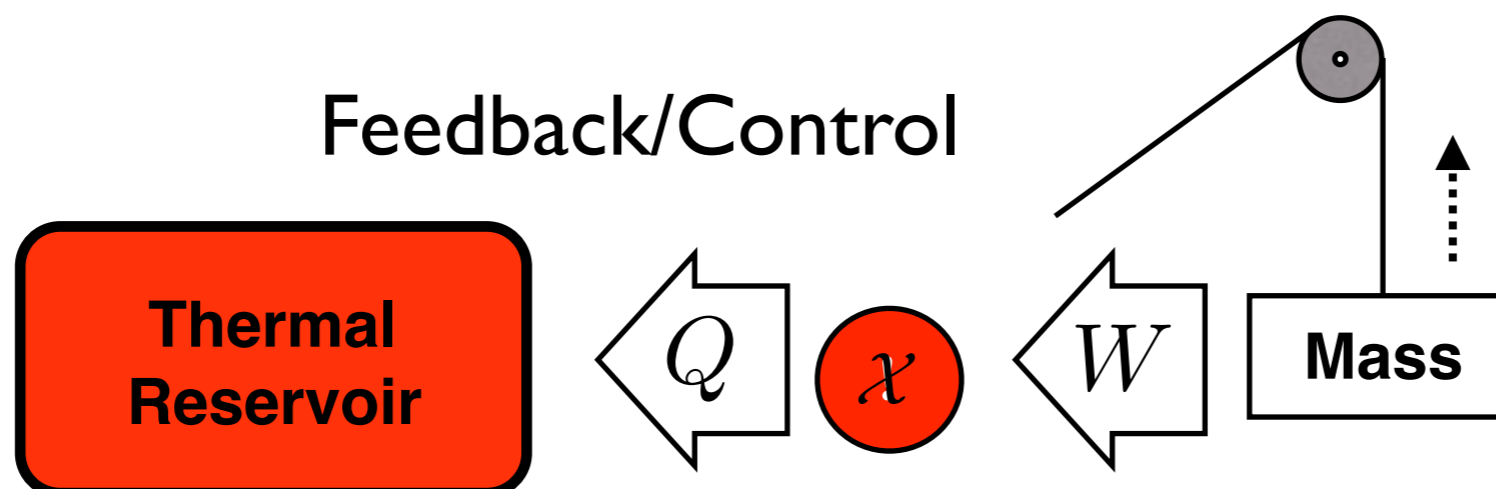
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This can be interpreted as dissipated work

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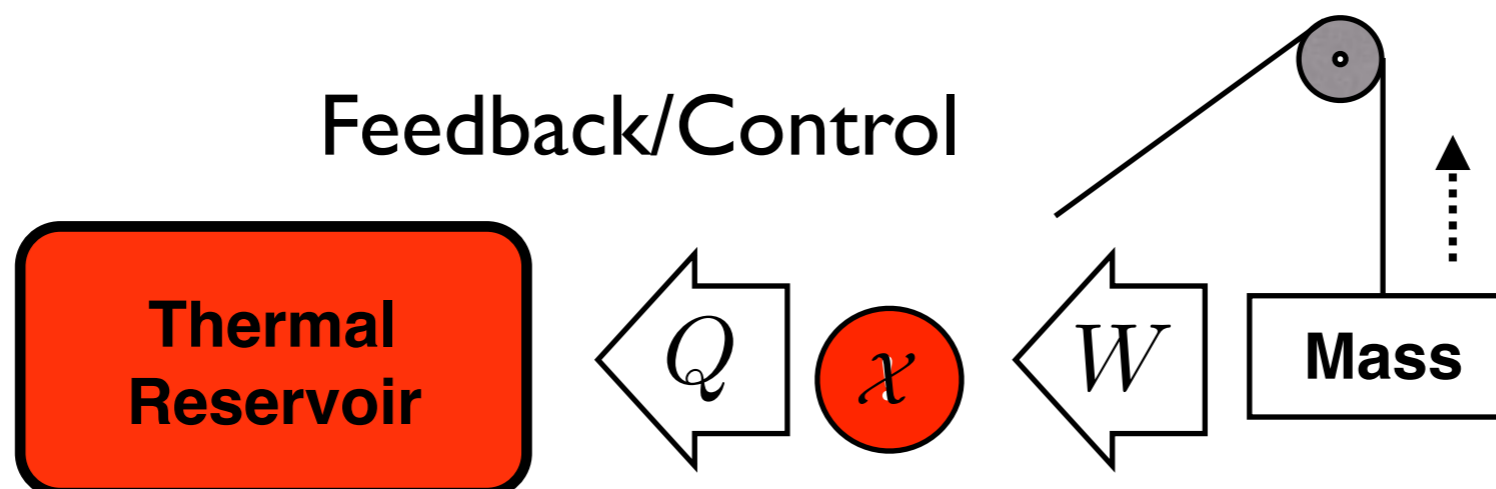
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This includes a new quantity: the non-equilibrium free energy, which specifies the amount of work that could have been harvested:

$$F^{\text{NEQ}} = \langle E[X] \rangle - TS[X]$$



Stepping Back

What does entropy do?

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I) Bounds function of heat engine

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2) Bounds work and heat production in controlled thermodynamic systems

Stepping Back

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1) Bounds function of heat engine with Carnot efficiency

$$e = \frac{\text{output}}{\text{input}} = \frac{W}{Q_h} = 1 - \frac{Q_c}{Q_h}$$

$$e \leq 1 - \frac{T_c}{T_h}$$

2) Bounds work and heat production in controlled thermodynamic systems

$$\langle Q \rangle \geq -k_B T \ln 2 \Delta H[X]$$

Stepping Back

What does entropy do?

1) Bounds function of heat engine with Carnot efficiency

$$e = \frac{\text{output}}{\text{input}} = \frac{W}{Q_h} = 1 - \frac{Q_c}{Q_h}$$

$$e \leq 1 - \frac{T_c}{T_h}$$

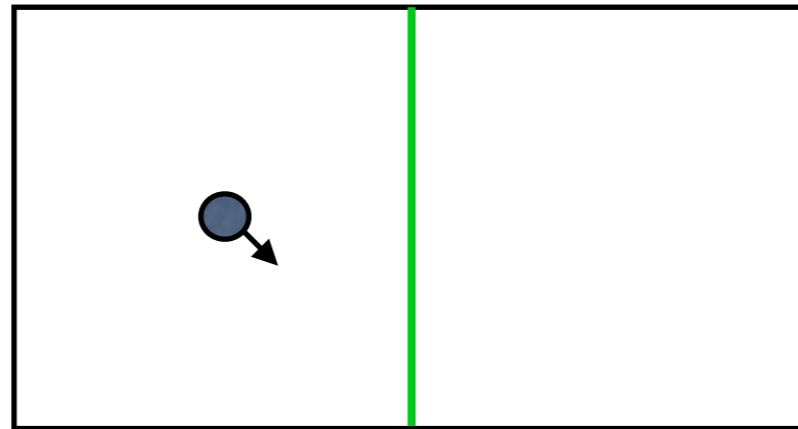
2) Bounds work and heat production in controlled thermodynamic systems

$$\langle Q \rangle \geq -k_B T \ln 2 \Delta H[X]$$

$$\langle W \rangle \geq \langle \Delta E[X] \rangle - k_B T \ln 2 \Delta H[X]$$

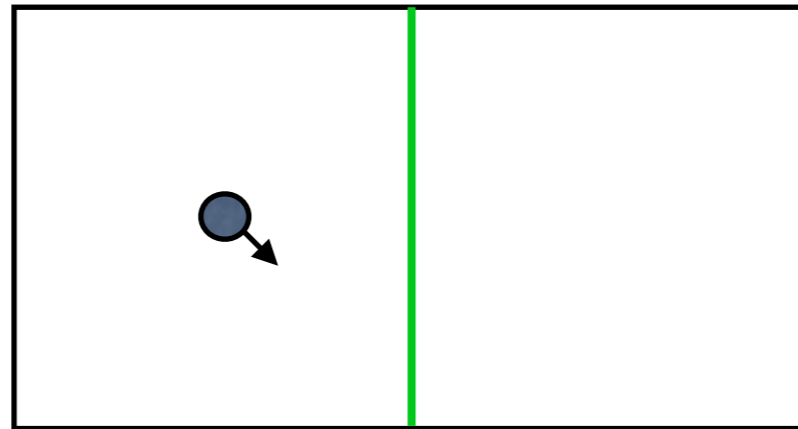
Control Example

Single particle in a box



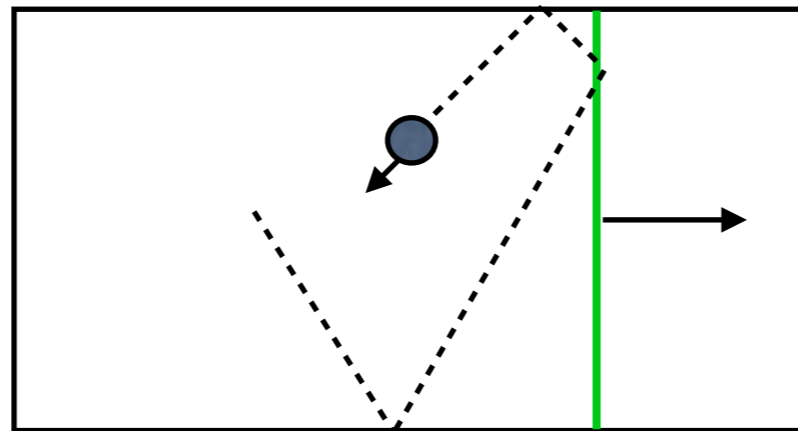
Control Example

Single particle in a box, insert barrier



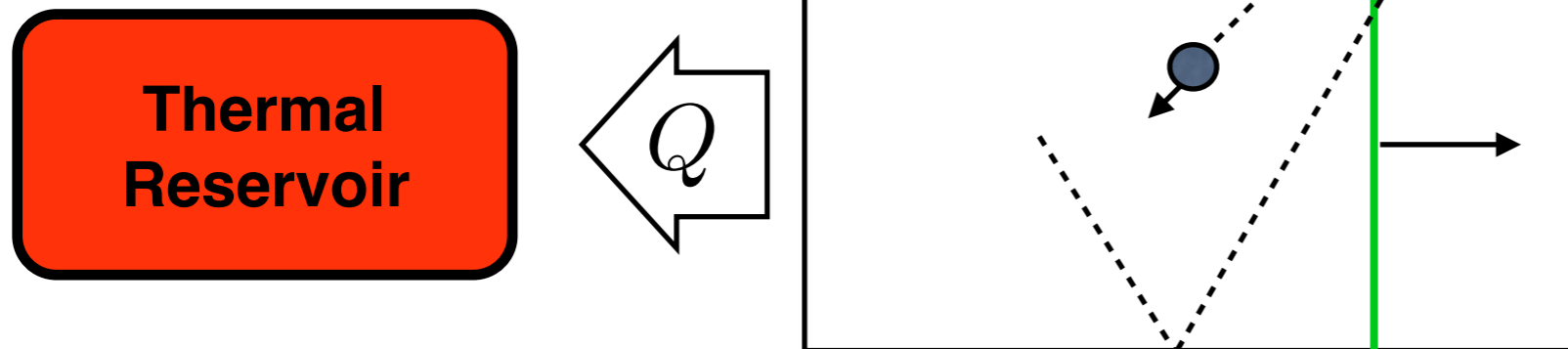
Control Example

Single particle in a box, insert barrier, slide barrier away from particle



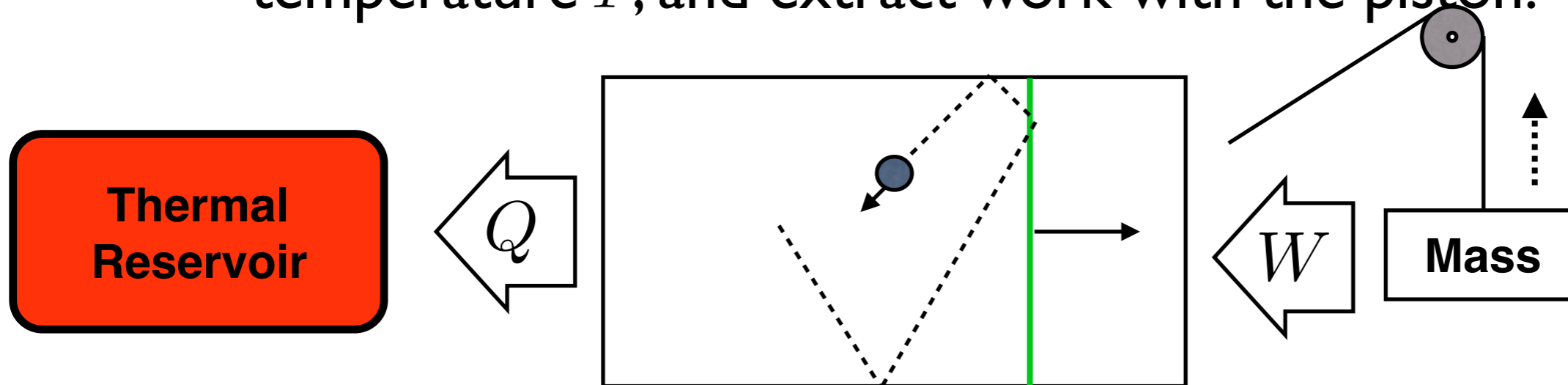
Control Example

Single particle in a box, insert barrier, slide barrier away from particle, while in contact with heat reservoir at temperature T



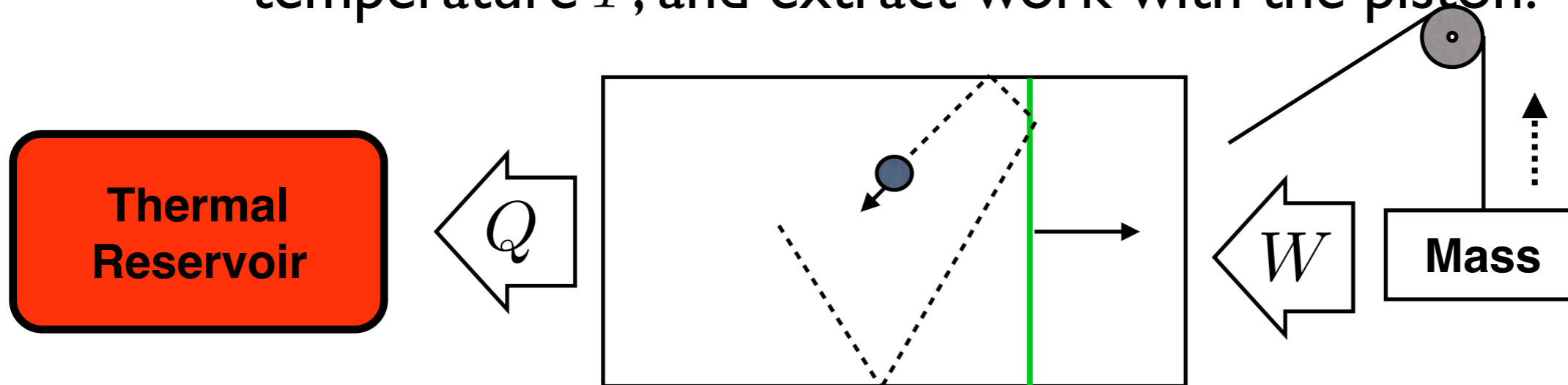
Control Example

Single particle in a box, insert barrier, slide barrier away from particle, while in contact with heat reservoir at temperature T , and extract work with the piston.



Control Example

Single particle in a box, insert barrier, slide barrier away from particle, while in contact with heat reservoir at temperature T , and extract work with the piston.



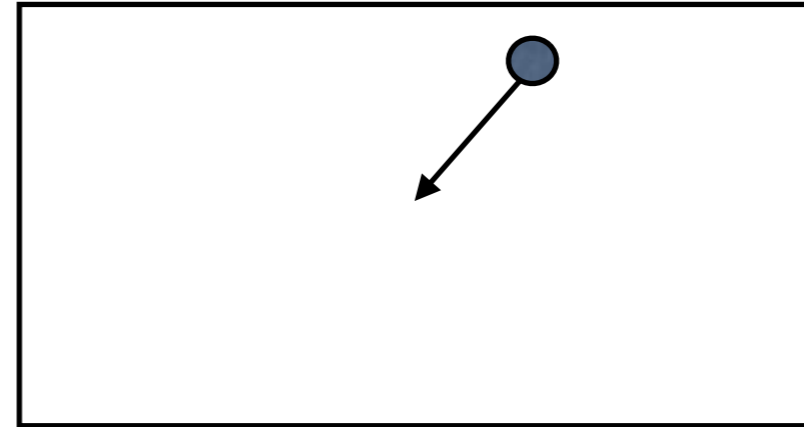
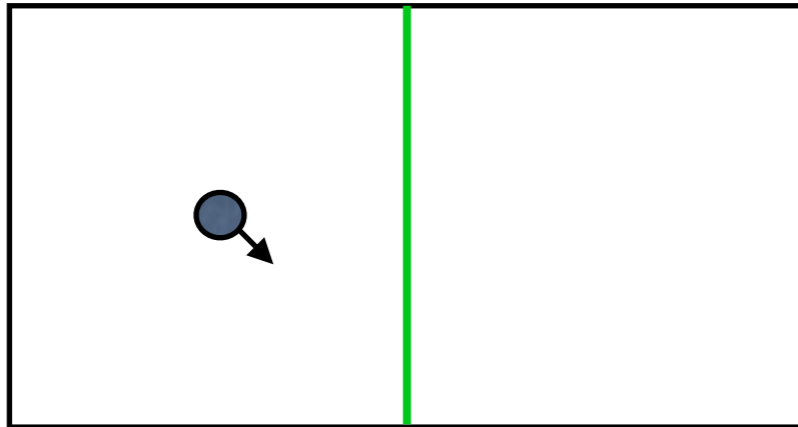
How much work and heat flow?

$$\langle Q \rangle \geq -k_B T \ln 2 \Delta H[X]$$

$$\langle W \rangle \geq \langle \Delta E[X] \rangle - k_B T \ln 2 \Delta H[X]$$

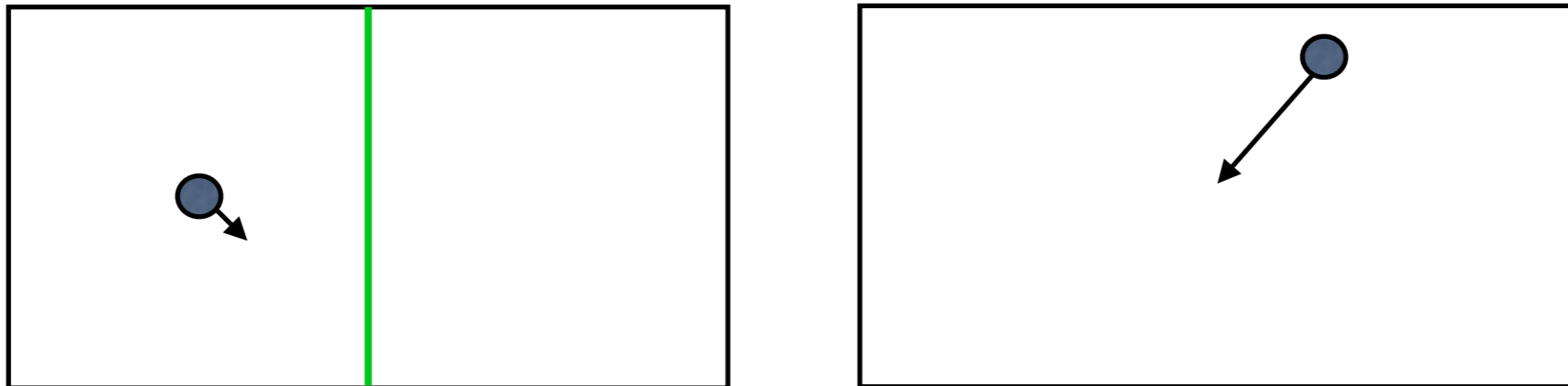
Control Example

Initial vs. final energies and entropies:



Control Example

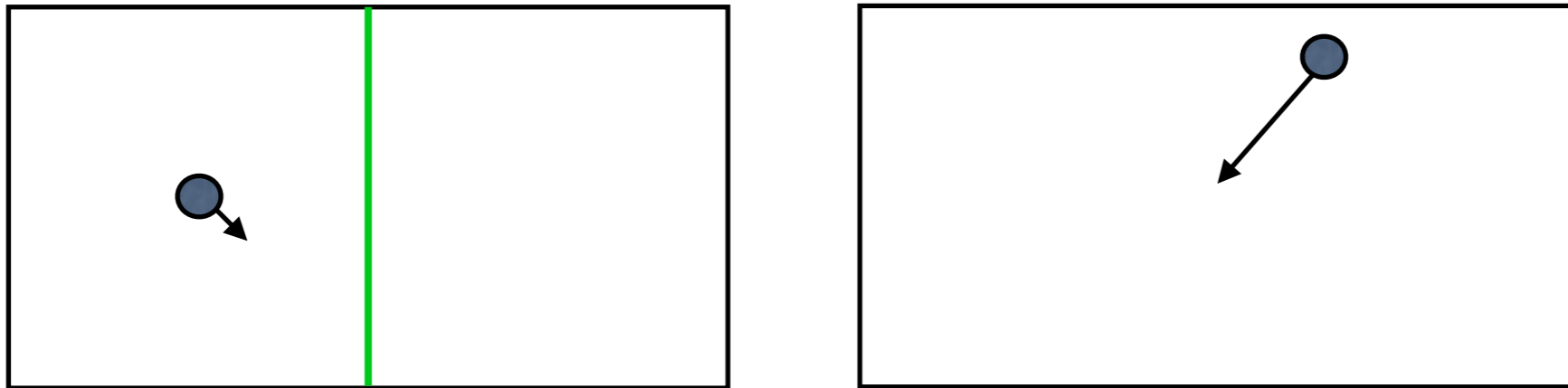
Initial vs. final energies and entropies:



Energy of each position is the same, so average energy of positions is the same: $\langle E_{\vec{q}} \rangle_{\text{initial}} = \langle E_{\vec{q}} \rangle_{\text{final}}$

Control Example

Initial vs. final energies and entropies:



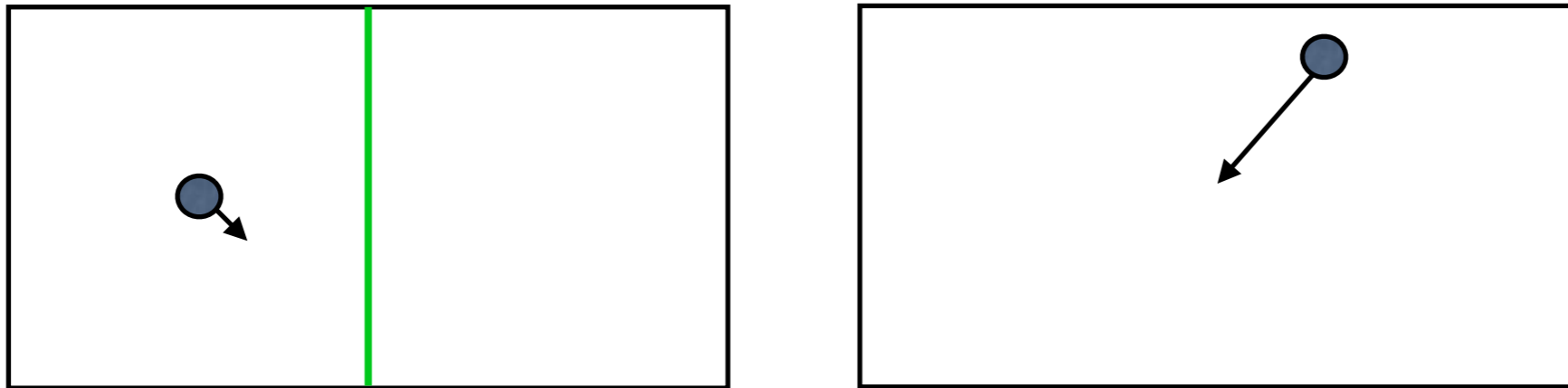
Energy of each position is the same, so average energy of positions is the same: $\langle E_{\vec{q}} \rangle_{\text{initial}} = \langle E_{\vec{q}} \rangle_{\text{final}}$

If in equilibrium, average kinetic energy of momentum variable is the same:

$$\langle E_{\vec{p}} \rangle_{\text{initial}} = \langle E_{\vec{p}} \rangle_{\text{final}} = \frac{k_B T}{2} = \langle \text{Kinetic Energy} \rangle$$

Control Example

Initial vs. final energies and entropies:



Energy of each position is the same, so average energy of positions is the same: $\langle E_{\vec{q}} \rangle_{\text{initial}} = \langle E_{\vec{q}} \rangle_{\text{final}}$

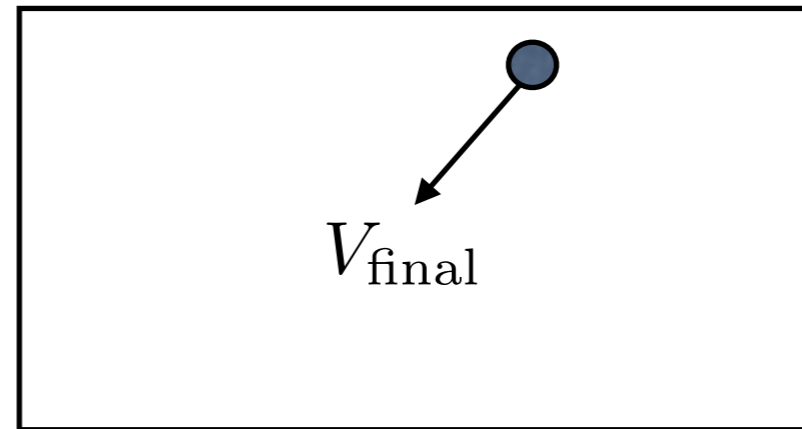
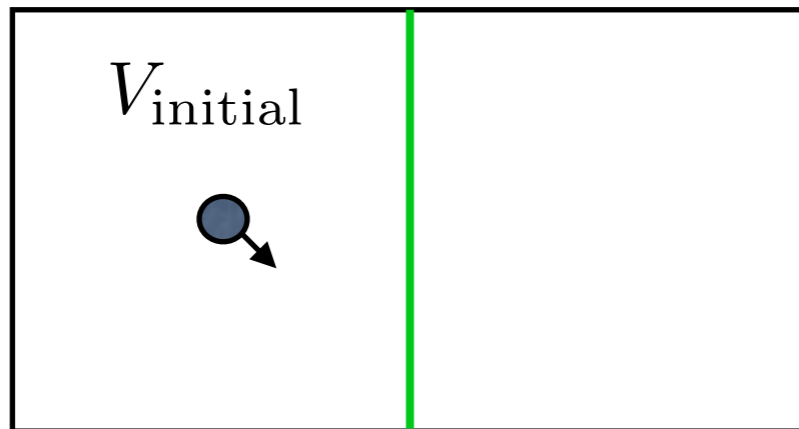
If in equilibrium, average kinetic energy of momentum variable is the same:

$$\langle E_{\vec{p}} \rangle_{\text{initial}} = \langle E_{\vec{p}} \rangle_{\text{final}} = \frac{k_B T}{2} = \langle \text{Kinetic Energy} \rangle$$

Average energy doesn't change: $\langle \Delta E[X] \rangle = 0$

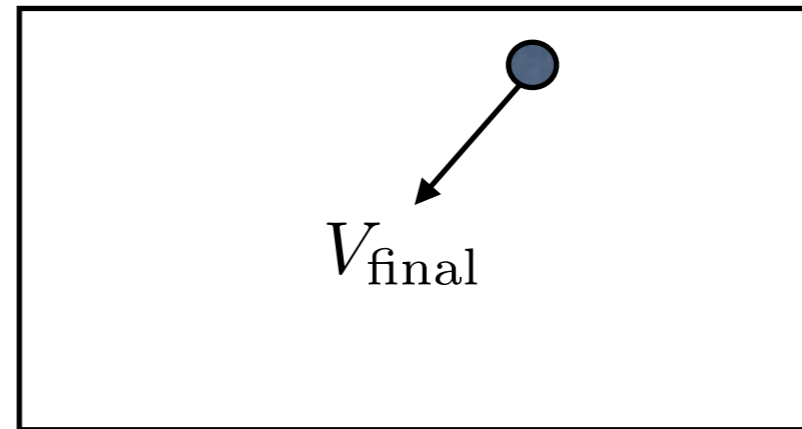
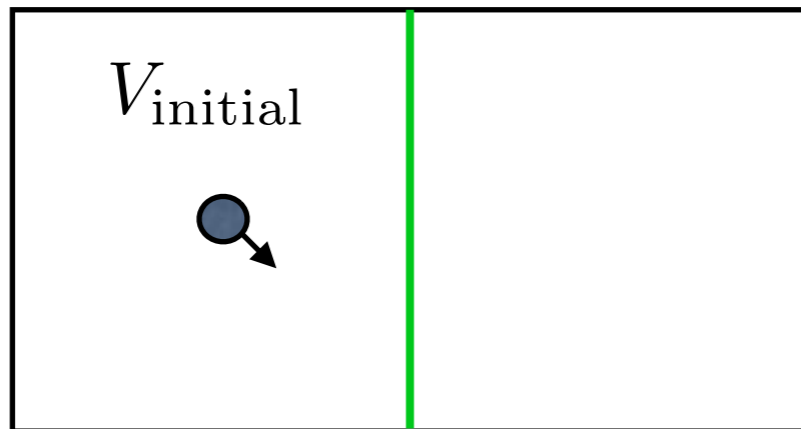
Control Example

Initial vs. final energies and entropies:



Control Example

Initial vs. final energies and entropies:



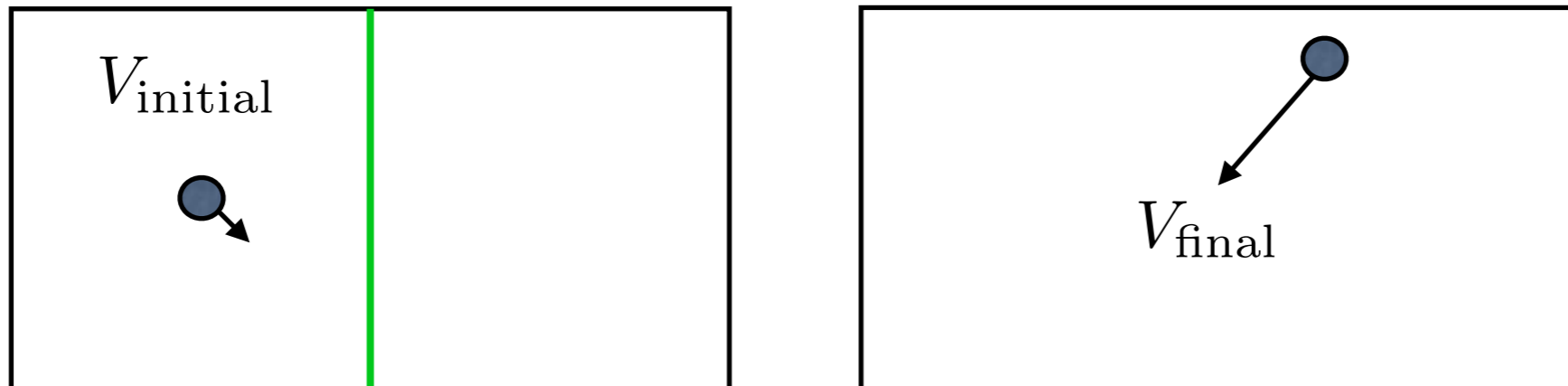
Probability of each position is uniform and inversely proportional to the available volume:

$$\Pr(\vec{Q}_{\text{initial}} = \vec{q}) = \frac{c}{V_{\text{initial}}}$$

$$\Pr(\vec{Q}_{\text{final}} = \vec{q}) = \frac{c}{V_{\text{final}}}$$

Control Example

Initial vs. final energies and entropies:



Probability of each position is uniform and inversely proportional to the available volume:

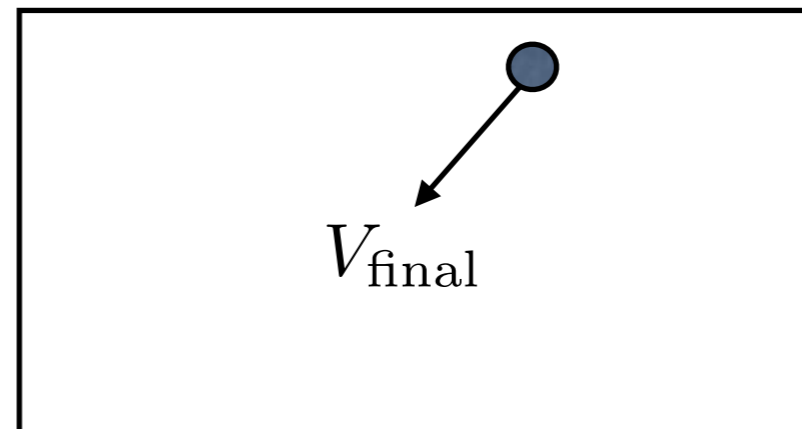
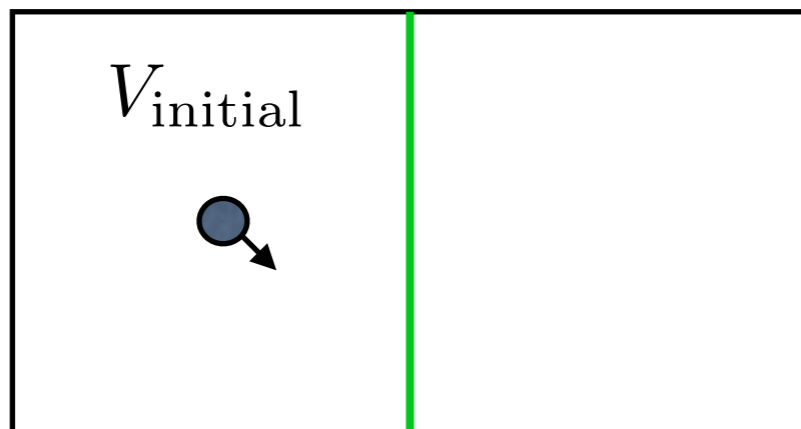
$$\Pr(\vec{Q}_{\text{initial}} = \vec{q}) = \frac{c}{V_{\text{initial}}} \quad \Pr(\vec{Q}_{\text{final}} = \vec{q}) = \frac{c}{V_{\text{final}}}$$

At equilibrium, the probability of momentum is the same initially and finally

$$\Pr(\vec{P}_{\text{initial}} = \vec{p}) = \Pr(\vec{P}_{\text{final}} = \vec{p}) = \Pr(\vec{P}^{\text{eq}} = \vec{p})$$

Control Example

Initial vs. final energies and entropies:



Probability of each position is uniform and inversely proportional to the available volume:

$$\Pr(\vec{Q}_{\text{initial}} = \vec{q}) = \frac{c}{V_{\text{initial}}} \quad \Pr(\vec{Q}_{\text{final}} = \vec{q}) = \frac{c}{V_{\text{final}}}$$

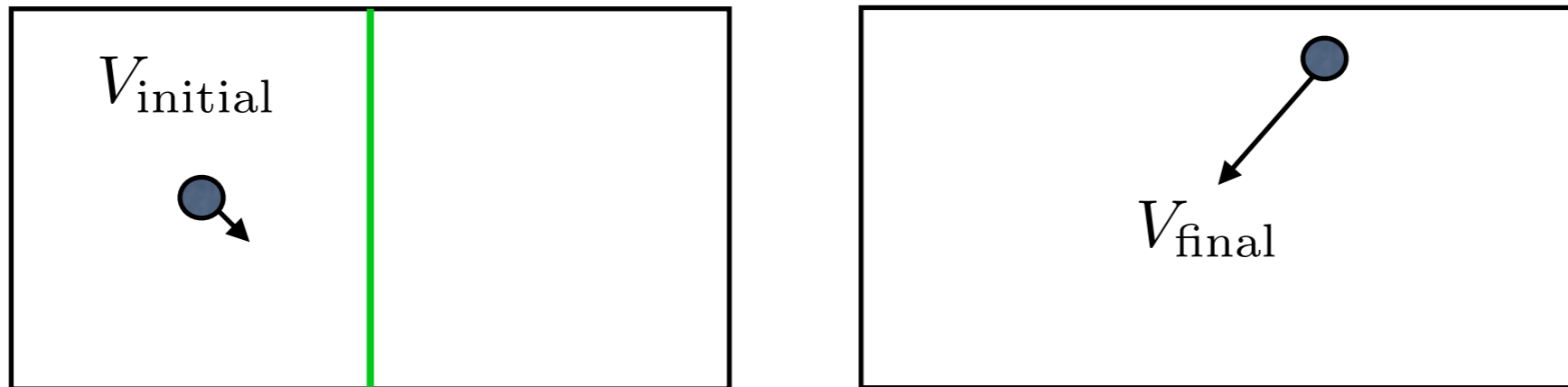
At equilibrium, the probability of momentum is the same initially and finally, and independent of position distribution.

$$\Pr(\vec{P}_{\text{initial}} = \vec{p}) = \Pr(\vec{P}_{\text{final}} = \vec{p}) = \Pr(\vec{P}^{\text{eq}} = \vec{p})$$

$$\Pr(X_{\text{initial}} = (\vec{q}, \vec{p})) = \frac{c \Pr(\vec{P}_{\text{initial}} = \vec{p})}{V_{\text{initial}}} \quad \Pr(X_{\text{final}} = (\vec{q}, \vec{p})) = \frac{c \Pr(\vec{P}_{\text{final}} = \vec{p})}{V_{\text{final}}}$$

Control Example

Initial vs. final energies and entropies:

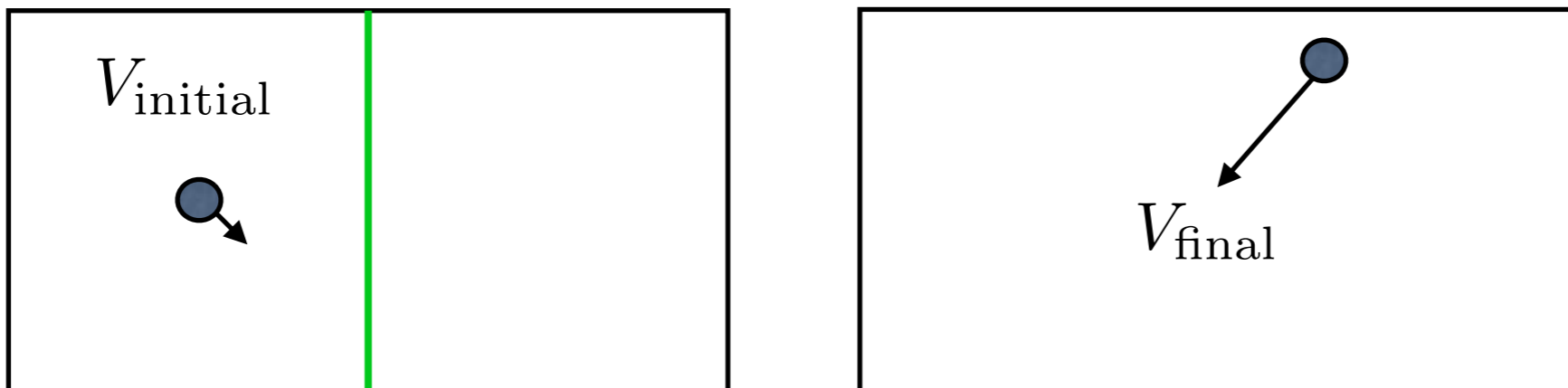


Thus, the change in Shannon entropy is

$$\Delta H[X] = H[X_{\text{final}}] - H[X_{\text{initial}}]$$

Control Example

Initial vs. final energies and entropies:

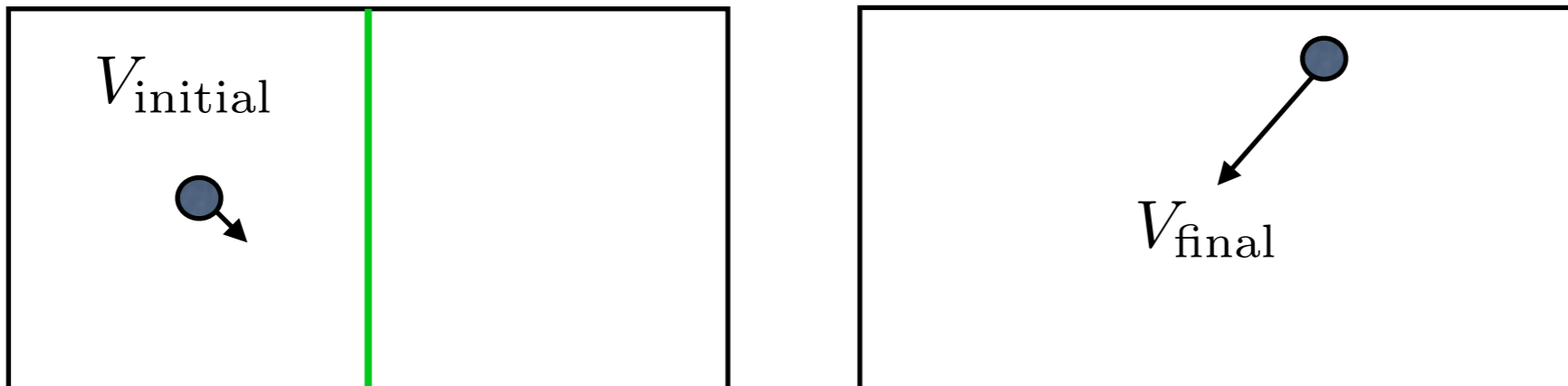


Thus, the change in Shannon entropy is

$$\begin{aligned}\Delta H[X] &= H[X_{\text{final}}] - H[X_{\text{initial}}] \\ &= H[\vec{P}^{\text{eq}}] - \sum_{x \in V_{\text{final}}} \frac{c}{V_{\text{final}}} \log_2 \frac{c}{V_{\text{final}}} - H[\vec{P}^{\text{eq}}] + \sum_{x \in V_{\text{initial}}} \frac{c}{V_{\text{initial}}} \log_2 \frac{c}{V_{\text{initial}}}\end{aligned}$$

Control Example

Initial vs. final energies and entropies:

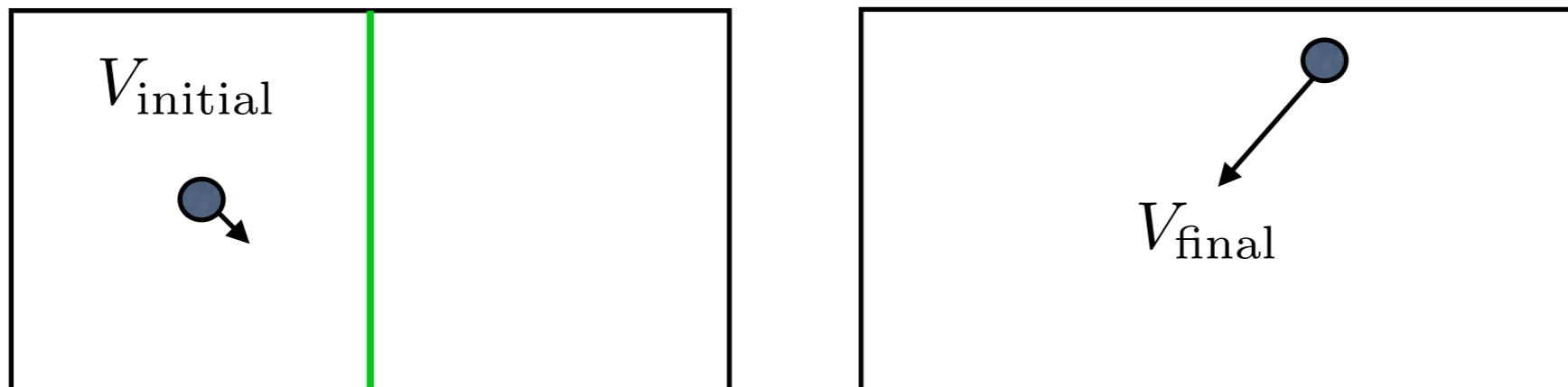


Thus, the change in Shannon entropy is

$$\begin{aligned}\Delta H[X] &= H[X_{\text{final}}] - H[X_{\text{initial}}] \\ &= H[\vec{P}^{\text{eq}}] - \sum_{x \in V_{\text{final}}} \frac{c}{V_{\text{final}}} \log_2 \frac{c}{V_{\text{final}}} - H[\vec{P}^{\text{eq}}] + \sum_{x \in V_{\text{initial}}} \frac{c}{V_{\text{initial}}} \log_2 \frac{c}{V_{\text{initial}}} \\ &= \log_2 \frac{V_{\text{final}}}{V_{\text{initial}}}\end{aligned}$$

Control Example

Initial vs. final energies and entropies:



Thus, the change in Shannon entropy is

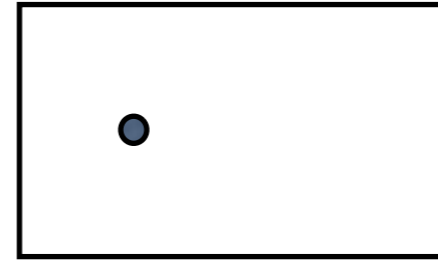
$$\begin{aligned}\Delta H[X] &= H[X_{\text{final}}] - H[X_{\text{initial}}] \\ &= H[\vec{P}^{\text{eq}}] - \sum_{x \in V_{\text{final}}} \frac{c}{V_{\text{final}}} \log_2 \frac{c}{V_{\text{final}}} - H[\vec{P}^{\text{eq}}] + \sum_{x \in V_{\text{initial}}} \frac{c}{V_{\text{initial}}} \log_2 \frac{c}{V_{\text{initial}}} \\ &= \log_2 \frac{V_{\text{final}}}{V_{\text{initial}}}\end{aligned}$$

And the work and heat are bounded by the log ratio of the volumes (achievable if done very slowly):

$$\langle W \rangle \geq -k_B T \ln 2 \log_2 \frac{V_{\text{final}}}{V_{\text{initial}}} \quad \langle Q \rangle \geq -k_B T \ln 2 \log_2 \frac{V_{\text{final}}}{V_{\text{initial}}}$$

Szilard's Information Engine

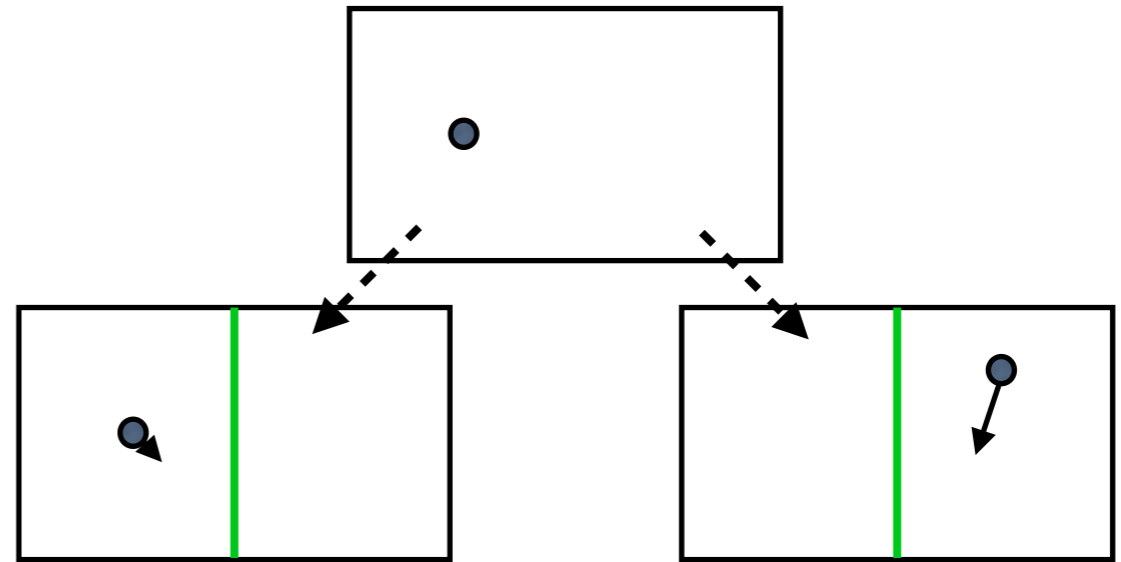
Take a particle in a box:



Szilard's Information Engine

Take a particle in a box:

Insert a barrier at halfway:

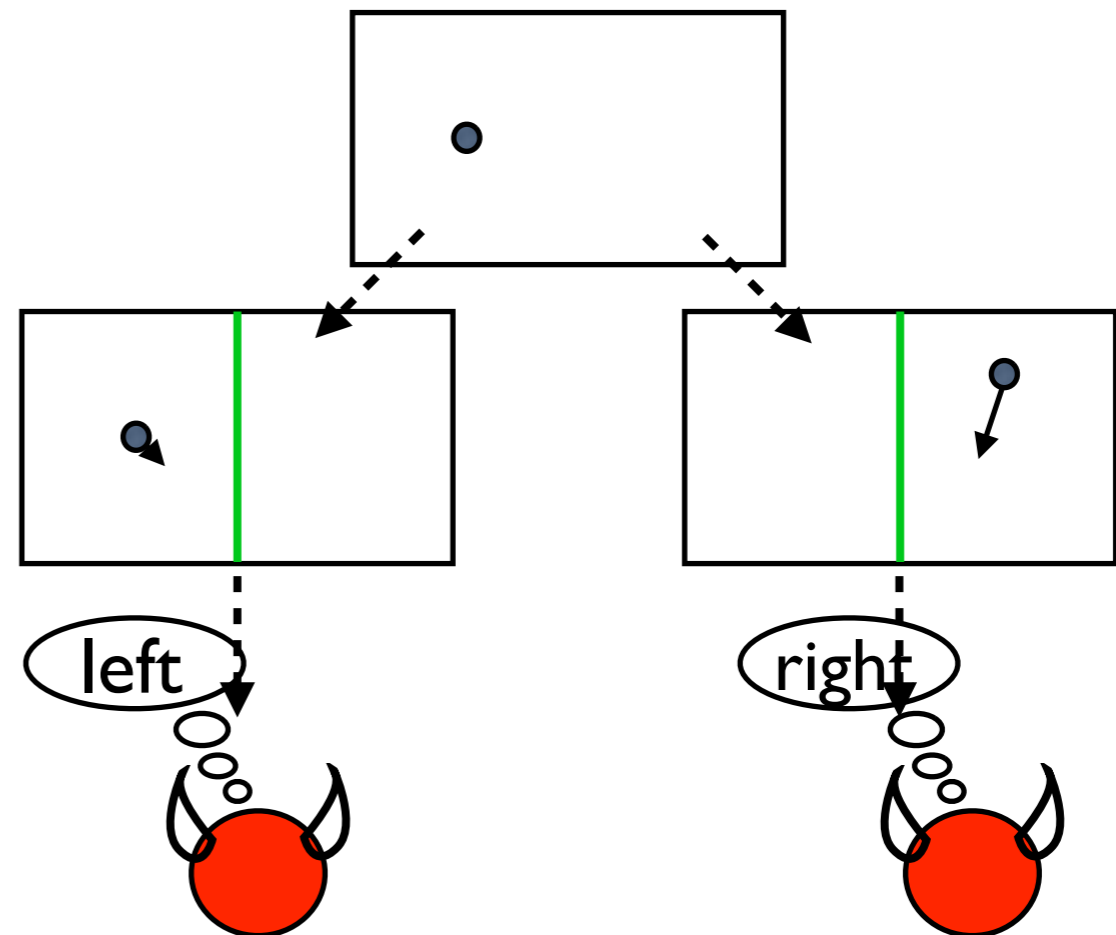


Szilard's Information Engine

Take a particle in a box:

Insert a barrier at halfway:

Measure which side the particle lands on:



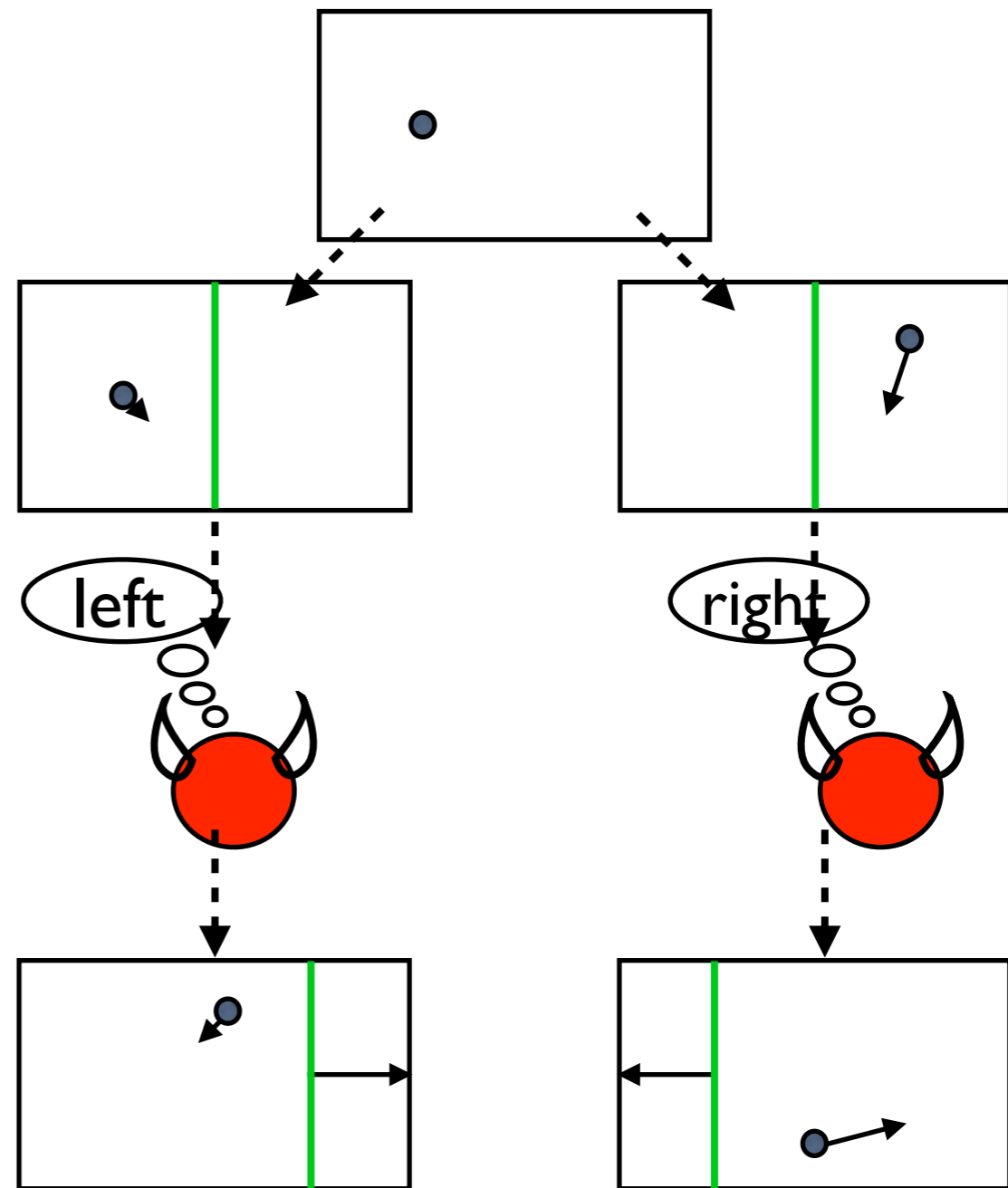
Szilard's Information Engine

Take a particle in a box:

Insert a barrier at halfway:

Measure which side the particle lands on:

Use measurement to slowly slide barrier away from particle, extracting work:



Szilard's Information Engine

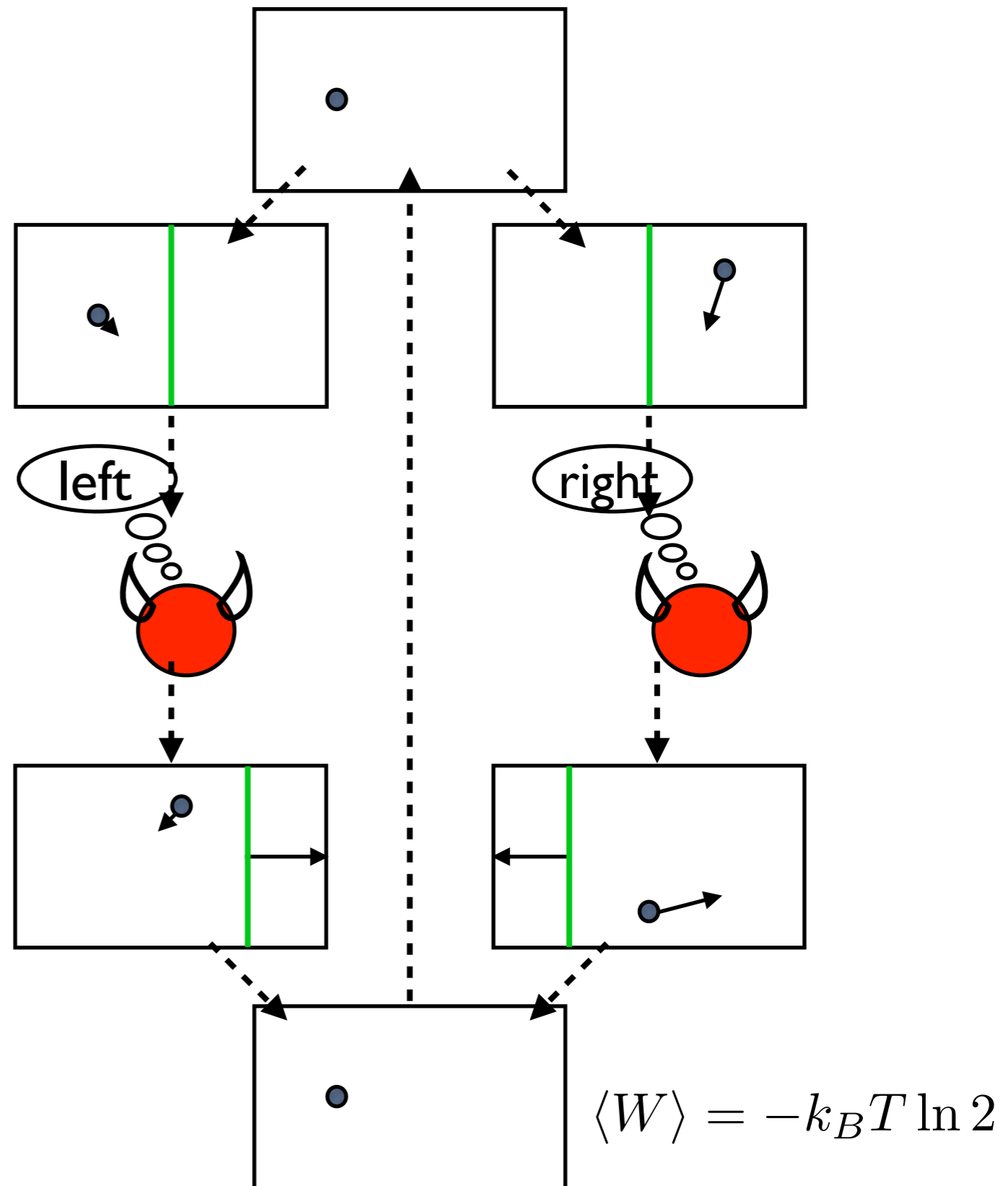
Take a particle in a box:

Insert a barrier at halfway:

Measure which side the particle lands on:

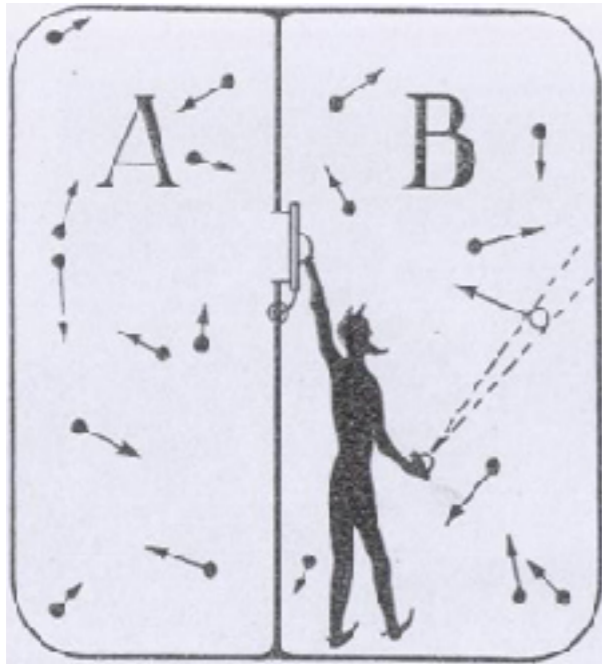
Use measurement to slowly slide barrier away from particle, extracting work:

Store work, repeat:



Maxwell's Demon

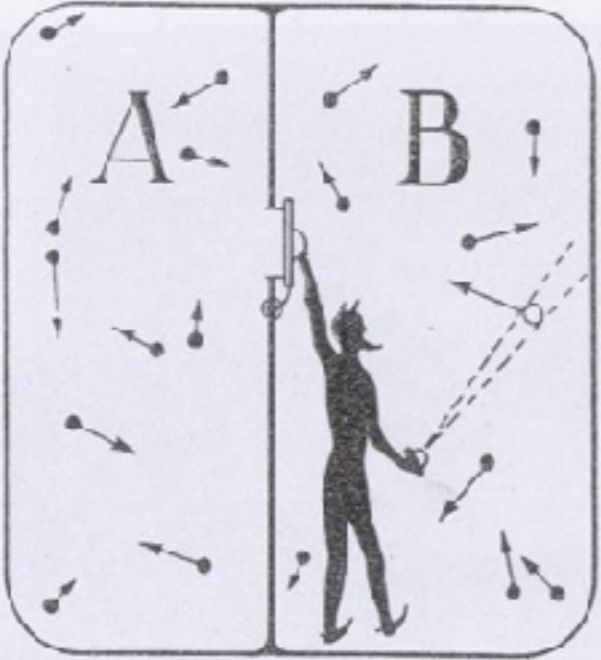
Maxwell's Demon



<http://www.eoht.info/page/Maxwell's+demon>

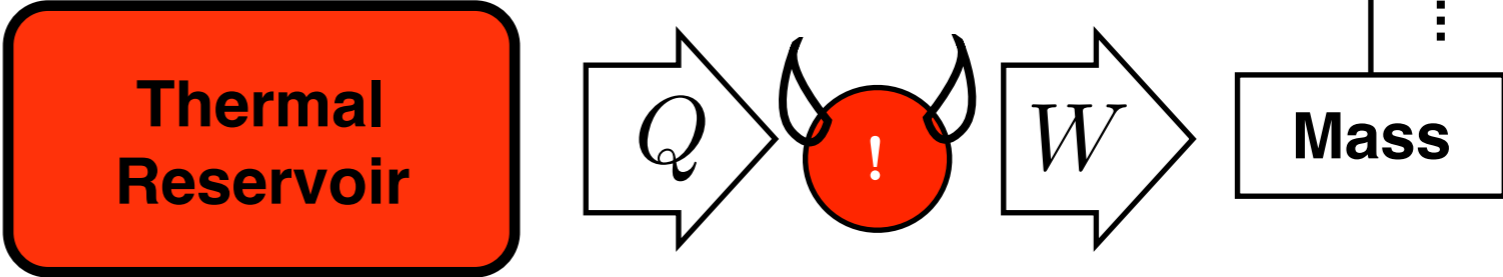
Maxwell's Demon

Maxwell's Demon



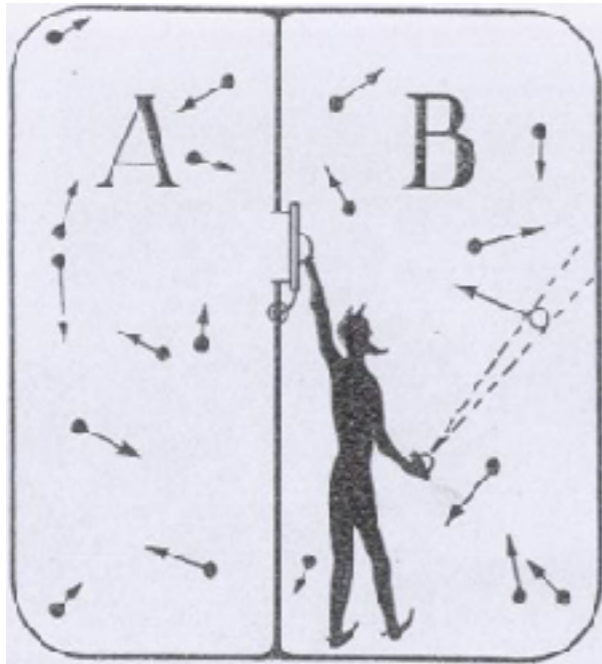
<http://www.eoht.info/page/Maxwell's+demon>

Feedback/Control



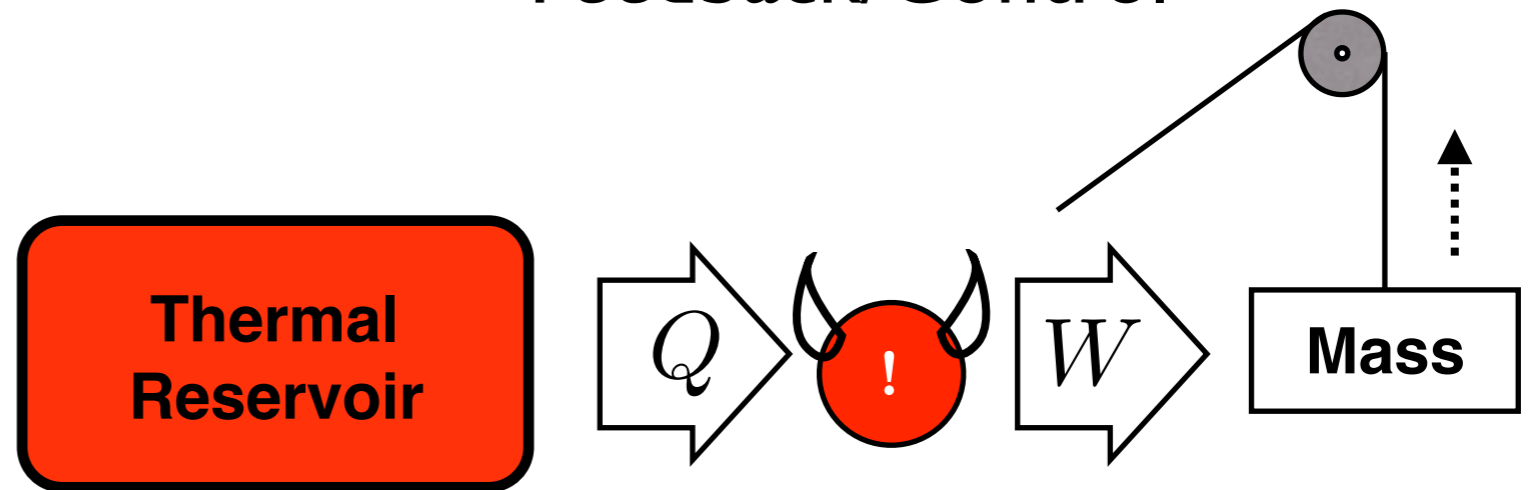
Maxwell's Demon

Maxwell's Demon



<http://www.eoht.info/page/Maxwell's+demon>

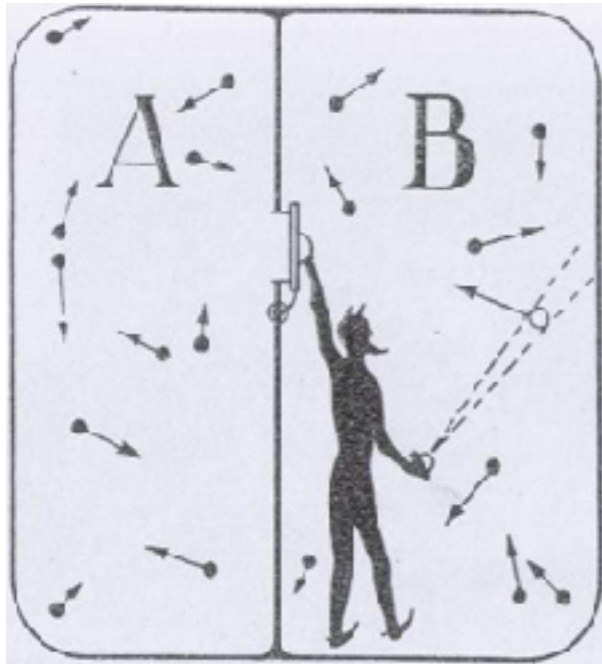
Feedback/Control



$$\langle W \rangle = \langle Q \rangle = -k_B T \ln 2$$

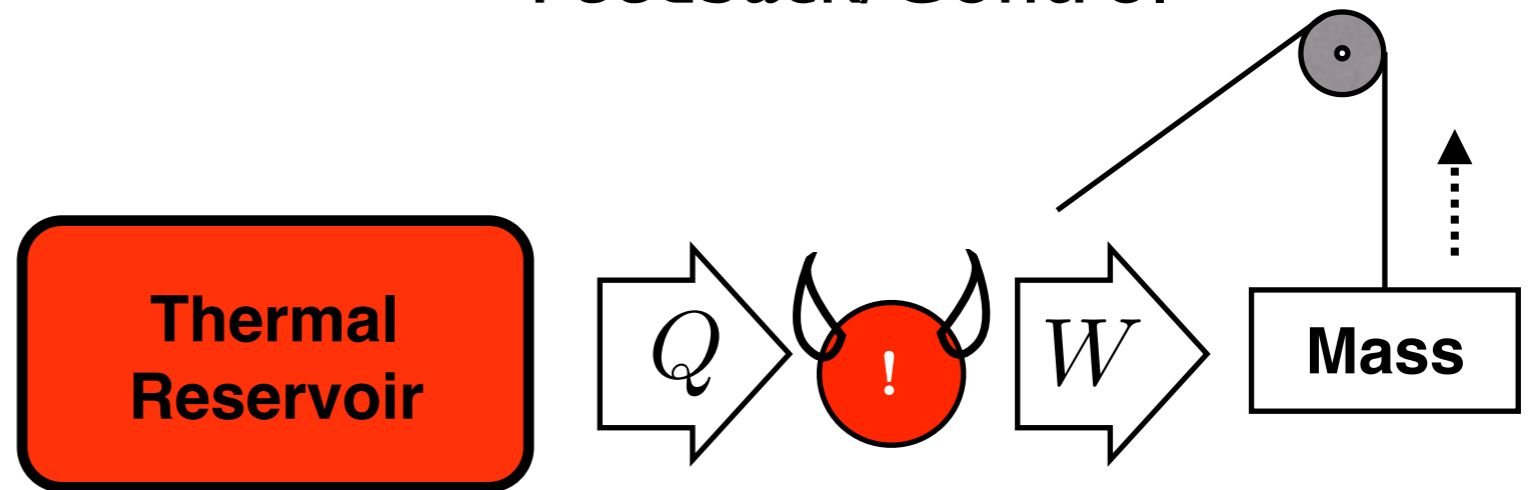
Maxwell's Demon

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Feedback/Control

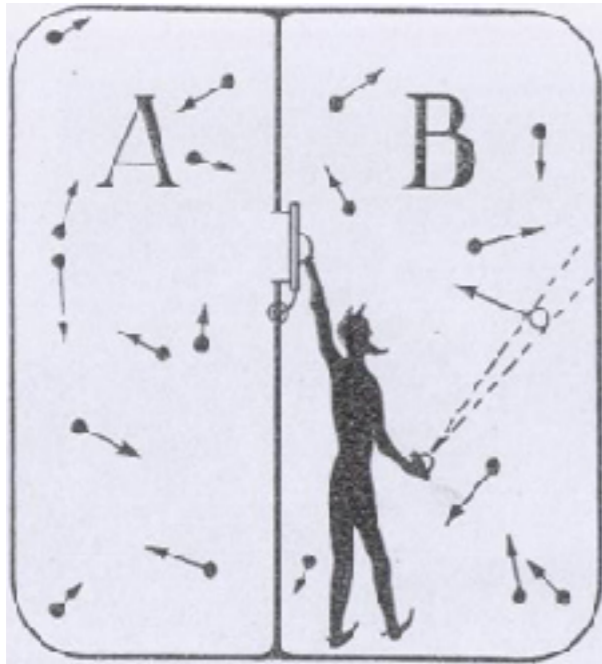


$$\langle W \rangle = \langle Q \rangle = -k_B T \ln 2$$

$$\Delta H[X] = 0$$

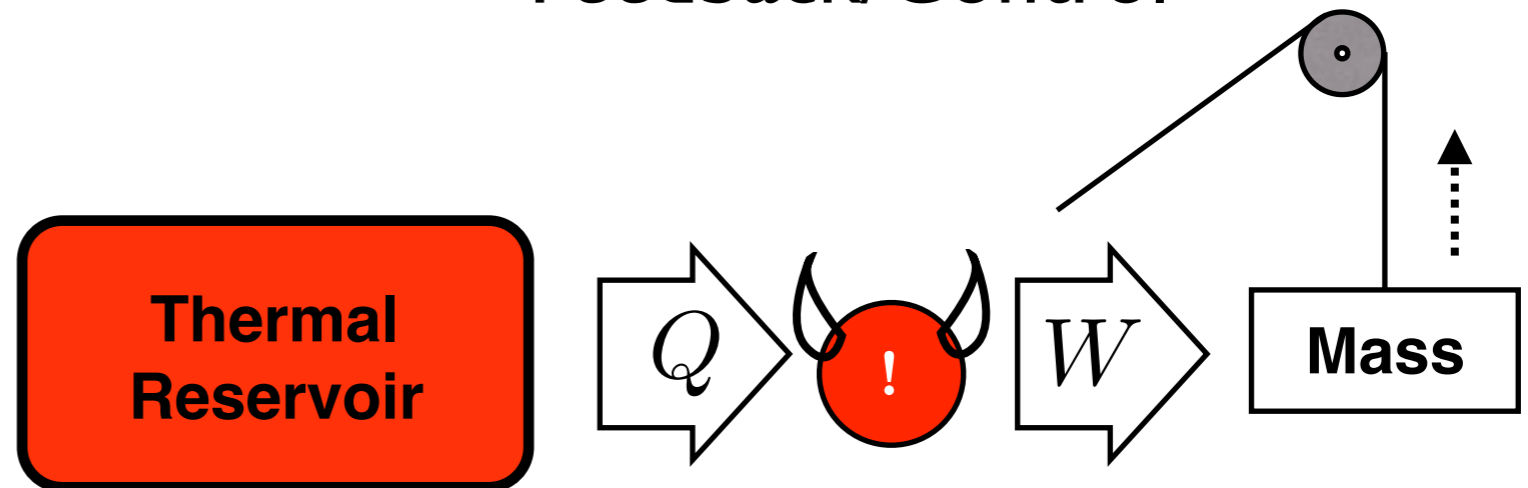
Maxwell's Demon

Maxwell's Demon



<http://www.eoht.info/page/Maxwell's+demon>

Feedback/Control



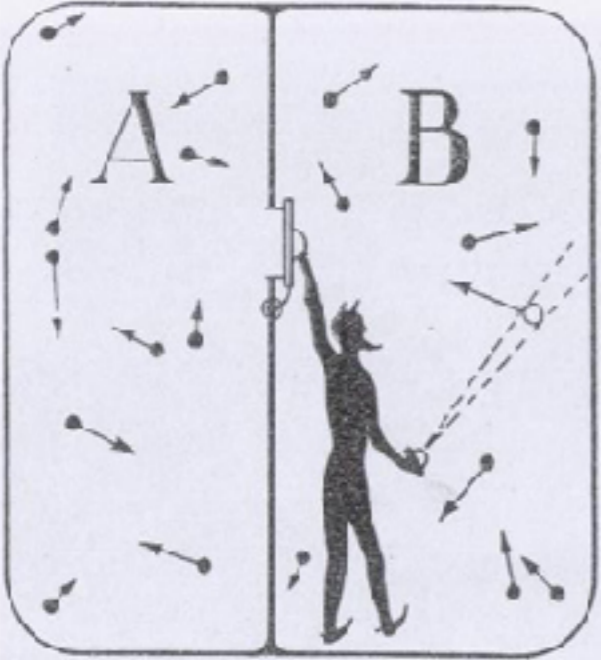
$$\langle W \rangle = \langle Q \rangle = -k_B T \ln 2$$

$$\Delta H[X] = 0$$

$$\Delta S_{\text{total}} = \frac{\langle Q \rangle}{T} + k_B \ln 2 \Delta H[X]$$

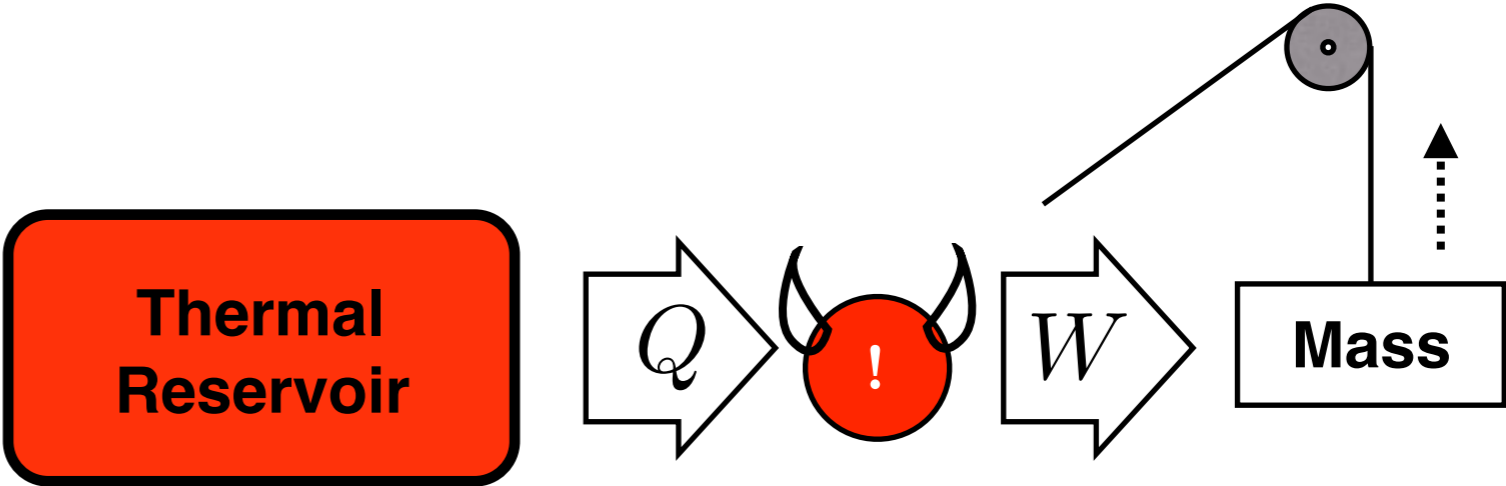
Maxwell's Demon

Maxwell's Demon



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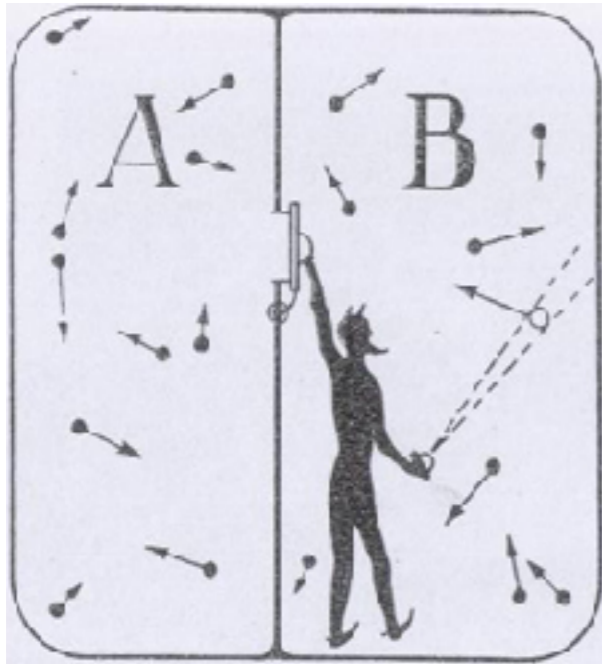
$$\langle W \rangle = \langle Q \rangle = -k_B T \ln 2$$

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$$\begin{aligned} \Delta S_{\text{total}} &= \frac{\langle Q \rangle}{T} + k_B \ln 2 \Delta H[X] \\ &= -k_B \ln 2 < 0 \end{aligned}$$

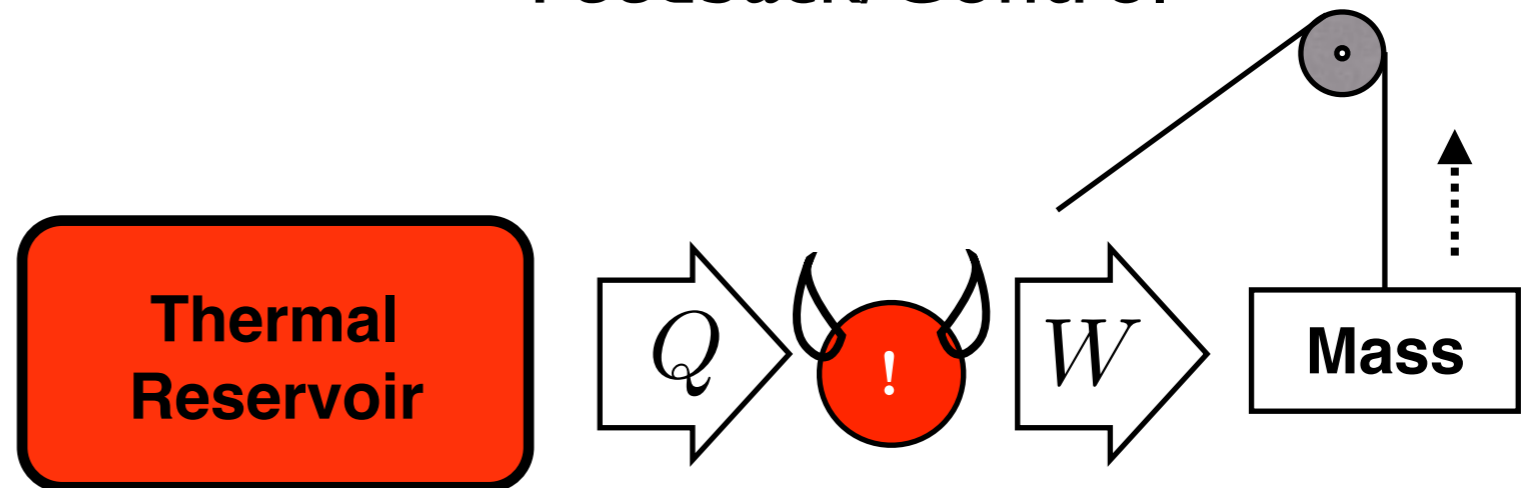
Maxwell's Demon

Maxwell's Demon



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Feedback/Control



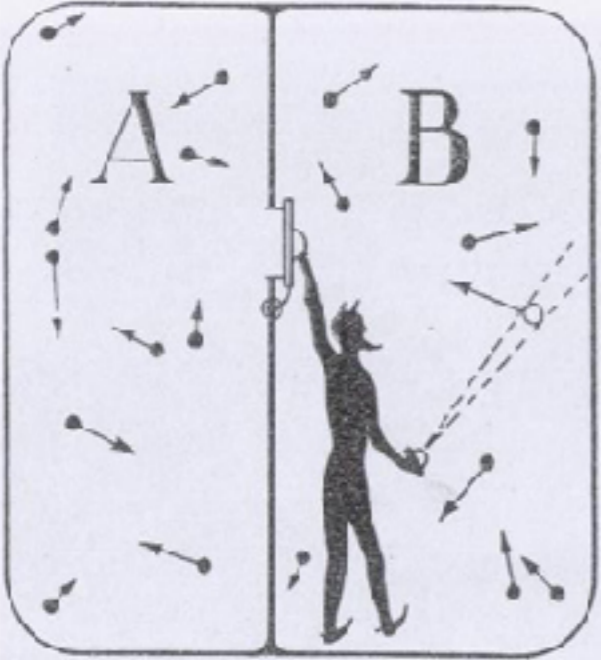
$$\langle W \rangle = \langle Q \rangle = -k_B T \ln 2$$

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$$\begin{aligned} \Delta S_{\text{total}} &= \frac{\langle Q \rangle}{T} + k_B \ln 2 \Delta H[X] \\ &= -k_B \ln 2 < 0? \end{aligned}$$

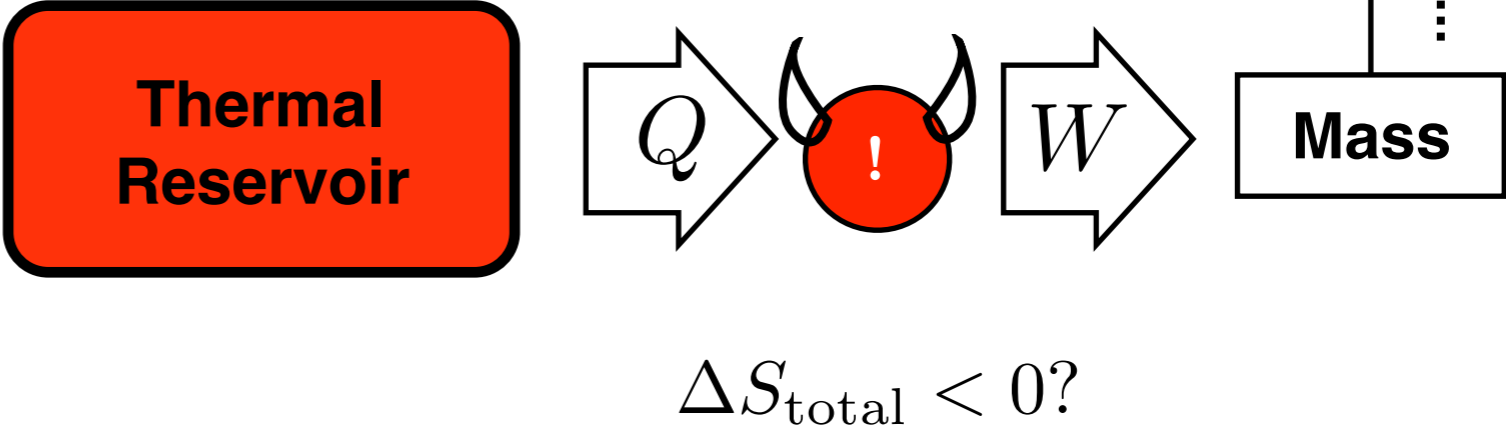
Maxwell's Demon

Maxwell's Demon



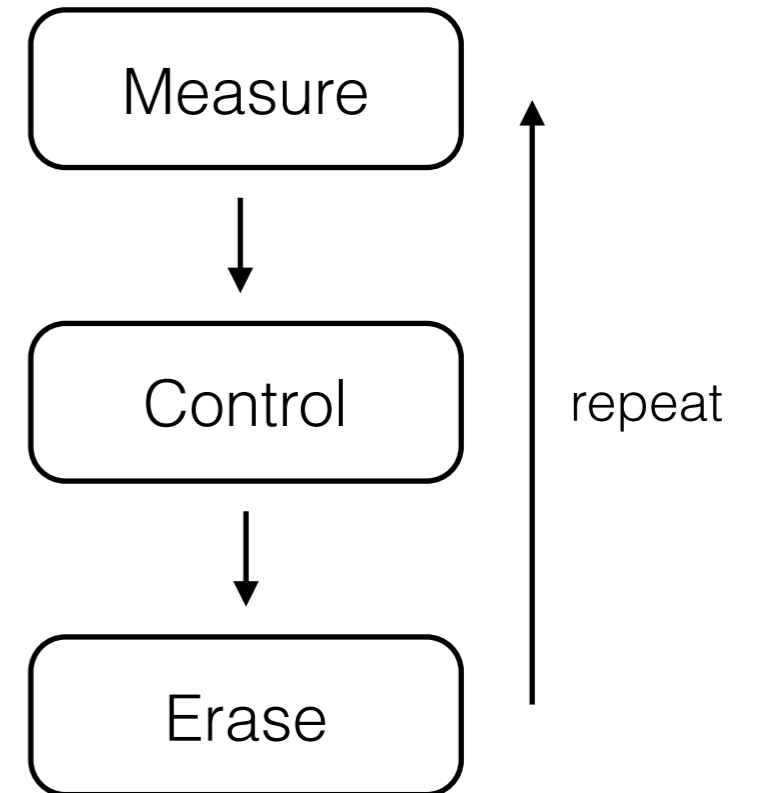
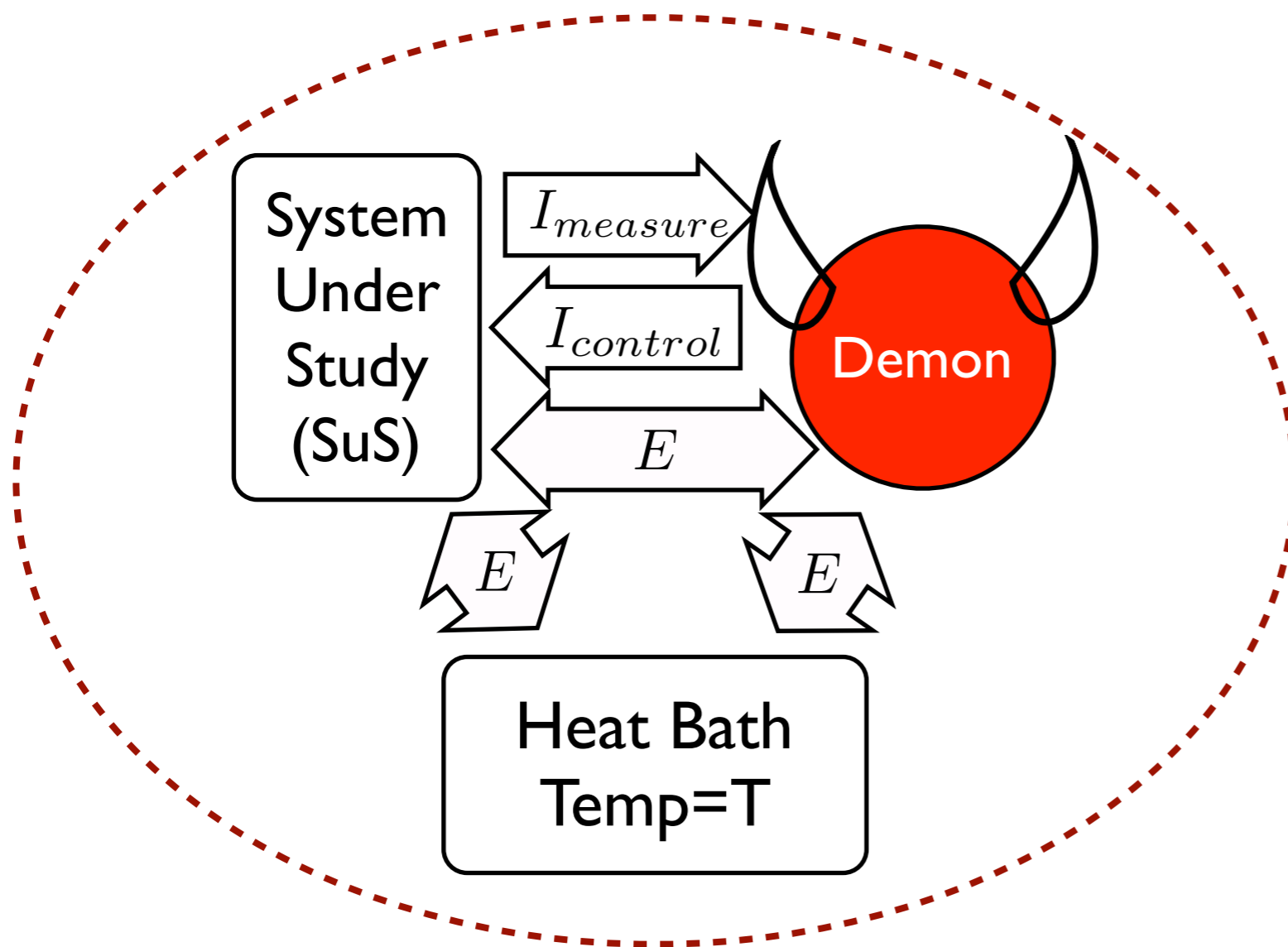
<http://www.eoht.info/page/Maxwell's+demon>

Feedback/Control

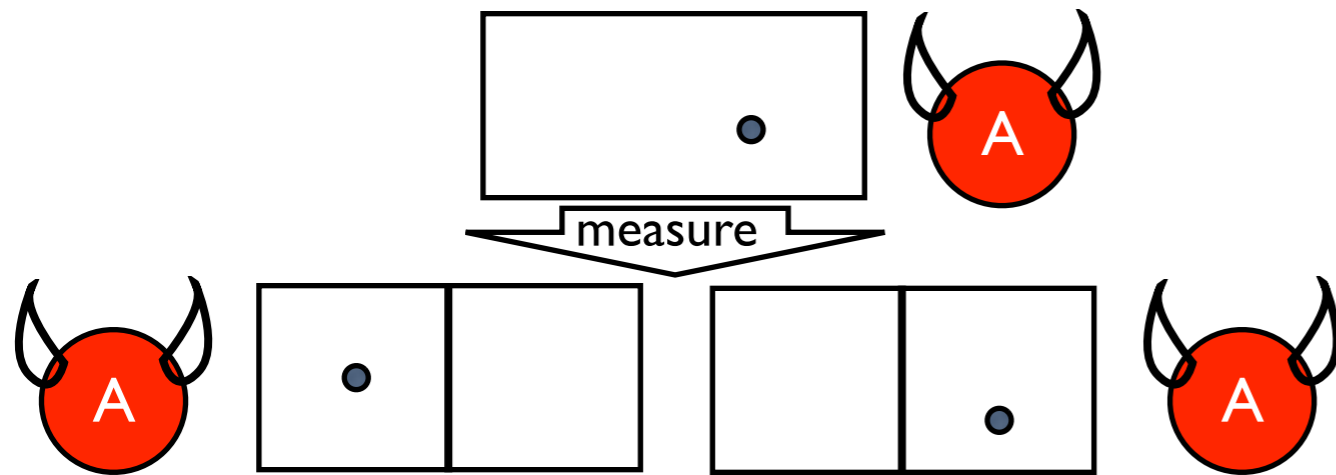


Maxwellian Demons

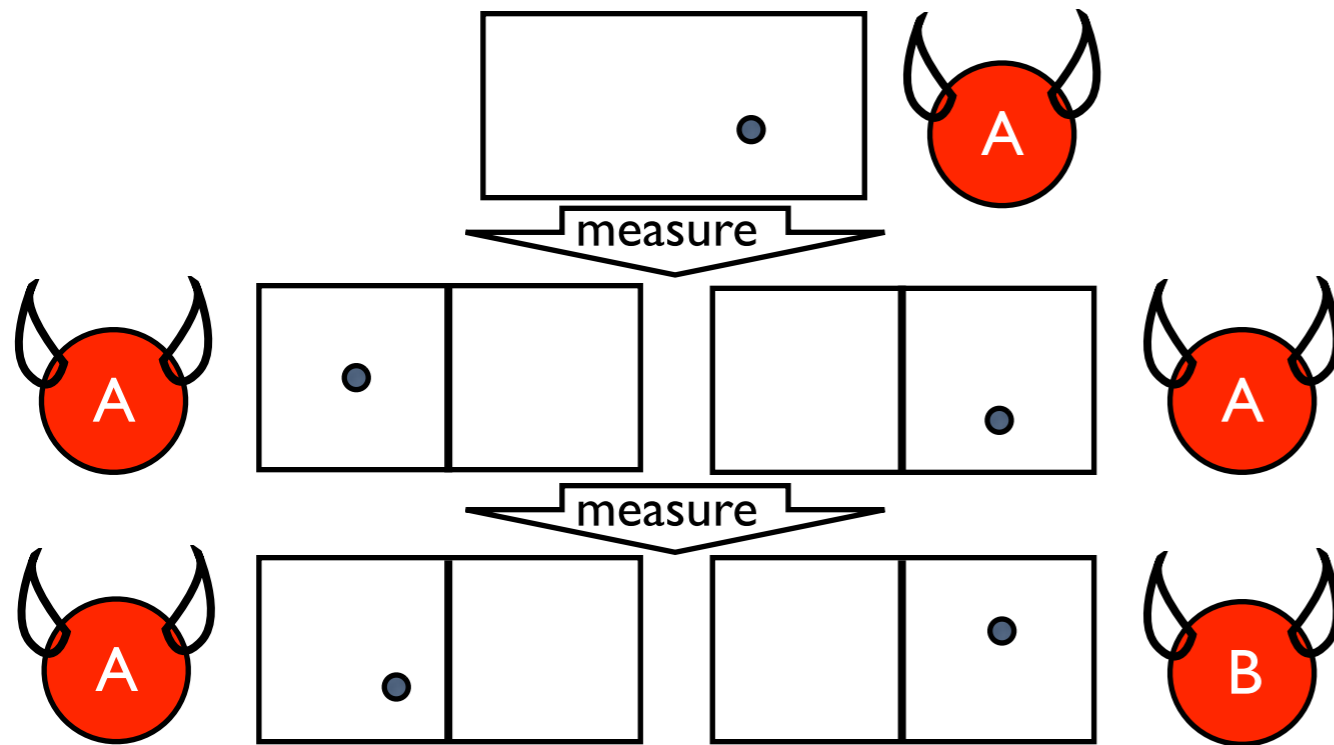
Closed System



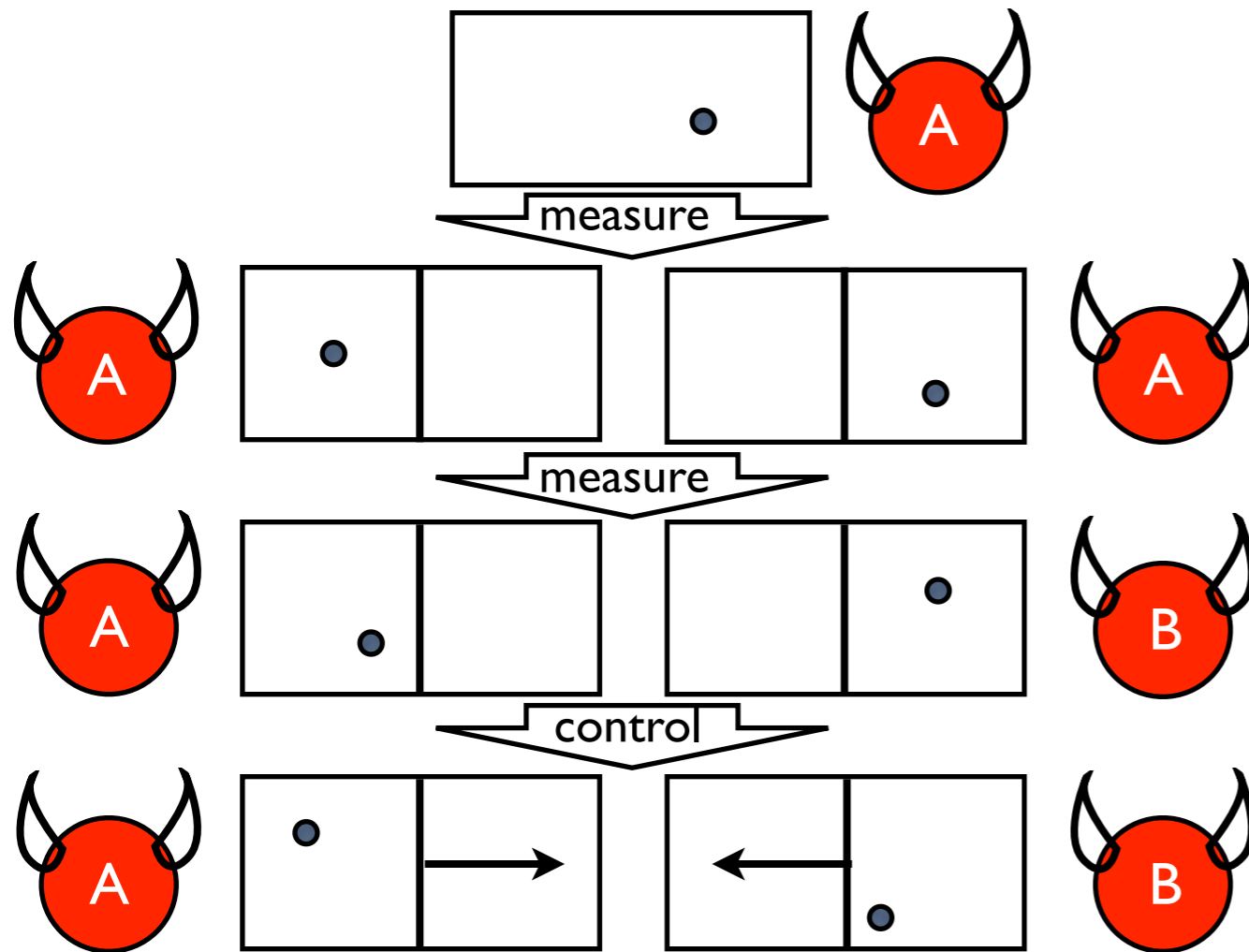
Szilard Engine Cycle



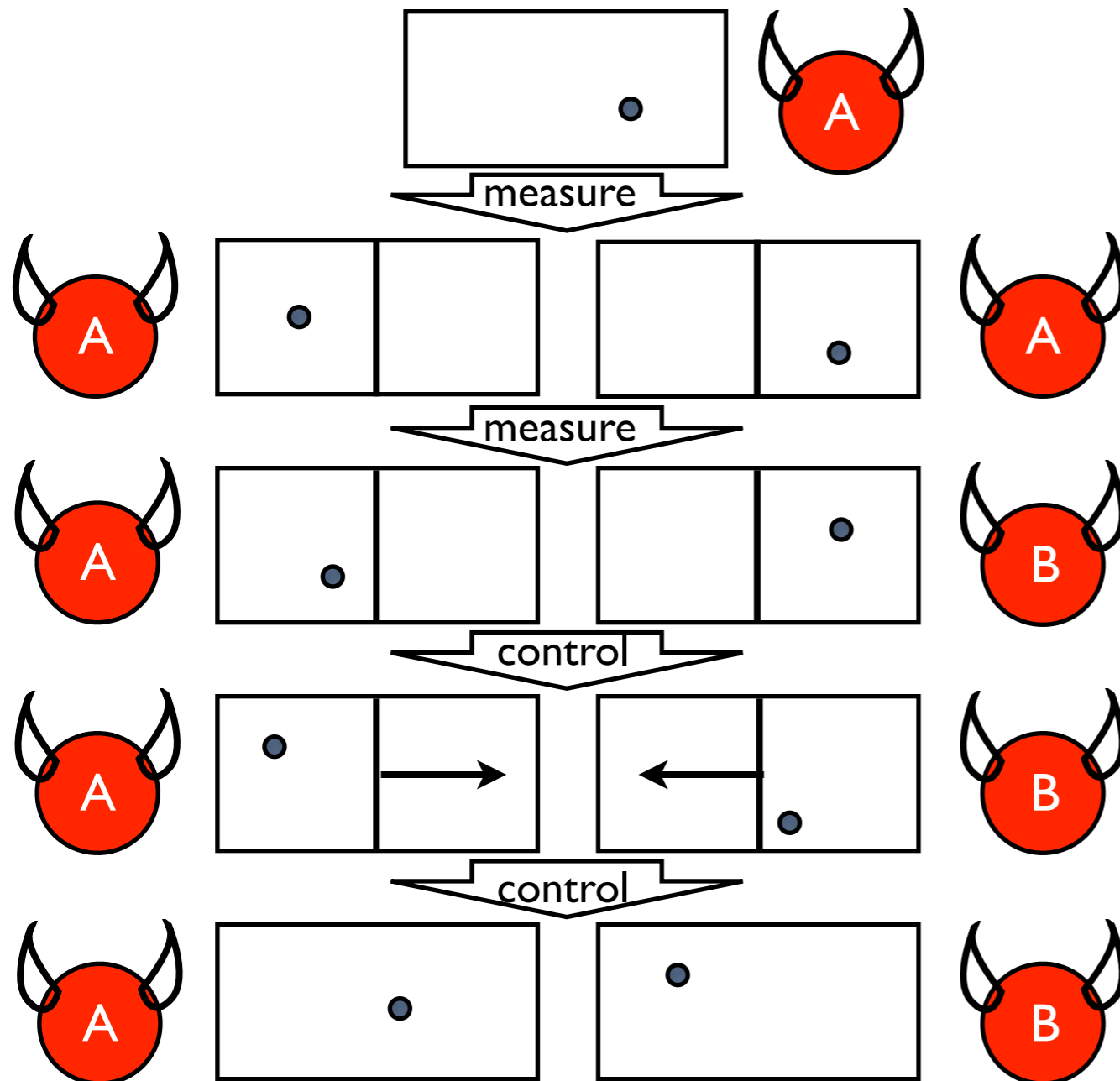
Szilard Engine Cycle



Szilard Engine Cycle

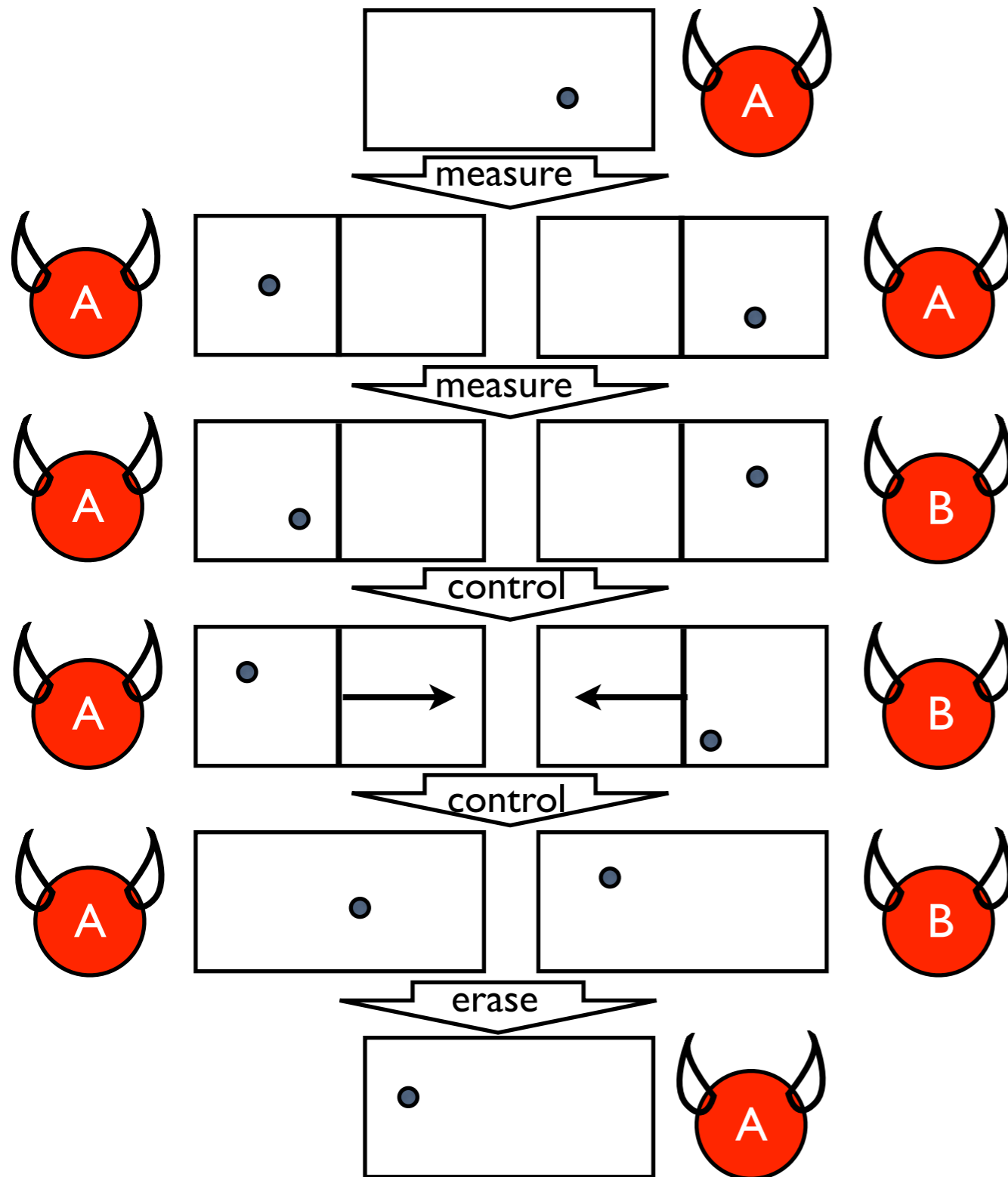


Szilard Engine Cycle



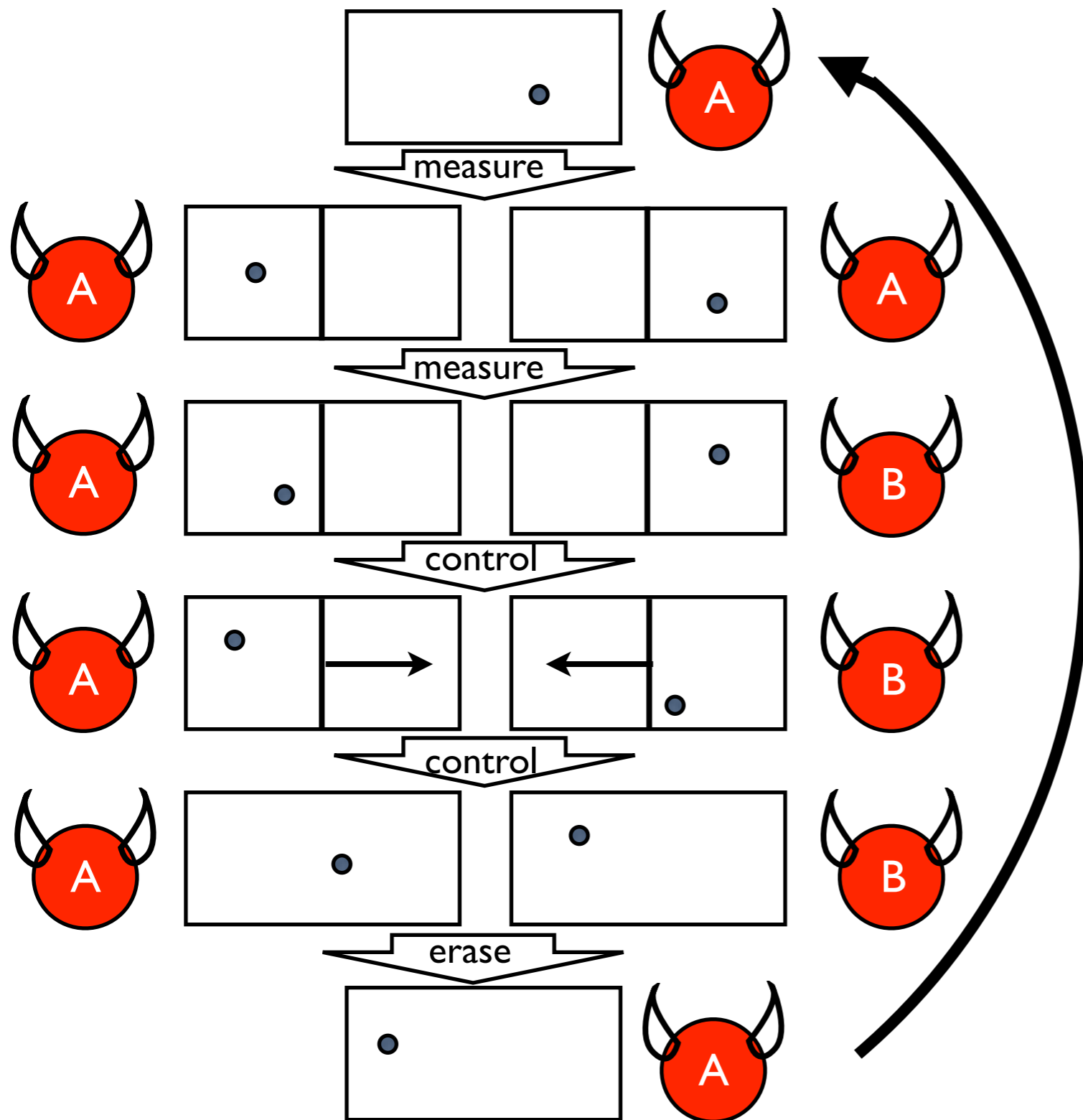
Demon is able to extract and store $k_B T \ln 2$ work every cycle.

Szilard Engine Cycle



Demon is able to extract and store $k_B T \ln 2$ work every cycle.

Szilard Engine Cycle

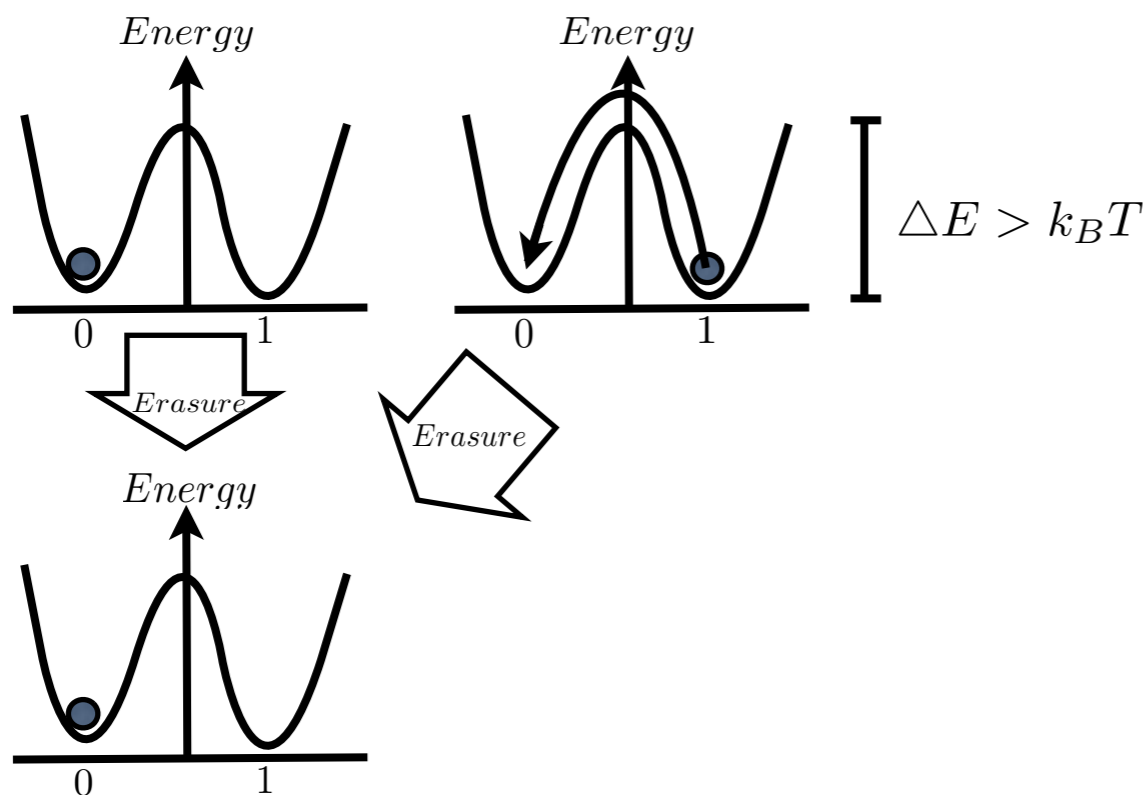


Demon is able to extract and store $k_B T \ln 2$ work every cycle.

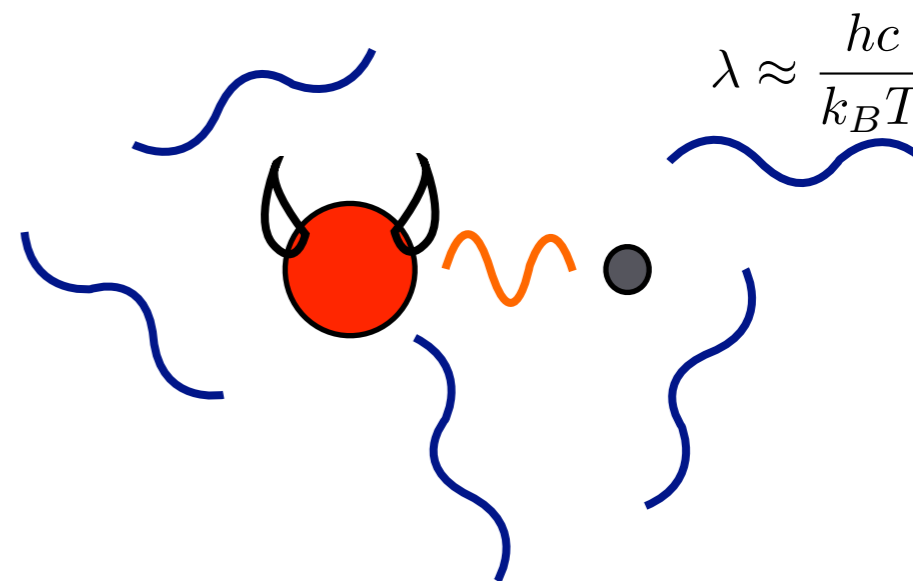
Violates the second law?

Energetic Costs

Erasure:
(resetting memory)



Measurement:
(writing to memory)



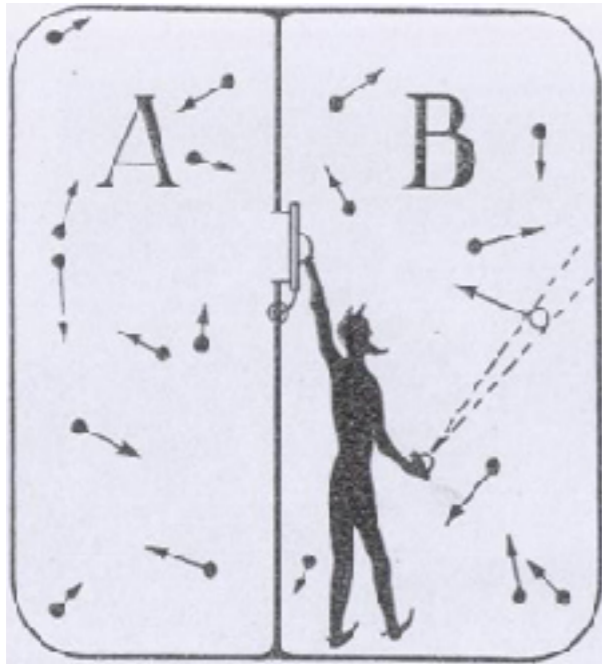
L. Brillouin “Maxwell’s Demon Cannot Operate”, (1951).

Landauer’s principle: $Q_{\text{erase}} \geq k_B T \ln 2$

R. Landauer, “Irreversibility and heat generation in the computing process”, (1961).

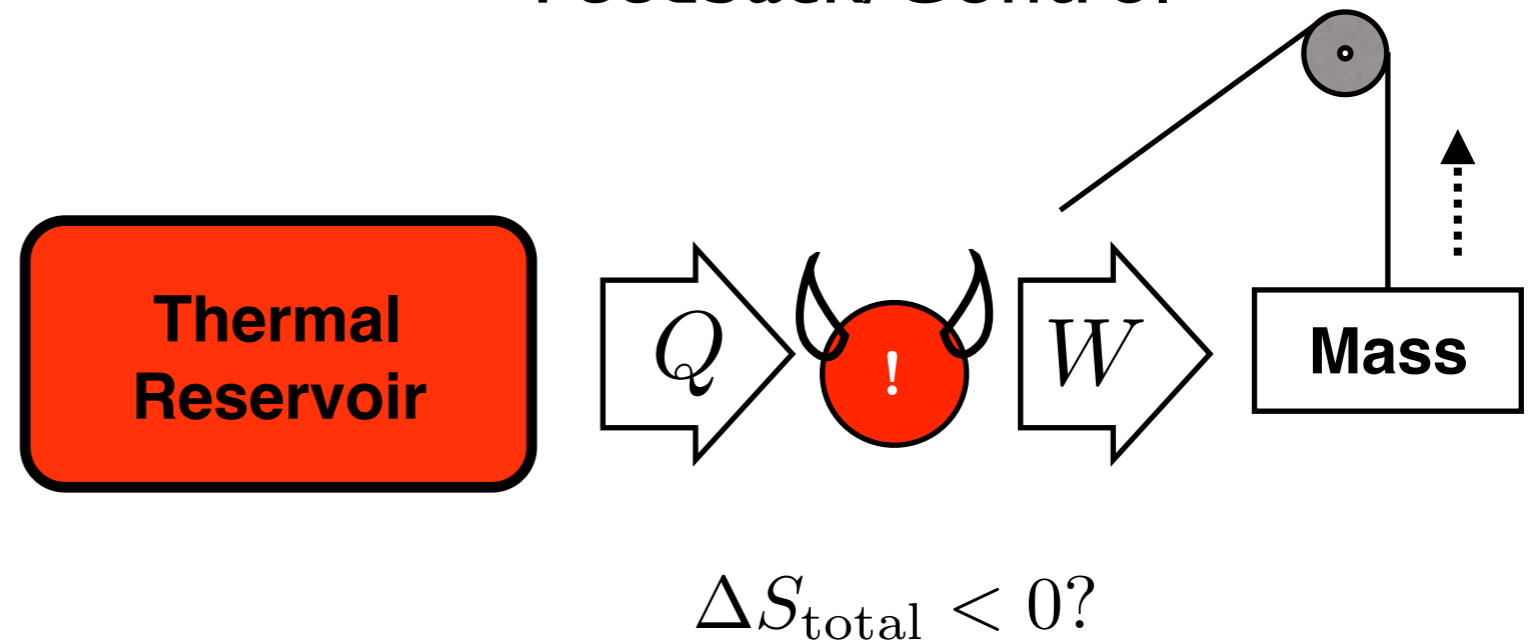
Information Bearing Degrees of Freedom

Maxwell's Demon



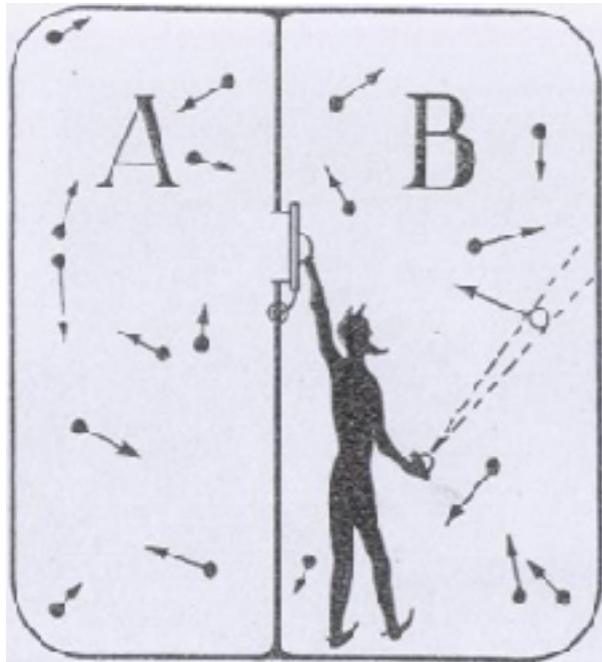
<http://www.eoht.info/page/Maxwell's+demon>

Feedback/Control



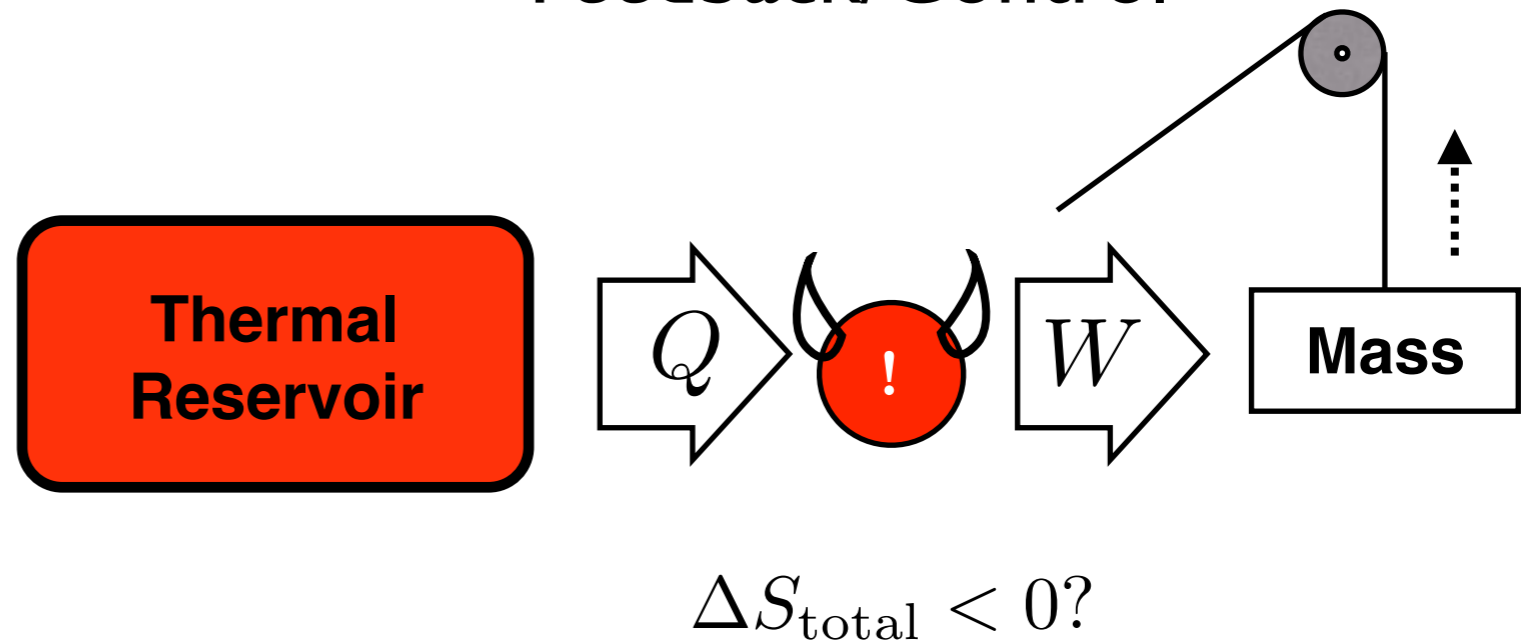
Information Bearing Degrees of Freedom

Maxwell's Demon

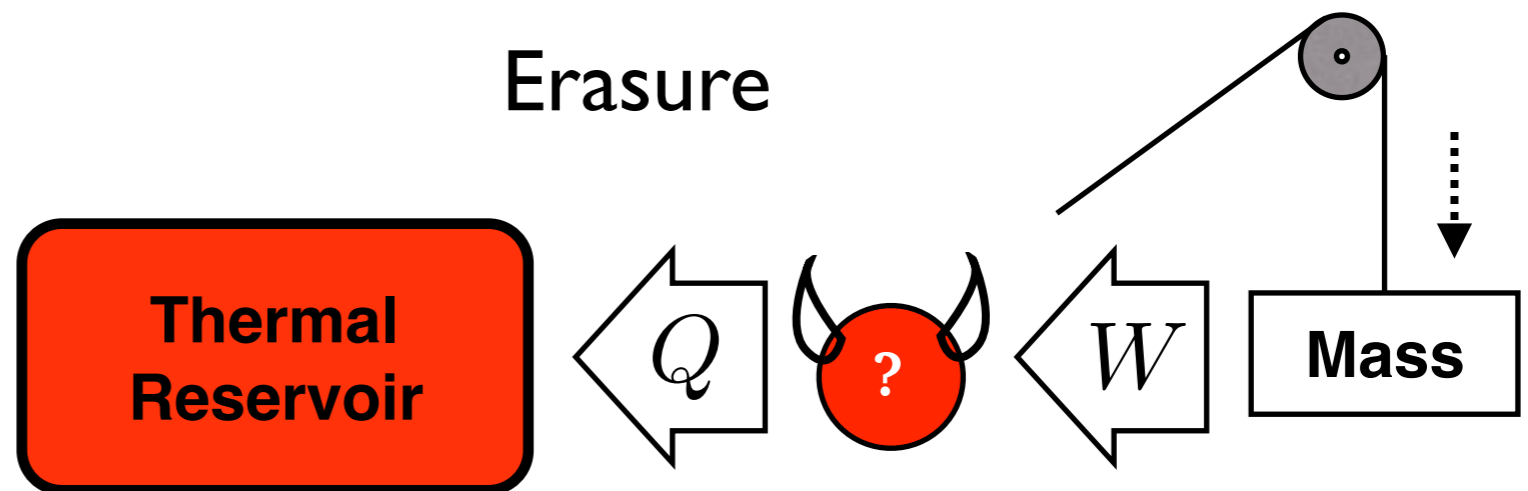


<http://www.eoht.info/page/Maxwell's+demon>

Feedback/Control

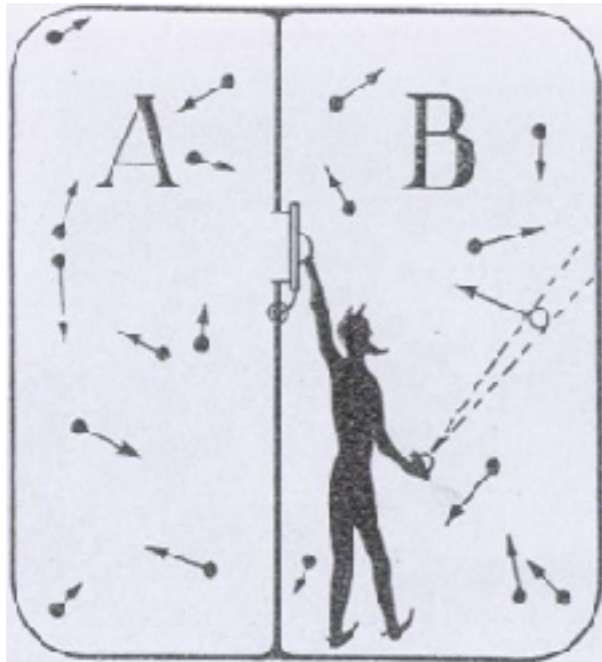


Erasure



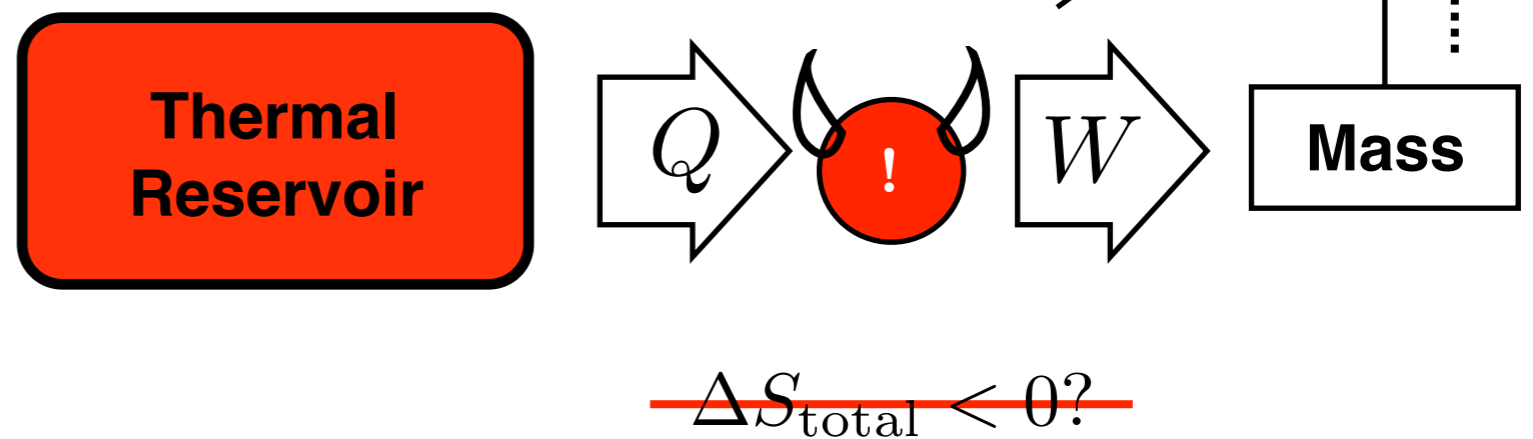
Information Bearing Degrees of Freedom

Maxwell's Demon

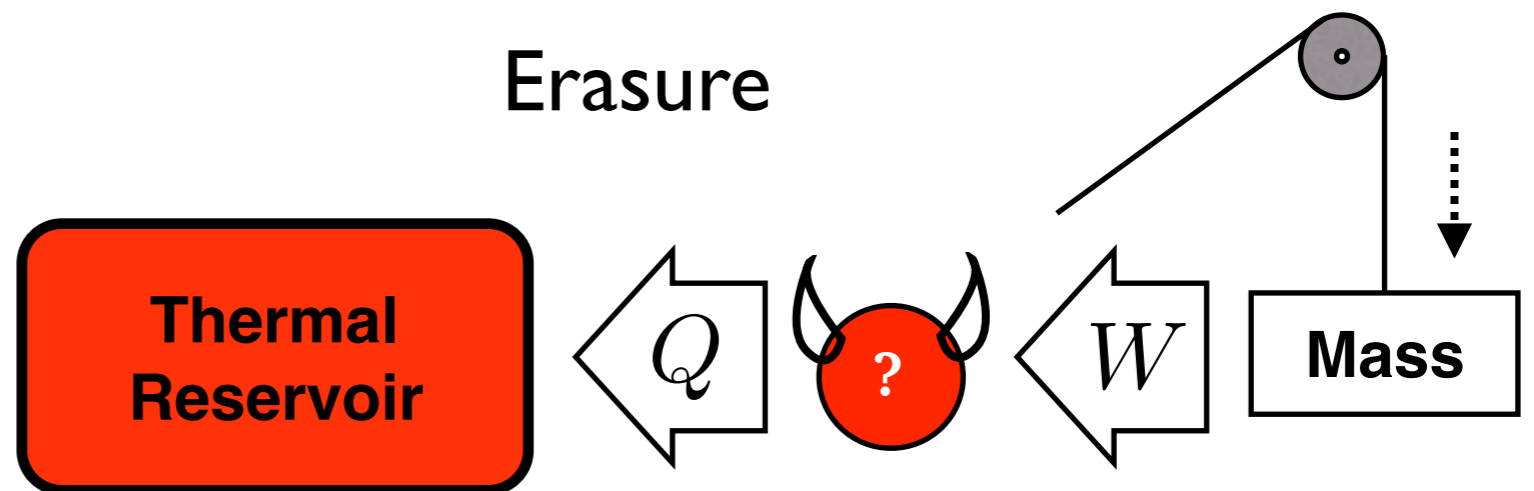


<http://www.eoht.info/page/Maxwell's+demon>

Feedback/Control



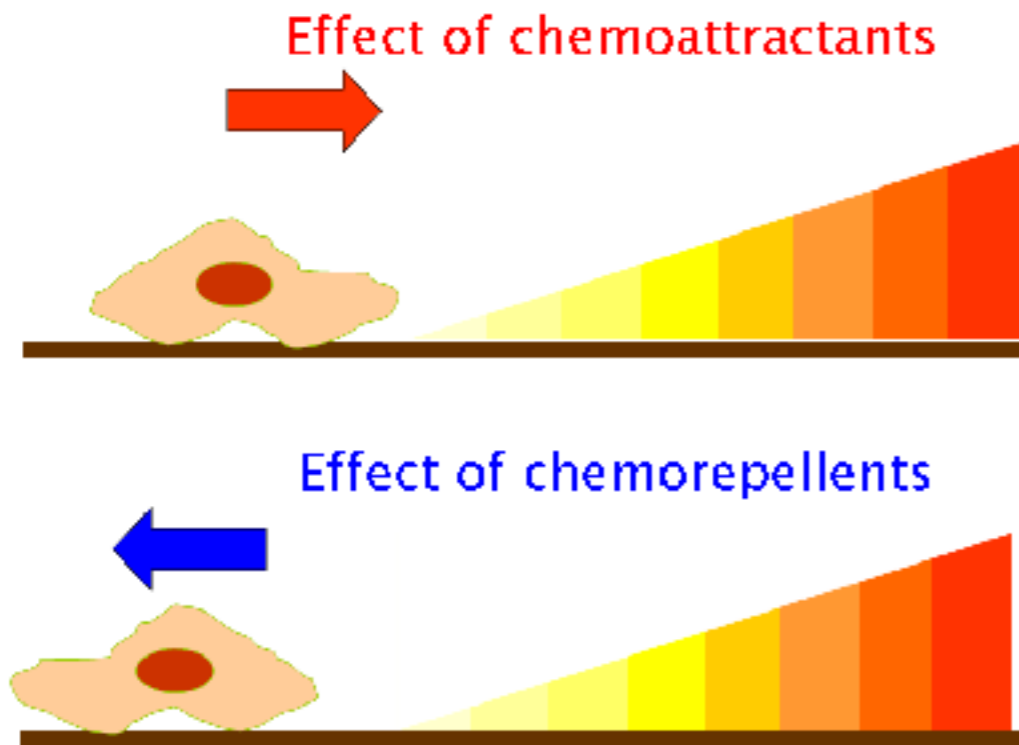
Erasure



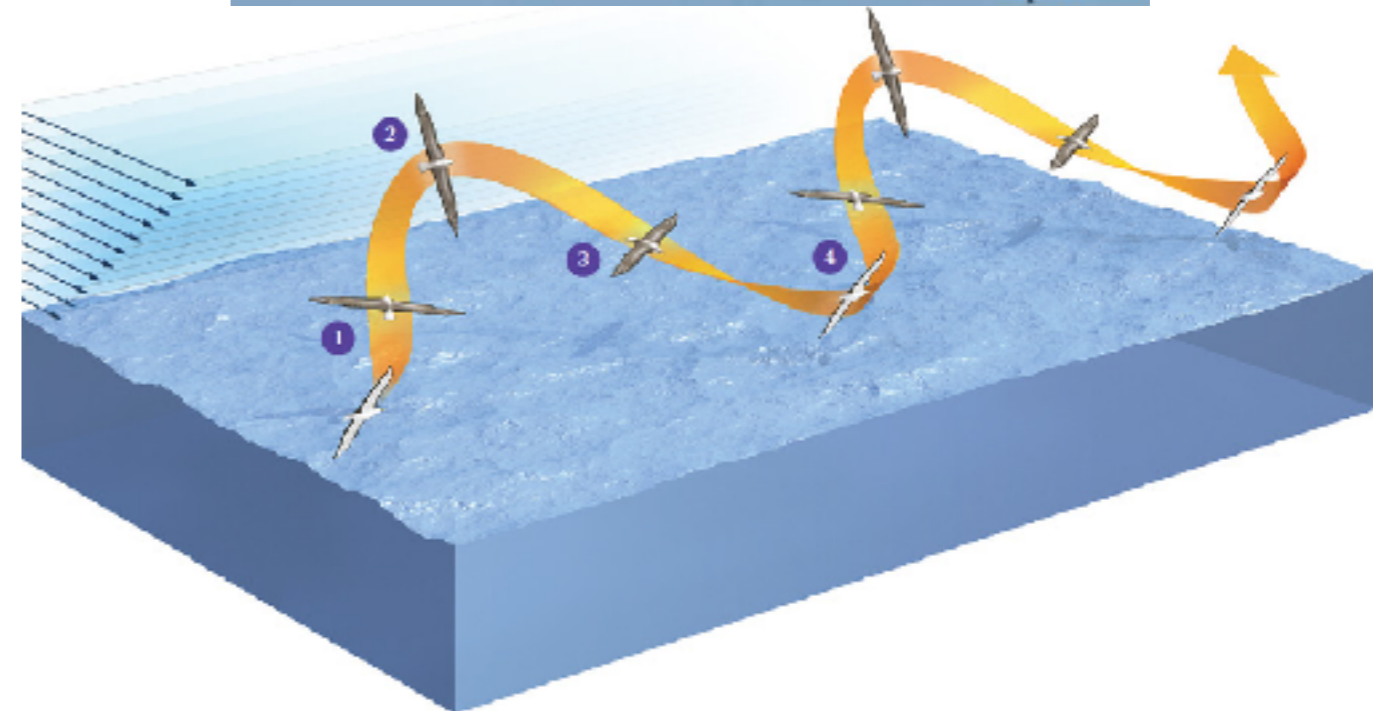
Landauer's Principle: $W_{\text{erase}} \geq k_B T \ln 2 \rightarrow \Delta S_{\text{total}} \geq 0$

Benefit of Information Processing

Information processing allows systems to leverage structure of environment



© Kohidal, L. 2008



<http://spectrum.ieee.org/aerospace/robotic-exploration/the-nearly-effortless-flight-of-the-albatross>

Cost of Information Processing

Processing information costs work and dissipates heat.



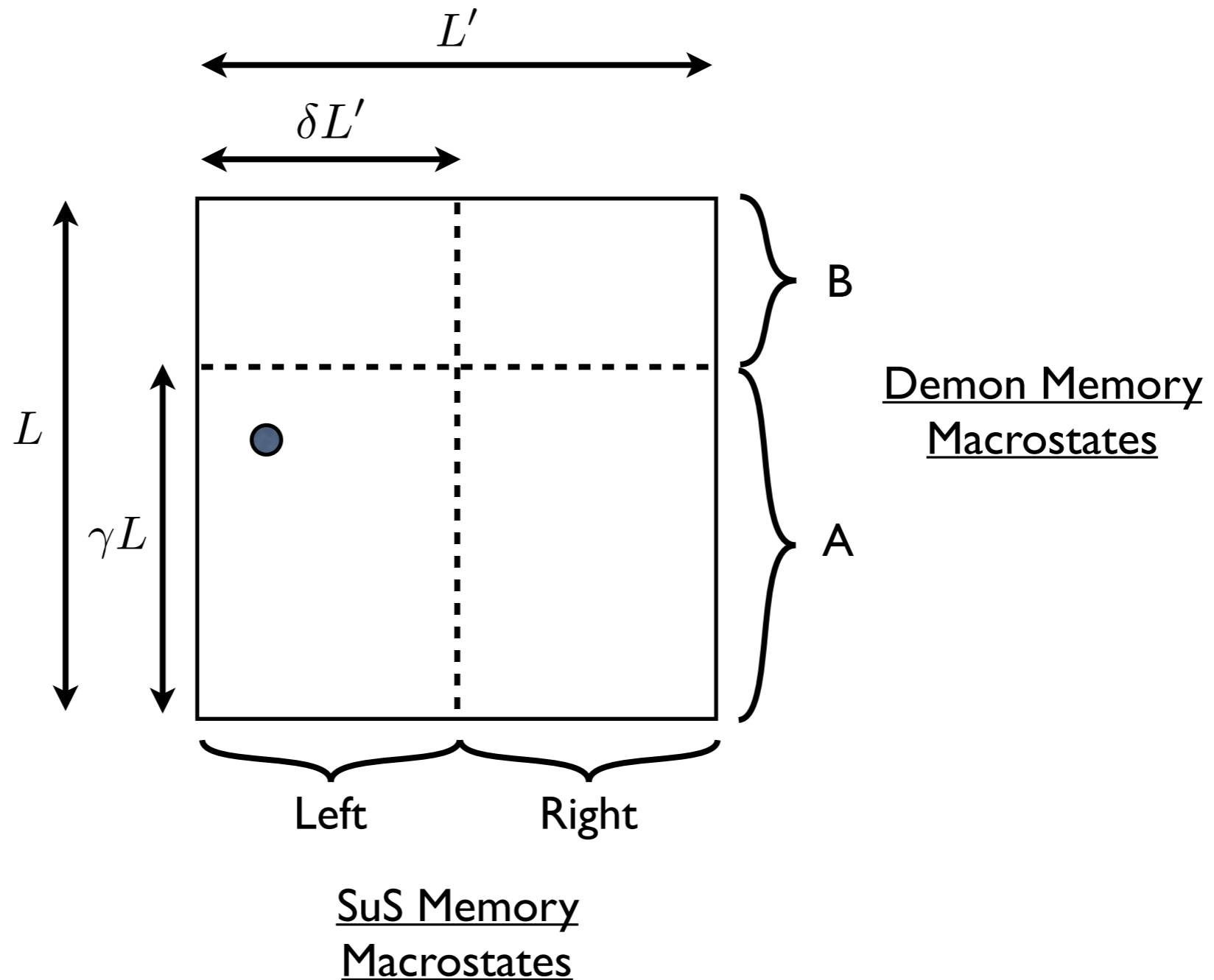
<http://spectrum.ieee.org/computing/hardware/new-tech-keeps-data-centers-cool-in-warm-climates>



http://pooh.wikia.com/wiki/Think,_Think,_Think

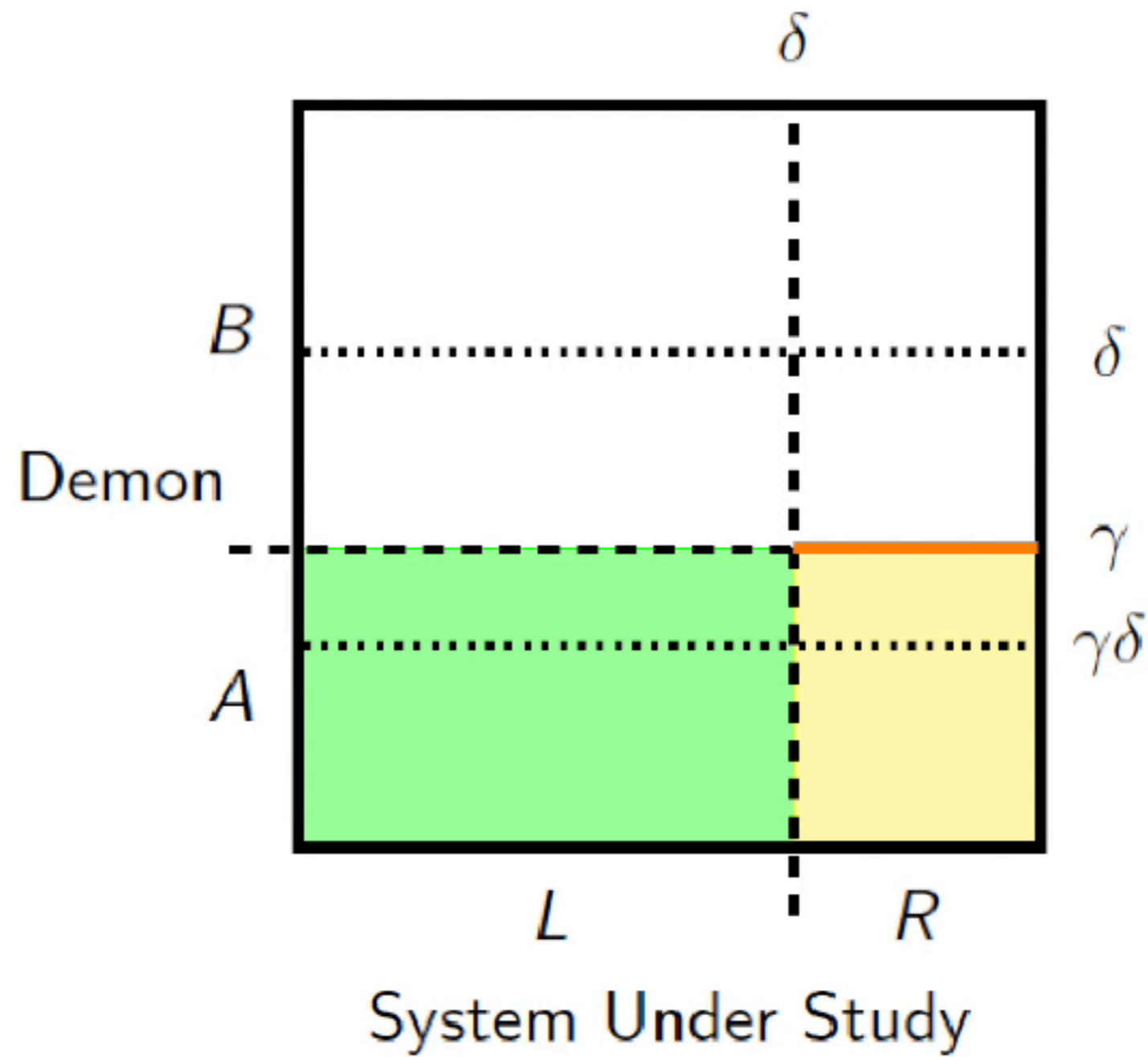
Szilard Example

The Szilard system can be reformulated as a 2D box of gas.

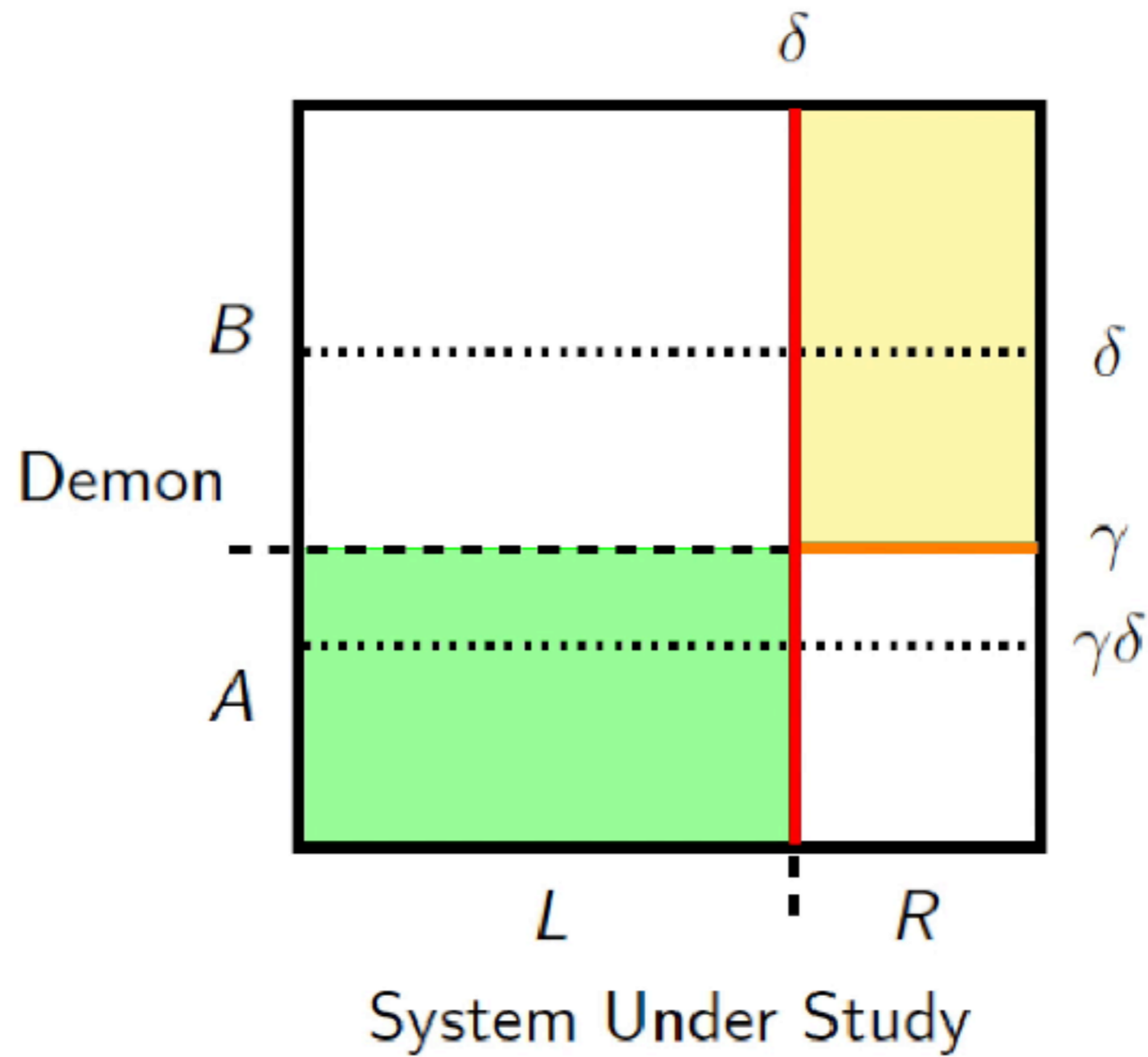


C. Bennet, "Thermodynamics of Computation-A Review", (1981)

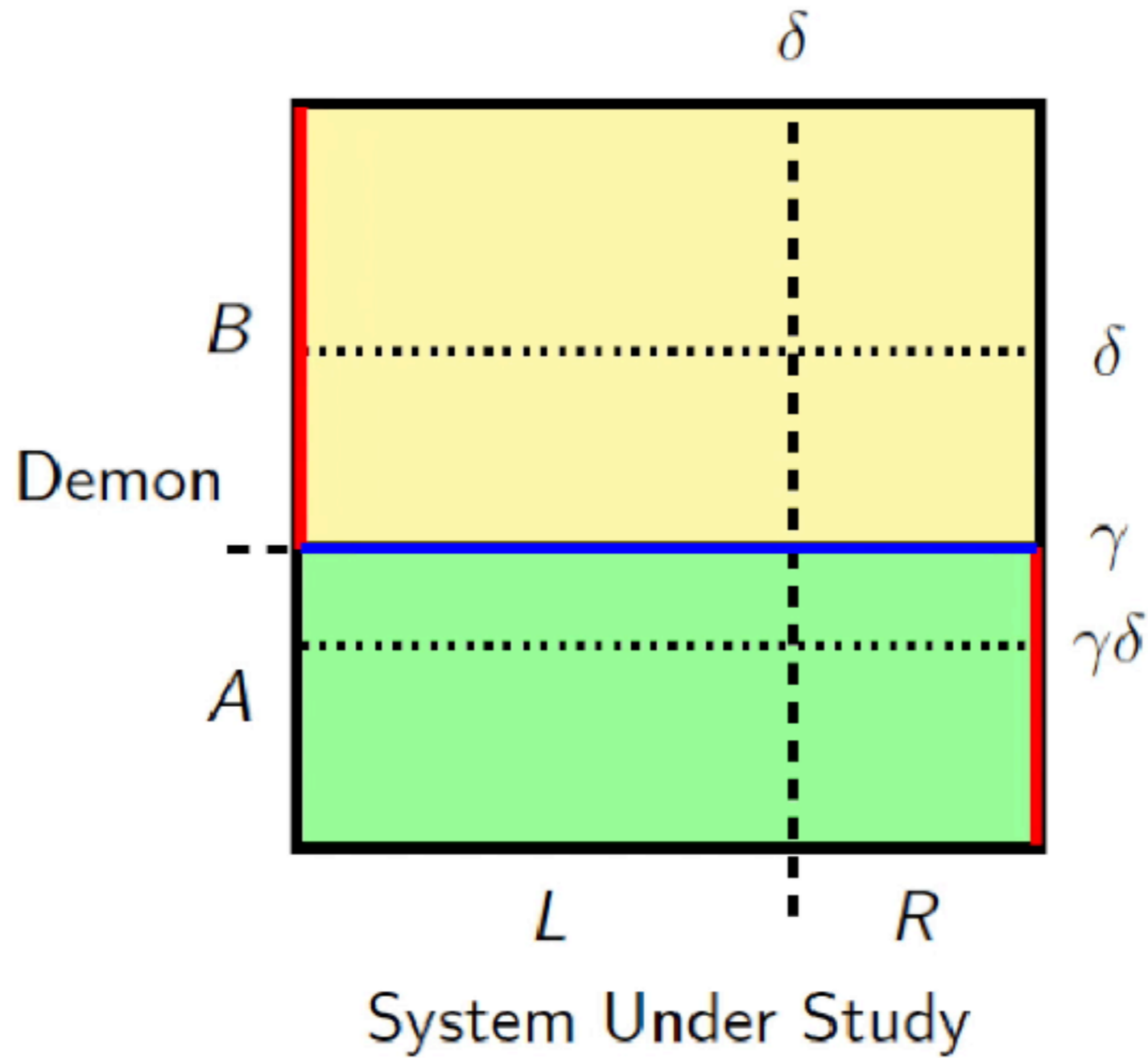
Measure Control Erase



Measure Control Erase



Measure **Control** Erase



Szilard Engine Dissipation

The average dissipated heat is exactly calculable as the work done to slide the barriers:

$$Q = W = - \int P dV$$

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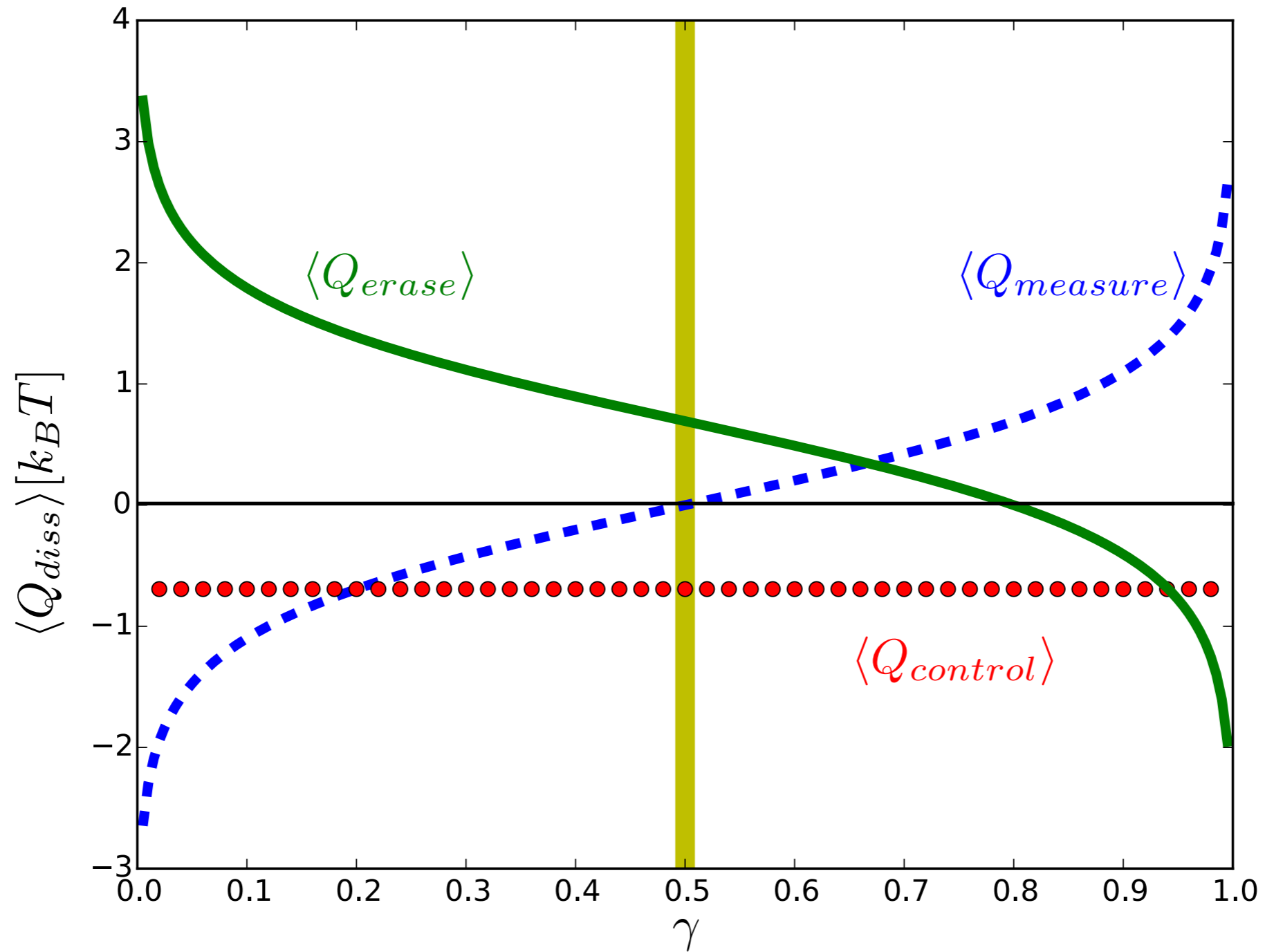
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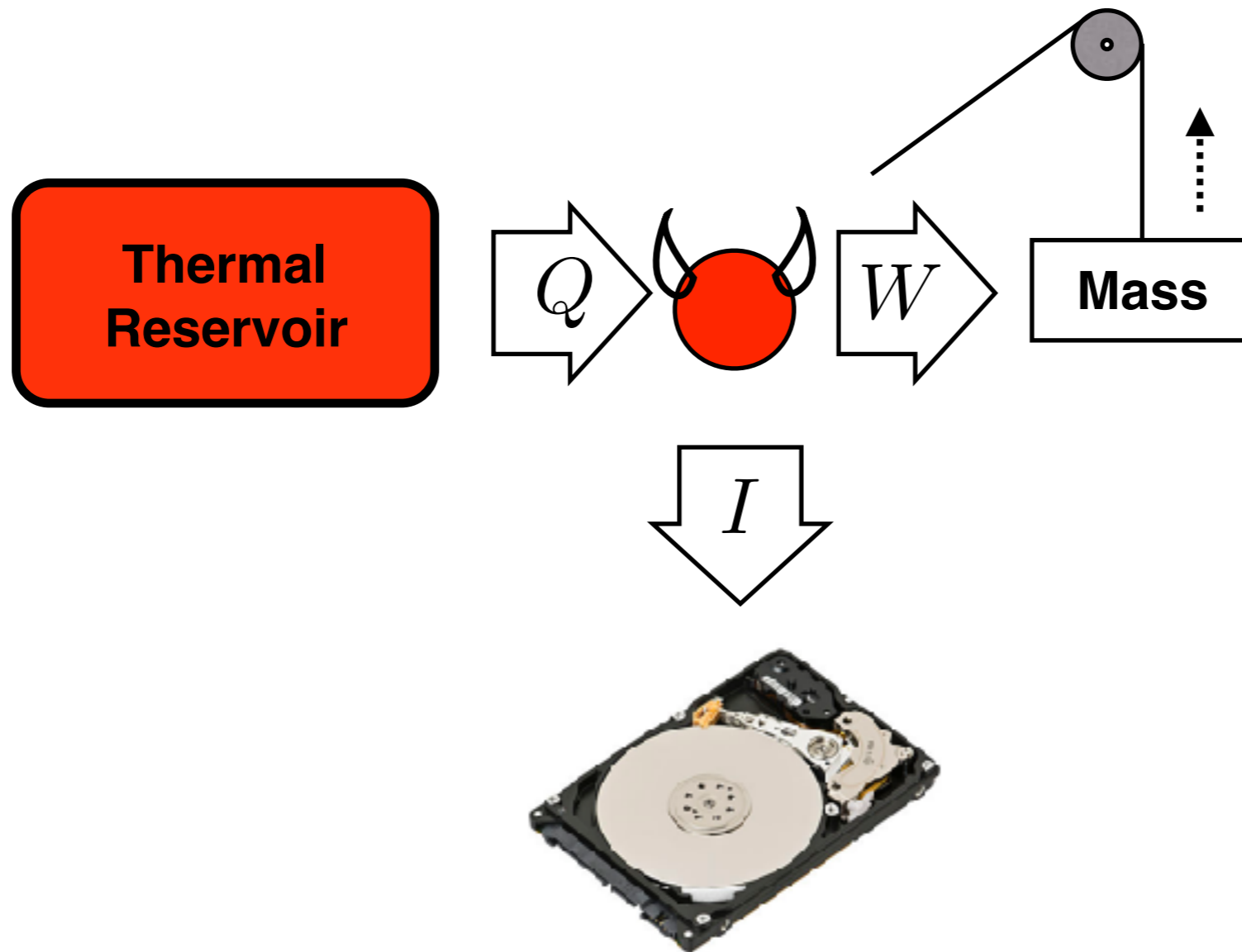
$$\Delta S_{\text{total}} = 0!$$

Szilard Engine Dissipation



A. Boyd, J. Crutchfield, "Maxwell Demon Dynamics: Deterministic Chaos, the Szilard Map, and the Intelligence of Thermodynamic Systems", PRL, (2016)

Information is a Thermodynamic Fuel



Instead of erasing, write to a hard drive